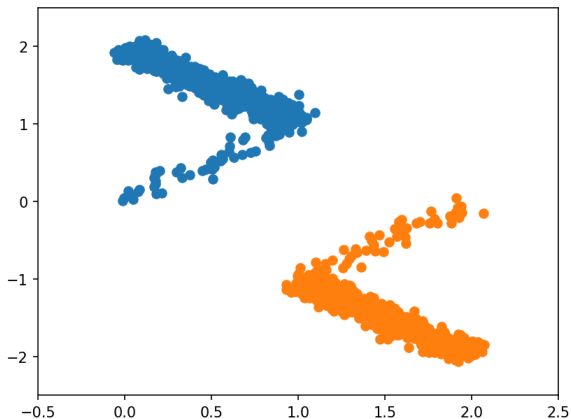


# Discriminative Training

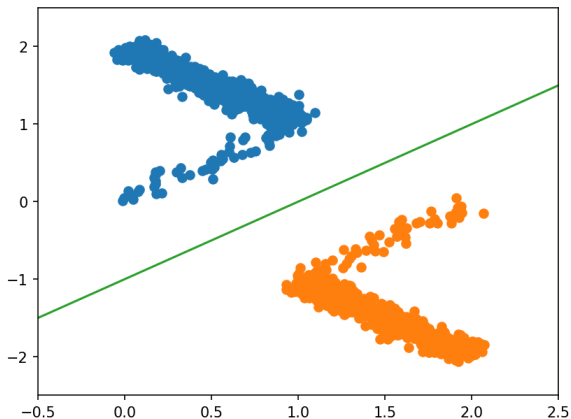
Hao Tang

Automatic Speech Recognition—ASR Lecture 14  
10 March 2022

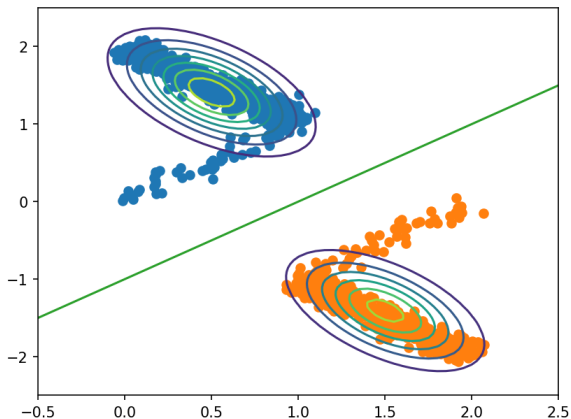
# Discriminative vs Generative Training



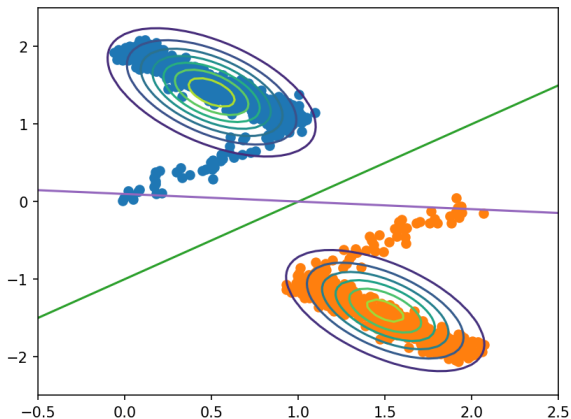
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- Should we use the samples (and computation) to learn the decision boundary or the data distribution?
- The discriminative approach might be a better solution when the boundary is simple to learn.
- If the goal is to do prediction, we should focus on learning the boundary.

# Recap of HMM Training

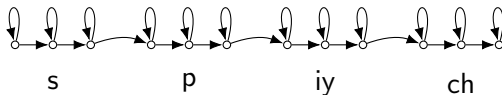
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speech  $\rightarrow$  s p iy ch

# Recap of HMM Training

- Map words to a sequence of phones

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- Chain phone HMMs

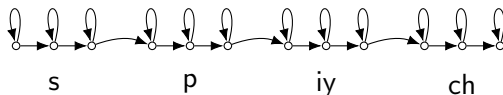


# Recap of HMM Training

- Map words to a sequence of phones

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- Chain phone HMMs



- Find parameters that maximize  $p(X)$

# Recap of HMM Training

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- s1 s1 s2 s2 s2 s3 s3 p1 p2 p3 iy1 iy1 iy1 iy2 iy2 iy2 iy3 iy3 iy3  
ch1 ch2 ch3 is a valid sequence for the word "speech."



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- Use  $B(W)$  to denote the set of valid state sequences for  $W$ .
- $p(X|W) = \sum_{Z \in B(W)} p(X, Z|W)$

# Recap of HMM Training

- $\operatorname{argmax}_{\lambda} p(X|W)$  can be solved with EM or gradient descent.
- $\operatorname{argmax}_{\lambda} p(X|W)$  is a generative approach.
- The discriminative approach solves  $\operatorname{argmax}_{\lambda} p(W|X)$ .

# Maximum Mutual Information (MMI) (Bahl *et al.*, 1986)

$$p(W|X) = \frac{p(X|W)p(W)}{p(X)} = \frac{p(X|W)p(W)}{\sum_{W'} p(X|W')p(W')}$$

# Maximum Mutual Information (MMI) (Bahl *et al.*, 1986)

$$p(W|X) = \frac{p(X|W)p(W)}{p(X)} = \frac{p(X|W)p(W)}{\sum_{W'} p(X|W')p(W')}$$

- How to compute the numerator  $p(X|W)p(W)$ ?
- How to compute the denominator  $\sum_{W'} p(X|W')p(W')$ ?
- Why is this called maximum mutual information (MMI)?

$$p(X|W)p(W)$$

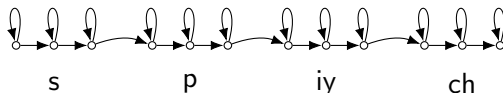


$$p(X|W)p(W)$$

- Map words to a sequence of phones

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- Compute  $p(X|W)$
- Compute  $p(W)$  with a language model

- It's computationally expensive to compute the denominator exactly.

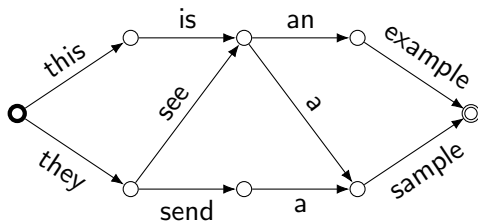
$$\sum_{W'} p(X|W')p(W')$$

- Instead we can approximate it with a set of high-probability word sequences  $D$ .

$$\sum_{W' \in D} p(X|W')p(W')$$

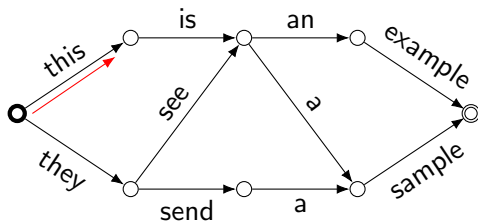
- The set of high-probability sequences  $D$  is called a **lattice**.

# Lattice



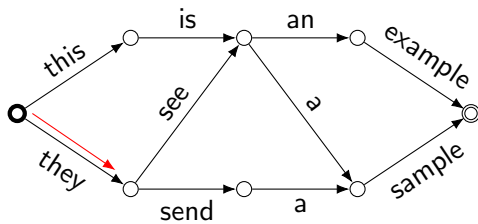
time →

# Lattice



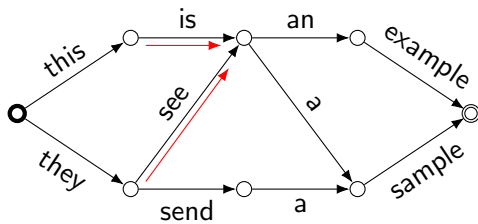
time →

# Lattice



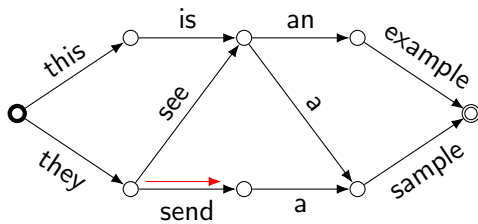
time →

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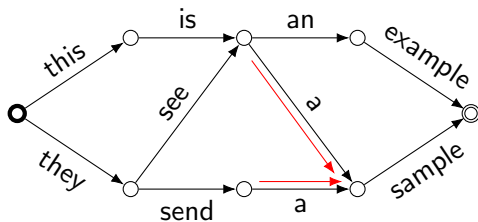


time →

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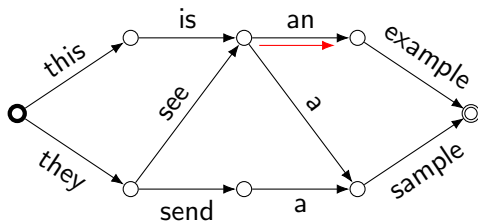
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time →

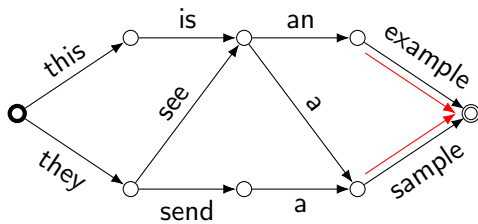


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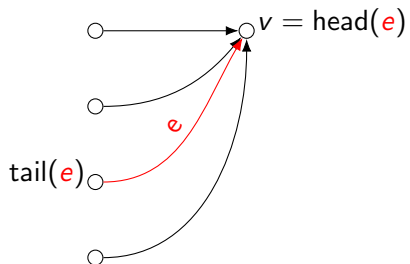
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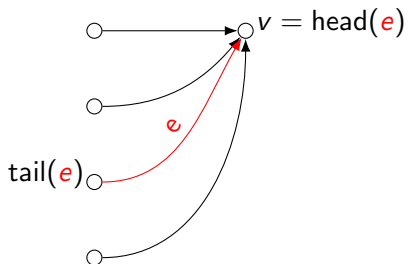


time →

# Forward-Backward on Graphs



# Forward-Backward on Graphs



$$\alpha(v) = \sum_{e \in \text{in}(v)} p(X_e | W_e) \alpha(\text{tail}(e))$$

# Forward-Backward on Graphs

- Running the forward algorithm on the lattice only gives

$$\alpha(\text{final}) = \sum_{w' \in D} p(X|W')$$

- Running the forward algorithm on the lattice composed with an LM gives

$$\alpha(\text{final}) = \sum_{w' \in D} p(X|W')p(W')$$

$$p(W|X) = \frac{p(X|W)p(W)}{\sum_{W'} p(X|W')p(W')}$$

- Generate lattice (through beam search)
- Run the forward algorithm
- Compute the gradient
- Do gradient update

# Gradient w.r.t. to An Edge

$$\frac{\partial L}{\partial p(X_e|W_e)}$$

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$$\frac{\partial L}{\partial p(X_e|W_e)} = \sum_v \frac{\partial L}{\partial \alpha(v)} \frac{\partial \alpha(v)}{\partial p(X_e|W_e)}$$



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# Why the Name MMI?

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# Lattice-Free MMI (Povey *et al.*, 2016)

- It is actually possible (just computationally expensive) to compute the denominator  $\sum_{W'} p(X|W')p(W')$  exactly with the help of GPU.
- The trick is to realize that the forward algorithm is a matrix multiplication.

# Properties of MMI

- It is a discriminative approach.
- It considers a language model.
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$$\operatorname{argmin}_{\lambda} \mathbb{E}_{W' \sim p(W'|X)}[\mathbb{1}_{W \neq W'}] = \operatorname{argmax}_{\lambda} p(W|X)$$

# Minimum Bayes Risk (MBR)

$$\operatorname{argmax}_{\lambda} \mathbb{E}_{W' \sim p(W'|X)} [\operatorname{cost}(W, W')]$$



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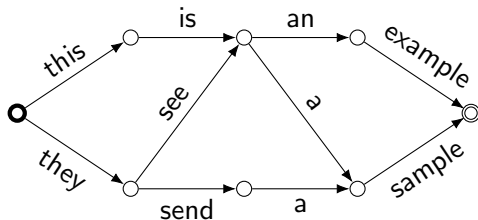
- Allows partial credit
- Allows a user-defined cost function

# Minimum Bayes Risk (MBR)

$$\begin{aligned}\mathbb{E}_{W' \sim p(W'|X)}[\text{cost}(W, W')] &= \sum_{W'} p(W'|X) \text{cost}(W, W') \\ &= \frac{\sum_{W'} p(X|W') p(W') \text{cost}(W, W')}{\sum_{W''} p(X|W'') p(W'')}\end{aligned}$$

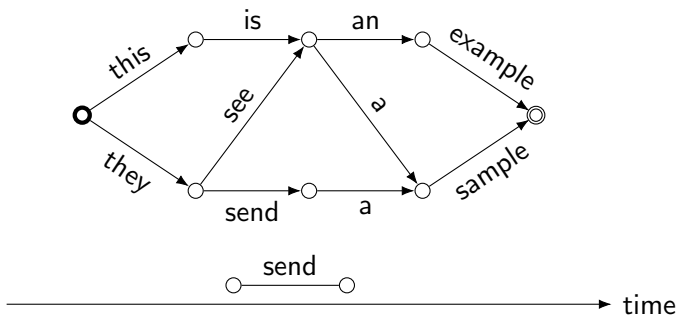
- Both numerators and denominators require a lattice.
- The cost function needs to decompose according to a lattice, i.e., each edge having a cost.
- $\text{WER}(W, W')$  does not decompose according to a lattice.

# Minimum Bayes Risk (MBR)

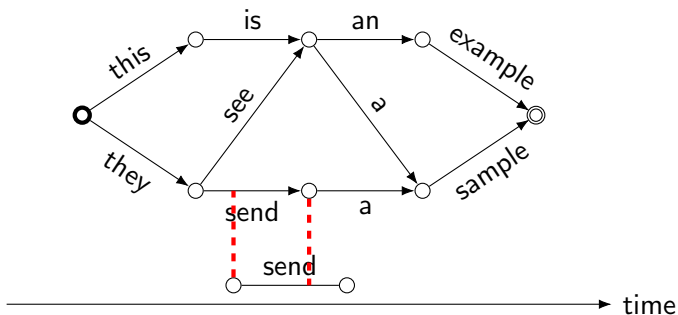


time

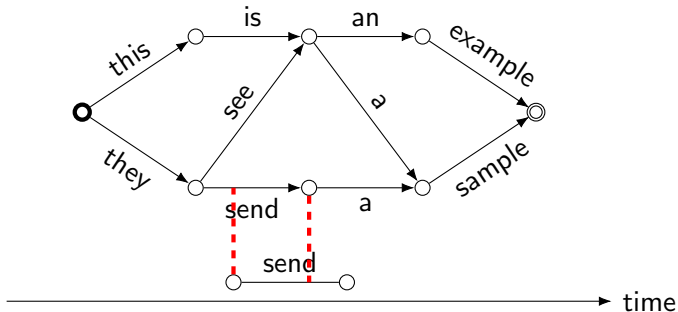
# Minimum Bayes Risk (MBR)



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- If the cost is at the phone level, the objective is called Minimum Phone Error (MPE) (Povey and Woodland, 2002).
- If the cost is at the word level, the objective is called Minimum Word Error (MWE) (Povey and Woodland, 2002).

- Discriminative vs Generative Training
- Maximum Mutual Information
- Forward-Backward on Graphs
- Minimum Bayes Risk