

# Non-projective Dependency Parsing using Spanning Tree Algorithms

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# Outline

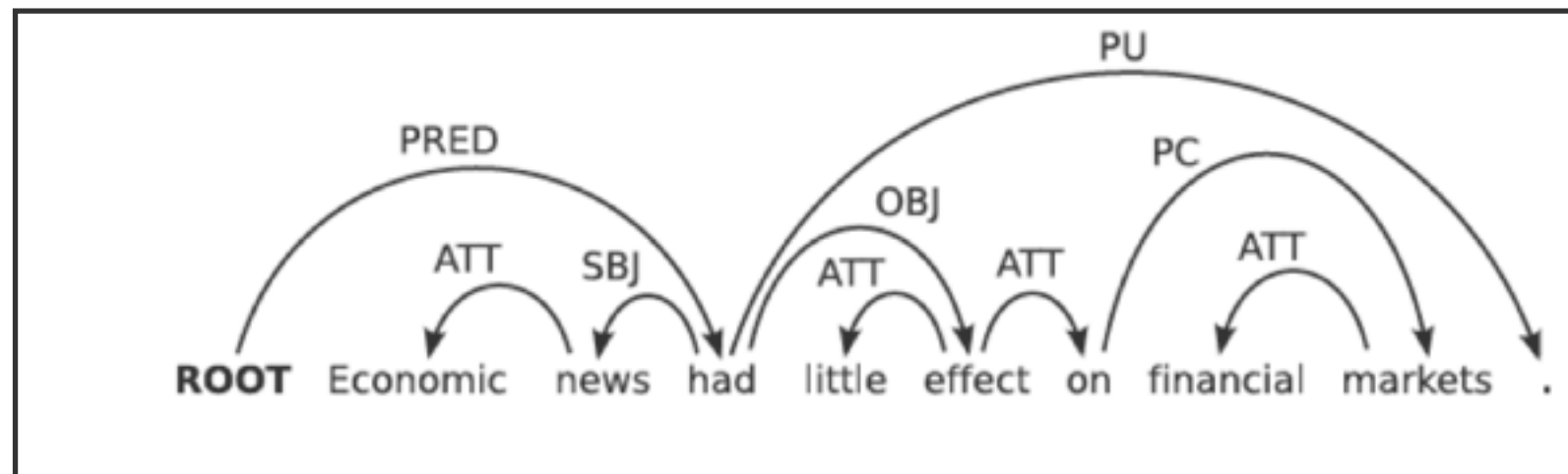
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- **Basic Concepts**
- Edge-based factorization
- Parsing Algorithm & Learning Algorithm
- Experiments and Results

# Recap: Dependency Parsing Basics

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- **Dependency relations:** syntactic structure essentially consists of words linked by binary, asymmetrical relations



*Dependency Structure of English Sentence, Figure Adapted from Dependency Parsing (Kübler et.al, 2009, p2)*

# Recap: Projective Trees

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- If we say a tree is **projective**, we mean that if we put the words in their linear order, preceded by the root, **the edges can be drawn above the words without crossings**, or, equivalently, a word and its descendants form a contiguous substring of the sentence.

# Recap: Projective vs. non-projective Dependency Trees

- Projective Dependency Trees

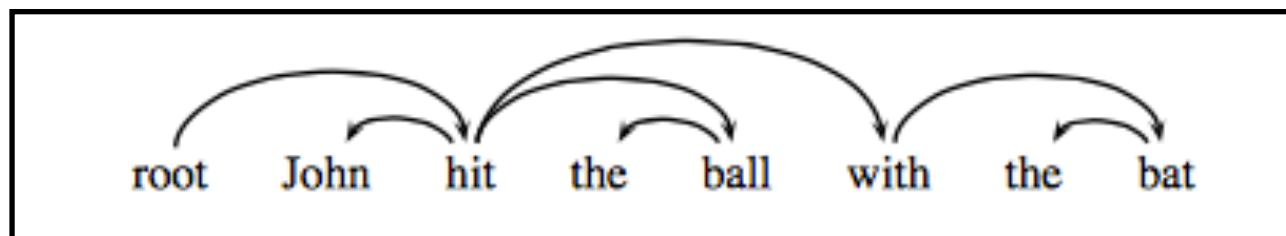


Figure A

- Non-Projective Dependency Trees

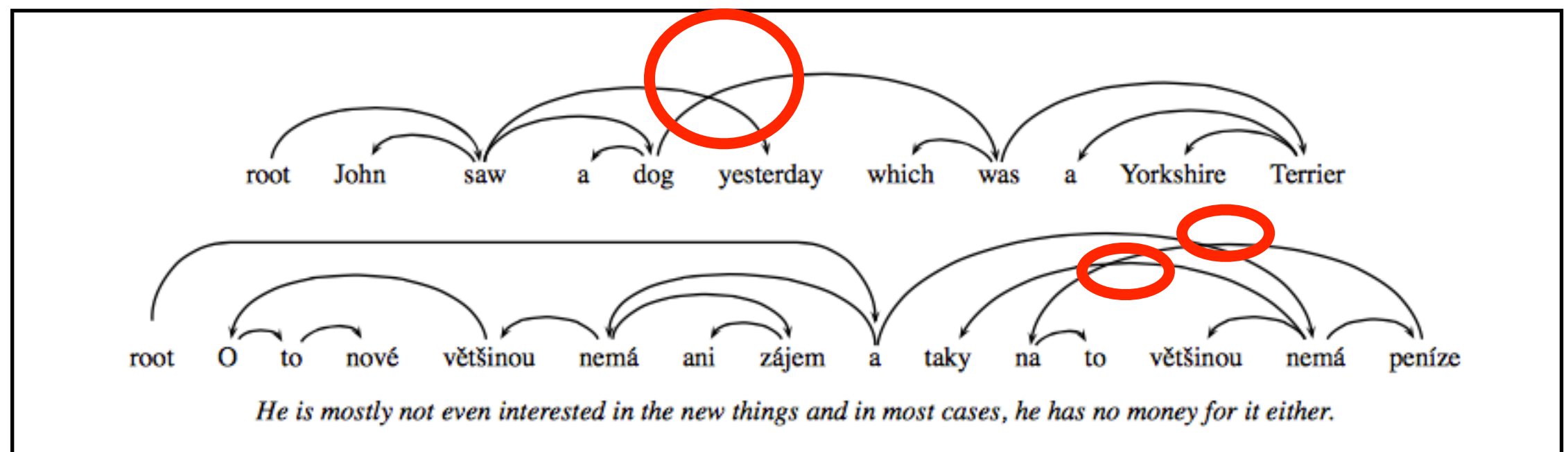


Figure B

Figures adapted from McDonald et al., 2005

# Motivation: Dependency Parsing

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- More **efficient** to Learn and parse while still encoding much of the predicate-argument information needed in applications
- Applications
  - Relation Extraction(Culotta and Sorensen, 2004)
  - Machine Translation(Ding and Palmer, 2005)
  - Synonym Generation(Shinyama et al., 2002)
  - Lexical Resource Augmentation(Snow et al., 2004)

# Motivation: Non-projective Trees

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Why?

25% or more of the sentences in some languages cannot be given a linguistically adequate analysis without invoking non-projective structures

(Nivre, 2009; Nivre, 2006; Kuhlman and Nivre, 2006; Havelka, 2007)

In languages with more flexible word order than English, such as German, Dutch and Czech, non-projective dependencies are more frequent.

# Outline

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- Basic Concepts
- **Edge-based Factorization**
- Parsing Algorithm & Learning Algorithm
- Experiments and Results



# Dependency Parsing and Spanning Trees

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- Edge based Factorization

Sentence:  $x = x_1 \dots x_n$

## **Dependency Tree $y$**

- the set of tree edges
- $(i, j) \in y$  if there is a dependency in  $y$  from word  $x_i$  to word  $x_j$

## **Score of the dependency tree**

the sum of score of all the edges in the tree

# Dependency Parsing and Spanning Trees

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- Edge based Factorization

**score of an edge:** the dot product between a high dimensional feature representation of the edge and a weight vector

$$s(i, j) = w \cdot f(i, j)$$

**score of a dependency tree  $y$  for sentence  $x$ :**

$$s(x, y) = \sum_{(i,j) \in y} s(i, j) = \sum_{(i,j) \in y} w \cdot f(i, j)$$

**Dependency parsing:** finding the dependency tree  $y$  with the **highest score** for given sentence  $x$

# Outline

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- Basic Concepts
- Edge Based Factorization
- **Parsing Algorithm** & Learning Algorithm
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# Maximum Spanning Trees: **Projective**

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## **A Generic Directed Graph $G=(V, E)$**

Vertex Set:  $V=\{v_1, \dots, v_n\}$

Set  $E\subseteq[1:n]\times[1:n]$  of pairs  $(i, j)$  of directed edges  $v_i\rightarrow v_j$

Score of each edge  $s(i, j)$

$G$  is directed,  $s(i, j)$  does not necessarily equal  $s(j, i)$

## **A Maximum Spanning Tree(MST) of $G$ is a tree $y$**

$y\subseteq E$

that maximizes the value  $\sum_{(i,j)\in y} s(i,j)$  for every vertex in  $V$

## **For each sentence $x$ , we define the directed graph**

$$G_x = (V_x, E_x)$$

$$V_x = \{x_0 = \text{root}, x_1, \dots, x_n\}$$

$$E_x = \{(i, j): i \neq j, (i, j) \in [0:n]\times[1:n]\}$$

# Maximum Spanning Trees: Algorithm

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**Chu-Liu-Edmonds**( $G, s$ )  
Graph  $G = (V, E)$   
Edge weight function  $s : E \rightarrow \mathbb{R}$

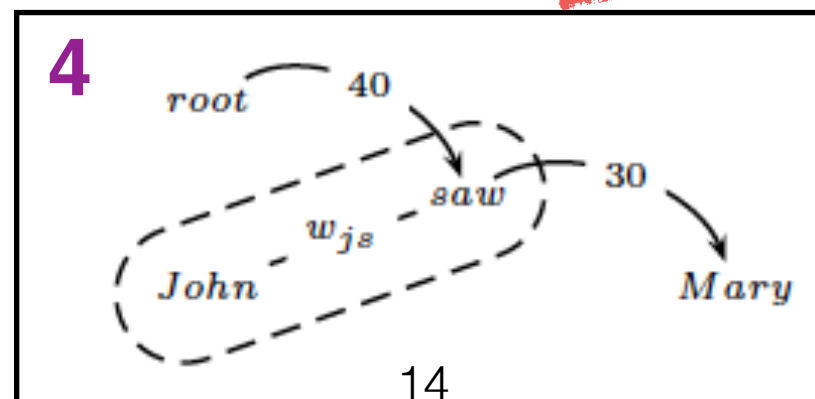
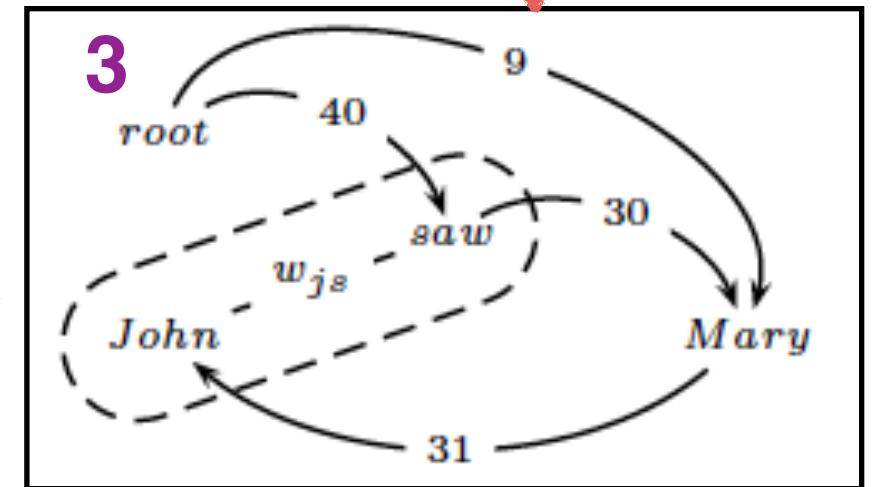
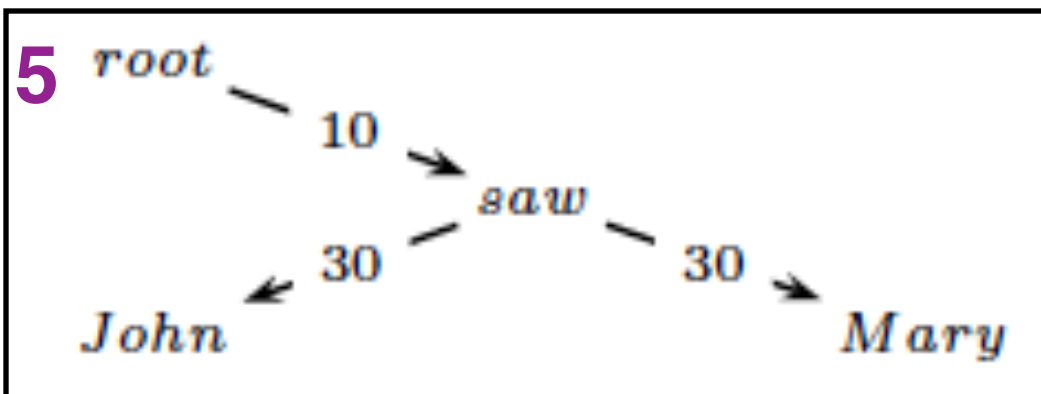
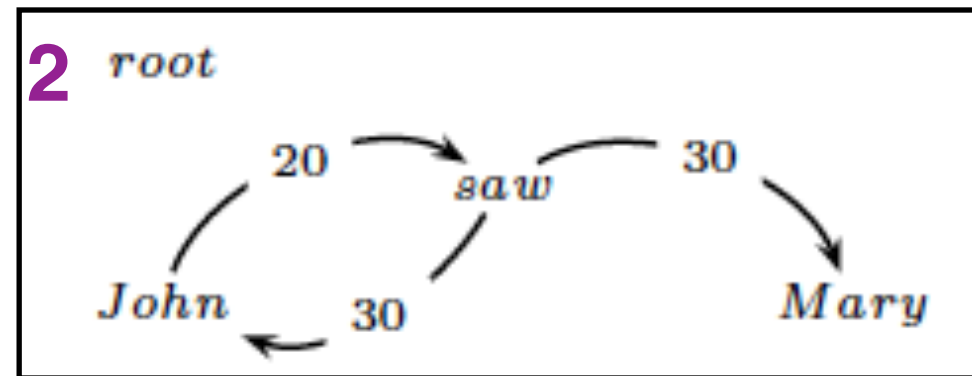
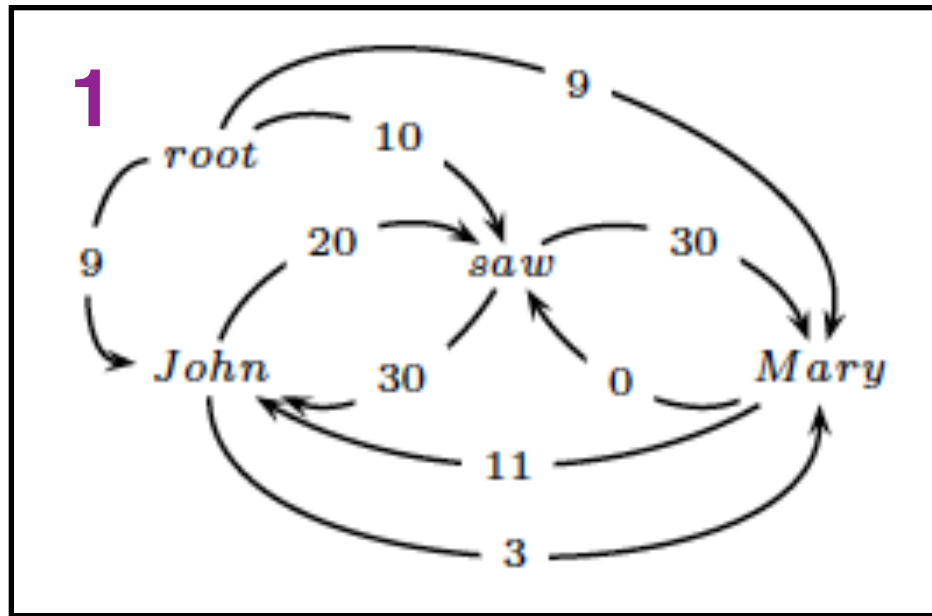
1. Let  $M = \{(x^*, x) : x \in V, x^* = \arg \max_{x'} s(x', x)\}$
2. Let  $G_M = (V, M)$
3. If  $G_M$  has no cycles, then it is an MST: return  $G_M$
4. Otherwise, find a cycle  $C$  in  $G_M$
5. Let  $G_C = \text{contract}(G, C, s)$
6. Let  $y = \text{Chu-Liu-Edmonds}(G_C, s)$
7. Find a vertex  $x \in C$  s. t.  $(x', x) \in y, (x'', x) \in C$
8. return  $y \cup C - \{(x'', x)\}$

**contract**( $G = (V, E), C, s$ )

1. Let  $G_C$  be the subgraph of  $G$  excluding nodes in  $C$
2. Add a node  $c$  to  $G_C$  representing cycle  $C$
3. For  $x \in V - C : \exists_{x' \in C} (x', x) \in E$   
Add edge  $(c, x)$  to  $G_C$  with  
 $s(c, x) = \max_{x' \in C} s(x', x)$
4. For  $x \in V - C : \exists_{x' \in C} (x, x') \in E$   
Add edge  $(x, c)$  to  $G_C$  with  
 $s(x, c) = \max_{x' \in C} [s(x, x') - s(a(x'), x') + s(C)]$   
where  $a(v)$  is the predecessor of  $v$  in  $C$   
and  $s(C) = \sum_{v \in C} s(a(v), v)$
5. return  $G_C$

Figure 3: Chiu-Liu-Edmonds algorithm for finding maximum Spanning Trees in Directed Graph

# Maximum Spanning Trees: Non-projective

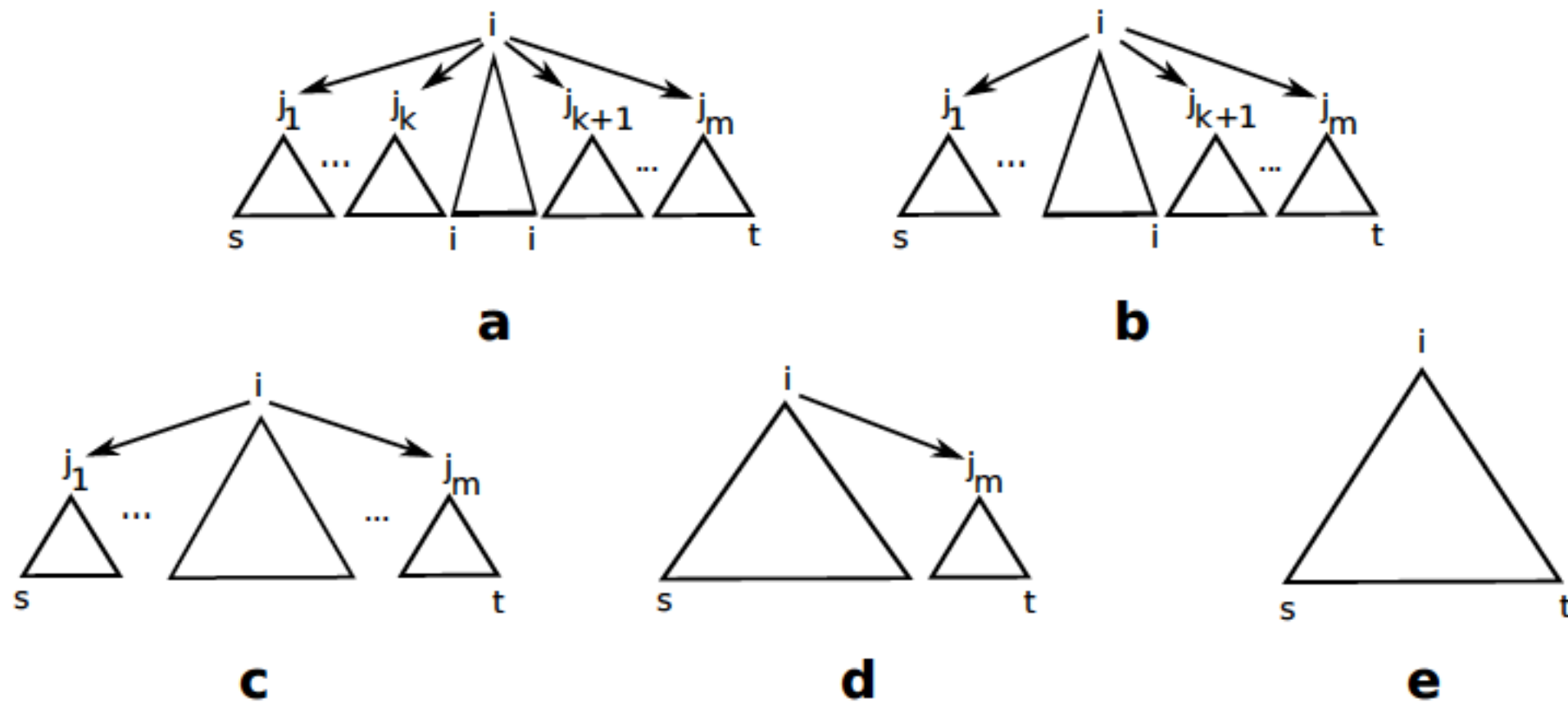




# Maximum Spanning Trees: projective

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$$C[i][i][i] = 0.0, \text{ for all } 0 \leq i \leq n$$

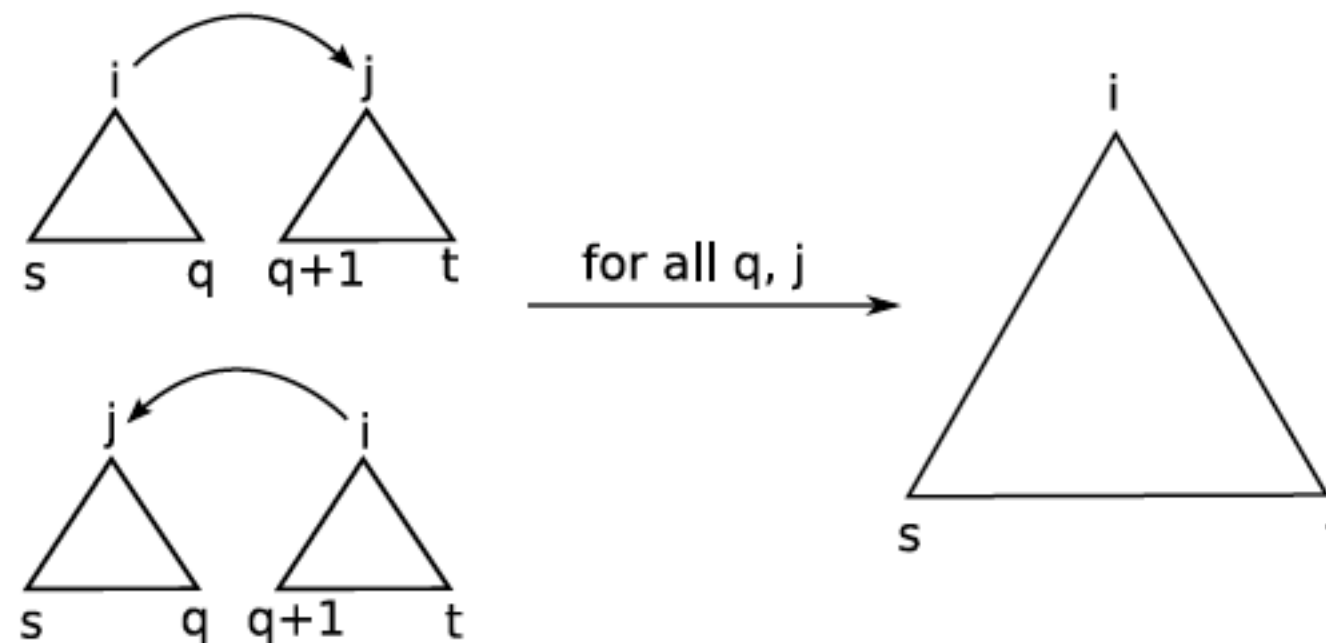


**Figure 4.3:** Illustration showing that every projective subgraph can be broken into a combination of smaller adjacent subgraphs.



# Maximum Spanning Trees: projective

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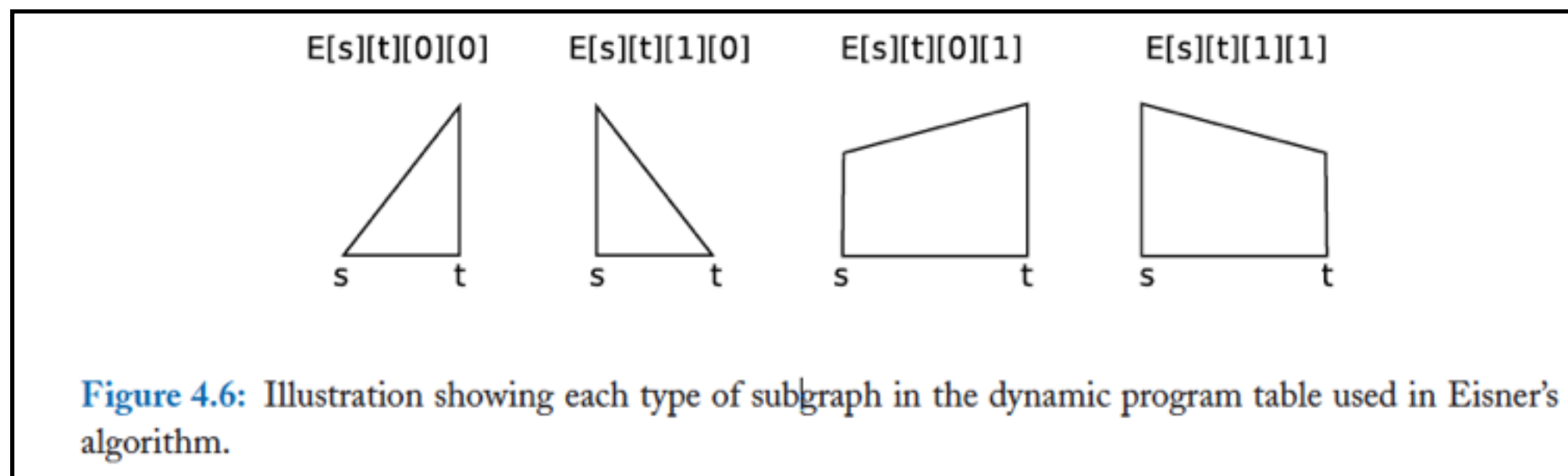
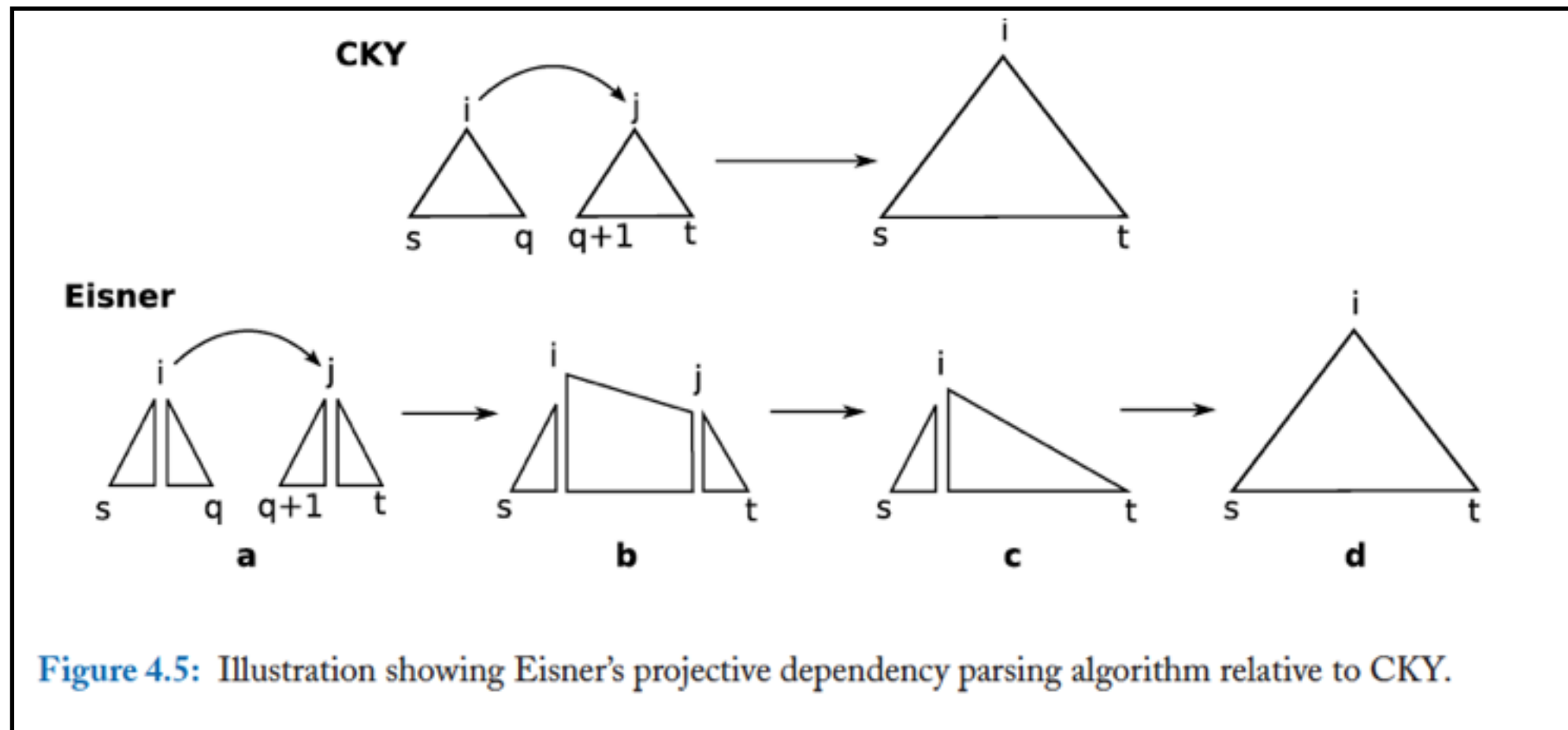
**Figure 4.4:** CKY algorithm for projective dependency parsing.

$$C[s][t][i] = \max_{s \leq q < t, s \leq j \leq t} \begin{cases} C[s][q][i] + C[q+1][t][j] + \lambda_{(w_i, w_j)} & \text{if } j > i \\ C[s][q][j] + C[q+1][t][i] + \lambda_{(w_i, w_j)} & \text{if } j < i \end{cases}$$

$$A[s][t][i] = \begin{cases} A[s][q][i] \cup A[q+1][t][j] \cup (w_i, w_j) & \text{if } j > i \\ A[s][q][j] \cup A[q+1][t][i] \cup (w_i, w_j) & \text{if } j < i \end{cases}$$

The final tree for a sentence  $S$  is then  $G = (V, A[0][n][0])$ .

# Maximum Spanning Trees: projective



# Maximum Spanning Trees: projective

**Eisner**( $S, \Gamma, \lambda$ )

Sentence  $S = w_0 w_1 \dots w_n$

Arc weight parameters  $\lambda_{(w_i, w_j)} \in \lambda$

1 Instantiate  $E[n][n][2][2] \in \mathbb{R}$

2 Initialization:  $E[s][s][d][c] = 0.0$  for all  $s, d, c$

3 for  $m : 1..n$

4 for  $s : 1..n$

5  $t = s + m$

6 if  $t > n$  then break

% Create subgraphs with  $c = 1$  by adding arcs (step a-b in figure 4.5)

7  $E[s][t][0][1] = \max_{s \leq q < t} (E[s][q][1][0] + E[q+1][t][0][0] + \lambda_{(w_t, w_s)})$

8  $E[s][t][1][1] = \max_{s \leq q < t} (E[s][q][1][0] + E[q+1][t][0][0] + \lambda_{(w_s, w_t)})$

% Add corresponding left/right subgraphs (step b-c in figure 4.5)

9  $E[s][t][0][0] = \max_{s \leq q < t} (E[s][q][0][0] + E[q][t][0][1])$

10  $E[s][t][1][0] = \max_{s < q \leq t} (E[s][q][1][1] + E[q][t][1][0])$

Pseudo-code for Eisner's algorithm

*Figure Adapted from Dependency Parsing (Kübler et.al, 2009, p53)*

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# Online Large Margin Learning: MIRA

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Margin Infused Relaxed Algorithm (MIRA) (Crammer and Singer, 2003; Crammer et al, 2003)

Training data:  $\mathcal{T} = \{(\mathbf{x}_t, \mathbf{y}_t)\}_{t=1}^T$

1.  $\mathbf{w}_0 = \mathbf{0}; \mathbf{v} = \mathbf{0}; i = 0$

2. for  $n : 1..N$

3. for  $t : 1..T$

4.  $\min \left\| \mathbf{w}^{(i+1)} - \mathbf{w}^{(i)} \right\|$   
s.t.  $s(\mathbf{x}_t, \mathbf{y}_t) - s(\mathbf{x}_t, \mathbf{y}') \geq L(\mathbf{y}_t, \mathbf{y}'), \forall \mathbf{y}' \in \text{dt}(\mathbf{x}_t)$

5.  $\mathbf{v} = \mathbf{v} + \mathbf{w}^{(i+1)}$

6.  $i = i + 1$

7.  $\mathbf{w} = \mathbf{v} / (N * T)$

MIRA learning Algorithm

# Online Large Margin Learning: single-best MIRA

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The resulting online update

$$\begin{aligned} \min \quad & \|\mathbf{w}^{(i+1)} - \mathbf{w}^{(i)}\| \\ \text{s.t.} \quad & s(\mathbf{x}_t, \mathbf{y}_t) - s(\mathbf{x}_t, \mathbf{y}') \geq L(\mathbf{y}_t, \mathbf{y}') \\ & \text{where } \mathbf{y}' = \arg \max_{\mathbf{y}'} s(\mathbf{x}_t, \mathbf{y}') \end{aligned}$$

Related

- k highest-scoring trees with small k (McDonald et al., 2005)
- averaged perceptron algorithm (Collins, 2002) using the single highest scoring tree to update the weight vector

MIRA updates  $w$  to **maximise the margin between the corrected tree and the highest scoring tree leading to increasing accuracy**

# Online Large Margin Learning: factored MIRA

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Factoring the output by edges to obtain the following statements

$$\begin{aligned} & \min \left\| \mathbf{w}^{(i+1)} - \mathbf{w}^{(i)} \right\| \\ & \text{s.t. } s(l, j) - s(k, j) \geq 1 \\ & \forall (l, j) \in \mathbf{y}_t, (k, j) \notin \mathbf{y}_t \end{aligned}$$

- the weight of the correct incoming edge to the word  $x_j$  and the weight of all other incoming edges must be separated by a margin of 1
- the correct spanning tree and all incorrect spanning trees are separated by a score at least as large as the number of incorrect incoming edges.

# Outline

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- Basic Concepts
- Edge-based factorization
- Learning Algorithm & parsing algorithm
- **Experiments and Results**



# Experiments

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- Czech Prague Dependency Treebank(PDT) (Hajič, 1998;Hajič et al.,2001)
- they used predefined training, developing and testing split of the data set
- automatically generated POS tags that are provided with the data
- features only relied on the reduced POS tag set from Collins et al. (1999)
- **23%** of the sentences in the training, development and test sets have at least one non-projective dependency
- less than **2%** of total edges are actually non-projective
- therefore, handling non-projective edges correctly have a relatively small effect on overall accuracy
- Czech A, consists of the entire PDT
- Czech B, includes only the 23% of sentences with at least one non-projective dependency

# Experiments

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1. **COLL1999:** The projective lexicalized phrase-structure parser of Collins et al. (1999).
2. **N&N2005:** The pseudo-projective parser of Nivre and Nilsson (2005).
3. **McD2005:** The projective parser of McDonald et al. (2005) that uses the Eisner algorithm for both training and testing. This system uses  $k$ -best MIRA with  $k=5$ .
4. **Single-best MIRA:** In this system we use the Chu-Liu-Edmonds algorithm to find the best dependency tree for Single-best MIRA training and testing.
5. **Factored MIRA:** Uses the quadratic set of constraints based on edge factorization as described in Section 3.2. We use the Chu-Liu-Edmonds algorithm to find the best tree for the test data.

# Results

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	Czech-A		Czech-B	
	Accuracy	Complete	Accuracy	Complete
COLL1999	82.8	-	-	-
N&N2005	80.0	31.8	-	-
McD2005	83.3	31.3	74.8	0.0
Single-best MIRA	84.1	32.2	81.0	<b>14.9</b>
Factored MIRA	<b>84.4</b>	<b>32.3</b>	<b>81.5</b>	14.3

*Table 1: Dependency parsing results for Czech. Czech-B is the subset of Czech-A containing only sentences with at least one non-projective dependency*

	English	
	Accuracy	Complete
McD2005	<b>90.9</b>	<b>37.5</b>
Single-best MIRA	90.2	33.2
Factored MIRA	90.2	32.3

*Table 2: Dependency parsing results for English using spanning tree algorithms.*

# Summary

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- formalize weighted dependency parsing as searching for maximum spanning trees (MSTs) in directed graphs
- Parsing Algorithm  
Non-projective: Chiu-Liu-Edmonds  
Projective: Eisner's Algorithm
- Learning Algorithm: single/factored MIRA
- evaluated on the Prague Dependency Treebank and increasing in efficiency and accuracy

# References

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Kübler, S., McDonald, R., & Nivre, J. (2009). *Dependency parsing*. Synthesis Lectures on Human Language Technologies, 1(1), 1-127.

McDonald, R., Pereira, F., Ribarov, K., & Hajič, J. (2005). Non-projective dependency parsing using spanning tree algorithms. In *Proceedings of the conference on Human Language Technology and Empirical Methods in Natural Language Processing* (pp. 523-530). Association for Computational Linguistics.

Nivre, J. (2009, August). Non-projective dependency parsing in expected linear time. In *Proceedings of the Joint Conference of the 47th Annual Meeting of the ACL and the 4th International Joint Conference on Natural Language Processing of the AFNLP: Volume 1-Volume 1* (pp. 351-359). Association for Computational Linguistics.

Thank you!

Any Questions?