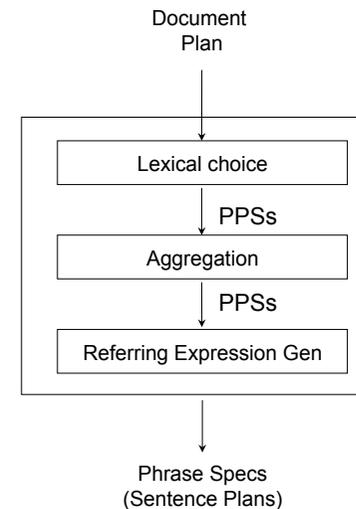


# Sentence Planning 3: Referring Expression Generation (REG aka GRE)

Lecture 11  
March 15, 2013

*Reading: Chapter 5, Reiter and Dale  
R. Dale and E. Reiter (1995)*

## Pipelined Microplanning



**Lexical choice:** selects words and syntactic structures to express messages. Result is proto-phrase-spec. PPSs may contain refs to domain entities

**Aggregation:** combines multiple PPSs into single PPS

**REG:** takes each PPS and replaces references to domain entities with a phrase spec corresponding to a noun phrase that will uniquely identify that entity to the reader

## The GRE task

- Symbolic names of knowledge base entities in PPSs must be replaced by semantic content for referring expressions **that are sufficient to identify intended referent(s) to the reader/hearer**

Two issues:

- Initial introduction of an object
- Subsequent references to an already salient object

## Definite and Indefinite Noun Phrases

### Definite NPs:

- Noun phrases marked by definite determiners
  - The train is about to leave.*
  - Those trains will leave before ours.*
- Proper names
  - The Caledonian Express leaves for Aberdeen from track 11*
- Pronouns
  - It's about to leave*
  - You'll miss it if you don't hurry*

### Indefinite NPs:

- Marked by indefinite determiners
  - A train is about to leave.*
  - Some trains already arrived.*

## Initial Reference

Introducing an object into the discourse:

- Often use Indefinite NP
  - *A woman came into the room*
- Can also use Definite NP
  - Full Proper Name
    - *Barack Obama gave a speech on Friday*
  - Relate to an object that is already salient
    - *The lecturer for NLG sent email about the assignment*
  - Introduce physical objects by their location
    - *The train on track 12 is just about to leave*
  - When entity is likely to be known or inferable by hearer
    - *Where is the train station?*

## Subsequent Reference

- Refer to an entity already introduced into the discourse
- Interpretation dependent on preceding material in the discourse, i.e., **anaphoric reference**
- To interpret reference, must identify the **antecedent**
- Typically use Definite NPs
  - Pronouns
    - *The Prime Minister was accused of bullying. He denied the charges.*
  - Definite NPs
    - *The leader was shocked by the allegations.*
  - Proper names, possibly abbreviated
    - *Mr. Brown said he would never do such a thing.*

## Generation of Referring Expressions

- Is a microcosm of NLG
  - Content Selection: which properties to express to uniquely describe the intended referent
  - Syntactic Realization: which syntactic configuration to use
  - Lexical Choice: which words to choose
- Today we're going to focus on a sub-problem in GRE
  - Content Selection: choosing the properties of the entities to be included in the referring expression
  - Definite descriptions only

## Definite NP Referring Expressions

- Definite NPs that identify a (salient) entity
  - E.g., identify a particular animal from a visible group of animals
    - *The poodle*
    - *The black cat*
- Content decisions
  - Attributes: *the cat, the black cat, the large cat, or the large black cat?*
  - Specificity: *the dog or the poodle?*

## Overview of Lecture

- Gricean conversational implicature
- Applying Grice to GRE
  - 3 algorithms
- A better algorithm?
  - Incremental algorithm
- Some recent work on GRE
- GRE as a shared task for NLG

## Grice's Cooperative Principle

- Describes how people normally behave in conversation.
  - “Make your contribution such as it is required, at the stage at which it occurs, by the accepted purpose or direction of the talk exchange in which you are engaged.”*
- Speakers (generally) observe the cooperative principle, and listeners (generally) assume that speakers are observing it.
- Allows implicatures, meanings that are not explicitly stated, but which can be inferred, e.g.,
  - A: Mark looks exhausted.*
  - B: He's got a new baby.*

## Gricean Maxims

Pragmatic directives for speakers:

- Maxim of **Quality**: Truth
  - Do not say what you believe to be false.
  - Do not say that for which you lack adequate evidence.
- Maxim of **Quantity**: Information
  - Make your contribution as informative as is required for the current purposes of the exchange.
  - Do not make your contribution more informative than is required.
- Maxim of Relation: **Relevance**
  - Be relevant.
- Maxim of **Manner**: Clarity
  - Avoid obscurity of expression.
  - Avoid ambiguity.
  - Be brief.
  - Be orderly.

## Conversational Implicature

Violation of the maxims leads hearer to make inferences about why the violation occurred.

*Miss X produced a series of sounds which corresponded closely with the score of “Home Sweet Home.”*

implies (to hearer) that speaker could not say

*Miss X sang “Home Sweet Home”*

*(Grice, 1975)*

## Referring expression example

- In a room full of MacBooks and a single PC, if a speaker says
  - *Use the PC with the DVD drive.*
- The hearer will infer that the speaker could not just say
  - *Use the PC.*
- Implicates to hearer that there is at least one PC (in the room) that does not have a DVD drive
  - *false implicature* if there is no PC that does not have a DVD drive (in the room)
- Saying too much can be dangerous!

## Amount of content

- Saying too much can lead to false implicatures
  - *The PC with a DVD drive.*
- Saying too little leads to ambiguity
  - *The MacBook* when there are 10 salient MacBooks
- How much should we say?
  - Applies to many NLG tasks, not just generation of referring expressions

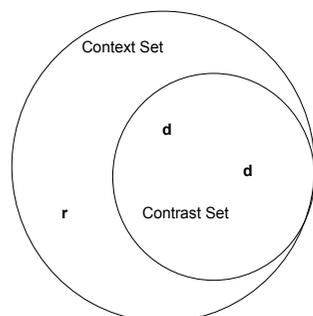
## Dale & Reiter Reference Task

- Only examined referring expressions that are
  - definite NPs, e.g., *the black dog*
  - references to physical objects
  - references to “salient” objects
  - intended to distinguish the target object from the set of salient objects
- Simple task enabled in depth analysis of algorithms, impact of Gricean maxims

## Distinguishing Descriptions

- A referring expression successfully identifies the target if it is a **distinguishing description**.
- A distinguishing description matches the target, but none of the **distractors** (other salient objects)
- Represented semantically by object type (head noun) and properties (modifiers)

## Identify an entity to the hearer



r = intended referent  
d = potential distractor  
C = Contrast Set (distractors)  
L = properties in generated description

Object1: <type,dog> <size,small> <colour,black>  
Object2: <type,dog> <size,large> <colour,white>  
Object3: <type,cat> <size,small> <colour,black>

r = Object1  
C = {Object2, Object3}  
L = {<type,dog>, <colour,black>}

### Distinguishing descriptions

*the black dog*  
*the small dog*  
*the small black dog*

### Non-distinguishing descriptions

*the dog*  
*the small animal*

## Interpreting the Maxims

- **Quality:** referring expression must be accurate description of target (always true for distinguishing description)
- **Quantity:** no “extra” attributes beyond what’s needed to distinguish the target from other salient entities
- **Relevance:** all attributes must rule out at least one distractor
- **Manner:** use the shortest possible NP  
(*Manner subsumes Quantity and Relevance.*)

## Full Brevity Algorithm

To obey maxims and avoid false implicatures, never use more than the minimal number of properties required for uniquely identifying the intended referent (*Dale 1989*)

### An algorithm:

1. Check whether 1 property is enough
2. Check whether 2 properties are enough

....

Etc., until

*success* {minimal description is generated} or

*failure* {no description is possible}

## Computational Analysis: Full Brevity Algorithm

- Finding shortest distinguishing description requires exhaustive search
- Worst-case, this algorithm would have to inspect all combinations of properties  
 $n$  properties  $\Rightarrow 2^n$  combinations
- Equivalent to finding a minimal set cover (where length is number of properties)
- NP-Hard task, therefore computationally intractable!
- Obeying (this interpretation of) the maxims can be very expensive, even for this simple reference task

## Solutions

- Ignore complexity issues, hoping this won't be a problem in real applications
- Approximate "shortest possible"
- Look at what people do

## Approximation 1: Greedy Heuristic

- Uses a well-known approximation algorithm for minimal set-cover to produce referring expressions
- Chooses property with smallest set of values first

**Intended Referent:** Object1 (note: all objects are of type cup)

Object1: <size,large>, <colour,red>,<material,plastic>

Object2: <size,small>, <colour,red>,<material,plastic>

Object3: <size,small >, <colour,red>,<material,paper>

Object4: <size,medium>, <colour,red>,<material,paper >

Object5: <size,large>, < colour,green >,<material,paper >

Object6: <size,large>, < colour,blue >,<material,paper >

Object7: <size,large>, <colour,blue>,<material,plastic>

- Would first select *plastic*, then *large* or *red*, and then the other of *red* or *large*, whichever was not picked second
- In this case would select: *the large red plastic cup* when the true minimal description is: *the large red cup*

## Approximation 2: Local Brevity

- A declarative approximation to a straightforward interpretation

*"it must not be possible to replace 2 or more existing attributes by a single new attribute."*
- Requires an initial distinguishing description (perhaps using the Greedy Heuristic), followed by iterative improvement steps
- Preference Rules:
  - No Unnecessary components:  
*the small black dog vs the black dog*
  - Local Brevity: *the sleeping female dog vs. the small dog*
  - Lexical Preference: basic-level words should be used  
*chihuahua vs. dog*

(Reiter, 1990)

## Observations from Psycholinguistics

- Humans often include unnecessary modifiers in the referring expressions they generate

(Levelt, 1989, pp. 129-143)
- Humans can begin uttering a referring expression before they have finished scanning the set of distractors (incremental generation)
  - From eye-tracking studies (Pechmann, 1989)

## Typical Psycholinguistic Study

- Show a person a picture containing
  - (A) a white bird
  - (B) a black cup
  - (C) a white cup
- Ask person to identify (A)
- Result is often:
  - *the white bird*,
  - not** minimal
  - *the bird*

## What Do People Do?

- D&R guess that people use a simple incremental algorithm
- Start with a null description, and then scan through the set of distractors, adding (speaking) attributes as necessary to rule out distractors
  - Attributes have a preference order
- Attributes that become unnecessary are not removed (unsaid)

## Example

- Set of objects:
  - {white( $b_1$ ), bird( $b_1$ ),
  - black( $b_2$ ), cup( $b_2$ ),
  - white( $b_3$ ), cup( $b_3$ )}
- Target:  $b_1$
- Processing
  - Add “white” to rule out  $b_2$
  - Add “bird” to rule out  $b_3$
- Result is “white bird”
  - “White” is now unnecessary, but is kept

## Incremental algorithm

- Basic algorithm:
  - order properties to be used in distinguishing descriptions (preference order):  
$$\mathbf{P} = p_1 < p_2 < \dots < p_n$$
  - iterate through ordered list of properties  $\mathbf{P}$
  - add attribute to description being constructed, if it rules out any distractors that haven't been ruled out yet,
  - terminate when a distinguishing description has been constructed (or no more properties)
- Violates brevity and quantity maxims, but is **very** fast.
- If people can “get away” with it, why shouldn't NLG systems?

(Dale and Reiter, 1995)

## Evaluation of the Four Algorithms

Interpretation	Theoretical complexity	Typical Run-time	Mimics human behaviour?
Full Brevity	NP-Hard	$\approx n_a^{n_i}$	no
Greedy Heuristic	polynomial	$\approx n_a n_d n_i$	yes
Local Brevity	polynomial	$\approx n_a n_d n_i$	no
Incremental Algorithm	polynomial	$\approx n_d n_i$	yes

$n_a$ : the number of properties known to be true of the intended referent

$n_d$ : the number of distractors in the current context

$n_i$ : the number of attributes in the final referring expression

## Recap

- D&R tried to formalize the Gricean maxims for a simple reference task.
- The formalization was computationally intractable, so they approximated (weakened) it to make it tractable
- The resulting algorithms were still more complex/expensive than what people do
- D&R now recommend a simple/fast algorithm that does not adhere to all of the maxims
- Can we safely ignore the maxims?
  - Hypothesis: goal-oriented and sub-language conformant NLG systems will not create false implicatures

## On-going debate

- Grice's theory assumes speakers spend lots of effort optimizing what they say for the hearer's benefit, called **audience design**
  - Many theories and psycholinguistic studies support the idea of audience design
- But other psycholinguistic studies show speakers make non-optimal choices for readers/listeners
  - Speakers are "lazy", they do what's easiest for them
  - Time pressure affects production of descriptions (*Horton & Keysar, 1996*)

## Subsequent Work on GRE: van Deemter

- Extensions of the incremental algorithm
  - Overlapping attribute values
    - *bought by Philips* does not rule out *bought by Sony*
  - Reference to sets
    - *the black dogs*
  - Negations, conjunctions, disjunctions
    - *The black dog that is not a poodle*

K van Deemter (2002), Generating Referring Expressions: Boolean Extensions of the Incremental Algorithm. *Computational Linguistics* 28, pages 37-52

## Krahmer et al

- Graph-based model
  - Vertices are objects
  - Edges are attributes or relations
  - Goal: find subgraph that is isomorphic to target but not to any distractor
  - Allows referring expressions to include relations
    - *The black dog next to an oak tree*

E Krahmer *et al* (2003), Graph-Based Generation of Referring Expressions. *Computational Linguistics* 29.

## GRE: A Shared Task for NLG

- First NLG Challenge on Attribute Selection for Generating Referring Expressions (ASGRE), was held in Copenhagen in September 2007 in conjunction with the UCNLG+MT Workshop
- Referring Expression Generation Challenge 2008  
<http://www.itri.brighton.ac.uk/research/reg108>
- TUNA Challenge 2009  
<http://www.itri.brighton.ac.uk/research/genchal09/tuna/>
- *Current NLG Challenges*  
<http://www.itri.brighton.ac.uk/research/genchal12/>

## Conclusion

- Def NP reference is good “laboratory”
  - Relatively simple task
  - Can explore deep issues about pragmatics, semantics, communication ...
    - Hopefully these findings generalise
- Also important for high-quality text
  - Hard to do in template-based system
  - Advantage of real NLG

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