

# Automated Reasoning

## Natural Deduction in First-Order Logic

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# Problem

Consider the following problem:

*Every person has a heart.*

*George Bush is a person.*

*Does George Bush have a heart?*

Is **Propositional logic** rich enough to formally represent and reason about this problem?

The finer logical structure of this problem would not be captured by the constructs we have so far encountered.

**We need a richer language!**

# A Richer Language

First order logic (FOL) extends propositional logic:

- Reasons about “individuals in a universe of discourse” and their “properties”
- Have **predicates** and **functions** to denote properties
- A variable stands for an element of the universe
- Variables range over individuals but not over functions and predicates
- Propositional connectives used to build up statements
- Quantifiers  $\forall$  (for all) and  $\exists$  (there exists) used
- FOL also known as Predicate logic

# FOL

- First order language is characterized by giving a finite collection of **functions  $\mathcal{F}$**  and **predicates  $\mathcal{P}$**  as well as a **set of variables**.
  - Often call  $(\mathcal{F}, \mathcal{P})$  a *signature*
- *2 syntactic categories: **terms** and **formulae***
  - *terms stand for individuals while formulae stand for truth values*

# Terms of FOL

Terms of a first-order language are defined as:

- Any variable is a term
- If  $c \in \mathcal{F}$  is a nullary function (i.e. a constant), then  $c$  is a term
- If  $t_1, \dots, t_n$  are terms and function  $f \in \mathcal{F}$  has arity  $n > 0$ , then  $f(t_1, \dots, t_n)$  is a term
- Nothing else is a term

# Formulae of FOL

A well-formed formula in FOL is defined as:

- If  $P \in \mathcal{P}$  is a predicate symbol of arity  $n \geq 0$ , and if  $t_1, \dots, t_n$  are terms over  $\mathcal{F}$ , then  $P(t_1, \dots, t_n)$  is a formula.
- If  $\phi$  is a formula, then so is  $(\neg\phi)$ .
- If  $\phi$  and  $\psi$  are formulas, then so are  $(\phi \wedge \psi)$ ,  $(\phi \vee \psi)$ ,  $(\phi \rightarrow \psi)$  and  $(\phi \leftrightarrow \psi)$ .
- If  $\phi$  is a formula and  $x$  is a variable, then  $(\exists x. \phi)$  and  $(\forall x. \phi)$  are formulas.
- Nothing else is a formula.

# Example: Problem Revisited

*We can now formally represent our problem in FOL:*

*Every person has a heart:*  $\forall x. \text{person}(x) \rightarrow \text{hasHeart}(x)$

*George Bush is a person:*  $\text{person}(\text{bush})$

*To answer the question*

*Does George Bush have a heart?*

*we need to prove:*

$((\forall x. \text{person}(x) \rightarrow \text{hasHeart}(x)) \wedge \text{person}(\text{bush})) \rightarrow \text{hasHeart}(\text{bush})$

*How do we prove if this is a valid statement?*

*- more on this later*

# Variables

- In FOL, variables can be in one of two states:
  - **bound**:  $\forall x. x=x$  or  $\exists x. x=x$ , *etc ...*
  - **free**:  $x=x$
- For example, in the proposition:

$$\forall x. \exists y. x * y = z$$

$x$  and  $y$  are bound variables and  $z$  is a free variable.



# Substitution Rule

If  $P$  is a formula,  $s$  is a term, and  $x$  is a free variable, then

$$P [s/x]$$

is the formula obtained by **substituting  $s$  for  $x$**  throughout  $P$ . Such a substitution rule can be defined as:

$$\frac{s=t \quad P [s/x]}{P [t/x]} \textit{subst}$$

Example:  $\exists x. P(x,y) [3/y] = \exists x. P(x,3)$

$\exists x. P(x,y) [2/x] = \exists x. P(x,y)$

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# Semantics of FOL Formulae

Informal view:

An **interpretation** of a formula maps its function symbols, including constants, to actual functions, and its predicate symbols to actual relations.

The interpretation also **specifies some domain  $\mathcal{D}$**  (a non-empty set or universe) on which the functions and relations are defined.

# Definition of Interpretation

An **interpretation** for a wff consists of a **nonempty set**  $\mathcal{D}$ , called the domain of the interpretation, together with an **assignment** of meanings to the symbols of the wff.

1. Each **predicate symbol** is assigned to a relation over  $\mathcal{D}$ .

A nullary predicate is assigned a truth value.

2. Each **function symbol** is assigned to a function over  $\mathcal{D}$ .

Each **nullary function** (constant) is assigned to a value in  $\mathcal{D}$ .

3. Each **free variable** is assigned to a value in  $\mathcal{D}$ .

All free occurrences of a free variable  $x$  are assigned to

---

the same value in  $\mathcal{D}$ .

# Example of Interpretation

Consider the formula

$$P(a) \wedge \exists x. Q(a,x) \quad (*)$$

formula does not mean anything on its own

A possible interpretation is:

- Domain is the set of natural numbers (e.g. 0, 1, 2, 3, ...)
- Assign 2 to  $a$ , assign the property of being even to  $P$ , and the relation of being greater than to  $Q$ , i.e.  $Q(x,y)$  means  $x$  is greater than  $y$
- Under this interpretation:  $(*)$  affirms that 2 is even and there exists a natural number that 2 is greater than. Is  $(*)$  satisfied under this interpretation? -Yes
- Such a satisfying interpretation is known as a **model**

# Semantics of FOL Formulae

The semantics (meaning) of a **wff** in FOL with respect to an interpretation with domain  $\mathcal{D}$  is the truth value obtained by applying the following rules:


1. If the **wff** has no quantifiers then its meaning is the truth value of the proposition obtained by applying the interpretation to the **wff**.
2. If the **wff** contains  $\forall x. W$  then  $\forall x. W$  is true if  $W [d/x]$  is true for every  $d \in \mathcal{D}$ . Otherwise,  $\forall x. W$  is false.
3. If the **wff** contains  $\exists x. W$  then  $\exists x. W$  is true if  $W [d/x]$  is true for some  $d \in \mathcal{D}$ . Otherwise,  $\exists x. W$  is false.

# More Introduction Rules

Our natural deduction rules for Propositional logic need to be extended to deal with FOL.

Quantifiers  $\forall$ ,  $\exists$  need substitution and notion of arbitrary variable:

$x_0$  is an arbitrary free variable i.e. we make no assumptions about it


$$\frac{P x_0}{\forall x. P x} \text{ allI} \quad \boxed{\text{provided } x_0 \text{ is fresh}}$$

$$\frac{P a}{\exists x. P x} \text{ exI}$$

# Existential Elimination

The proviso is part of the rule definition and cannot be omitted

$$\frac{\begin{array}{c} [P \ x] \\ \vdots \\ \exists u. P \ u \quad Q \end{array}}{Q} \text{exE}$$

Provided  $x$  does not occur in  $P \ u$  or  $Q$  or any other premise other than  $P \ x$  on which derivation of  $Q$  from  $P \ x$  depends

# Universal Elimination

“specialization” rule

$$\frac{\forall u. P u}{P x} \textit{spec}$$

An alternative universal elimination rule is `allE`:

$$\frac{\forall u. P u \quad \begin{array}{c} [P x] \\ \vdots \\ R \end{array}}{R} \textit{allE}$$

Note: This rule is mostly useful when doing a mechanical proof



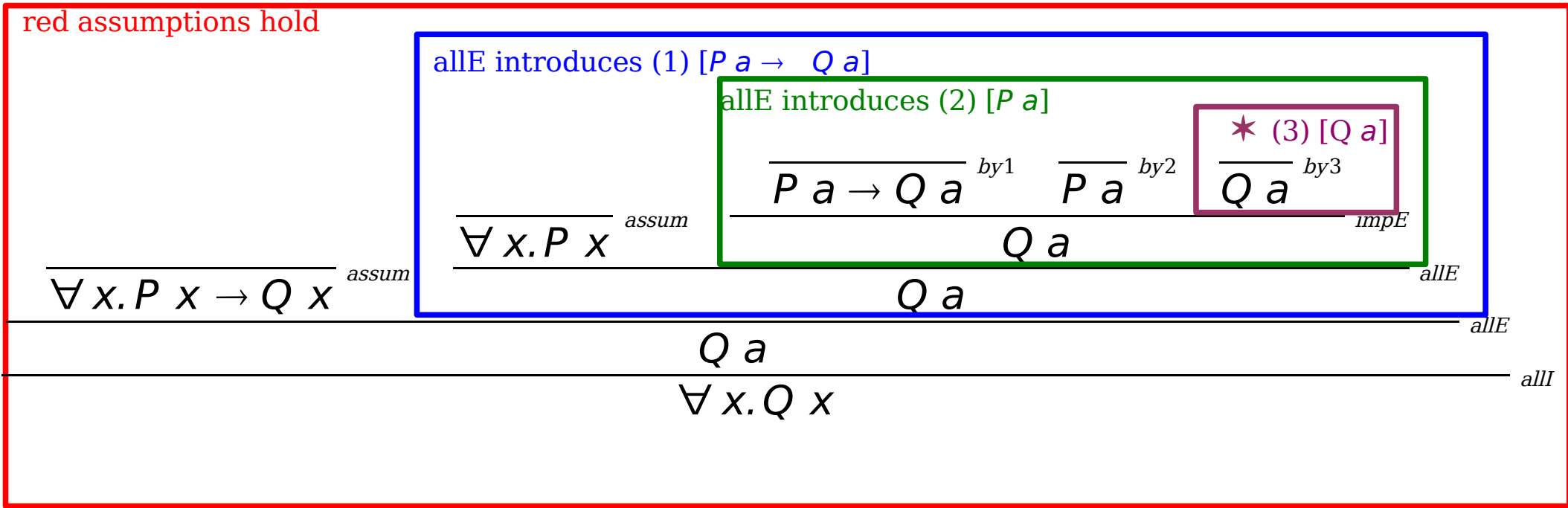
# Example proof

Prove that  $\exists y. P y$  is true, given that  $\forall x. P x$  holds.

$$\frac{\frac{\overline{\forall x. P x} \text{ } \textit{assum}}{\textit{spec}}}{P a} \text{ } \textit{exI}$$

# Example proof (II)

Prove that  $\forall x. Q x$  is true, given that  $\forall x. P x$  and  $(\forall x. P x \rightarrow Q x)$  both hold.



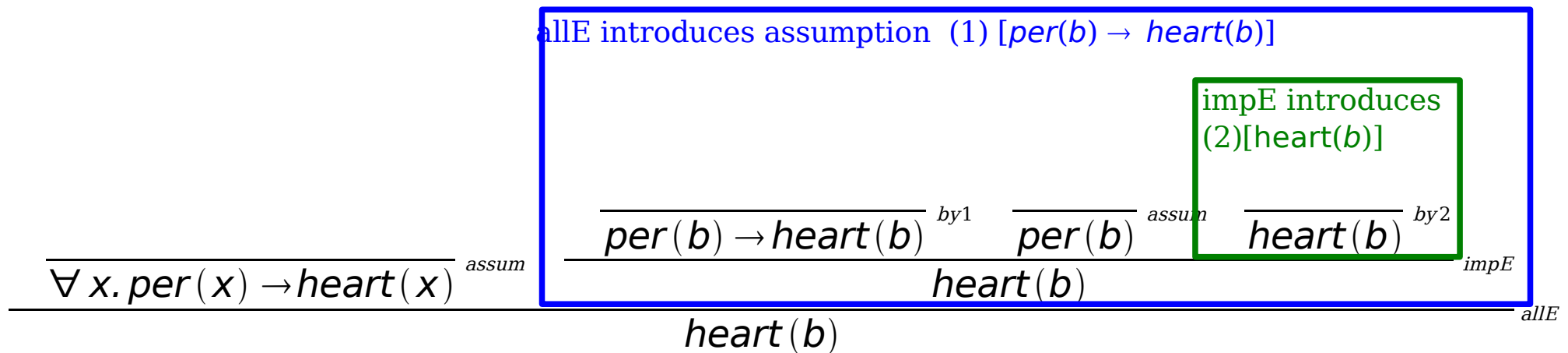
\* *impE* introduces (3) [ $Q a$ ]

Exercise: Redo this proof using “spec” instead of *allE*

# Problem (III)

Prove that  $hasHeart(bush)$  given that  $\forall x. person(x) \rightarrow hasHeart(x)$  and  $person(bush)$  hold.

red assumptions hold



abbrevs:  $heart(x)$  for  $hasHeart(x)$  and  $per(x)$  for  $person(x)$

Exercise: Redo this proof using "spec" instead of allE

# FOL in Coq

In Coq, FOL is a **typed logic** with

- types such as *nat* (for natural numbers), *bool* (for boolean values) and *list* (for lists)
- **type constructors** such as *0* and *S* for constructing *nat* terms: e.g. *0* represents “zero”, *S 0* represents “one” and *S (S 0)* represents “two”.
- **function types** written using  $\rightarrow$ , e.g. *nat*  $\rightarrow$  *nat*  $\rightarrow$  *nat* is the type of a function that takes two *nat* term arguments and returns a *nat* term.
- **parameterized types** that allow us to define types parameterized by other types e.g. *nat list* for lists of *nat* terms and *bool list* for lists of *bool* terms.

# FOL in Coq (II)

- Consider the mathematical predicate *mod*. In Coq, we could formalize this as:

```
Definition mod (a:nat) (b:nat) (c:nat) : Prop :=
  exists k, a = b * k + c.
```

We can use this definition to write propositions like:

```
forall (a b c d:nat), a = d -> mod d b c = mod a b c.
```

- Coq performs **type inference**. The definition above could have been written as:

```
Definition mod a b c := exists k, a = b * k + c.
```

The proposition could have been written as:

```
forall a b c d, a = d -> mod d b c = mod a b c.
```

# Coq Demo

Can be found on course webpage ...

# Summary

- Introduction to FOL
  - Syntax and Semantics
  - Substitution
  - Intro and elim rules for quantifiers
- Coq
  - Declaring predicates
  - Brief look at types
- Next time: matters of representation