Cognitive Modeling

Lecture 19: Probabilistic Models of Word Recognition

Sharon Goldwater

School of Informatics
University of Edinburgh
sgwater@inf.ed.ac.uk

March 19, 2010

1. Word Recognition
    - Introduction and review
    - Psychological data

2. The Bayesian reader
    - Word identification
    - Lexical decision
    - Discussion

Reading: Norris (2006).

Word recognition

- Previously, we examined Cohort (Marslen-Wilson 1987), a mechanistic model of spoken word recognition.
- Psychologists are also interested in *visual word recognition*, i.e. reading.
- Both relate to questions of *lexical access* discussed by Jurafsky (1996).
- Recurring themes: top-down vs. bottom-up processing, frequency effects.
- Today: a Bayesian view of lexical access.

Recap

Cohort model was designed in light of evidence that

- word candidates that are inconsistent with context are active early in recognition (bottom-up activation).
- recognition is faster for contextually appropriate words (early selection).

However, Cohort

- cannot explain effects of frequency or neighborhood density.
- fails to recognize words out of context or in noise.

Bayesian approach

Step away from mechanistic explanations, consider *why* frequency and context affect recognition as they do.

- Hypothesis: word recognition is an optimal Bayesian decision process.
- Frequency and context affect the *prior distribution* over words.

Norris (2006) explores this hypothesis for visual word recognition.

Frequency effects

Psychologists find robust frequency effects in word recognition.

- Frequent words are easier to recognize, as measured by reaction time (RT) and accuracy.
- Effects found in many tasks, including lexical decision and identification.
- Effects found in both spoken and visual recognition.
- Log frequency (or rank frequency) correlate much better with RT than raw frequency.

Neighborhood effects

Neighborhood density (N) is also an important predictor of RT.

- Intuition: number of words that are similar to the target word.
- Often defined as the number of words that differ by one character (phoneme) from the target word.

Effects of neighborhood density in visual recognition:

- Identification: higher $N \Rightarrow$ more difficulty (often described as *competition*)
- Lexical decision: higher $N \Rightarrow$ less difficulty for words, more difficulty for non-words.

Opposite effects in different tasks are difficult for many models.

Norris (2006)

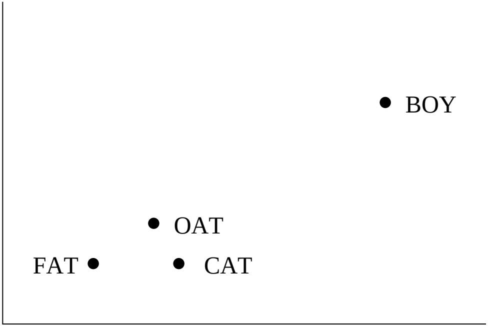
Basic idea (also see Jurafsky 1996): RT is inversely related to the posterior probability of word W_i given the observed input data I:

$$P(W_i|I) = \frac{P(I|W_i)P(W_i)}{P(I)}$$

- Increasing $P(W_i)$ (frequency, context) increases $P(W_i|I)$.
- Increasing $P(I)$ (neighborhood density) decreases $P(W_i|I)$.
- Increasing $P(I|W_i)$ (time, lighting) increases $P(W_i|I)$.

Model: representation

Norris's model represents words as points in a multi-dimensional space.

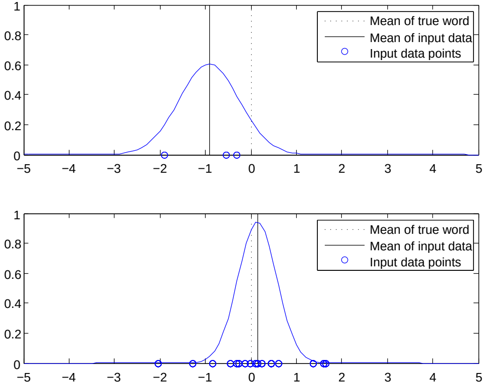


Model: likelihood

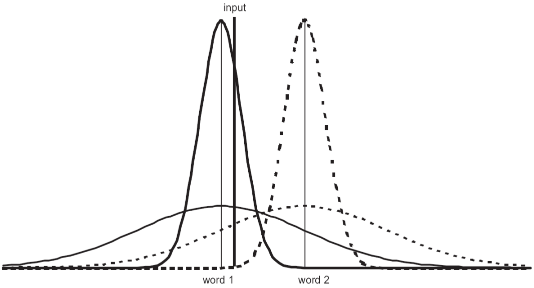
Input data is assumed to consist of discrete points, normally distributed around the true word.

- At each time step, a single data point is observed.
- Goal of recognition: identify word, i.e. estimate mean of distribution.
- As more samples accumulate, estimate will improve, $P(I|W_i)$ will become low for all but the true word.

Model: likelihood



Model: likelihood



Model: prior

Norris models recognition in isolation, so computes $P(W_i)$ based on frequency counts. However, mentions other possibilities:

- Number of different contexts word occurs in.
- Age of acquisition.

Also, notes that frequencies may differ in experimental situations.

Implementation

- Implemented using a neural network (other methods possible).
- Each letter is represented as a 26-dimensional vector, words as concatenations or letters.
- Realistically large vocabulary with corpus frequency counts.
- Input samples accumulate, one per unit time.
- Simulated response occurs when $P(W_i|I) > .95$ (or .99).

Results

- Reaction time correlates almost perfectly with log frequency.
- Reaction time is longer for words in larger neighborhoods (competition).

But: what about lexical decision?

Lexical decision

Key insight: lexical decision does not require identifying any particular word.

$$P(\text{wd}|I) \propto P(I|\text{wd})P(\text{wd})$$

In experiment, $P(\text{wd}) = .5$. To compute $P(I|\text{wd})$, sum over hypotheses:

$$\begin{aligned} P(I|\text{wd}) &= \sum_{i=1}^{n} P(I|\text{wd}, W_i)P(W_i|\text{wd}) \\ &= \sum_{i=1}^{n} P(I|W_i)P(W_i|\text{wd}) \end{aligned}$$

$P(I|\text{non-wd})$ can be computed similarly.

Intuition

Recognition:

- Requires identifying a specific word hypothesis (MAP estimation).
- If many hypotheses cause similar input, more evidence is required to discriminate.
- Therefore, larger N slows recognition time.

Lexical decision:

- Prediction does not require identifying any specific word hypothesis (sum over hypotheses).
- If many hypotheses cause similar input, higher probability that at least one of them is right, so $P(wd)$ is higher.
- Therefore, larger N speeds "yes" decision, slows "no" decision.

Discussion

- Model correctly predicts frequency and neighborhood effects on RT in identification and lexical decision tasks and explains previously puzzling opposite effects of N.
- Model incorporates top-down (prior) and bottom-up (likelihood) information, but does not suggest bottom-up activation.
- Additional predictions, not yet tested:
  - Context can affect recognition both positively and negatively (through prior).
  - Degraded input will slow recognition – quantitative predictions.
- What about spoken word recognition?

Spoken word recognition

Most effects are similar to visual recognition, but *in lexical decision, larger N slows "yes" response*.

Speculation:

- Spoken recognition is more basic/ecologically valid.
- Lexical decision is not very natural.
- Speech system is adapted for identification, cannot "turn off" identification system.
- Reading system is less highly adapted, more flexible for different tasks.

But danger of post-hoc explanations.

Summary

- Word recognition is affected by frequency and number of similar words.
- Bayesian model provides a rational explanation of frequency and neighborhood effects.
- Assumptions: spatial representation of words, input accumulates over time.
- Visual lexical decision does not require word identification.
- Qualitative predictions for context effects and degraded input are sensible, quantitative predictions are untested.
- Problems reconciling with spoken word recognition.

References

Jurafsky, Daniel. 1996. A probabilistic model of lexical and syntactic access and disambiguation. *Cognitive Science* 20(2):137–194.

Marslen-Wilson, W. 1987. Functional parallelism in spoken word-recognition. *Cognition* 25:71–102.

Norris, D. 2006. The Bayesian reader: Explaining word recognition as an optimal Bayesian decision process. *Psychological Review* 113(2):327–357.