Hakan Bilen

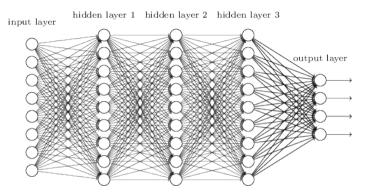
#### Machine Learning Practical — MLP Lecture 7 30 October / 6 November 2018

MLP Lecture 7 / 30 October / 6 November 2018 Convolutional Networks

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# Recap: Fully-connected network for MNIST



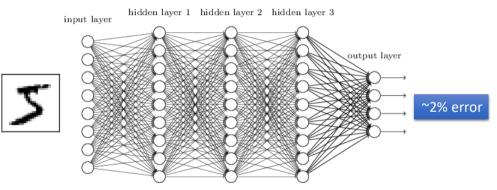
(image from: Michael Nielsen, Neural Networks and Deep Learning, http://neuralnetworksanddeeplearning.com/chap6.html)

Slide credits: S Renals' MLP 2017-18

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## Recap: Fully-connected network for MNIST

On MNIST, we can get about 2% error (or even better) using these kind of networks



(image from: Michael Nielsen, Neural Networks and Deep Learning, http://neuralnetworksanddeeplearning.com/chap6.html)

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### How about more complex image recognition tasks?



- Large variations in position, appearance, shape and size within same object category
- Small variations in appearance between different object categories
- Background clutter and occlusions
- Typical input image size is 227×227

### Fully-connected network in high dimension

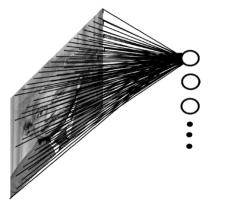


image credit: Lecun & Ranzato

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### Fully-connected network in high dimension

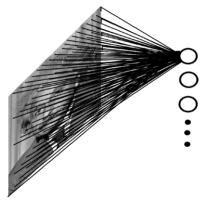


image credit: Lecun & Ranzato

For a 200 $\times 200$  image and 1000 hidden units

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- # input units is 40,000
- # hidden units is 1000
- # connections is 40,000,000
- # parameters is 40,000,000

## Fully-connected network in high dimension

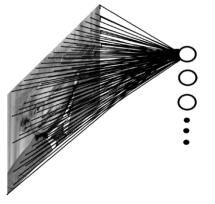


image credit: Lecun & Ranzato

For a 200 $\times 200$  image and 1000 hidden units

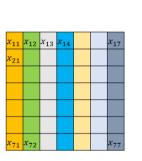
- # input units is 40,000
- # hidden units is 1000
- # connections is 40,000,000
- # parameters is 40,000,000

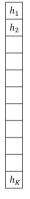
Observations:

- Too many parameters to learn!
- Spatial (2-D) structure of input image is ignored
- Neighbour pixels are treated separately

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### A closer look at fully connected nets





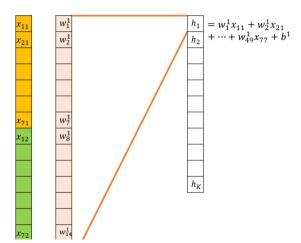
#### Assume that we have

- $7 \times 7$  image X
- K hidden units

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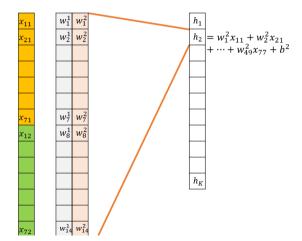
### A closer look at fully connected nets



- Unroll the input  $(7 \times 7)$  into 49-D
- Affine parameters  $W \in \mathcal{R}^{49 imes K}$  and  $b \in \mathcal{R}^{K}$

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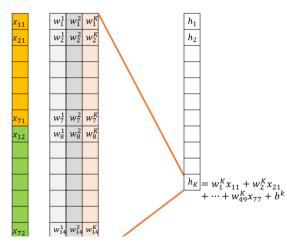
### A closer look at fully connected nets



- Unroll the input  $(7 \times 7)$  into 49-D
- Affine parameters  $W \in \mathcal{R}^{49 imes K}$  and  $b \in \mathcal{R}^{K}$

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- Connections are dense
- Hidden unit h<sub>k</sub> is connected to all input units x<sub>ij</sub> through w<sup>k</sup><sub>ij</sub>
- It does not know that x<sub>11</sub> is adjacent to x<sub>12</sub>

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<i>x</i> <sub>11</sub>	<i>x</i> <sub>12</sub>	<i>x</i> <sub>13</sub>		<i>x</i> <sub>17</sub>
<i>x</i> <sub>21</sub>				
<i>x</i> <sub>71</sub>	x <sub>72</sub>			x <sub>77</sub>

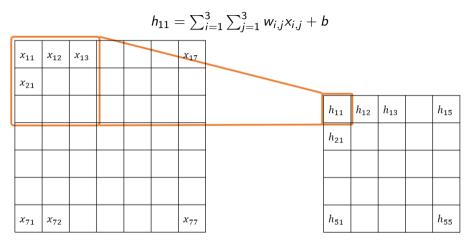
<i>w</i> <sub>11</sub>	<i>w</i> <sub>12</sub>	w <sub>13</sub>
w <sub>21</sub>	w <sub>22</sub>	w <sub>23</sub>
w <sub>31</sub>	w <sub>32</sub>	w <sub>33</sub>

Convolution kernel W

h <sub>11</sub>	$h_{12}$	$h_{13}$	$h_{15}$
$h_{21}$			
$h_{51}$			$h_{55}$

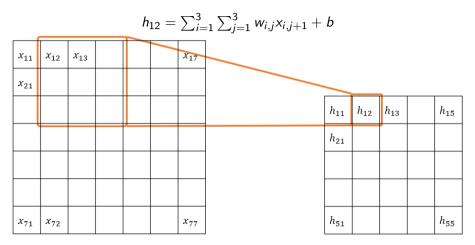
Feature map H





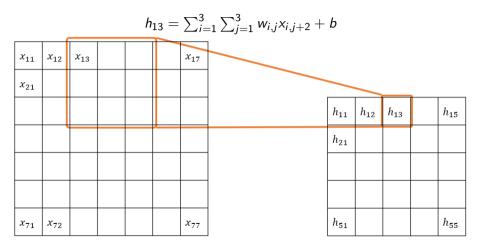


Feature map H



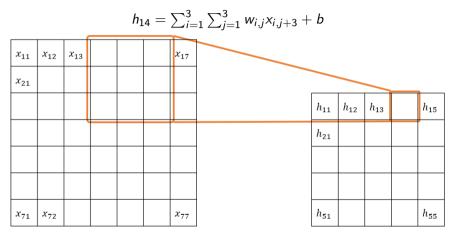
Input X

Feature map H



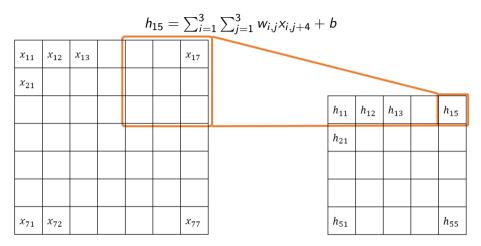


Feature map H



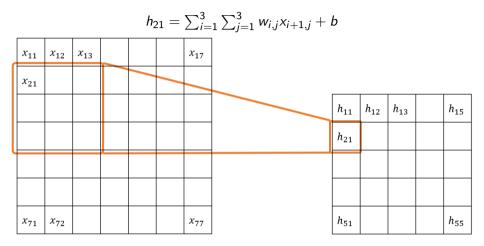


Feature map H



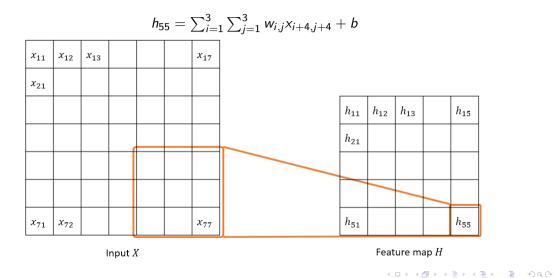


Feature map H





Feature map H



#### Number of ...

- parameters is  $3 \times 3 + 1$  (9 for kernel + 1 for bias)
- $\bullet$  hidden units is  $5\times 5$
- $\bullet$  connections is 5  $\times$  5  $\times$  3  $\times$  3

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#### Number of ...

- parameters is  $3 \times 3 + 1$  (9 for kernel + 1 for bias)
- $\bullet\,$  hidden units is 5  $\times$  5
- $\bullet$  connections is  $5\times5\times3\times3$

#### Properties

- Weights (conv kernel) are shared across all hidden units
- Spatial correspondence between pixels and hidden units ("2D matrix of hidden units" = "feature map")
- Translation invariance: extract same features irrespective of where an image patch is located in the input

Image: A math a math

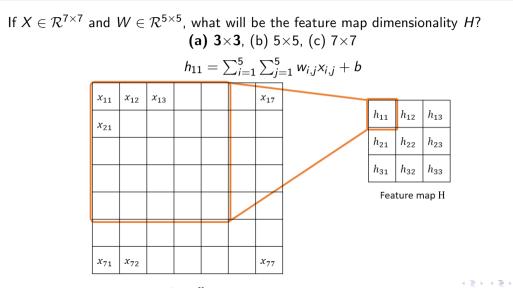
If  $X \in \mathcal{R}^{7 \times 7}$  and  $W \in \mathcal{R}^{3 \times 3}$ , the feature map dimensionality  $H \in \mathcal{R}^{5 \times 5}$ .

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#### If $X \in \mathcal{R}^{7 \times 7}$ and $W \in \mathcal{R}^{5 \times 5}$ , what will be the feature map dimensionality *H*? (a) 3×3, (b) 5×5, (c) 7×7

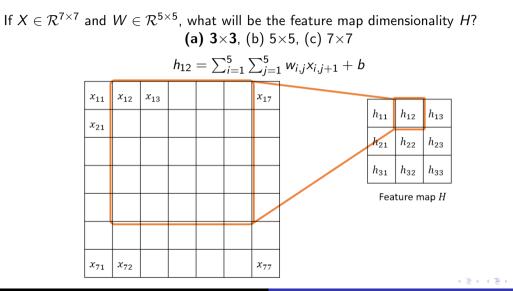
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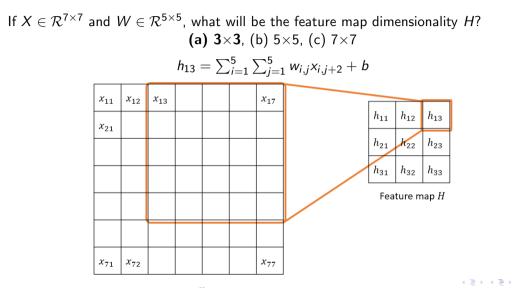
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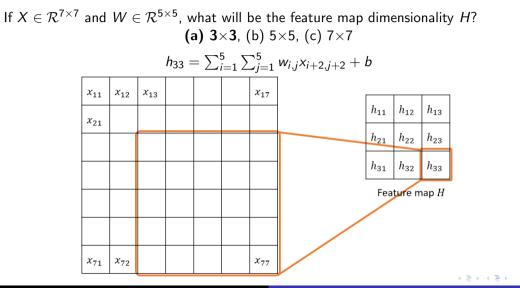
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#### Q1. If $X \in \mathcal{R}^{M \times N}$ and $W \in \mathcal{R}^{F \times F}$ , what will the output dimensionality be?

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# Q1. If $X \in \mathcal{R}^{M \times N}$ and $W \in \mathcal{R}^{F \times F}$ , what will the output dimensionality be? A. $(M - F + 1) \times (N - F + 1)$

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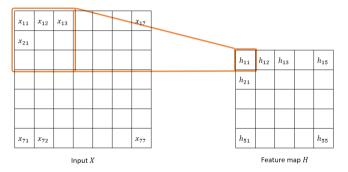
# Q1. If $X \in \mathcal{R}^{M \times N}$ and $W \in \mathcal{R}^{F \times F}$ , what will the output dimensionality be? A. $(M - F + 1) \times (N - F + 1)$

Q2. Feature map formula?

A. 
$$h_{ij} = \sum_{k=1}^{F} \sum_{l=1}^{F} w_{k,l} x_{k+i-1,l+j-1} + b$$

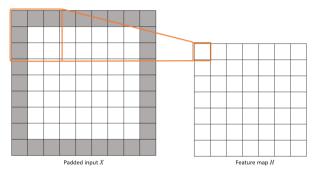
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#### Q. What can we do to get $7\times7$ feature map size?



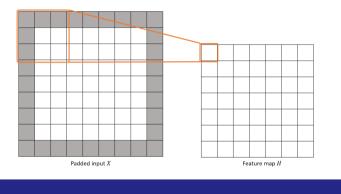


Q. What can we do to get  $7 \times 7$  feature map size?





Q. What can we do to get  $7 \times 7$  feature map size?



#### Take-home

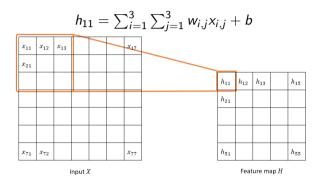
What is feature map size when  $X \in \mathcal{R}^{M \times N}$ ,  $W \in \mathcal{R}^{F \times F}$  and padding P?

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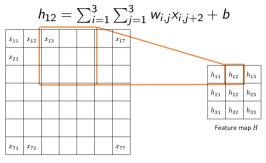
Stride

Q. What if stride (s) is 2?



Stride

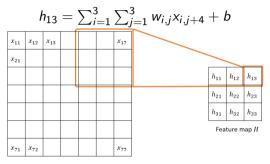
Q. What if stride (s) is 2?



Input X

Stride

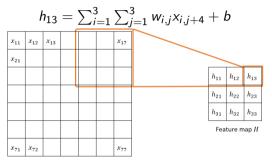
Q. What if stride (s) is 2?



Input X

Stride

Q. What if stride (s) is 2?



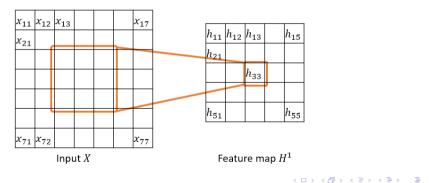
Input X

#### Take-home

What is feature map size when  $X \in \mathcal{R}^{M \times N}$ ,  $W \in \mathcal{R}^{F \times F}$ , padding P and stride S?

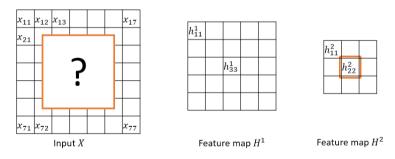
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- Biology: The **receptive field** of an individual sensory neuron is the particular region of the sensory space,
- Convolutional networks: The region in the input space that a hidden unit is looking at.



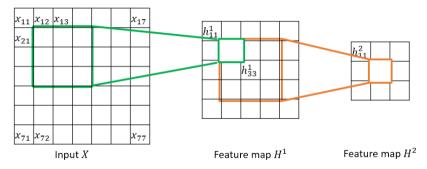
#### Receptive field

Q. Assume that  $X \in \mathcal{R}^{7 \times 7}$ ,  $W^1 \in \mathcal{R}^{3 \times 3}$ ,  $W^2 \in \mathcal{R}^{3 \times 3}$ . Receptive field of a hidden unit in second convolutional layer? 3. 5, 6, 7?

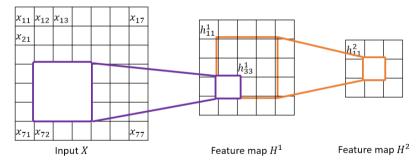


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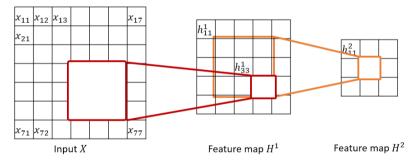
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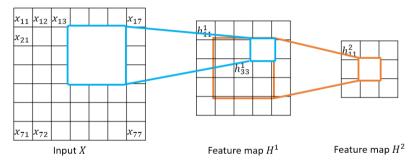


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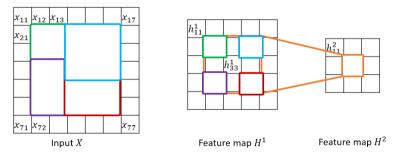


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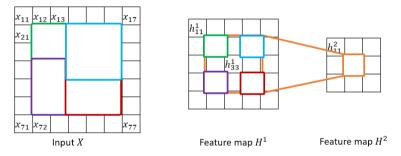




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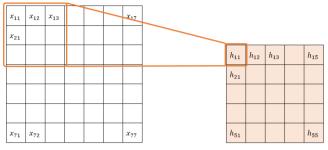
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Q. What would be the receptive field for a hidden unit in an one-layer fully-connected network?

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### Multiple output feature maps

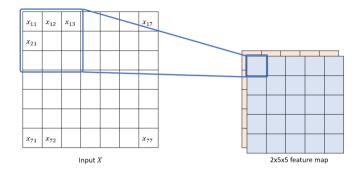


Input X

5x5 feature map

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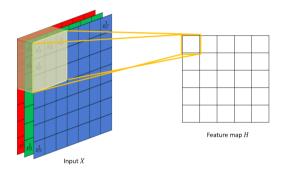
### Multiple output feature maps



- # feature maps is  $F_{out} = 2$
- # hidden units is  $\textit{F}_{\text{out}} \times (5 \times 5)$
- # of parameters is  $F_{\text{out}} imes (3 imes 3 + 1)$
- # of connections is  $F_{out} \times (5 \times 5 \times 3 \times 3)$

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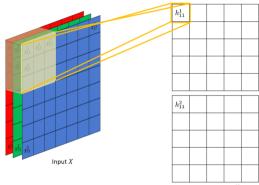
## Multiple input feature maps (or input images)



- # input image is  $F_{in} = 3$
- # input units is  $F_{in} \times 7 \times 7$
- $\bullet~\#$  hidden units is  $5\times 5$
- # parameters is F<sub>in</sub> × 3 × 3 + 1 for bias (F<sub>in</sub> × 3 × 3 + 1)
- # connections is  $F_{in} \times 5 \times 5 \times 3 \times 3$
- Typically we do not tie weights across feature maps
- Local receptive fields across multiple input images

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### Multiple input and output feature maps

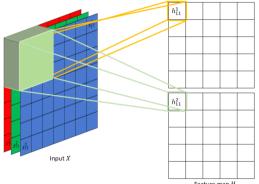


Feature map H

• 
$$F_{\rm in} = 3$$
 and  $F_{\rm out} = 2$ 

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### Multiple input and output feature maps



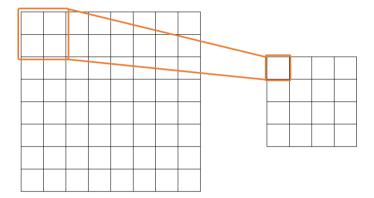
Feature map H

- $F_{in} = 3$  and  $F_{out} = 2$
- # input units is  $F_{in} \times 7 \times 7$
- # hidden units is  $F_{out} \times 5 \times 5$
- # parameters is  $F_{in} \times F_{out} \times 3 \times 3 +$ Fout for bias

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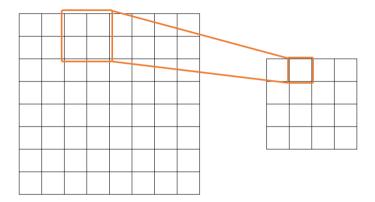
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## Pooling (subsampling)



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# Pooling (subsampling)



- Similar to convolution, slides over input pixels but no learnable parameters
- Has local receptive field too
- Typical stride S > 1

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## Pooling

- Pooling or subsampling takes a feature map and reduces it in size e.g. by transforming a set of 2x2 regions to a single unit
- Reduces computation time and memory use
- Pooling functions
  - Max-pooling takes the maximum value of the units in the region
  - $L_p$ -pooling take the  $L_p$  norm of the units in the region:

$$h' = \left(\sum_{i \in \text{region}} h_i^p\right)^{1/p}$$

• Average- / Sum-pooling – takes the average / sum value of the pool

- Information reduction pooling removes precise location information for a feature
- Apply pooling to each feature map separately

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Identity	$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$	
Edge detection	$\begin{bmatrix} 1 & 0 & -1 \\ 0 & 0 & 0 \\ -1 & 0 & 1 \end{bmatrix}$	
	$\begin{bmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{bmatrix}$	
	$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$	
Sharpen	$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix}$	

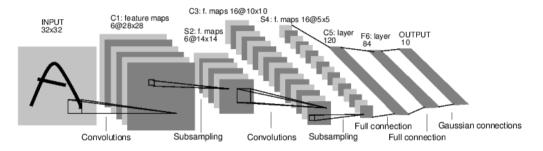
https://en.wikipedia.org/wiki/Kernel\_
(image\_processing)

- Image kernels have been hand designed and used for feature extraction in image processing (e.g. edge detection)
- Pros: No need for data and training
- Cons 1: Learning filters can be more optimal (minimising network cost function)
- Cons 2: Difficult to design filters for complex tasks (e.g. recognising a cat)
- Automating feature engineering

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## Example: LeNet5 (LeCun et al, 1997)

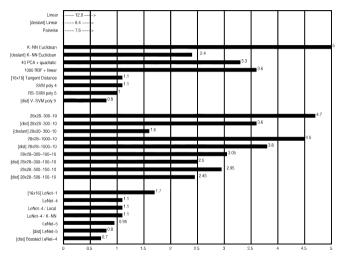


- Convolutional layer (convolution + non-linearity)
- Subsampling (max pooling)
- Final fully connected hidden layer (no weight sharing)
- Softmax output layer

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## MNIST Results (1997)



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#### ImageNet Classification ("AlexNet")

Krizhevsky, Sutskever and Hinton, "ImageNet Classification with Deep Convolutional Neural Networks", NIPS'12.

http://papers.nips.cc/paper/4824-imagenet-classification-with-deep-convolutional-neural-networks.pdf

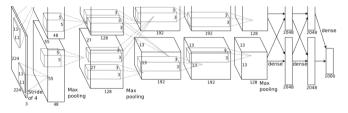


Figure 2: An illustration of the architecture of our CNN, explicitly showing the delineation of responsibilities between the two GPUs. One GPU runs the layer-parts at the top of the figure while the other runs the layer-parts at the bottom. The GPUs communicate only at certain layers. The network's input is 150,528-dimensional, and the number of neurons in the network's remaining layers is given by 253,440–186,624–64,896–64,896–43,264– 4096–4096–1000.

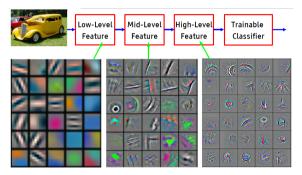
Model	Top-1	Top-5
Sparse coding [2]	47.1%	28.2%
SIFT + FVs [24]	45.7%	25.7%
CNN	37.5%	17.0%

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### Hierarchical Representations

$$\mathsf{Pixel} \to \mathsf{edge} \to \mathsf{texton} \to \mathsf{motif} \to \mathsf{part} \to \mathsf{object}$$



Zeiler & Fergus, "Visualizing and Understanding Convolutional Networks", ECCV'14.

https://cs.nyu.edu/~fergus/papers/zeilerECCV2014.pdf

Slide credits: Lecun & Ranzato

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- Train convolutional networks with a straightforward but careful application of backprop / SGD
- Exercise: prior to the next lecture, write down the gradients for the weights and biases of the feature maps in a convolutional network. Remember to take account of weight sharing.
- Next lecture: implementing convolutional networks: how to deal with local receptive fields and tied weights, computing the required gradients...

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### Summary

- Convolutional networks include local receptive fields, weight sharing, and pooling leading to:
  - Modelling the spatial structure
  - Translation invariance
  - Local feature detection
- Reading:

Michael Nielsen, Neural Networks and Deep Learning (ch 6)

http://neuralnetworksanddeeplearning.com/chap6.html

Yann LeCun et al, "Gradient-Based Learning Applied to Document Recognition", *Proc IEEE*, 1998.

http://dx.doi.org/10.1109/5.726791

Ian Goodfellow, Yoshua Bengio & Aaron Courville,

Deep Learning (ch 9)

http://www.deeplearningbook.org/contents/convnets.html

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