

CIS 500  
Software Foundations  
Fall 2006

September 25

# The Lambda Calculus

# The lambda-calculus

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- ▶ If our previous language of arithmetic expressions was the simplest nontrivial programming language, then the lambda-calculus is the simplest *interesting* programming language...
  - ▶ Turing complete
  - ▶ higher order (functions as data)
- ▶ Indeed, in the lambda-calculus, *all* computation happens by means of function abstraction and application.
- ▶ The *e. coli* of programming language research
- ▶ The foundation of many real-world programming language designs (including ML, Haskell, Scheme, Lisp, ...)

## Intuitions

---

Suppose we want to describe a function that adds three to any number we pass it. We might write

```
plus3 x = succ (succ (succ x))
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That is, “`plus3 x` is `succ (succ (succ x))`.”

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A: `plus3` is the function that, given `x`, yields `succ (succ (succ x))`.

```
plus3 = λx. succ (succ (succ x))
```

This function exists independent of the name `plus3`.

`λx. t` is written “`fun x → t`” in OCaml.

So `plus3 (succ 0)` is just a convenient shorthand for “the function that, given `x`, yields `succ (succ (succ x))`, applied to `succ 0`.”

$$\begin{aligned} & \text{plus3 (succ 0)} \\ & \quad = \\ & (\lambda x. \text{succ (succ (succ x))}) (\text{succ 0}) \end{aligned}$$





## Abstractions Returning Functions

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Consider the following variant of `g`:

$$\text{double} = \lambda f. \lambda y. f (f y)$$

I.e., `double` is the function that, when applied to a function `f`, yields a *function* that, when applied to an argument `y`, yields `f (f y)`.

## Example

---

```
double plus3 0
=  (λf. λy. f (f y))
   (λx. succ (succ (succ x)))
   0
i.e. (λy. (λx. succ (succ (succ x)))
       ((λx. succ (succ (succ x))) y))
      0
i.e. (λx. succ (succ (succ x)))
       ((λx. succ (succ (succ x))) 0)
i.e. (λx. succ (succ (succ x)))
       (succ (succ (succ 0)))
i.e. succ (succ (succ (succ (succ (succ 0)))))
```

# The Pure Lambda-Calculus

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As the preceding examples suggest, once we have  $\lambda$ -abstraction and application, we can throw away all the other language primitives and still have left a rich and powerful programming language.

In this language — the “pure lambda-calculus” — *everything* is a function.

- ▶ Variables always denote functions
- ▶ Functions always take other functions as parameters
- ▶ The result of a function is always a function

# Formalities

# Syntax

---

$t ::=$	<i>terms</i>
$x$	<i>variable</i>
$\lambda x. t$	<i>abstraction</i>
$t t$	<i>application</i>

Terminology:

- ▶ terms in the pure  $\lambda$ -calculus are often called  *$\lambda$ -terms*
- ▶ terms of the form  $\lambda x. t$  are called  *$\lambda$ -abstractions* or just *abstractions*

## Syntactic conventions

---

Since  $\lambda$ -calculus provides only one-argument functions, all multi-argument functions must be written in curried style.

The following conventions make the linear forms of terms easier to read and write:

- ▶ Application associates to the left

*E.g.,  $t\ u\ v$  means  $(t\ u)\ v$ , not  $t\ (u\ v)$*

- ▶ Bodies of  $\lambda$ - abstractions extend as far to the right as possible

*E.g.,  $\lambda x. \lambda y. x\ y$  means  $\lambda x. (\lambda y. x\ y)$ , not  $\lambda x. (\lambda y. x)\ y$*

## Scope

---

The  $\lambda$ -abstraction term  $\lambda x. t$  binds the variable  $x$ .

The *scope* of this binding is the *body*  $t$ .

Occurrences of  $x$  inside  $t$  are said to be *bound* by the abstraction.

Occurrences of  $x$  that are *not* within the scope of an abstraction binding  $x$  are said to be *free*.

$$\lambda x. \lambda y. x y z$$



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$$\lambda x. \lambda y. x y z$$
$$\lambda x. (\lambda y. z y) y$$

# Values

---

$v ::=$

$\lambda x. t$

*values*

*abstraction value*

# Operational Semantics

---

Computation rule:

$$(\lambda x. t_{12}) v_2 \longrightarrow [x \mapsto v_2]t_{12} \quad (\text{E-APPABS})$$

*Notation:  $[x \mapsto v_2]t_{12}$  is “the term that results from substituting free occurrences of  $x$  in  $t_{12}$  with  $v_{12}$ .”*

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Congruence rules:

$$\frac{t_1 \longrightarrow t'_1}{t_1 t_2 \longrightarrow t'_1 t_2} \quad (\text{E-APP1})$$

$$\frac{t_2 \longrightarrow t'_2}{v_1 t_2 \longrightarrow v_1 t'_2} \quad (\text{E-APP2})$$

## Terminology

---

A term of the form  $(\lambda x. t) v$  — that is, a  $\lambda$ -abstraction applied to a *value* — is called a *redex* (short for “reducible expression”).

## Alternative evaluation strategies

---

Strictly speaking, the language we have defined is called the *pure, call-by-value lambda-calculus*.

The evaluation strategy we have chosen — *call by value* — reflects standard conventions found in most mainstream languages.

Some other common ones:

- ▶ Call by name (cf. Haskell)
- ▶ Normal order (leftmost/outermost)
- ▶ Full (non-deterministic) beta-reduction

# Programming in the Lambda-Calculus

## Multiple arguments

---

Above, we wrote a function `double` that returns a function as an argument.

$$\text{double} = \lambda f. \lambda y. f (f y)$$

This idiom — a  $\lambda$ -abstraction that does nothing but immediately yield another abstraction — is very common in the  $\lambda$ -calculus. In general,  $\lambda x. \lambda y. t$  is a function that, given a value  $v$  for  $x$ , yields a function that, given a value  $u$  for  $y$ , yields  $t$  with  $v$  in place of  $x$  and  $u$  in place of  $y$ . That is,  $\lambda x. \lambda y. t$  is a two-argument function.

(Recall the discussion of *currying* in OCaml.)



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*E.g.,  $t u v$  means  $(t u) v$ , not  $t (u v)$*

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## The “Church Booleans”

---

`tru = λt. λf. t`

`fls = λt. λf. f`

`tru v w`  
= `(λt. λf. t)` `v w` by definition  
→ `(λf. v)` `w` reducing the underlined redex  
→ `v` reducing the underlined redex

`fls v w`  
= `(λt. λf. f)` `v w` by definition  
→ `(λf. f)` `w` reducing the underlined redex  
→ `w` reducing the underlined redex

## Functions on Booleans

---

```
not = λb. b fls tru
```

That is, `not` is a function that, given a boolean value `v`, returns `fls` if `v` is `tru` and `tru` if `v` is `fls`.

## Functions on Booleans

---

`and = λb. λc. b c fls`

That is, `and` is a function that, given two boolean values `v` and `w`, returns `w` if `v` is `tru` and `fls` if `v` is `fls`

Thus `and v w` yields `tru` if both `v` and `w` are `tru` and `fls` if either `v` or `w` is `fls`.

## Pairs

---

```
pair = λf.λs.λb. b f s
fst  = λp. p tru
snd  = λp. p fls
```

That is, `pair v w` is a function that, when applied to a boolean value `b`, applies `b` to `v` and `w`.

By the definition of booleans, this application yields `v` if `b` is `tru` and `w` if `b` is `fls`, so the first and second projection functions `fst` and `snd` can be implemented simply by supplying the appropriate boolean.

## Example

---

$\text{fst } (\text{pair } v \ w)$   
 $= \text{fst } ((\lambda f. \lambda s. \lambda b. b \ f \ s) \ v \ w)$  by definition  
 $\longrightarrow \text{fst } ((\lambda s. \lambda b. b \ v \ s) \ w)$  reducing  
 $\longrightarrow \text{fst } (\lambda b. b \ v \ w)$  reducing  
 $= \underline{(\lambda p. p \ \text{tru}) (\lambda b. b \ v \ w)}$  by definition  
 $\longrightarrow \underline{(\lambda b. b \ v \ w) \ \text{tru}}$  reducing  
 $\longrightarrow \text{tru } v \ w$  reducing  
 $\longrightarrow^* v$  as before.

## Church numerals

---

Idea: represent the number  $n$  by a function that “repeats some action  $n$  times.”

$$c_0 = \lambda s. \lambda z. z$$

$$c_1 = \lambda s. \lambda z. s z$$

$$c_2 = \lambda s. \lambda z. s (s z)$$

$$c_3 = \lambda s. \lambda z. s (s (s z))$$

That is, each number  $n$  is represented by a term  $c_n$  that takes two arguments,  $s$  and  $z$  (for “successor” and “zero”), and applies  $s$ ,  $n$  times, to  $z$ .

# Functions on Church Numerals

---

Successor:



# Functions on Church Numerals

---

Successor:

$$\text{scc} = \lambda n. \lambda s. \lambda z. s (n s z)$$

# Functions on Church Numerals

---

Successor:

$$\text{succ} = \lambda n. \lambda s. \lambda z. s (n s z)$$

Addition:

## Functions on Church Numerals

---

Successor:

$$\text{succ} = \lambda n. \lambda s. \lambda z. s (n s z)$$

Addition:

$$\text{plus} = \lambda m. \lambda n. \lambda s. \lambda z. m s (n s z)$$

## Functions on Church Numerals

---

Successor:

$$\text{succ} = \lambda n. \lambda s. \lambda z. s (n s z)$$

Addition:

$$\text{plus} = \lambda m. \lambda n. \lambda s. \lambda z. m s (n s z)$$

Multiplication:

## Functions on Church Numerals

---

Successor:

$$\text{succ} = \lambda n. \lambda s. \lambda z. s (n s z)$$

Addition:

$$\text{plus} = \lambda m. \lambda n. \lambda s. \lambda z. m s (n s z)$$

Multiplication:

$$\text{times} = \lambda m. \lambda n. m (\text{plus } n) c_0$$

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Zero test:

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Addition:

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Multiplication:

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Zero test:

$$\text{iszro} = \lambda m. m (\lambda x. \text{fls}) \text{tru}$$

## Functions on Church Numerals

---

Successor:

```
scc = λn. λs. λz. s (n s z)
```

Addition:

```
plus = λm. λn. λs. λz. m s (n s z)
```

Multiplication:

```
times = λm. λn. m (plus n) c0
```

Zero test:

```
iszro = λm. m (λx. fls) tru
```

What about predecessor?



## Predecessor

---

```
zz = pair c0 c0
```

```
ss = λp. pair (snd p) (scc (snd p))
```

```
prd = λm. fst (m ss zz)
```

## Normal forms

---

Recall:

- ▶ A *normal form* is a term that cannot take an evaluation step.
- ▶ A *stuck* term is a normal form that is not a value.

Are there any stuck terms in the pure  $\lambda$ -calculus?

Prove it.

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Recall:

- ▶ A *normal form* is a term that cannot take an evaluation step.
- ▶ A *stuck* term is a normal form that is not a value.

Are there any stuck terms in the pure  $\lambda$ -calculus?

Prove it.

Does every term evaluate to a normal form?

Prove it.

## Divergence

---

$\text{omega} = (\lambda x. x x) (\lambda x. x x)$

Note that `omega` evaluates in one step to itself!

So evaluation of `omega` never reaches a normal form: it *diverges*.

# Divergence

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`omega = (λx. x x) (λx. x x)`

Note that `omega` evaluates in one step to itself!

So evaluation of `omega` never reaches a normal form: it *diverges*.

Being able to write a divergent computation does not seem very useful in itself. However, there are variants of `omega` that are very useful...

# Recursion in the Lambda-Calculus

## Iterated Application

---

Suppose  $f$  is some  $\lambda$ -abstraction, and consider the following term:

$$Y_f = (\lambda x. f (x x)) (\lambda x. f (x x))$$

## Iterated Application

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Suppose  $f$  is some  $\lambda$ -abstraction, and consider the following term:

$$Y_f = (\lambda x. f (x x)) (\lambda x. f (x x))$$

Now the “pattern of divergence” becomes more interesting:

$$\begin{aligned} & Y_f \\ & = \\ & \quad (\lambda x. f (x x)) (\lambda x. f (x x)) \\ & \quad \longrightarrow \\ & \quad f ((\lambda x. f (x x)) (\lambda x. f (x x))) \\ & \quad \longrightarrow \\ & \quad f (f ((\lambda x. f (x x)) (\lambda x. f (x x)))) \\ & \quad \longrightarrow \\ & \quad f (f (f ((\lambda x. f (x x)) (\lambda x. f (x x))))) \\ & \quad \longrightarrow \\ & \quad \dots \end{aligned}$$



$Y_f$  is still not very useful, since (like  $\omega$ ), all it does is diverge.  
Is there any way we could “slow it down”?

## Delaying divergence

---

`poisonpill = λy. omega`

Note that `poisonpill` is a value — it will only diverge when we actually apply it to an argument. This means that we can safely pass it as an argument to other functions, return it as a result from functions, etc.

$$\begin{array}{c} \underline{(\lambda p. \text{fst (pair } p \text{ fls) tru}) \text{ poisonpill}} \\ \longrightarrow \\ \text{fst (pair } \text{poisonpill} \text{ fls) tru} \\ \longrightarrow^* \\ \underline{\text{poisonpill tru}} \\ \longrightarrow \\ \text{omega} \\ \longrightarrow \\ \dots \end{array}$$

Cf *thunks* in OCaml

## A delayed variant of omega

---

Here is a variant of `omega` in which the delay and divergence are a bit more tightly intertwined:

$$\text{omegav} = \lambda y. (\lambda x. (\lambda y. x x y)) (\lambda x. (\lambda y. x x y)) y$$

Note that `omegav` is a normal form. However, if we apply it to any argument `v`, it diverges:

$$\begin{aligned} & \text{omegav } v \\ & = \\ & \frac{(\lambda y. (\lambda x. (\lambda y. x x y)) (\lambda x. (\lambda y. x x y)) y) v}{\longrightarrow} \\ & \frac{(\lambda x. (\lambda y. x x y)) (\lambda x. (\lambda y. x x y)) v}{\longrightarrow} \\ & (\lambda y. (\lambda x. (\lambda y. x x y)) (\lambda x. (\lambda y. x x y)) y) v \\ & = \\ & \text{omegav } v \end{aligned}$$

## Another delayed variant

---

Suppose  $f$  is a function. Define

$$Z_f = \lambda y. (\lambda x. f (\lambda y. x x y)) (\lambda x. f (\lambda y. x x y)) y$$

This term combines the “added  $f$ ” from  $Y_f$  with the “delayed divergence” of  $\text{omegav}$ .

If we now apply  $Z_f$  to an argument  $v$ , something interesting happens:

$$\begin{aligned}
 & Z_f \ v \\
 & \quad = \\
 & \frac{(\lambda y. (\lambda x. \mathbf{f} (\lambda y. x \ x \ y)) (\lambda x. \mathbf{f} (\lambda y. x \ x \ y)) \ y) \ v}{\longrightarrow} \\
 & \quad \frac{(\lambda x. \mathbf{f} (\lambda y. x \ x \ y)) (\lambda x. \mathbf{f} (\lambda y. x \ x \ y)) \ v}{\longrightarrow} \\
 & \mathbf{f} (\lambda y. (\lambda x. \mathbf{f} (\lambda y. x \ x \ y)) (\lambda x. \mathbf{f} (\lambda y. x \ x \ y)) \ y) \ v \\
 & \quad = \\
 & \mathbf{f} \ Z_f \ v
 \end{aligned}$$

Since  $Z_f$  and  $v$  are both values, the next computation step will be the reduction of  $\mathbf{f} \ Z_f$  — that is, before we “diverge,”  $\mathbf{f}$  gets to do some computation.

Now we are getting somewhere.

## Recursion

---

Let

```
f = λfct.  
    λn.  
        if n=0 then 1  
        else n * (fct (pred n))
```

`f` looks just the ordinary factorial function, except that, in place of a recursive call in the last time, it calls the function `fct`, which is passed as a parameter.

N.b.: for brevity, this example uses “real” numbers and booleans, infix syntax, etc. It can easily be translated into the pure lambda-calculus (using Church numerals, etc.).

We can use `Z` to “tie the knot” in the definition of `f` and obtain a real recursive factorial function:

$$\begin{aligned}
 & Z_f \ 3 \\
 & \longrightarrow^* \\
 & f \ Z_f \ 3 \\
 & = \\
 & (\lambda fct. \ \lambda n. \ \dots) \ Z_f \ 3 \\
 & \longrightarrow \ \longrightarrow \\
 & \text{if } 3=0 \text{ then } 1 \text{ else } 3 * (Z_f \ (\text{pred } 3)) \\
 & \longrightarrow^* \\
 & 3 * (Z_f \ (\text{pred } 3)) \\
 & \longrightarrow \\
 & 3 * (Z_f \ 2) \\
 & \longrightarrow^* \\
 & 3 * (f \ Z_f \ 2) \\
 & \dots
 \end{aligned}$$

## A Generic Z

---

If we define

$$Z = \lambda f. Z_f$$

i.e.,

$$Z = \lambda f. \lambda y. (\lambda x. f (\lambda y. x x y)) (\lambda x. f (\lambda y. x x y)) y$$

then we can obtain the behavior of  $Z_f$  for any  $f$  we like, simply by applying  $Z$  to  $f$ .

$$Z f \longrightarrow Z_f$$



For example:

```
fact      =      Z ( λfct.  
                    λn.  
                      if n=0 then 1  
                      else n * (fct (pred n)) )
```

## Technical Note

---

The term  $Z$  here is essentially the same as the `fix` discussed the book.

$$Z = \lambda f. \lambda y. (\lambda x. f (\lambda y. x x y)) (\lambda x. f (\lambda y. x x y)) y$$
$$\text{fix} = \lambda f. (\lambda x. f (\lambda y. x x y)) (\lambda x. f (\lambda y. x x y))$$

$Z$  is hopefully slightly easier to understand, since it has the property that  $Z f v \longrightarrow^* f (Z f) v$ , which `fix` does not (quite) share.