

# Neural Networks for Acoustic Modelling 3: DNN architectures

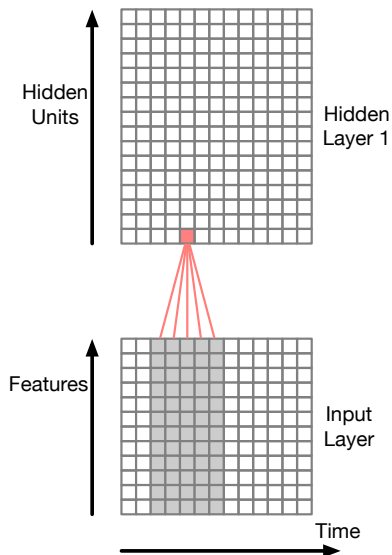
Peter Bell

Automatic Speech Recognition – ASR Lecture 12  
3 March 2022

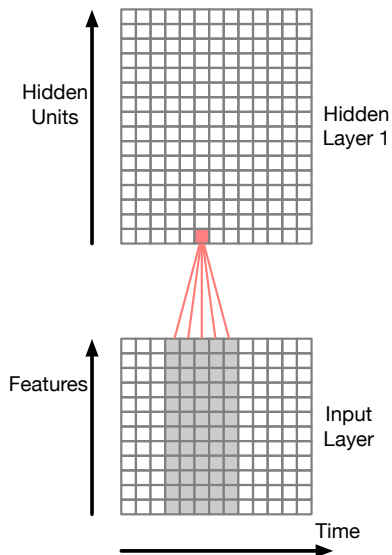
# Modelling acoustic context

- DNNs allow the network to model acoustic context by including neighbouring frame in the input layer – the output is thus estimating the phone or state probability using that contextual information
- Richer NN models of acoustic context:
  - **Time-delay neural networks (TDNNs)**
    - each layer processes a context window from the previous layer
    - higher hidden layers have a wider receptive field into the input
  - **Recurrent neural networks (RNNs)**
    - hidden units at time  $t$  take input from their value at time  $t - 1$
    - these recurrent connections allow the network to learn state
  - Both approaches try to learn invariances in time, and form representations based on compressing the history of observations

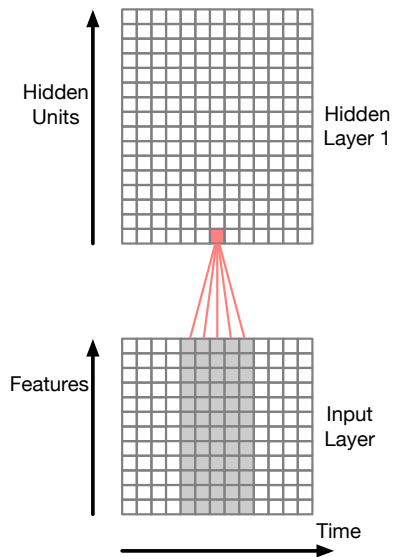
# TDNNs – first hidden layer receptive field



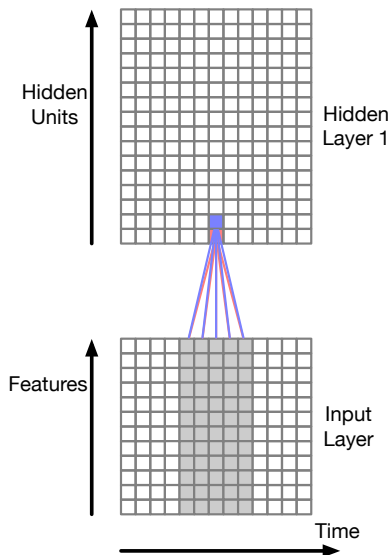
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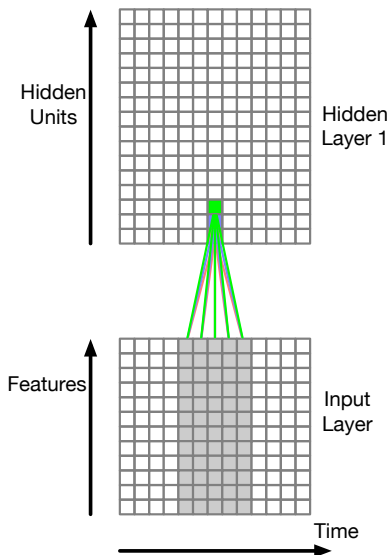
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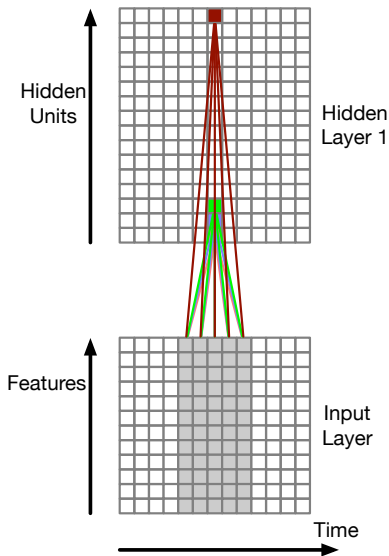
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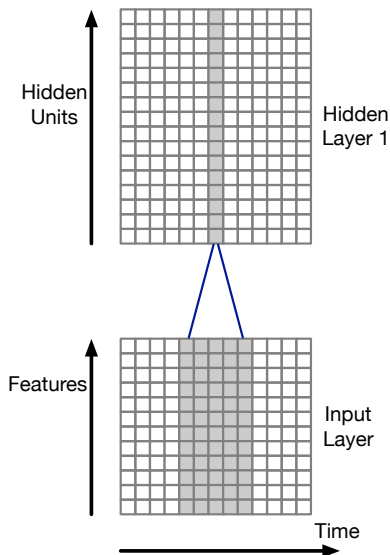


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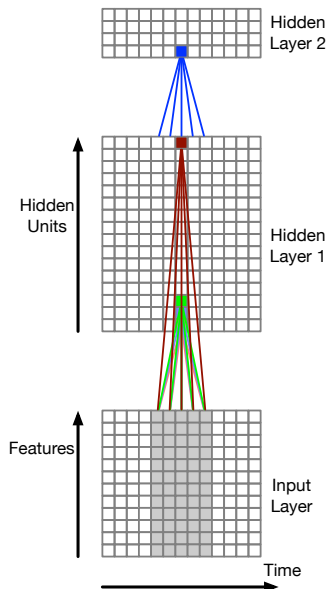




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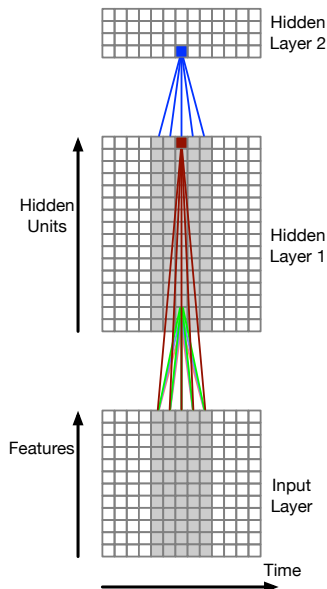


# TDNNs – second hidden layer receptive field



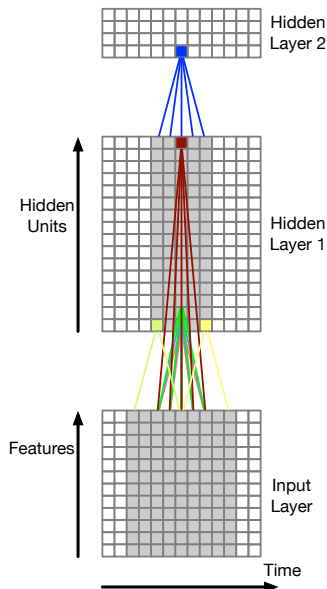
- Higher hidden layers take input from a time window over the previous hidden layer
- Lower hidden layers learn from narrower contexts, higher hidden layers from wider acoustic contexts
- Receptive field increases for higher hidden layers

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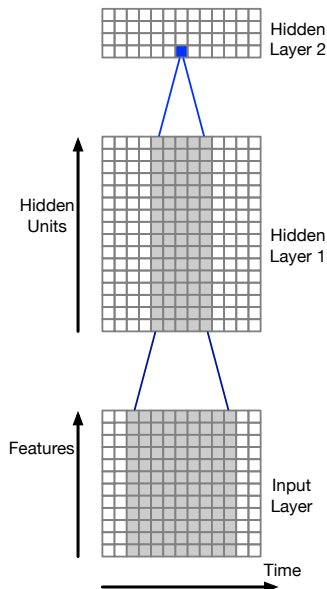
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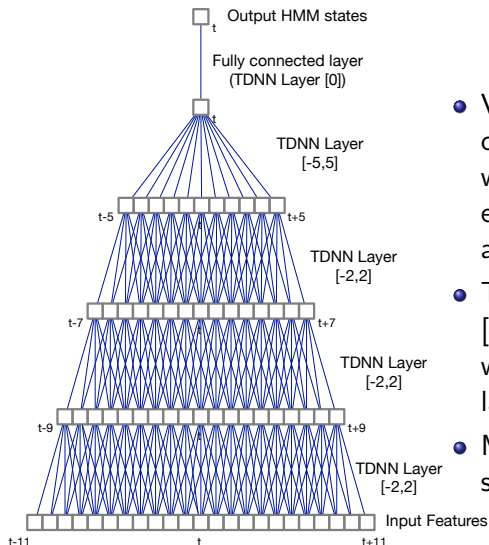
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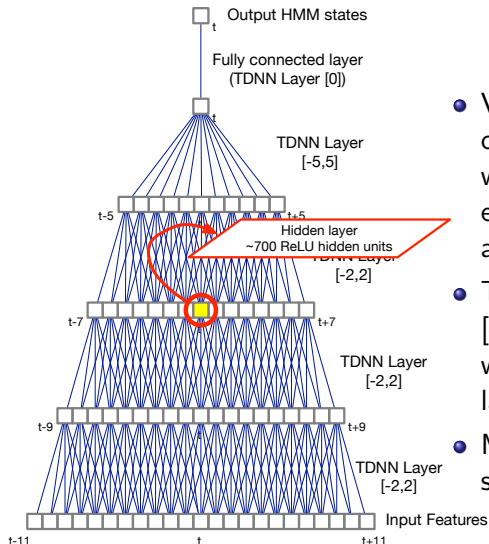
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# Example TDNN Architecture



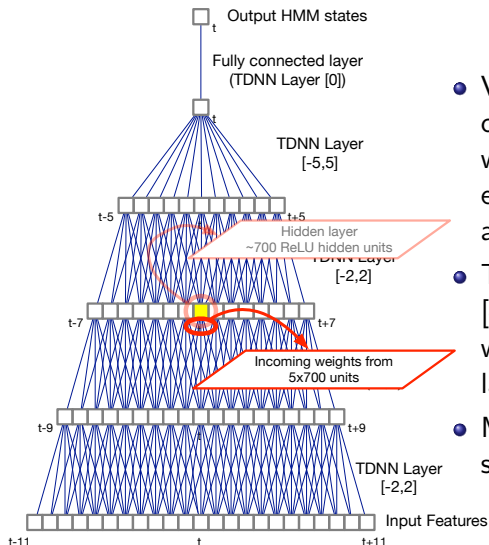
- View a TDNN as a 1D convolutional network with the transforms for each hidden unit tied across time
- TDNN layer with context  $[-2,2]$  has 5x as many weights as a regular DNN layer
- More computation, more storage required!

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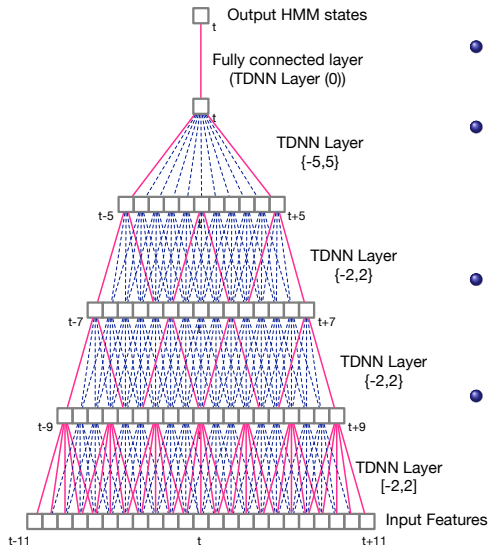
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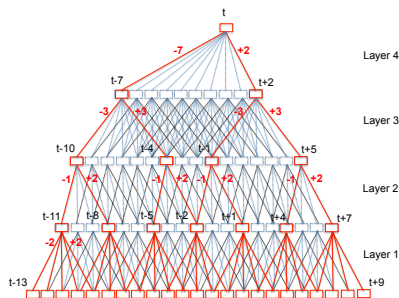


# Sub-sampled TDNN



- Sub sample window of hidden unit activations
- Large overlaps between input contexts at adjacent time steps – likely to be correlated
- Allow gaps between frames in a window (cf. dilated convolutions)
- Sub-sampling saves computation and reduces number of model size (number of weights)

# Example sub-sampled TDNN



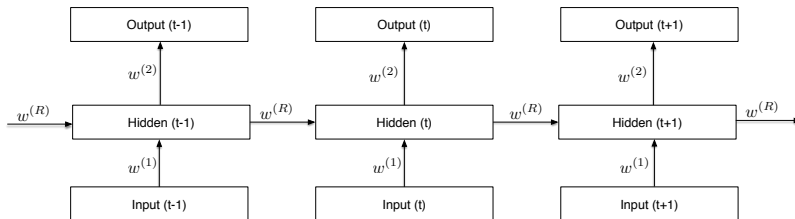
Layer 4 Peddinti (2015)

Layer	Sub-sampled	
	Context	Context
1	$[-2, 2]$	$[-2, 2]$
2	$[-1, 2]$	$\{-1, 2\}$
3	$[-3, 3]$	$\{-3, 3\}$
4	$[-7, 2]$	$\{-7, 2\}$
5	$\{0\}$	$\{0\}$

- Increase the context for higher layers of the network
- Subsampled so that difference between sampled hidden units is multiple of 3 to enable “clean” sub-sampling
- Asymmetric contexts
- MFCC features in this case

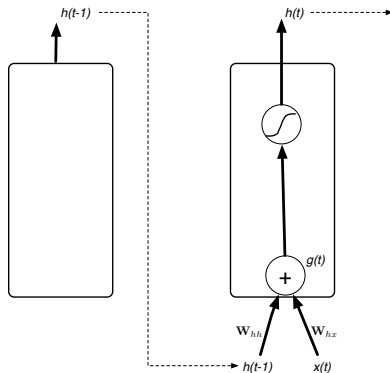
# Recurrent Networks

# Recurrent network



- View an RNN for a sequence of  $T$  inputs as a  $T$ -layer network with shared weights
- Train by doing backpropagation through this unfolded network
- Recurrent hidden units are *state units*: can keep information through time
  - State units as memory – remember things for (potentially) an infinite time
  - State units as information compression – compress the history (sequence observed up until now) into a state representation

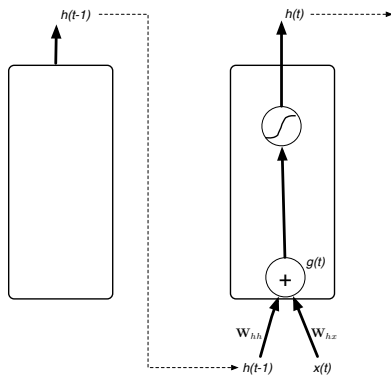
# Simple recurrent network unit



$$\mathbf{g}(t) = \mathbf{W}_{hx}\mathbf{x}(t) + \mathbf{W}_{hh}\mathbf{h}(t-1) + \mathbf{b}_h$$

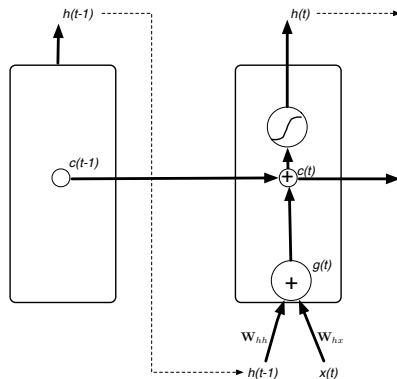
$$\mathbf{h}(t) = \tanh(\mathbf{g}(t))$$

# LSTM



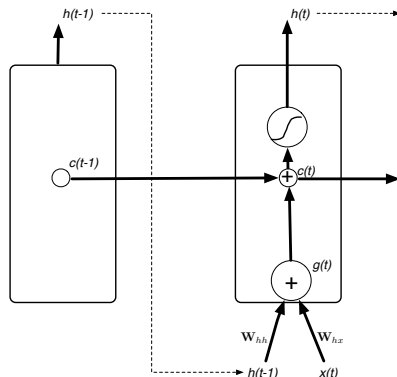
# LSTM – Internal recurrent state

- **Internal recurrent state**  
(“cell”)  $c(t)$  combines  
previous state  $c(t-1)$   
and LSTM input  $g(t)$



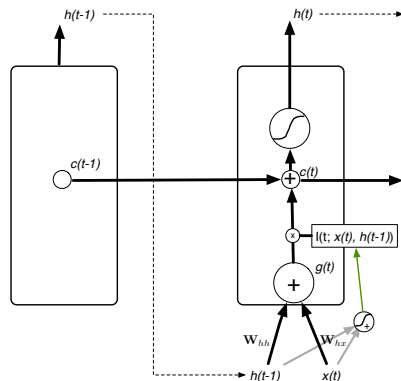
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(“cell”)  $c(t)$  combines previous state  $c(t-1)$  and LSTM input  $g(t)$
- Gates - weights dependent on the current input and the previous state



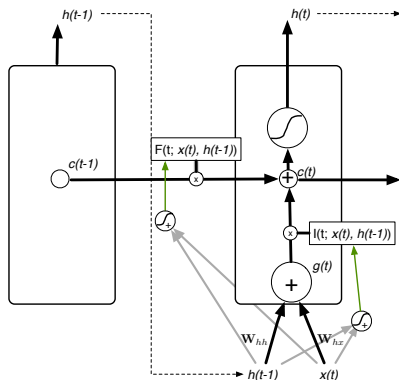


# LSTM – Input Gate



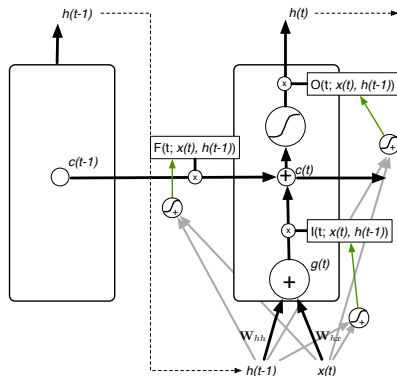
- **Internal recurrent state** (“cell”)  $c(t)$  combines previous state  $c(t-1)$  and LSTM input  $g(t)$
- Gates - weights dependent on the current input and the previous state
- **Input gate:** controls how much input to the unit  $g(t)$  is written to the internal state  $c(t)$

# LSTM – Input and Forget Gate



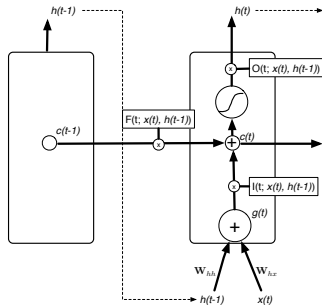
- **Internal recurrent state** (“cell”)  $c(t)$  combines previous state  $c(t-1)$  and LSTM input  $g(t)$
- Gates - weights dependent on the current input and the previous state
- **Input gate:** controls how much input to the unit  $g(t)$  is written to the internal state  $c(t)$
- **Forget gate:** controls how much of the previous internal state  $c(t-1)$  is written to the internal state  $c(t)$

# LSTM – Input, Forget and Output Gates



- **Output gate:** controls how much of each unit's activation is output by the hidden state – it allows the LSTM cell to keep information that is not relevant at the current time, but may be relevant later

# LSTM

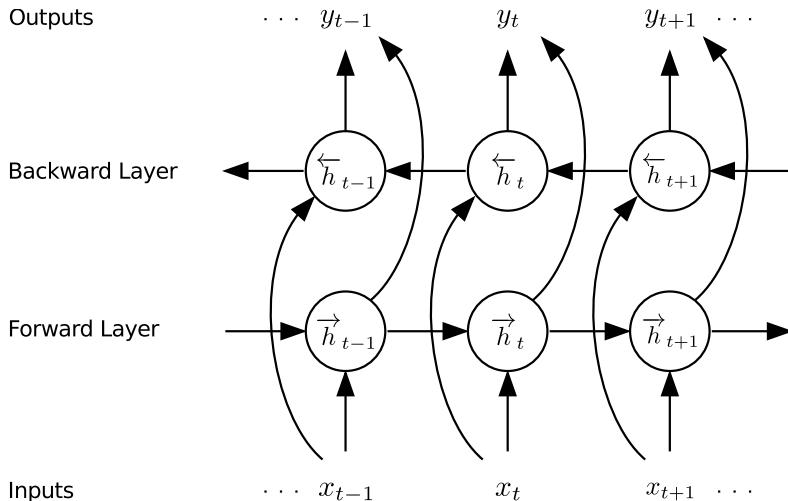


$$\begin{aligned} I(t) &= \sigma(W_{ix}x(t) + W_{ih}h(t-1) + b_i) \\ F(t) &= \sigma(W_{fx}x(t) + W_{fh}h(t-1) + b_f) \\ O(t) &= \sigma(W_{ox}x(t) + W_{oh}h(t-1) + b_o) \\ g(t) &= W_{hx}x(t) + W_{hh}h(t-1) + b_h \\ c(t) &= F(t) \circ c(t-1) + I(t) \circ g(t) \\ h(t) &= O(t) \circ \tanh(c(t)) \end{aligned}$$

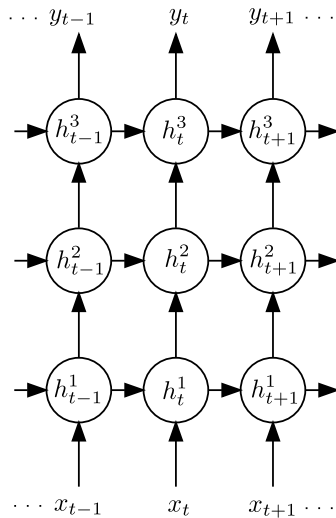
Avoids the vanishing gradient problem of conventional RNNs

C Olah (2015), Understanding LSTMs, <http://colah.github.io/posts/2015-08-Understanding-LSTMs/>

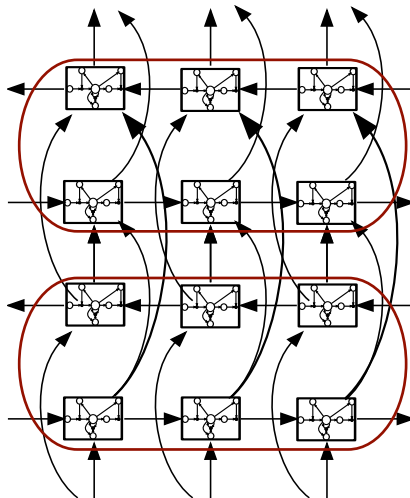
# Bidirectional RNN



# Deep RNN

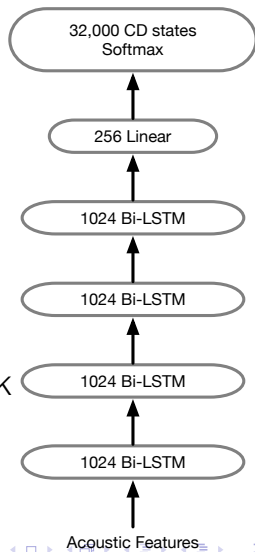


# Deep Bidirectional LSTM



# Example: Deep Bidirectional LSTM Acoustic Model (Switchboard)

- LSTM has 4-6 bidirectional layers with 1024 cells/layer (512 each direction)
- 256 unit linear bottleneck layer
- 32k context-dependent state outputs
- Input features
  - 40-dimension linearly transformed MFCCs (plus ivector)
  - 64-dimension log mel filter bank features (plus first and second derivatives)
  - concatenation of MFCC and FBANK features
- Training: 14 passes frame-level cross-entropy training, 1 pass sequence training (2 weeks on a K80 GPU)





# Switchboard Results

Network Architecture	Test Set WER/%	
	Switchboard	CallHome
GMM (ML)	21.2	36.4
GMM (BMMI)	18.6	33.0
DNN (7x2048) / CE	14.2	25.7
DNN (7x2048) / MMI	12.9	24.6
TDNN (6x1024) / CE	12.5	
TDNN (6x576) / LF-MMI	9.2	17.3
LSTM (4x1024)	8.0	14.3
LSTM (6x1024)	7.7	14.0
LSTM-6 + feat fusion	7.2	12.7

*GMM and DNN results – Vesely et al (2013); TDNN-CE results – Peddinti et al (2015); TDNN/LF-MMI results – Povey et al (2016); LSTM results – Saon et al (2017)*

*Combining models, and with multiple RNN language models, WER reduced to 5.5/10.3% (Saon et al, 2017)*

# Summary and Conclusions

- Scaling DNNs for large vocabulary speech recognition
- LSTM recurrent networks and TDNNs offer different ways to model temporal context

- A Maas et al (2017). “Building DNN acoustic models for large vocabulary speech recognition”, *Computer Speech and Language*, **41**:195–213.  
<https://arxiv.org/abs/1406.7806>
- V Peddinti et al (2015). “A time delay neural network architecture for efficient modeling of long temporal contexts”, *Interspeech*.  
[https://www.isca-speech.org/archive/interspeech\\_2015/i15\\_3214.html](https://www.isca-speech.org/archive/interspeech_2015/i15_3214.html)

## Background Reading:

- G Hinton et al (Nov 2012). “Deep neural networks for acoustic modeling in speech recognition”, *IEEE Signal Processing Magazine*, **29**(6), 82–97.  
<http://ieeexplore.ieee.org/document/6296526>
- Hervé Bourlard (1992). “CDNN: A context-dependent neural network for speech recognition”, *Proc. ICASSP*