Encoder-decoder models 2: attention-based models

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Automatic Speech Recognition – ASR Lecture 16
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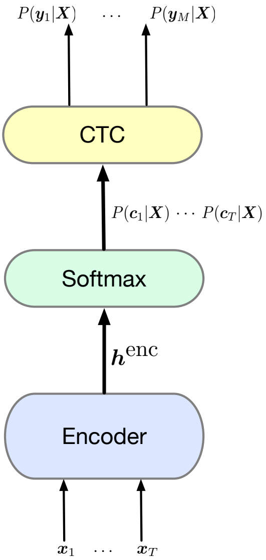
Recap: CTC

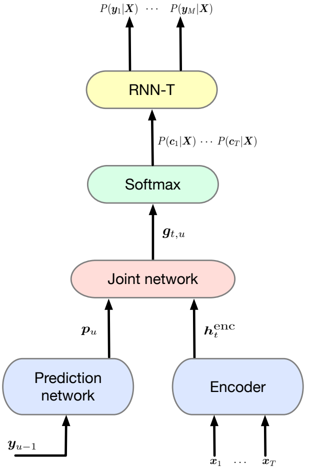
View CTC as having three components:

- **Encoder**: Deep (bidirectional) LSTM recurrent network which maps acoustic features $X = x_1, \ldots, x_T$ to a sequence of hidden vectors $h^{\text{enc}} = h_1^{\text{enc}}, \ldots, h_T^{\text{enc}}$.
- **Softmax**: Computes the label probabilities $P(c_1|X), \ldots, P(c_T|X)$
- **CTC**: Computes the subword sequence $P(y_1|X), \ldots, P(y_M|X)$



Recap: RNN-T

- **Encoder:** Acoustic model network mapping acoustic features $X = x_1, \ldots, x_T$ to hidden vectors $h^{\text{enc}} = h_1^{\text{enc}}, \ldots, h_T^{\text{enc}}$.
- **Prediction network**: Recurrent network which takes the previous output subword label y_{u-1} as input and predicts the next subword label p_u – acts as a language model (over subwords)
- **Joint network**: Computes a joint hidden vector $Z = z_1, \ldots, z_T$ by a applying a shallow feed-forward net to h^{enc} and p_u
- Followed by **softmax** and **CTC** components as before

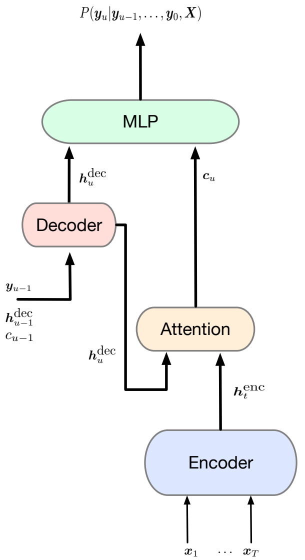


Attention-based Encoder-Decoder Model

- So far, outputs have always been *time synchronous*
  - "input clock" and "output clock" have a clear relationship defined by the model
  - monotonic relationship between input sequence and output symbols
- AED model removes this relationship, replacing it with *attention* over the inputs determined by the (hidden) state of the decoder.
- All components use neural networks so are end-to-end differentiable.

Attention-based Encoder-Decoder Model

- **Encoder:** Acoustic model using a recurrent network to map acoustic features $X = x_1, \ldots, x_T$ to hidden vectors $h^{\text{enc}} = h_1^{\text{enc}}, \ldots, h_T^{\text{enc}}$.
- **Decoder**: Computes distribution over labels conditioned on previously predicted labels and the acoustics, $P(y_u | y_{u-1}, \ldots, y_0, X)$
- **Attention**: Constructs a *context vector* for the decoder network based on attention weights computed over all frames in the encoder output
- Google's "Listen, Attend, and Spell" model: Chan et al (2016)



The Decoder

- The decoder directly generates the output subword sequence Y
- At each decoding step u, the decoder RNN uses the previous output y_{u-1}, the previous decoder RNN hidden state h_{u-1}^{dec}, and the previous context vector c_{u-1} to generate the current decoder hidden state h_u^{dec}

$$h_u^{\text{dec}} = \text{RNN}(h_{u-1}^{\text{dec}}, y_{u-1}, c_{u-1})$$

- The context vector is computed by the attention mechanism

The Attention Mechanism

- The attention mechanism uses the current decoder RNN hidden state h_u^{dec}, and the sequence of encoder hidden states h_t^{enc} to compute an alignment matrix α_{ut}:

$$\alpha_{ut} = \text{Attention}(h_u^{\text{dec}}, h_t^{\text{enc}})$$

- The alignment vector is used as weights in a weighted sum of the encoder hidden states to compute the context vector c_u:

$$c_u = \sum_{t=1}^{T} \alpha_{ut} h_t^{\text{enc}}$$

- The decoder uses the context vector c_u and the current decoder hidden state h_u^{dec} to estimate the subword distribution:

$$g_u(k) = \exp(MLP(h^{\text{dec}}, c_u))$$

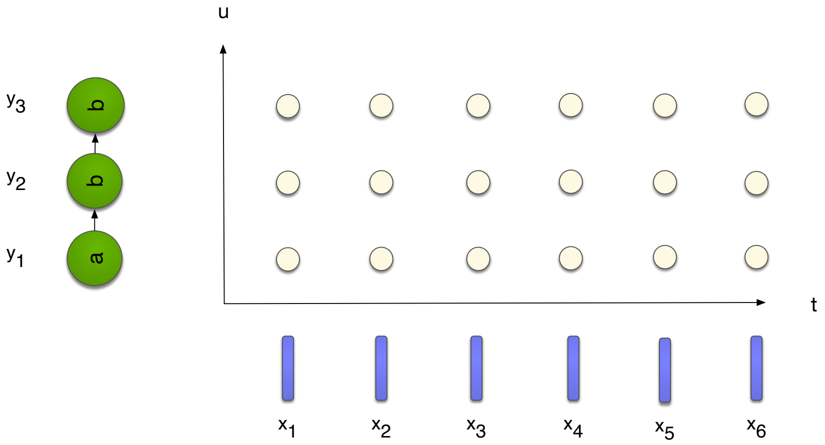
$$P(y = k|u) = \frac{g_u(k)}{\sum_{k'} g_u(k')}$$

Alignment Vector

- Attention models the alignment between the current output y_u and the input sequence X – it matches the "input clock" with the "output clock"
- Various ways to compute the attention - content-based attention commonly used. Single hidden layer followed by a softmax

$$e_{ut} = v^T \tanh(W h_u^{\text{dec}} + V h_t^{\text{enc}} + b)$$
$$\alpha_{ut} = \frac{\exp(e_{ut})}{\sum_k \exp(e_{uk})}$$

The AED "trellis"



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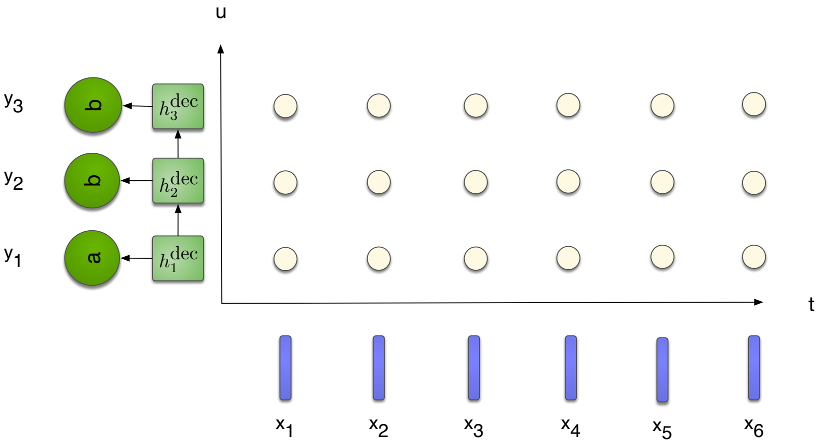

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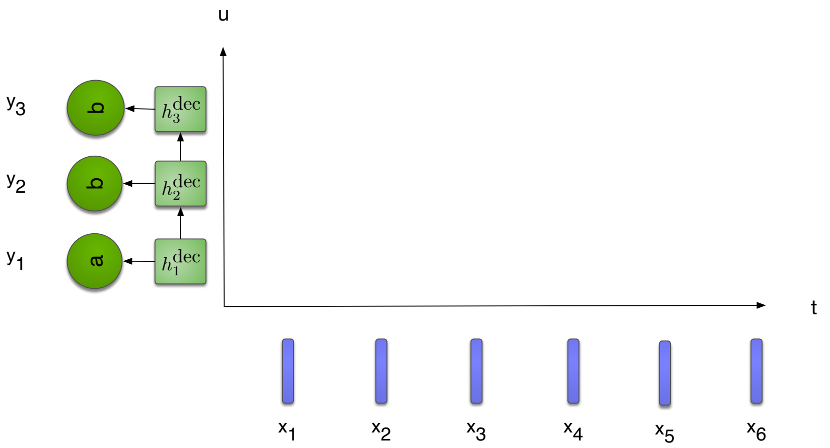

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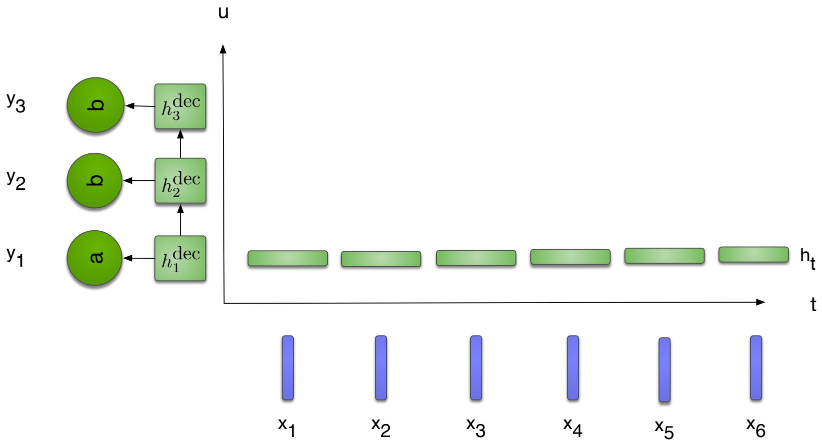

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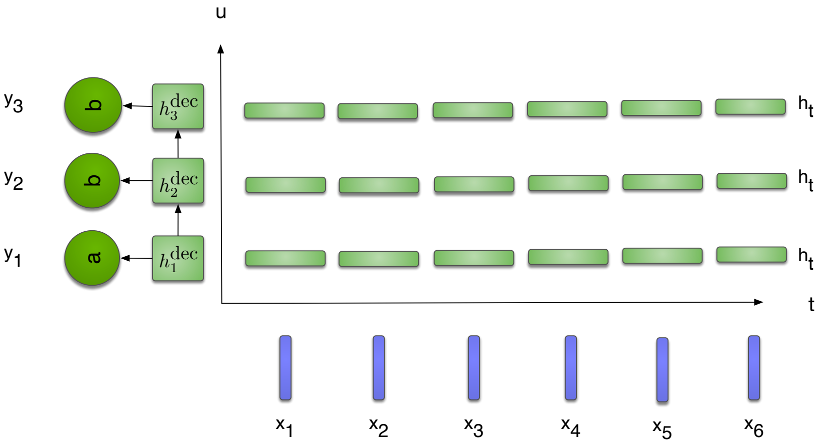

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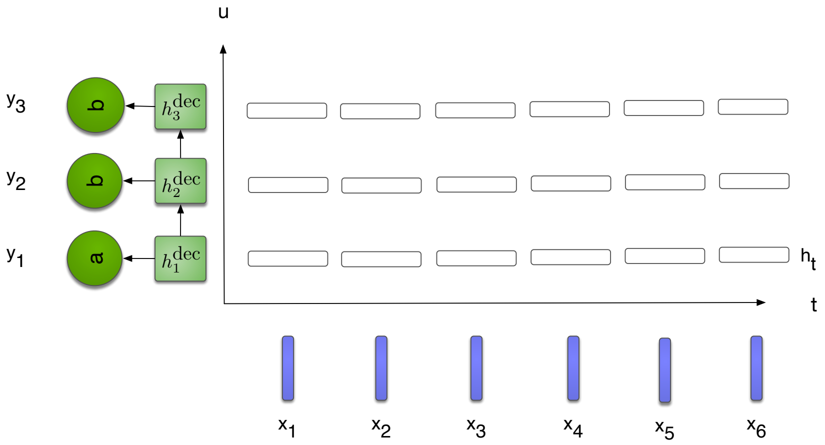
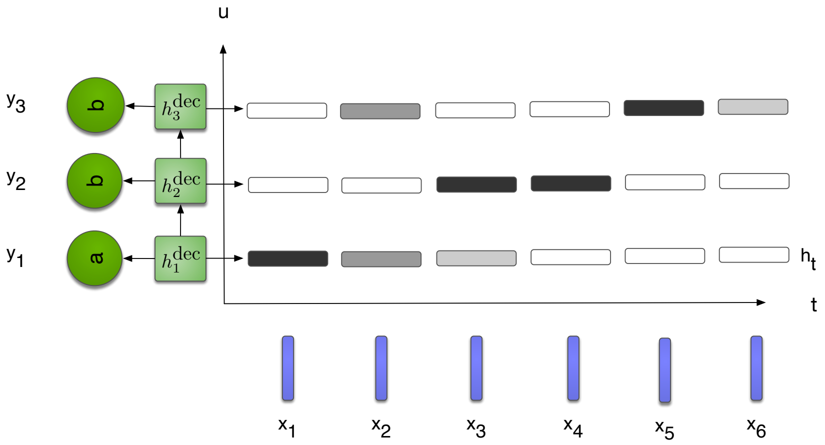
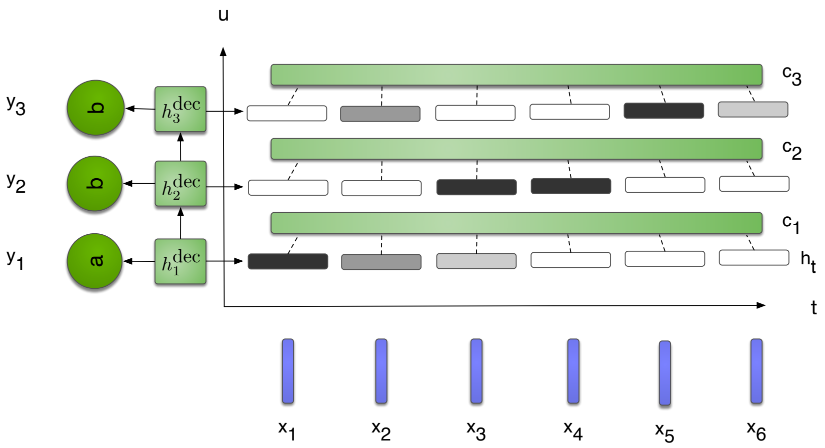


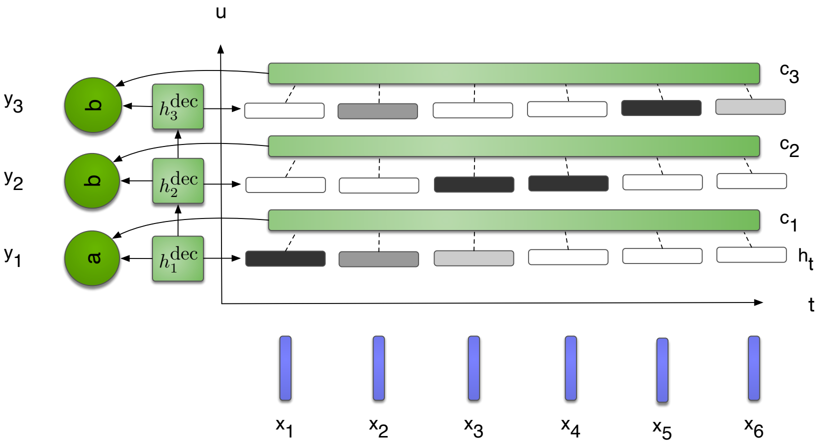
Decoder determines attention over input encodings



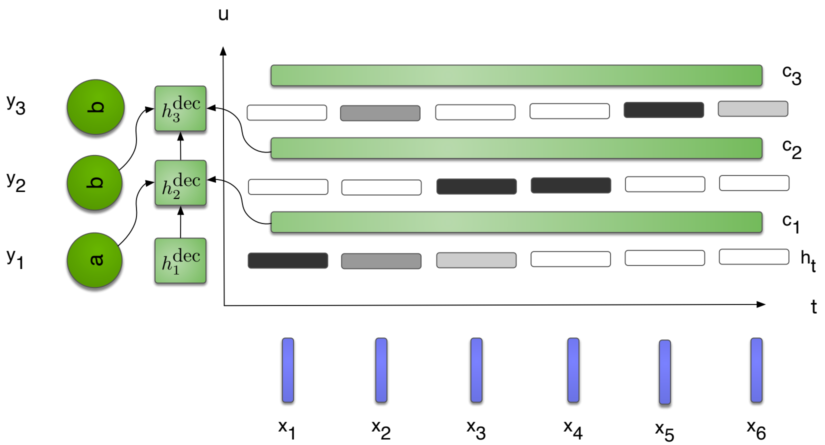
Compute context vector



Generate output unit



Decoder state update

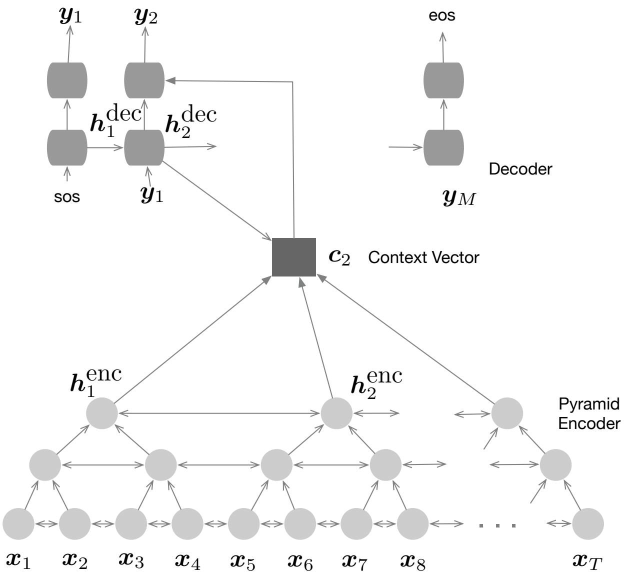


Computing attention

- Attention models the alignment between the current output y_u and the input sequence X – it matches the "input clock" with the "output clock"
- Various ways to compute the attention - content-based attention commonly used. Single hidden layer followed by a softmax

$$
\begin{aligned}
e_{ut} &= v^T \tanh(W h_u^{\text{dec}} + V h_t^{\text{enc}} + b) \\
\alpha_{ut} &= \frac{\exp(e_{ut})}{\sum_k \exp(e_{uk})}
\end{aligned}
$$

Attention example



Pyramid Encoder

- A significant problem with a naive end-to-end model is the length of the input sequences... A direct BLSTM encoder can be difficult and slow to train – hard to extract the relevant information from many time steps
- Use a pyramid architecture – each successive layer reduces the resolution by a factor of 2.
  - Typical deep BLSTM hidden state (layer j, time t):

$$h_t^j = RNN(h_t^{j-1}, h_{t-1}^j)$$

  - Pyramid model concatenates consecutive hidden states:

$$h_t^j = pyrRNN([h_{2t-1}^{j-1}, h_{2t}^{j-1}], h_{t-1}^j)$$

  - 3 layers in a pyramid architecture reduces the time resolution (shortens the sequence) by a factor of 8
  - The attention mechanism thus has an easier job, weighting over 8x fewer encoder hidden states

Learning

- Model trained to maximise the log probability of correct sequences
$$\sum_u \log P(y_u|X, y_{<u})$$
where $y_{<u}$ is the sequence $y_1, \ldots, y_{u-1}$
- An interesting subtlety: what value should be used for $y_{<u}$?
  - The previous predictions? This is consistent between training and test, but adds noise at training time
  - The ground truth labels (*teacher forcing*)? This speeds up learning, especially early on, but there is a mismatch between training and testing
  - **Scheduled sampling**? Sample a label from the estimated distribution. This reduces the noise in training, but doesn't create a big gap between training and test

Decoding and Rescoring

- Decode without a separate pronunciation model or an external language model!
- Simply decode the grapheme sequence! (It is possible to rescore with a language model if desired)
- Decoding uses a beam search in which *n*-best hypotheses are retained at each decoding step

Results (2017)

Google Voice Search data, 12,500h training data, 15M hand-transcribed utterances

Model	Clean		Noisy		numeric
	dict	vs	dict	vs	
Baseline Uni. CDP	6.4	9.9	8.7	14.6	11.4
Baseline BiDi. CDP	5.4	8.6	6.9	-	11.4
End-to-end systems					
CTC-grapheme[3]	39.4	53.4	-	-	-
RNN Transducer	6.6	12.8	8.5	22.0	9.9
RNN Trans. with att.	6.5	12.5	8.4	21.5	9.7
Att. 1-layer dec.	6.6	11.7	8.7	20.6	9.0
Att. 2-layer dec.	**6.3**	**11.2**	**8.1**	**19.7**	**8.7**

Prabhavalkar et al (2017)

Other Refinements

- Wordpiece models – rather than using single graphemes as labels use multi-grapheme units (up to a word in length) - similar to bye pair encoding in machine translation
- Multiheaded attention – use multiple attention distributions
- Minimum WER training – modify the loss function to interpolate a word error rate term
- Label smoothing – smooth the ground truth distribution by interpolating with a uniform distribution
- LM rescoring – use an external language model (5-gram) trained on large amount of text

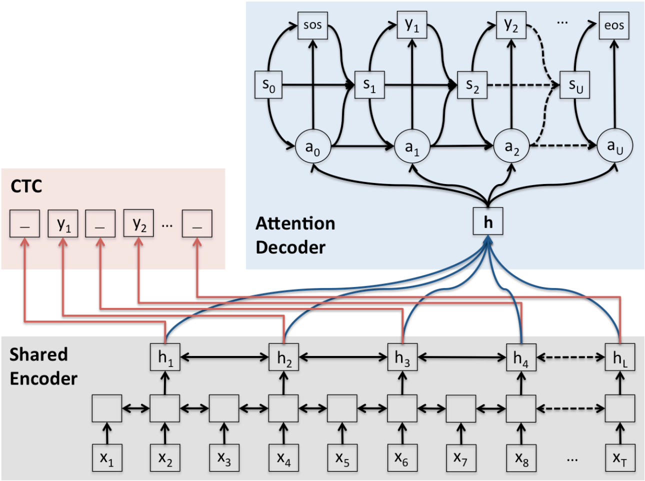
Reduced WER on Voice Search from 9.2% to 5.6% – their hybrid HMM-LSTM system has WER of 6.7% on this task

Chiu et al (2018)

Hybrid CTC/Attention

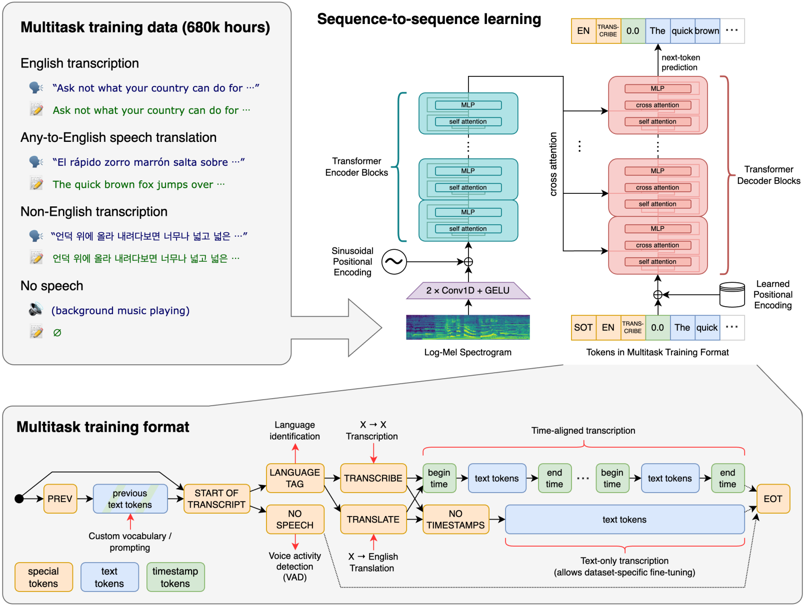
- Attention is very flexible – does not constrain relationship between acoustics and labels to be monotonic
- This can be a problem, especially when huge amounts of training data not available
- Possible solutions:
  - Windowed attention, in which the attention is restricted a set of encoder hidden states
  - Hybrid CTC/Attention model - use CTC and attention jointly during training and recognition – regularises the system to favour monotonic alignments

Hybrid CTC/Attention



Watanabe et al (2017)

Whisper: an open AED model



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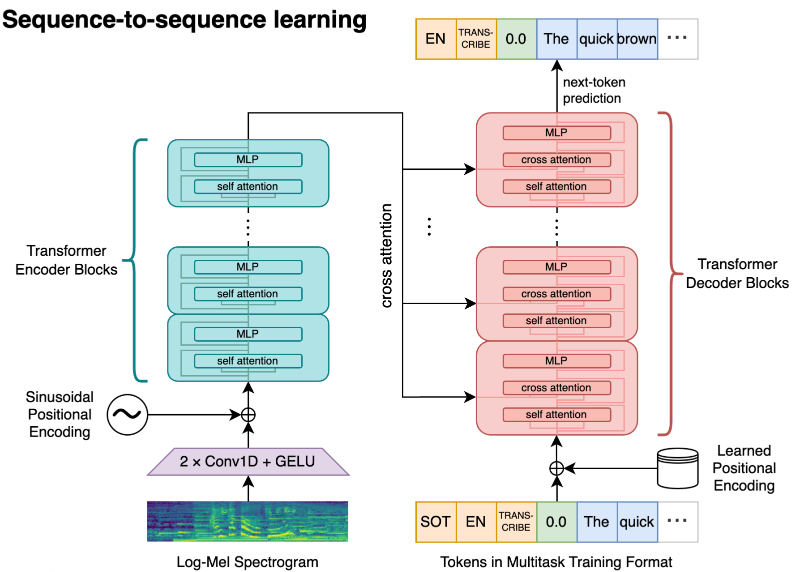

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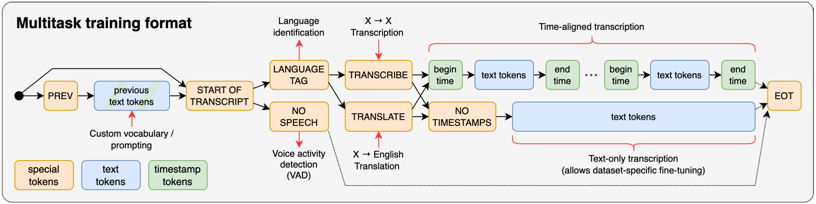

Summary

- End-to-end models for speech recognition: CTC, RNN Transducer, Attention Encoder-Decoder
- RNN Transducer and Attention-based model integrate acoustic model, pronunciation model, and language model into a single neural network
- With large amounts of hand-transcribed training data, attention-based model can be more accurate than context-dependent NN/HMM
- Attention based model operates over an utterance at a time (since attention is over the complete encoded utterance)
- Remains an active research area! Eg. recent use of self-attention (Transformer) in place of recurrent architectures

Reading

- Watanabe et al (2017), "Hybrid CTC/Attention Architecture for End-to-End Speech Recognition", IEEE STSP, 11:1240–1252. https://ieeexplore.ieee.org/document/8068205
- Chan et al (2016), "Listen, attend and spell: A neural network for large vocabulary conversational speech recognition", IEEE ICASSP, pp. 4960-4964 https://ieeexplore.ieee.org/abstract/document/7472621
- Chiu et al (2018), "State-of-the-art sequence recognition with sequence-to-sequence models", IEEE ICASSP. https://arxiv.org/abs/1712.01769
- Prabhavalkar et al (2017), "A Comparison of Sequence-to-Sequence Models for Speech Recognition", Interspeech. https://www.isca-speech.org/archive/Interspeech_2017/abstracts/0233.html