

# Finite State Morphology

Roark & Sproat (2007)

Dan Wells

27 March 2015

# Outline

## Finite State Transducers

- Definition

- Pros & cons

- Composition

## Morphological Analyses

- Concatenative morphology

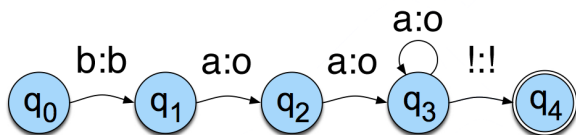
- Prosodic circumscription

- Non-concatenative morphology

## Remaining Problems

- Reduplication

# Finite State Transducers



FST transducing sheep language to ghost language

- ▶  $Q = \{q_0, q_1, \dots, q_{N-1}\}$ : finite set of  $N$  states
- ▶  $\Sigma$ : finite alphabet of input symbols
- ▶  $\Delta$ : finite alphabet of output symbols
- ▶  $q_0 \in Q$ : start state
- ▶  $F \subseteq Q$ : set of final states
- ▶  $\delta(q, i)$ : transition function between states
- ▶  $\sigma(q, i)$ : output function for a given state

# Why use FSTs?

## Advantages

- ▶ Efficient processing – linear in the length of the string for deterministic FSTs
- ▶ Closed under concatenation, union, Kleene closure, inversion and composition

## Disadvantages

- ▶ Relatively limited generative power

# Composition of FSTs

**Composition:** If a transducer  $T_1$  maps  $I_1$  to  $O_1$  and  $T_2$  maps  $I_2$  to  $O_2$ , then  $T_1 \circ T_2$  maps  $I_1$  directly onto  $O_2$

Roark & Sproat argue that composition of FSTs can be used to describe (nearly) all kinds of morphological processes.

## Basic example: English plurals

For simple concatenative morphology, we might like to combine a stem  $A$  with an affix  $\beta$  to derive a new form  $\Gamma$  through string concatenation:

$$\Gamma = A \cdot \beta$$

However, it is often the case that stems and affixes undergo changes upon combination.

### Example

Plural marker in English surfaces as:

- ▶ [s] after unvoiced segments e.g. 'cats'
- ▶ [z] after voiced segments e.g. 'dogs'
- ▶ [ɪz] following apical fricatives and affricates e.g. 'horses', 'churches'

## Basic example: English plurals

Instead, think in terms of a function  $\beta'$  that takes a string as input and returns that string concatenated with  $\beta$ :

$$\beta' = \Sigma^*[\epsilon : \beta]$$

This function defines a set of regular relations, and therefore also an FST, and so we can reframe the process using composition:

$$\Gamma = A \circ \beta'$$

## Basic example: English plurals

We can define a transducer  $T$  which encodes the alternations in the English plural marker. Then we can produce a plural form  $\Pi$  from an English stem  $S$  and the plural suffix  $\sigma$  as follows:

$$\Pi = [S \cdot \sigma] \circ T$$

Which we can refactor as before:

$$\Pi = S \circ [\Sigma^*[\epsilon : \sigma]] \circ T$$

If we then define a function  $\sigma'$  as:

$$\sigma' = [\Sigma^*[\epsilon : \sigma]] \circ T$$

Then our final derivation is:

$$\Pi = S \circ \sigma'$$



# Prosodic circumscription

Sometimes the domains of morphological processes are prosodically specified e.g. infixation in Tagalog:

*tawag* → *tumawag*  
'call'            'call (perfective)'

The infix *-um-* attaches as a prefix to the remainder of a word following any initial onset.

# Prosodic circumscription

Prosodic circumscription formalises the definition of prosodic entities in these rules.

A base  $B$  can be decomposed into a prosodically defined unit  $B:$  and a residue  $B/$  which are concatenated in some order:

$$B = B: \cdot B/$$

Morphological operations can then be defined as applying to either of these entities:

$$O: = O(B:) \cdot B/$$

$$O/ = B: \cdot O(B/)$$

# Tagalog infixation

The definition of a prosodic unit can be implemented using an FST which inserts a marker (e.g.  $>$ ) at the appropriate point in a string.

For our Tagalog example, a transducer  $M$  can be defined as:

$$M = C?[\epsilon : >]V\Sigma^*$$

Another transducer  $\iota$  rewrites this marker to the infix  $-um-$  and appends a perfective marker  $[+be]$  to the resulting word form:

$$\iota = \Sigma^* [> : um] \Sigma^* [\epsilon : +be]$$

The whole operation can then be applied to a stem  $A$  as:

$$\Gamma = A \circ M \circ \iota$$

# Arabic templatic morphology

Verb stems in Arabic are derived under a non-concatenative 'root-and-pattern' system, with consonantal roots (e.g. *ktb* 'notion of writing') being combined with characteristic vocalic patterns:

| Pattern | Template         | Verb stem       | Gloss                 |
|---------|------------------|-----------------|-----------------------|
| I       | $C_1aC_2aC_3$    | <i>katab</i>    | 'wrote'               |
| II      | $C_1aC_2C_2aC_3$ | <i>kattab</i>   | 'caused to write'     |
| III     | $C_1aaC_2aC_3$   | <i>kaatab</i>   | 'corresponded'        |
| IV      | $aC_1C_2aC_3$    | <i>aktab</i>    | 'caused to write'     |
| VI      | $taC_1aaC_2aC_3$ | <i>takaatab</i> | 'wrote to each other' |
| VII     | $nC_1aC_2aC_3$   | <i>nkatab</i>   | 'subscribed'          |
| VIII    | $C_1taC_2aC_3$   | <i>ktatab</i>   | 'copied'              |
| X       | $staC_1C_2aC_3$  | <i>staktab</i>  | 'caused to write'     |

# Arabic templatic morphology

For a finite state account of this kind of morphological system, we can begin by defining a root

$$P = ktb$$

and a set of CV templates

$$\begin{aligned} \text{patterns} = \{ & \tau_{\text{I}} = CaCaC, \\ & \tau_{\text{II}} = CaCCaC, \\ & \dots \\ & \tau_{\text{X}} = [\epsilon : sta]CCaC \} \end{aligned}$$

# Arabic templatic morphology

We are then able to define a transducer corresponding to all of these templates by taking the union:

$$\tau = \bigcup_{p \in \text{patterns}} \tau_p$$

We also need a transducer linking roots to templates. This has two components:

- ▶ A transducer introducing optional vowels between consonants:

$$\lambda_1 = C[\epsilon : V]^* C[\epsilon : V]^* C$$

- ▶ A transducer encoding a consonant doubling rule as in  $\tau_{II}$ :

$$\lambda_2 = C_i \rightarrow C_i C_i$$

Composing these linking transducers gives us  $\lambda = \lambda_1 \circ \lambda_2$ , and finally we can derive the entire set of related verb stems from the consonantal root *ktb* by composing everything together:

$$\Gamma = P \circ \lambda \circ \tau$$

# Reduplication

Reduplication is problematic for finite state models because it involves copying strings, and FSTs are not equipped to handle unbounded copying.

It is possible, however, to account for *bounded* copying through exhaustive enumeration of strings within the domain of the copying operation.

- ▶ So we can do it, but it's messy



## Bounded reduplication in Gothic

| Infinitive | Gloss     | Preterite    |
|------------|-----------|--------------|
| falþan     | 'fold'    | faífalþ      |
| haldan     | 'hold'    | haíhald      |
| ga-staldan | 'possess' | ga-staístald |
| af-áikan   | 'deny'    | af-aíáik     |
| máitan     | 'cut'     | maímáit      |
| skáidan    | 'divide'  | skaískáiþ    |

- ▶ Prefix a syllable of the form  $(A)Caí$  to the stem
- ▶ Copy any onset of the stem to the  $C$  position and any pre-onset appendix to the  $(A)$  position
- ▶ Closed class of verbs  $\Rightarrow$  bounded reduplication



# Unbounded reduplication in Bambara

---

|   |                    |   |                                      |
|---|--------------------|---|--------------------------------------|
| <i>wulu</i><br>dog                                  | <i>o</i><br>MARKER | <i>wulu</i><br>dog                                  | 'whichever dog'                      |
| <i>wulu-nyinina</i><br>dog searcher                 | <i>o</i><br>MARKER | <i>wulu-nyinina</i><br>dog searcher                 | 'whichever dog<br>searcher'          |
| <i>malo-nyinina-filèla</i><br>rice searcher watcher | <i>o</i><br>MARKER | <i>malo-nyinina-filèla</i><br>rice searcher watcher | 'whichever rice<br>searcher watcher' |

---

Where any number of compounds could serve as input to this reduplication process, it becomes impossible to precompile all possible copies as we did for Gothic.

# Dealing with (bounded) reduplication

Roark & Sproat break the problem down into two components:

- ▶ Model prosodic constraints on base and reduplicated portion  
e.g. for Gothic that reduplicated portion is of the form  $(A)Caí$
- ▶ Construct a copying component which verifies that the reduplicated portion appropriately matches the base

# Dealing with (bounded) reduplication

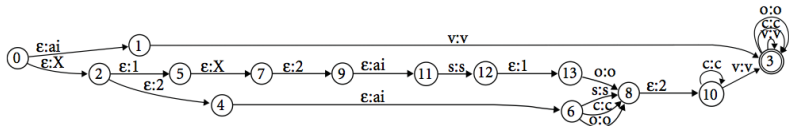
## Prosodic constraint

Assume a transducer  $R$  which when composed with a base  $\beta$  returns a prefixed version of  $\beta$ , and which also adds indices to the elements in  $\beta$  which should match co-indexed elements in the reduplicated prefix:

$$\alpha = \beta \circ R = (A_1)C_2a\acute{i}\beta'$$

Here  $\beta'$  is the indexed version of  $\beta$ .

**Example:**  $sk\acute{a}i\beta \circ R = X_1X_2a\acute{i}s_1k_2\acute{a}i\beta$



# Dealing with (bounded) reduplication

## Copy filter

Checking the identity of co-indexed arcs can be achieved by implementing a set of finite state filters, one for each index. For bounded reduplication we can define a filter as below:

$$\bigcup_{i \in \text{indices}} \bigcup_{s \in \text{segments}} \overline{[\Sigma^* s_i \Sigma^* \overline{s_i} \Sigma^*]}$$

# Summary

- ▶ If the problem allows it, FSTs provide very efficient processing
- ▶ But limited generative power restricts the kinds of structures and patterns able to be recognised
- ▶ Applications for morphological parsing and text normalisation in speech synthesis

Any questions?