

# *The Graphplan Planner*

## Searching the Planning Graph

### Literature

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- Malik Ghallab, Dana Nau, and Paolo Traverso. *Automated Planning – Theory and Practice*, chapter 6. Elsevier/Morgan Kaufmann, 2004.

## Neoclassical Planning

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- concerned with restricted state-transition systems
- representation is usually restricted to propositional STRIPS
- neoclassical vs. classical planning
  - classical planning: search space consists of nodes containing partial plans
  - neoclassical planning: nodes can be seen as sets of partial plans
- resulted in significant speed-up and revival of planning research

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3

## Overview

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- The Propositional Representation
- The Planning-Graph Structure
- The Graphplan Algorithm

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4

## Classical Representations

- propositional representation
  - world state is set of propositions
  - action consists of precondition propositions, propositions to be added and removed
- STRIPS representation
  - like propositional representation, but first-order literals instead of propositions
- state-variable representation
  - state is tuple of state variables  $\{x_1, \dots, x_n\}$
  - action is partial function over states

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5

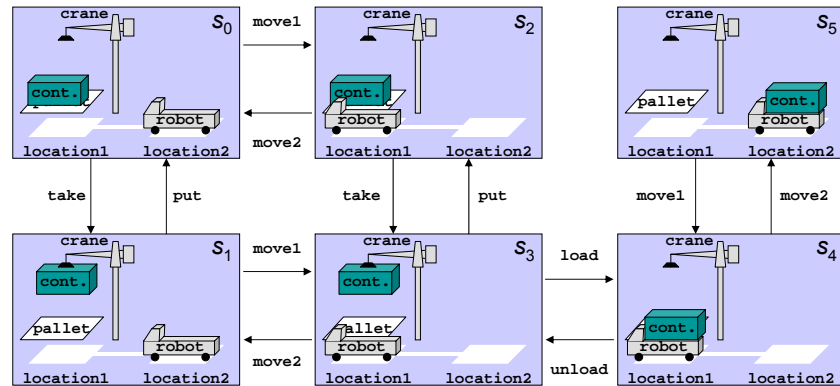
## Propositional Planning Domains

- Let  $L = \{p_1, \dots, p_n\}$  be a finite set of proposition symbols. A propositional planning domain on  $L$  is a restricted state-transition system  $\Sigma = (S, A, \gamma)$  such that:
  - $S \subseteq 2^L$ , i.e. each state  $s$  is a subset of  $L$
  - $A \subseteq 2^L \times 2^L \times 2^L$ , i.e. each action  $a$  is a triple  $(\text{precond}(a), \text{effects}^-(a), \text{effects}^+(a))$  where  $\text{effects}^-(a)$  and  $\text{effects}^+(a)$  must be disjoint
  - $\gamma: S \times A \rightarrow 2^L$  where
    - $\gamma(s, a) = (s - \text{effects}^-(a)) \cup \text{effects}^+(a)$  if  $\text{precond}(a) \subseteq s$
    - $\gamma(s, a) = \text{undefined}$  otherwise
  - $S$  is closed under  $\gamma$

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6

## DWR Example: State Space

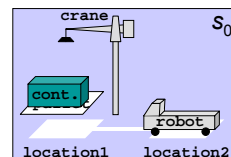


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7

## DWR Example: Propositional States

- $L = \{\text{onpallet}, \text{onrobot}, \text{holding}, \text{at1}, \text{at2}\}$
- $S = \{s_0, \dots, s_5\}$ 
  - $s_0 = \{\text{onpallet}, \text{at2}\}$
  - $s_1 = \{\text{holding}, \text{at2}\}$
  - $s_2 = \{\text{onpallet}, \text{at1}\}$
  - $s_3 = \{\text{holding}, \text{at1}\}$
  - $s_4 = \{\text{onrobot}, \text{at1}\}$
  - $s_5 = \{\text{onrobot}, \text{at2}\}$



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8

## DWR Example: Propositional Actions

$a$	$\text{precond}(a)$	$\text{effects}^-(a)$	$\text{effects}^+(a)$
take	{onpallet}	{onpallet}	{holding}
put	{holding}	{holding}	{onpallet}
load	{holding,at1}	{holding}	{onrobot}
unload	{onrobot,at1}	{onrobot}	{holding}
move1	{at2}	{at2}	{at1}
move2	{at1}	{at1}	{at2}

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9

## DWR Example: Propositional State Transitions

	$s_0$	$s_1$	$s_2$	$s_3$	$s_4$	$s_5$
take	$s_1$		$s_3$			
put		$s_0$		$s_2$		
load				$s_4$		
unload					$s_3$	
move1			$s_0$	$s_1$		$s_4$
move2	$s_2$	$s_3$			$s_5$	

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10

## Propositional Planning Problems

- A propositional planning problem is a triple  $\mathcal{P}=(\Sigma, s_i, g)$  where:
  - $\Sigma=(S, A, \gamma)$  is a propositional planning domain on  $L=\{p_1, \dots, p_n\}$
  - $s_i \in S$  is the initial state
  - $g \subseteq L$  is a set of goal propositions that define the set of goal states  $S_g = \{s \in S \mid g \subseteq s\}$

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11

## DWR Example: Propositional Planning Problem

- $\Sigma$ : propositional planning domain for DWR domain
- $s_i$ : any state
  - example: initial state =  $s_0 \in S$
- $g$ : any subset of  $L$ 
  - example:  $g = \{\text{onrobot}, \text{at2}\}$ , i.e.  $S_g = \{s_5\}$

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12

## Classical Plans

- A plan is any sequence of actions  $\pi = \langle a_1, \dots, a_k \rangle$ , where  $k \geq 0$ .
  - The length of plan  $\pi$  is  $|\pi| = k$ , the number of actions.
  - If  $\pi_1 = \langle a_1, \dots, a_k \rangle$  and  $\pi_2 = \langle a'_1, \dots, a'_j \rangle$  are plans, then their concatenation is the plan  $\pi_1 \bullet \pi_2 = \langle a_1, \dots, a_k, a'_1, \dots, a'_j \rangle$ .
  - The extended state transition function for plans is defined as follows:
    - $\gamma(s, \pi) = s$  if  $k=0$  ( $\pi$  is empty)
    - $\gamma(s, \pi) = \gamma(\gamma(s, a_1), \langle a_2, \dots, a_k \rangle)$  if  $k > 0$  and  $a_1$  applicable in  $s$
    - $\gamma(s, \pi) = \text{undefined}$  otherwise

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13

## Classical Solutions

- Let  $\mathcal{P} = (\Sigma, s_i, g)$  be a propositional planning problem. A plan  $\pi$  is a solution for  $\mathcal{P}$  if  $g \subseteq \gamma(s_i, \pi)$ .
  - A solution  $\pi$  is redundant if there is a proper subsequence of  $\pi$  is also a solution for  $\mathcal{P}$ .
  - $\pi$  is minimal if no other solution for  $\mathcal{P}$  contains fewer actions than  $\pi$ .

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14

## DWR Example: Plans and Solutions

plan $\pi$	$ \pi $	$\gamma(s_i, \pi)$	sol.	red.	min.
$\langle \rangle$	0	$s_0$	no	-	-
$\langle \text{move2}, \text{move2} \rangle$	2	undef.	no	-	-
$\langle \text{take}, \text{move1} \rangle$	2	$s_3$	no	-	-
$\langle \text{take}, \text{move1}, \text{put}, \text{move2}, \text{take}, \text{move1}, \text{load}, \text{move2} \rangle$	8	$s_5$	yes	yes	no
$\langle \text{take}, \text{move1}, \text{load}, \text{move2} \rangle$	4	$s_5$	yes	no	yes
$\langle \text{move1}, \text{take}, \text{load}, \text{move2} \rangle$	4	$s_5$	yes	no	yes

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15

## Reachable Successor States

- The successor function  $\Gamma^m: 2^S \rightarrow 2^S$  for a propositional domain  $\Sigma = (S, A, \gamma)$  is defined as:
  - $\Gamma(s) = \{\gamma(s, a) \mid a \in A \text{ and } a \text{ applicable in } s\}$  for  $s \in S$
  - $\Gamma(\{s_1, \dots, s_n\}) = \bigcup_{k \in [1, n]} \Gamma(s_k)$
  - $\Gamma^0(\{s_1, \dots, s_n\}) = \{s_1, \dots, s_n\}$
  - $\Gamma^m(\{s_1, \dots, s_n\}) = \Gamma(\Gamma^{m-1}(\{s_1, \dots, s_n\}))$
- The transitive closure of  $\Gamma$  defines the set of all reachable states:
  - $\Gamma^>(s) = \bigcup_{k \in [0, \infty]} \Gamma^k(\{s\})$  for  $s \in S$

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16



## Relevant Actions and Regression Sets

- Let  $\mathcal{P}=(\Sigma, s_i, g)$  be a propositional planning problem. An action  $a \in A$  is relevant for  $g$  if
  - $g \cap \text{effects}^+(a) \neq \{\}$  and
  - $g \cap \text{effects}^-(a) = \{\}$ .
- The regression set of  $g$  for a relevant action  $a \in A$  is:
  - $\gamma^{-1}(g, a) = (g - \text{effects}^+(a)) \cup \text{precond}(a)$
  - note:  $\gamma(s, a) \in S_g$  iff  $\gamma^{-1}(g, a) \subseteq s$

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17

## Regression Function

- The regression function  $\Gamma^{-m}$  for a propositional domain  $\Sigma=(S, A, \gamma)$  on  $L$  is defined as:
  - $\Gamma^{-1}(g) = \{\gamma^{-1}(g, a) \mid a \in A \text{ is relevant for } g\}$  for  $g \in 2^L$
  - $\Gamma^0(\{g_1, \dots, g_n\}) = \{g_1, \dots, g_n\}$
  - $\Gamma^{-1}(\{g_1, \dots, g_n\}) = \bigcup_{(k \in [1, n])} \Gamma^{-1}(g_k)$
  - $\Gamma^{-m}(\{g_1, \dots, g_n\}) = \Gamma^{-1}(\Gamma^{-(m-1)}(\{g_1, \dots, g_n\}))$
- The transitive closure of  $\Gamma^{-1}$  defines the set of all regression sets:
  - $\Gamma^<(g) = \bigcup_{(k \in [0, \infty])} \Gamma^{-k}(\{g\})$  for  $g \in 2^L$

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18

## Statement of a Propositional Planning Problem

- A statement of a propositional planning problem is a triple  $P=(A,s_i,g)$  where:
  - $A$  is a set of actions in an appropriate propositional planning domain  $\Sigma=(S,A,\gamma)$  on  $L$
  - $s_i$  is the initial state in an appropriate propositional planning problem  $\mathcal{P}=(\Sigma,s_i,g)$
  - $g$  is a set of goal propositions in the same propositional planning problem  $\mathcal{P}$

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19

## Example: Ambiguity in Statement of a Planning Problem

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>• statement: <math>P=(\{a_1\}, s_i, g)</math> where<br/> <math>a_1=(\{p_1\},\{p_1\},\{p_2\})</math>, <math>s_i=\{p_1\}</math>, and <math>g=\{p_2\}</math></li> </ul>   |  |
| <ul style="list-style-type: none"> <li>• <math>\mathcal{P}_1=(\Sigma_1,s_i,g)</math> where</li> <li>• <math>\Sigma_1=(</math> <ul style="list-style-type: none"> <li>• <math>\{\{p_1\},\{p_2\}\},</math></li> <li>• <math>\{a_1\},</math></li> <li>• <math>\{(\{p_1\},a_1)\rightarrow\{p_2\}\}</math> on</li> </ul> </li> <li>• <math>L_1=\{p_1,p_2\}</math></li> </ul> | <ul style="list-style-type: none"> <li>• <math>\mathcal{P}_2=(\Sigma_2,s_i,g)</math> where</li> <li>• <math>\Sigma_2=(</math> <ul style="list-style-type: none"> <li>• <math>\{\{p_1\},\{p_2\},\{p_1,p_3\},\{p_2,p_3\}\},</math></li> <li>• <math>\{a_1\},</math></li> <li>• <math>\{(\{p_1\},a_1)\rightarrow\{p_2\},</math><br/> <math>(\{p_1,p_3\},a_1)\rightarrow\{p_2,p_3\}\}</math> on</li> </ul> </li> <li>• <math>L_2=\{p_1,p_2,p_3\}</math></li> </ul> |

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20

## Statement Ambiguity

- **Proposition:** Let  $\mathcal{P}_1$  and  $\mathcal{P}_2$  be two propositional planning problems that have the same statement. Then both,  $\mathcal{P}_1$  and  $\mathcal{P}_2$ , have
  - the same set of reachable states  $\Gamma^>(\{s_i\})$  and
  - the same set of solutions.

## Properties of the Propositional Representation

- **Expressiveness:** For every propositional planning domain there is a corresponding state-transition system, but what about vice versa?
- **Conciseness:** propositional action representation is concise because it does not mention what does not change
- **Consistency:** not every assignment of truth values to propositions must correspond to a state in the underlying state-transition system

## Grounding a STRIPS Planning Problem

- Let  $P=(O,s_i,g)$  be the statement of a STRIPS planning problem and  $C$  the set of all the constant symbols that are mentioned in  $s_i$ . Let  $\text{ground}(O)$  be the set of all possible instantiations of operators in  $O$  with constant symbols from  $C$  consistently replacing variables in preconditions and effects.
- Then  $P'=(\text{ground}(O),s_i,g)$  is a statement of a STRIPS planning problem and  $P'$  has the same solutions as  $P$ .

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23

## Translation: Propositional Representation to Ground STRIPS

- Let  $P=(A,s_i,g)$  be a statement of a propositional planning problem. In the actions  $A$ :
  - replace every action ( $\text{precond}(a)$ ,  $\text{effects}^-(a)$ ,  $\text{effects}^+(a)$ ) with an operator  $o$  with
    - some unique name( $o$ ),
    - $\text{precond}(o) = \text{precond}(a)$ , and
    - $\text{effects}(o) = \text{effects}^+(a) \cup \{\neg p \mid p \in \text{effects}^-(a)\}$ .

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24

## Translation: Ground STRIPS to Propositional Representation

- Let  $P=(O,s_i,g)$  be a ground statement of a classical planning problem.
  - In the operators  $O$ , in the initial state  $s_i$ , and in the goal  $g$  replace every atom  $P(v_1,\dots,v_n)$  with a propositional atom  $Pv_1,\dots,v_n$ .
  - In every operator  $o$ :
    - for all  $\neg p$  in  $\text{precond}(o)$ , replace  $\neg p$  with  $p'$ ,
    - if  $p$  in  $\text{effects}(o)$ , add  $\neg p'$  to  $\text{effects}(o)$ ,
    - if  $\neg p$  in  $\text{effects}(o)$ , add  $p'$  to  $\text{effects}(o)$ .
  - In the goal replace  $\neg p$  with  $p'$ .
  - For every operator  $o$  create an action  $(\text{precond}(o), \text{effects}^-(a), \text{effects}^+(a))$ .

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25

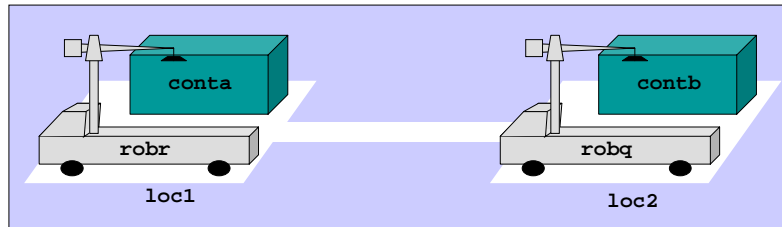
## Overview

- The Propositional Representation
- ➡ The Planning-Graph Structure
- The Graphplan Algorithm

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26

## Example: Simplified DWR Problem



- robots can load and unload autonomously
- locations may contain unlimited number of robots and containers
- problem: swap locations of containers

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27

## Simplified DWR Problem: STRIPS Actions

- **move( $r, l, l'$ )**
  - precondition:  $at(r, l), adjacent(l, l')$
  - effects:  $at(r, l'), \neg at(r, l)$
- **load( $c, r, l$ )**
  - precondition:  $at(r, l), in(c, l), unloaded(r)$
  - effects:  $loaded(r, c), \neg in(c, l), \neg unloaded(r)$
- **unload( $c, r, l$ )**
  - precondition:  $at(r, l), loaded(r, c)$
  - effects:  $unloaded(r), in(c, l), \neg loaded(r, c)$

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28

## Simplified DWR Problem: State Proposition Symbols

- robots:
  - $r1$  and  $r2$ :  $at(robr,loc1)$  and  $at(robr,loc2)$
  - $q1$  and  $q2$ :  $at(robq,loc1)$  and  $at(robq,loc2)$
  - $ur$  and  $uq$ :  $unloaded(robr)$  and  $unloaded(robq)$
- containers:
  - $a1$ ,  $a2$ ,  $ar$ , and  $aq$ :  $in(conta,loc1)$ ,  $in(conta,loc2)$ ,  $loaded(conta,robr)$ , and  $loaded(conta,robq)$
  - $b1$ ,  $b2$ ,  $br$ , and  $bq$ :  $in(contb,loc1)$ ,  $in(contb,loc2)$ ,  $loaded(contb,robr)$ , and  $loaded(contb,robq)$
- initial state:  $\{r1, q2, a1, b2, ur, uq\}$

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29

## Simplified DWR Problem: Action Symbols

- move actions:
  - $Mr12$ :  $move(robr,loc1,loc2)$ ,  $Mr21$ :  $move(robr,loc2,loc1)$ ,  $Mq12$ :  $move(robq,loc1,loc2)$ ,  $Mq21$ :  $move(robq,loc2,loc1)$
- load actions:
  - $Lar1$ :  $load(conta,robr,loc1)$ ;  $Lar2$ ,  $Laq1$ ,  $Laq2$ ,  $Lar1$ ,  $Lbr2$ ,  $Lbq1$ , and  $Lbq2$  correspondingly
- unload actions:
  - $Uar1$ :  $unload(conta,robr,loc1)$ ;  $Uar2$ ,  $Uaq1$ ,  $Uaq2$ ,  $Uar1$ ,  $Ubr2$ ,  $Ubq1$ , and  $Ubq2$  correspondingly

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30

## Solution Existence

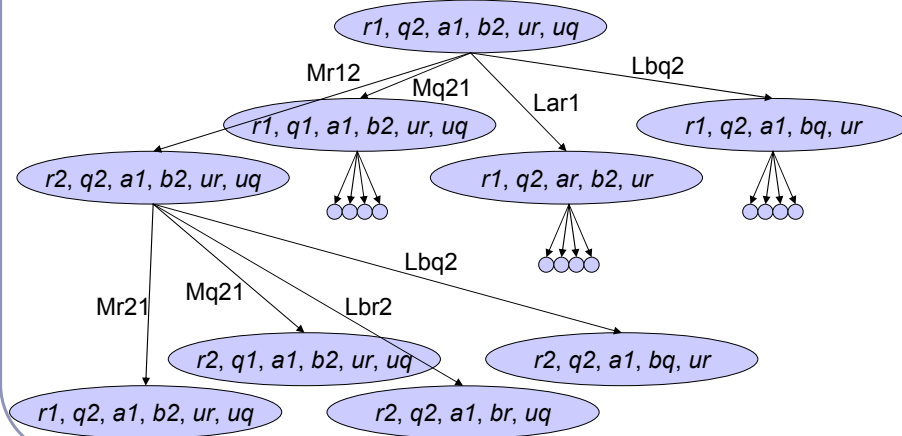
- **Proposition:** A propositional planning problem  $\mathcal{P}=(\Sigma, s_i, g)$  has a solution iff  $S_g \cap \Gamma^>(\{s_i\}) \neq \{\}$ .
- **Proposition:** A propositional planning problem  $\mathcal{P}=(\Sigma, s_i, g)$  has a solution iff  $\exists s \in \Gamma^<(\{g\}) : s \subseteq s_i$ .

## Reachability Tree

- tree structure, where:
  - root is initial state  $s_i$
  - children of node  $s$  are  $\Gamma(\{s\})$
  - arcs are labelled with actions
- all nodes in reachability tree are  $\Gamma^>(\{s_i\})$ 
  - all nodes to depth  $d$  are  $\Gamma^d(\{s_i\})$
  - solves problems with up to  $d$  actions in solution
- problem:  $O(k^d)$  nodes;  
 $k$  = applicable actions per state



## DWR Example: Reachability Tree



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33

## Planning Graph: Nodes

- layered directed graph  $G=(N,E)$ :
  - $N = P_0 \cup A_1 \cup P_1 \cup A_2 \cup P_2 \cup \dots$ 
    - state proposition layers:  $P_0, P_1, \dots$
    - action layers:  $A_1, A_2, \dots$
- first proposition layer  $P_0$ :
  - propositions in initial state  $s_i$ :  $P_0 = s_i$
- action layer  $A_j$ :
  - all actions  $a$  where:  $\text{precond}(a) \subseteq P_{j-1}$
- proposition layer  $P_j$ :
  - all propositions  $p$  where:  $p \in P_{j-1}$  or  $\exists a \in A_j: p \in \text{effects}^+(a)$

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34

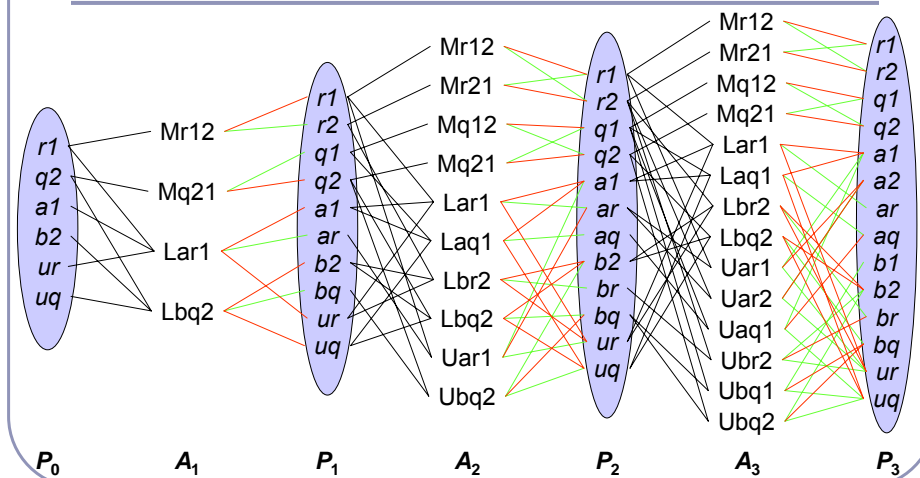
## Planning Graph: Arcs

- from proposition  $p \in P_{j-1}$  to action  $a \in A_j$ :
  - if:  $p \in \text{precond}(a)$
- from action  $a \in A_j$  to layer  $p \in P_j$ :
  - positive arc if:  $p \in \text{effects}^+(a)$
  - negative arc if:  $p \in \text{effects}^-(a)$
- no arcs between other layers

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35

## Planning Graph Example



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36

## Reachability in the Planning Graph

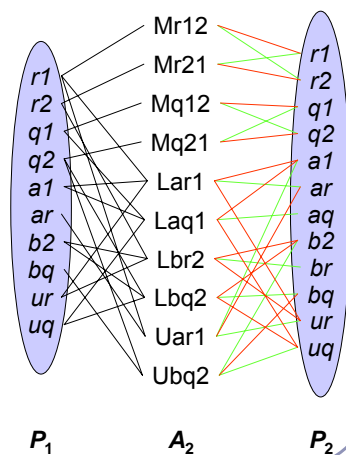
- reachability analysis:
  - if a goal  $g$  is reachable from initial state  $s_i$
  - then there will be a proposition layer  $P_g$  in the planning graph such that  $g \subseteq P_g$
- necessary condition, but not sufficient
- low complexity:
  - planning graph is of polynomial size and
  - can be computed in polynomial time

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37

## Independent Actions: Examples

- Mr12 and Lar1:
  - cannot occur together
  - Mr12 deletes precondition  $r1$  of Lar1
- Mr12 and Mr21:
  - cannot occur together
  - Mr12 deletes positive effect  $r1$  of Mr21
- Mr12 and Mq21:
  - may occur in same action layer



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38

## Independent Actions

- Two actions  $a_1$  and  $a_2$  are independent iff:
  - $\text{effects}^-(a_1) \cap (\text{precond}(a_2) \cup \text{effects}^+(a_2)) = \{\}$   
and
  - $\text{effects}^-(a_2) \cap (\text{precond}(a_1) \cup \text{effects}^+(a_1)) = \{\}$ .
- A set of actions  $\pi$  is independent iff every pair of actions  $a_1, a_2 \in \pi$  is independent.

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39

## Pseudo Code: independent

```
function independent( $a_1, a_2$ )  
  for all  $p \in \text{effects}^-(a_1)$   
    if  $p \in \text{precond}(a_2)$  or  $p \in \text{effects}^+(a_2)$  then  
      return false  
  for all  $p \in \text{effects}^-(a_2)$   
    if  $p \in \text{precond}(a_1)$  or  $p \in \text{effects}^+(a_1)$  then  
      return false  
  return true
```

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40

## Applying Independent Actions

- A set  $\pi$  of independent actions is applicable to a state  $s$  iff  

$$\bigcup_{a \in \pi} \text{precond}(a) \subseteq s.$$
- The result of applying the set  $\pi$  in  $s$  is defined as:  

$$\gamma(s, \pi) = (s - \text{effects}^-(\pi)) \cup \text{effects}^+(\pi), \text{ where:}$$
  - $\text{precond}(\pi) = \bigcup_{a \in \pi} \text{precond}(a),$
  - $\text{effects}^+(\pi) = \bigcup_{a \in \pi} \text{effects}^+(a), \text{ and}$
  - $\text{effects}^-(\pi) = \bigcup_{a \in \pi} \text{effects}^-(a).$

## Execution Order of Independent Actions

- **Proposition:** If a set  $\pi$  of independent actions is applicable in state  $s$  then, for any permutation  $\langle a_1, \dots, a_k \rangle$  of the elements of  $\pi$ :
  - the sequence  $\langle a_1, \dots, a_k \rangle$  is applicable to  $s$ , and
  - the state resulting from the application of  $\pi$  to  $s$  is the same as from the application of  $\langle a_1, \dots, a_k \rangle$ , i.e.:  

$$\gamma(s, \pi) = \gamma(s, \langle a_1, \dots, a_k \rangle).$$

## Layered Plans

- Let  $P = (A, s_i, g)$  be a statement of a propositional planning problem and  $G = (N, E)$ ,  $N = P_0 \cup A_1 \cup P_1 \cup A_2 \cup P_2 \cup \dots$ , the corresponding planning graph.
- A layered plan over  $G$  is a sequence of sets of actions:  $\Pi = \langle \pi_1, \dots, \pi_k \rangle$  where:
  - $\pi_i \subseteq A_i \subseteq A$ ,
  - $\pi_i$  is applicable in state  $P_{i-1}$ , and
  - the actions in  $\pi_i$  are independent.

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43

## Layered Solution Plan

- A layered plan  $\Pi = \langle \pi_1, \dots, \pi_k \rangle$  is a solution to a to a planning problem  $P=(A, s_i, g)$  iff:
  - $\pi_1$  is applicable in  $s_i$ ,
  - for  $j \in \{2 \dots k\}$ ,  $\pi_j$  is applicable in state  $\gamma(\dots \gamma(\gamma(s_i, \pi_1), \pi_2), \dots \pi_{j-1})$ , and
  - $g \subseteq \gamma(\dots \gamma(\gamma(s_i, \pi_1), \pi_2), \dots, \pi_k)$ .

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44

## Execution Order in Layered Solution Plans

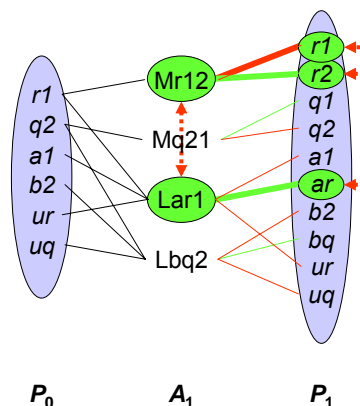
- **Proposition:** If  $\Pi = \langle \pi_1, \dots, \pi_k \rangle$  is a solution to a planning problem  $P = (A, s_i, g)$ , then:
  - a sequence of actions corresponding to any permutation of the elements of  $\pi_1$ ,
  - followed by a sequence of actions corresponding to any permutation of the elements of  $\pi_2$ ,
  - ...
  - followed by a sequence of actions corresponding to any permutation of the elements of  $\pi_k$
 is a path from  $s_i$  to a goal state.

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45

## Problem: Dependent Propositions: Example

- $r2$  and  $ar$ :
  - $r2$ : positive effect of  $Mr12$
  - $ar$ : positive effect of  $Lar1$
  - but:  $Mr12$  and  $Lar1$  not independent
  - hence:  $r2$  and  $ar$  incompatible in  $P_1$
- $r1$  and  $r2$ :
  - positive and negative effects of same action:  $Mr12$
  - hence:  $r1$  and  $r2$  incompatible in  $P_1$

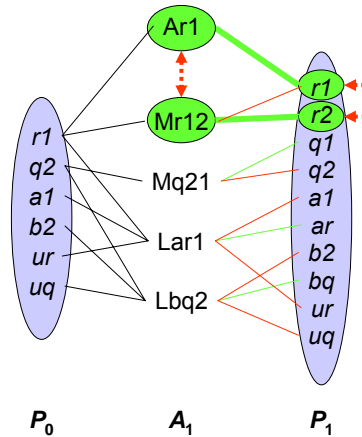


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46

## No-Operation Actions

- No-Op for proposition  $p$ :
  - name:  $A_p$
  - precondition:  $p$
  - effect:  $p$
- $r1$  and  $r2$ :
  - $r1$ : positive effect of  $Ar1$
  - $r2$ : positive effect of  $Mr12$
  - but:  $Ar1$  and  $Mr12$  not independent
  - hence:  $r1$  and  $r2$  incompatible in  $P_1$
- only one incompatibility test



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47

## Mutex Propositions

- Two propositions  $p$  and  $q$  in proposition layer  $P_j$  are mutex (mutually exclusive) if:
  - every action in the preceding action layer  $A_j$  that has  $p$  as a positive effect (incl. no-op actions) is mutex with every action in  $A_j$  that has  $q$  as a positive effect, and
  - there is no single action in  $A_j$  that has both,  $p$  and  $q$ , as positive effects.
- notation:  $\mu P_j = \{ (p, q) \mid p, q \in P_j \text{ are mutex} \}$

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48



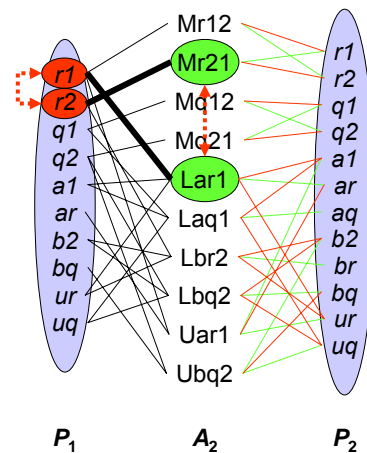
## Pseudo Code: mutex for Propositions

```

function mutex( $p_1, p_2, \mu A_j$ )
  for all  $a_1 \in p_1.\text{producers}()$ 
    for all  $a_2 \in p_2.\text{producers}()$ 
      if  $(a_1, a_2) \notin \mu A_j$  then
        return false
  return true
  
```

## Mutex Actions: Example

- $r1$  and  $r2$  are mutex in  $P_1$
- $r1$  is precondition for Lar1 in  $A_2$
- $r2$  is precondition for Mr21 in  $A_2$
- hence: Lar1 and Mr21 are mutex in  $A_2$



## Mutex Actions

- Two actions  $a_1$  and  $a_2$  in action layer  $A_j$  are mutex if:
  - $a_1$  and  $a_2$  are dependent, or
  - a precondition of  $a_1$  is mutex with a precondition of  $a_2$ .
- notation:  
 $\mu A_j = \{ (a_1, a_2) \mid a_1, a_2 \in A_j \text{ are mutex} \}$

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51

## Pseudo Code: mutex for Actions

```
function mutex( $a_1, a_2, \mu P$ )  
  if  $\neg$ independent( $a_1, a_2$ ) then  
    return true  
  for all  $p_1 \in \text{precond}(a_1)$   
    for all  $p_2 \in \text{precond}(a_2)$   
      if  $(p_1, p_2) \in \mu P$  then return true  
  return false
```

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52

## Decreasing Mutex Relations

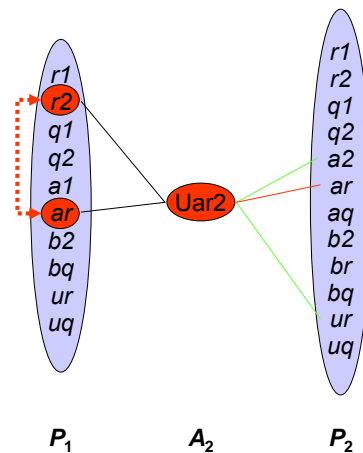
- **Proposition:** If  $p, q \in P_{j-1}$  and  $(p, q) \notin \mu P_{j-1}$  then  $(p, q) \notin \mu P_j$ .
  - Proof:
    - if  $p, q \in P_{j-1}$  then  $Ap, Aq \in A_j$
    - if  $(p, q) \notin \mu P_{j-1}$  then  $(Ap, Aq) \notin \mu A_j$
    - since  $Ap, Aq \in A_j$  and  $(Ap, Aq) \notin \mu A_j$ ,  $(p, q) \notin \mu P_j$  must hold
- **Proposition:** If  $a_1, a_2 \in A_{j-1}$  and  $(a_1, a_2) \notin \mu A_{j-1}$  then  $(a_1, a_2) \notin \mu A_j$ .
  - Proof:
    - if  $a_1, a_2 \in A_{j-1}$  and  $(a_1, a_2) \notin \mu A_{j-1}$  then
      - $a_1$  and  $a_2$  are independent and
      - their preconditions in  $P_{j-1}$  are not mutex
    - both properties remain true for  $P_j$
    - hence:  $a_1, a_2 \in A_j$  and  $(a_1, a_2) \notin \mu A_j$

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53

## Removing Impossible Actions

- actions with mutex preconditions  $p$  and  $q$  are impossible
  - example: preconditions  $r2$  and  $ar$  of  $Uar2$  in  $A_2$  are mutex
- can be removed from the graph
  - example: remove  $Uar2$  from  $A_2$



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54

## Reachability in Planning Graphs

- **Proposition:** Let  $P = (A, s_i, g)$  be a propositional planning problem and  $G = (N, E)$ ,  $N = P_0 \cup A_1 \cup P_1 \cup A_2 \cup P_2 \cup \dots$ , the corresponding planning graph. If
  - $g$  is reachable from  $s_i$then
  - there is a proposition layer  $P_g$  such that
    - $g \subseteq P_g$  and
    - $\neg \exists g_1, g_2 \in g: (g_1, g_2) \in \mu P_g$ .

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55

## Overview

- The Propositional Representation
- The Planning-Graph Structure
- ➔ The Graphplan Algorithm

The Graphplan Planner

56

## The Graphplan Algorithm: Basic Idea

- expand the planning graph, one action layer and one proposition layer at a time
- from the first graph for which  $P_g$  is the last proposition layer such that
  - $g \subseteq P_g$  and
  - $\neg \exists g_1, g_2 \in g: (g_1, g_2) \in \mu P_g$
- search backwards from the last (proposition) layer for a solution

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57

## Planning Graph Data Structure

- $k$ -th planning graph  $G_k$ :
  - nodes  $N$ :
    - array of proposition layers  $P_0 \dots P_k$ 
      - proposition layer  $j$ : set of proposition symbols
    - array of action layers  $A_1 \dots A_k$ 
      - proposition layer  $j$ : set of action symbols
  - edges  $E$ :
    - precondition links:  $pre_j \subseteq P_{j-1} \times A_j, j \in \{1 \dots k\}$
    - positive effect links:  $e_j^+ \subseteq A_j \times P_j, j \in \{1 \dots k\}$
    - negative effect links:  $e_j^- \subseteq A_j \times P_j, j \in \{1 \dots k\}$
    - proposition mutex links:  $\mu A_j \subseteq A_j \times A_j, j \in \{1 \dots k\}$
    - action mutex links:  $\mu P_j \subseteq P_j \times P_j, j \in \{1 \dots k\}$

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58

## Pseudo Code: expand

```

function expand( $G_{k-1}$ )
   $A_k \leftarrow \{a \in A \mid \text{precond}(a) \subseteq P_{k-1} \text{ and } \{(p_1, p_2) \mid p_1, p_2 \in \text{precond}(a)\} \cap \mu P_{k-1} = \{\}\}$ 
   $\mu A_k \leftarrow \{(a_1, a_2) \mid a_1, a_2 \in A_k, a_1 \neq a_2, \text{ and } \text{mutex}(a_1, a_2, \mu P_{k-1})\}$ 
   $P_k \leftarrow \{p \mid \exists a \in A_k : p \in \text{effects}^+(a)\}$ 
   $\mu P_k \leftarrow \{(p_1, p_2) \mid p_1, p_2 \in P_k, p_1 \neq p_2, \text{ and } \text{mutex}(p_1, p_2, \mu A_k)\}$ 
  for all  $a \in A_k$ 
     $\text{pre}_k \leftarrow \text{pre}_k \cup (\{p \mid p \in P_{k-1} \text{ and } p \in \text{precond}(a)\} \times a)$ 
     $e_k^+ \leftarrow e_k^+ \cup (a \times \{p \mid p \in P_k \text{ and } p \in \text{effects}^+(a)\})$ 
     $e_k^- \leftarrow e_k^- \cup (a \times \{p \mid p \in P_k \text{ and } p \in \text{effects}^-(a)\})$ 

```

## Planning Graph Complexity

- **Proposition:** The size of a planning graph up to level  $k$  and the time required to expand it to that level are polynomial in the size of the planning problem.
- **Proof:**
  - problem size:  $n$  propositions and  $m$  actions
  - $|P_j| \leq n$  and  $|A_j| \leq n+m$  (incl. no-op actions)
  - algorithms for generating each layer and all link types are polynomial in size of layer

## Fixed-Point Levels

- A fixed-point level in a planning graph  $G$  is a level  $\kappa$  such that for all  $i, i > \kappa$ , level  $i$  of  $G$  is identical to level  $\kappa$ , i.e.  $P_i = P_\kappa$ ,  $\mu P_i = \mu P_\kappa$ ,  $A_i = A_\kappa$ , and  $\mu A_i = \mu A_\kappa$ .
- **Proposition:** Every planning graph  $G$  has a fixed-point level  $\kappa$ , which is the smallest  $k$  such that  $|P_k| = |P_{k+1}|$  and  $|\mu P_k| = |\mu P_{k+1}|$ .
- **Proof:**
  - $P_i$  grows monotonically and  $\mu P_i$  shrinks monotonically
  - $A_i$  and  $P_i$  only depend on  $P_{i-1}$  and  $\mu P_{i-1}$

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61

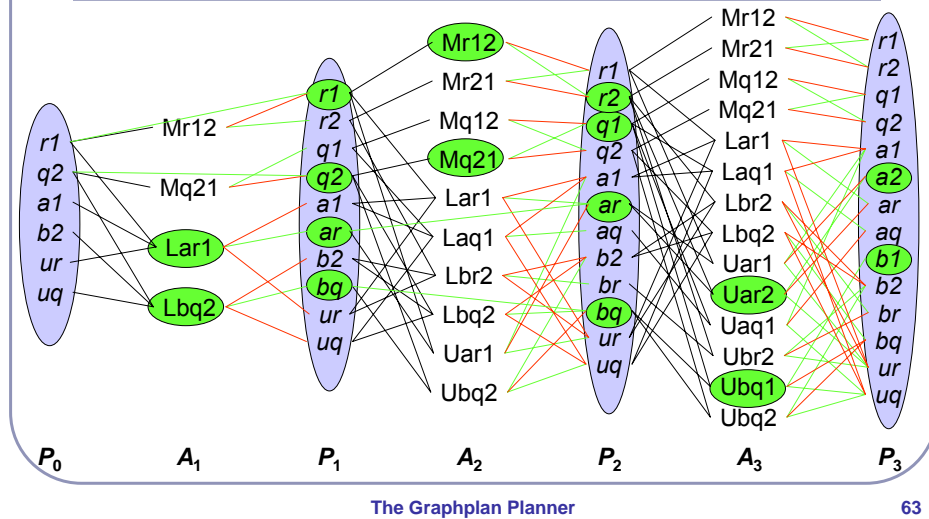
## Searching the Planning Graph

- **general idea:**
  - search backwards from the last proposition layer  $P_k$  in the current graph
  - let  $g$  be the set of goal propositions that need to be achieved at a given proposition layer  $P_j$  (initially the last layer)
  - find a set of actions  $\pi_j \subseteq A_j$  such that these actions are not mutex and together achieve  $g$
  - take the union of the preconditions of  $\pi_j$  as the new goal set to be achieved in proposition layer  $P_{j-1}$

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62

## Planning Graph Search Example



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63

## Planning Graph as AND/OR-Graph

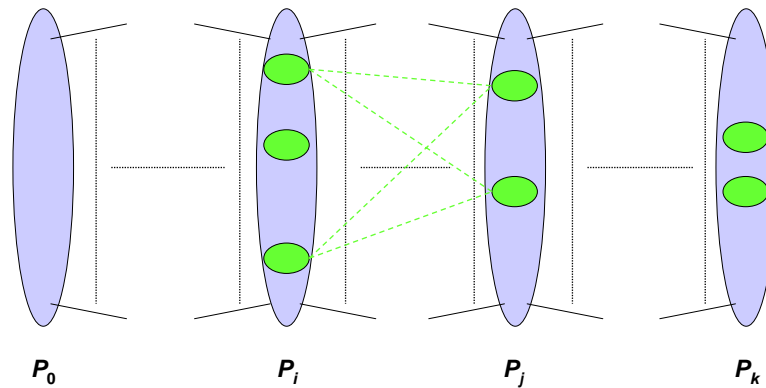
- OR-nodes:
  - nodes in proposition layers
  - links to actions that support the propositions
- AND-nodes:
  - nodes in action layers
  - $k$ -connectors all preconditions of the action
- search:
  - AO\* not best algorithm because it does not exploit layered structure

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64



## Repeated Sub-Goals



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65

## The *nogood* Table

- *nogood* table (denoted  $\nabla$ ) for planning graph up to layer  $k$ :
  - array of  $k$  sets of sets of goal propositions
    - inner set: one combination of propositions that cannot be achieved
    - outer set: all combinations that cannot be achieved (at that layer)
- before searching for set  $g$  in  $P_j$ :
  - check whether  $g \in \nabla(j)$
- when search for set  $g$  in  $P_j$  has failed:
  - add  $g$  to  $\nabla(j)$

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66

## Pseudo Code: extract

```
function extract( $G, g, i$ )  
  if  $i=0$  then return  $\langle \rangle$   
  if  $g \in \nabla(i)$  then return failure  
   $\Pi \leftarrow \text{gpSearch}(G, g, \{\}, i)$   
  if  $\Pi \neq \text{failure}$  then return  $\Pi$   
   $\nabla(i) \leftarrow \nabla(i) + g$   
  return failure
```

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67

## Pseudo Code: gpSearch

```
function gpSearch( $G, g, \pi, i$ )  
  if  $g = \{\}$  then  
     $\Pi \leftarrow \text{extract}(G, \bigcup_{a \in \pi} \text{precond}(a), i-1)$   
    if  $\Pi = \text{failure}$  then return failure  
    return  $\Pi \bullet \langle \pi \rangle$   
   $p \leftarrow g.\text{selectOne}()$   
   $\text{resolvers} \leftarrow \{a \in A_i \mid p \in \text{effects}^+(a) \text{ and } \neg \exists a' \in \pi: (a, a') \in \mu A_i\}$   
  if  $\text{resolvers} = \{\}$  then return failure  
   $a \leftarrow \text{resolvers}.\text{chooseOne}()$   
  return gpSearch( $G, g - \text{effects}^+(a), \pi + a, i$ )
```

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68

## Pseudo Code: graphplan

```

function graphplan( $A, s_i, g$ )
   $i \leftarrow 0; \nabla \leftarrow []; P_0 \leftarrow s_i; G \leftarrow (P_0, \{\})$ 
  while ( $g \notin P_i$  or  $g^2 \cap \mu P_i \neq \{\}$ ) and  $\neg \text{fixedPoint}(G)$  do
     $i \leftarrow i+1; \text{expand}(G)$ 
    if  $g \notin P_i$  or  $g^2 \cap \mu P_i \neq \{\}$  then return failure
     $\eta \leftarrow \text{fixedPoint}(G) ? |\nabla(\kappa)| : 0$ 
     $\Pi \leftarrow \text{extract}(G, g, i)$ 
    while  $\Pi = \text{failure}$  do
       $i \leftarrow i+1; \text{expand}(G)$ 
       $\Pi \leftarrow \text{extract}(G, g, i)$ 
      if  $\Pi = \text{failure}$  and  $\text{fixedPoint}(G)$  then
        if  $\eta \neq |\nabla(\kappa)|$  then return failure
         $\eta \leftarrow |\nabla(\kappa)|$ 
    return  $\Pi$ 

```

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69

## Graphplan Properties

- **Proposition:** The Graphplan algorithm is sound, complete, and always terminates.
  - It returns failure iff the given planning problem has no solution;
  - otherwise, it returns a layered plan  $\Pi$  that is a solution to the given planning problem.
- Graphplan is orders of magnitude faster than previous techniques!

The Graphplan Planner

70

## Overview

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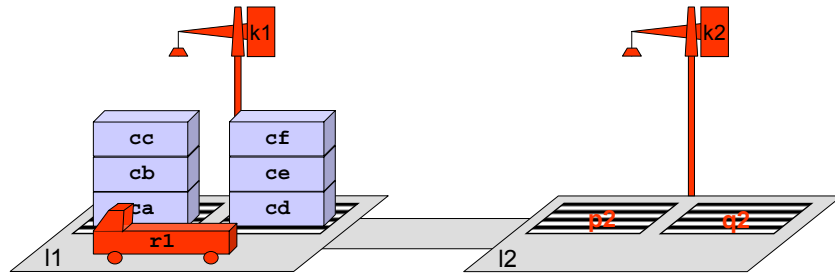
- The Propositional Representation
- The Planning-Graph Structure
- The Graphplan Algorithm
- Planning-Graph Heuristics

## Forward State-Space Search

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- idea: apply standard search algorithms (breadth-first, depth-first, A\*, etc.) to planning problem:
  - search space is subset of state space
  - nodes correspond to world states
  - arcs correspond to state transitions
  - path in the search space corresponds to plan

## DWR Example State



goal: (and  
(in ca p2) (in cb q2) (in cc p2) (in cd q2) (in ce q2) (in cf q2))

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73

## Heuristics

- estimate distance to nearest goal state
  - number of unachieved goals (not admissible)
  - number of unachieved goals / max. number of positive effects per operator (admissible)
- example state (prev. slide):
  - actual goal distance: 35 actions
  - $h(s) = 6$
  - $h(s) = 6 / 4$

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74

## Finding Better Heuristics

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- solve “relaxed” problem and use solution as heuristic
- planning heuristic:
  - planning problem:  $P=(O,s_i,g)$
  - for  $p \in g$ :  $\text{min-layer}(p)$  = index of first proposition layer in planning graph that contains  $p$
  - admissible heuristic:  $\max(p \in g): \text{min-layer}(p)$
  - not admissible:  $\sum(p \in g): \text{min-layer}(p)$
- no need to compute mutex relations
- no need to re-compute planning graph for ground backward search

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75

## The FF Planner (Basics)

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- heuristic
  - based on planning graph without negative effects
  - backward search possible in polynomial time
- search strategy
  - enforced hill-climbing: commit to first state with better f-value

The Graphplan Planner

76

## Overview

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