# Introduction to Neural Networks

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### Automatic Speech Recognition – ASR Lecture 7 5 February 2018

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# Local Phonetic Scores and Sequence Modelling

- DTW local distances (Euclidean)
- HMM emission probabilities (Gaussian or GMM)



- Compute the phonetic score(acoustic-frame, phone-model) this does the detailed matching at the frame-level
- Chain phonetic scores together in a sequence DTW, HMM

# Phonetic scores

Task: given an input acoustic frame, output a score for each phone



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Compute the phonetic scores using a single layer neural network (linear regression!)



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# Phonetic scores

Compute the phonetic scores using a single layer neural network

- Write the estimated phonetic scores as a vector  $\mathbf{f} = (f_1, f_2, \dots, f_Q)$
- Then if the acoustic frame at time t is  $\mathbf{X} = (x_1, x_2, \dots, x_d)$ :

$$f_j = w_{j1}x_1 + w_{j2}x_2 + \ldots + w_{jd}x_d + b_j$$

or, write it using summation notation:

$$f_j = \sum_{i=1}^d w_{ji} x_i + b_j$$

or, write it as vectors:

$$\mathbf{f} = \mathbf{W}\mathbf{x} + \mathbf{b}$$

where we call W the weight matrix, and b the bias vector.

 Check your understanding: What are the dimensions of W and b?



How do we learn the *parameters* **W** and **b**?

- Minimise an Error Function: Define a function which is 0 when the output f(n) equals the *target output* r(n) for all n
- Target output: for TIMIT the target output corresponds to the phone label for each frame
- Mean square error: define the error function *E* as the mean square difference between output and the target:

$$E = \frac{1}{2} \cdot \frac{1}{N} \sum_{n=1}^{N} ||\mathbf{f}(n) - \mathbf{r}(n)||^2$$

where there are N frames of training data in total  $\rightarrow$   $\rightarrow$ 

### Notes on the error function

- **f** is a function of the acoustic data **x** and the weights and biases of the network (**W** and **b**)
- This means that as well as depending on the training data (x and r), E is also a function of the weights and biases, since it is a function of f
- We want to minimise the error function given a fixed training set: we must set **W** and **b** to minimise *E*
- Weight space: given the training set we can imagine a space where every possible value of **W** and **b** results in a specific value of *E*. We want to find the minimum of *E* in this weight space.
- Gradient descent: find the minimum iteratively given a current point in weight space find the direction of steepest descent, and change W and b to move in that direction

### Gradient Descent

- Iterative update after seeing some training data, we adjust the weights and biases to reduce the error. Repeat until convergence.
- To update a parameter so as to reduce the error, we move downhill in the direction of steepest descent. Thus to train a network we must compute the gradient of the error with respect to the weights and biases:

$$\begin{pmatrix} \frac{\partial E}{\partial w_{10}} & \cdot & \frac{\partial E}{\partial w_{1i}} & \cdot & \frac{\partial E}{\partial w_{1d}} \\ & & \ddots & & \\ \frac{\partial E}{\partial w_{j0}} & \cdot & \frac{\partial E}{\partial w_{ji}} & \cdot & \frac{\partial E}{\partial w_{jd}} \\ & & \ddots & & \\ \frac{\partial E}{\partial w_{Q0}} & \cdot & \frac{\partial E}{\partial w_{Qi}} & \cdot & \frac{\partial E}{\partial w_{Qd}} \end{pmatrix} \qquad \qquad \left( \begin{array}{c} \frac{\partial E}{\partial b_1} & \cdot & \frac{\partial E}{\partial b_j} & \cdot & \frac{\partial E}{\partial b_Q} \end{array} \right)$$

# Gradient Descent Procedure

- Initialise weights and biases with small random numbers
- I For each batch of training data
  - Initialise total gradients:  $\Delta w_{ki} = 0$ ,  $\Delta b_k = 0$
  - **2** For each training example n in the batch:
    - Compute the error  $E^n$
    - For all k, i: Compute the gradients  $\partial E^n / \partial w_{ki}$ ,  $\partial E^n / \partial b_k$
    - Update the total gradients by accumulating the gradients for example *n*

$$\Delta w_{ki} \leftarrow \Delta w_{ki} + rac{\partial E^n}{\partial w_{ki}} \quad \forall k, i$$
  
 $\Delta b_k \leftarrow \Delta b_k + rac{\partial E^n}{\partial b_k} \quad \forall k$ 

Opdate weights:

$$w_{ki} \leftarrow w_{ki} - \eta \Delta w_{ki} \quad \forall k, i$$
$$b_k \leftarrow b_k - \eta \Delta b_k \quad \forall k$$

Terminate either after a fixed number of epochs, or when the error stops decreasing by more than a threshold.

How do we compute the gradients  $\frac{\partial E^n}{\partial w_{ki}}$  and  $\frac{\partial E^n}{\partial b_k}$ ?

$$E^{n} = \frac{1}{2} \sum_{k=1}^{K} (f_{k}^{n} - r_{k}^{n})^{2} = \frac{1}{2} \sum_{k=1}^{K} \left( \sum_{i=1}^{d} (w_{ki} x_{i}^{n} + b_{k}) - r_{k}^{n} \right)^{2}$$
$$\frac{\partial E^{n}}{\partial w_{ki}} = (f_{k}^{n} - r_{k}^{n}) x_{i}^{n} = \mathbf{g}_{k}^{n} x_{i}^{n} \qquad \mathbf{g}_{k}^{n} = f_{k}^{n} - r_{k}^{n}$$

**Update rule**: Update a weight  $w_{ki}$  using the gradient of the error with respect to that weight: the product of the difference between the actual and target outputs for an example  $(f_k^n - r_k^n)$  and the value of the unit at the input to the weight  $(x_i)$ .

Check your understanding: Show that the gradient for the bias is

$$\frac{\partial E^n}{\partial b_k} = g_k^n$$

# Applying gradient descent to a single-layer network



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#### Use multiple frames of acoustic context



# Hidden units

- Single layer networks have limited computational power each output unit is trained to match a spectrogram directly (a kind of discriminative template matching)
- But there is a lot of variation in speech (as previously discussed) – rate, coarticulation, speaker characteristics, acoustic environment
- Introduce an intermediate feature representation "hidden units" – more robust than template matching
- Intermediate features represented by hidden units

### Hidden units extracting features



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# Hidden Units



$$h_j = \operatorname{relu}\left(\sum_{i=1}^d w_{ji}x_i + b_j\right)$$
  $f_k = \operatorname{softmax}\left(\sum_{j=1}^H v_{kj}h_j + b_k\right)$ 

## Rectified Linear Unit – ReLU



$$\mathsf{relu}(x) = \max(0, x)$$

Derivative: 
$$\operatorname{relu}'(x) = \frac{d}{dx}\operatorname{relu}(x) = \begin{cases} 0 & \text{if } x \le 0\\ 1 & \text{if } x > 0\\ 0 & \text{if } x > 0 \end{cases}$$

Softmax

$$y_k = \frac{\exp(a_k)}{\sum_{j=1}^{K} \exp(a_j)}$$
$$a_k = \sum_{j=1}^{H} v_{kj} h_j + b_k$$

- This form of activation has the following properties
  - Each output will be between 0 and 1
  - The denominator ensures that the K outputs will sum to 1
- Using softmax we can interpret the network output  $y_k^n$  as an estimate of  $P(k|\mathbf{x}^n)$

• Cross-entropy error function:

$$E^n = -\sum_{k=1}^C r_k^n \ln y_k^n$$

Optimise the weights  $\mathbf{W}$  to maximise the log probability – or to minimise the negative log probability.

• A neat thing about softmax: if we train with cross-entropy error function, we get a simple form for the gradients of the output weights:

$$\frac{\partial E^n}{\partial v_{kj}} = \underbrace{(y_k - r_k)}_{g_k} h_j$$

### Training multilayered networks - output layer



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### Training multilayered networks - output layer



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- Hidden units make training the weights more complicated, since the hidden units affect the error function indirectly via all the outputs
- The Credit assignment problem: what is the "error" of a hidden unit? how important is input-hidden weight w<sub>ji</sub> to output unit k?
- Solution: *back-propagate* the deltas through the network
- $g_j$  for a hidden unit is the weighted sum of the deltas of the connected output units. (Propagate the g values backwards through the network)
- Backprop provides way of estimating the error of each hidden unit

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# Backprop



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- The back-propagation of error algorithm is summarised as follows:
  - Apply an input vectors from the training set, x, to the network and forward propagate to obtain the output vector f
  - Osing the target vector r compute the error E<sup>n</sup>
  - **③** Evaluate the error signals  $g_k$  for each output unit
  - Evaluate the error signals g<sub>j</sub> for each hidden unit using back-propagation of error
  - Seven the derivatives for each training pattern
- Back-propagation can be extended to multiple hidden layers, in each case computing the gs for the current layer as a weighted sum of the gs of the next layer

# Summary and Reading

- Single-layer and multi-layer neural networks
- Error functions, weight space and gradient descent training
- Multilayer networks and back-propagation
- Transfer functions sigmoid and softmax
- Acoustic context
- M Nielsen, Neural Networks and Deep Learning, http://neuralnetworksanddeeplearning.com (chapters 1, 2, and 3)

Next lecture: Neural network acoustic models