

Introduction to Theoretical Computer Science

Lecture 9 [bonus]: Arithmetical Hierarchy

Dr. Liam O'Connor

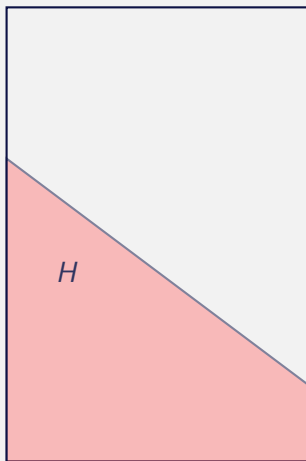
University of Edinburgh
Semester 1, 2023/2024

What we have so far

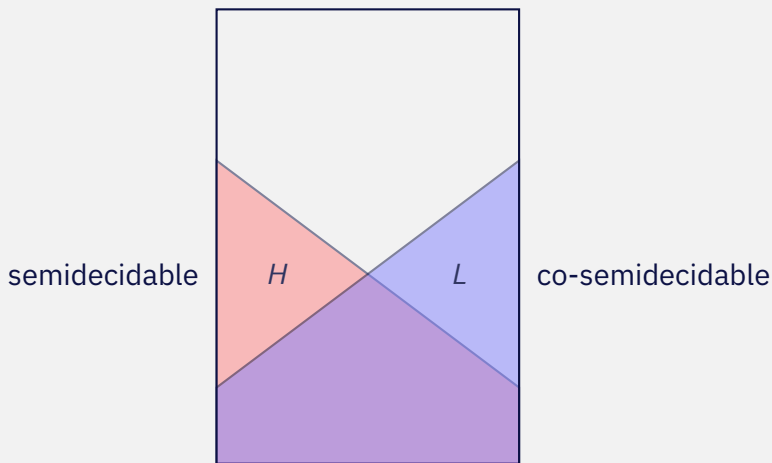


What we have so far

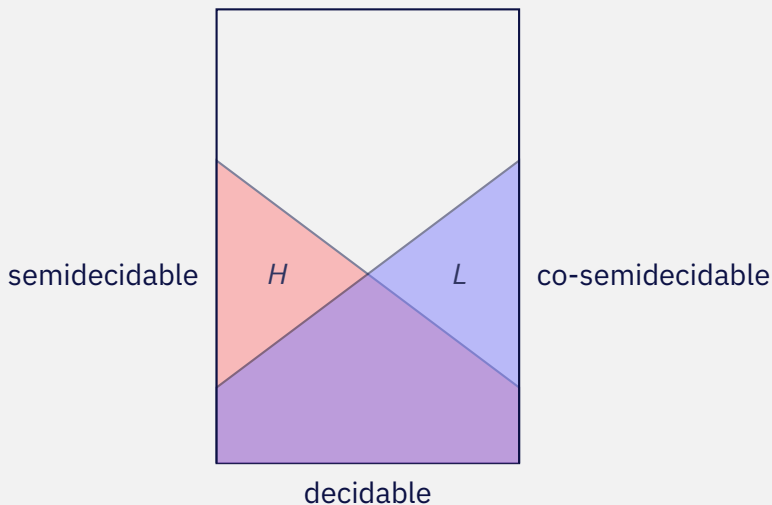
semidecidable



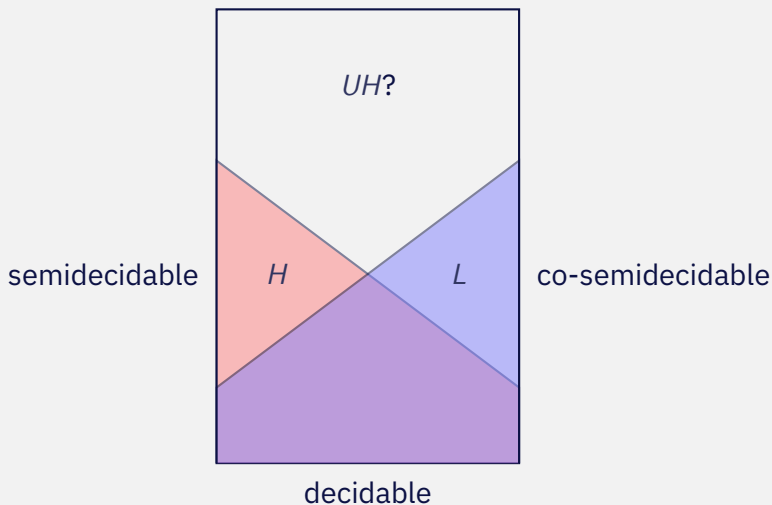
What we have so far



What we have so far



What we have so far



Sigmas

We shall introduce notation to describe decision problems.

Sigma

The set Σ_1^0 describes all problems that can be phrased as $\{y \mid \exists x \in \mathbb{N}. R(x, y)\}$, where R is a **decidable** predicate.

Sigmas

We shall introduce notation to describe decision problems.

Sigma

The set Σ_1^0 describes all problems that can be phrased as $\{y \mid \exists x \in \mathbb{N}. R(x, y)\}$, where R is a **decidable** predicate. We can replace the \mathbb{N} with any c.e. set (i.e. **type 0**).

Sigmas

We shall introduce notation to describe decision problems.

Sigma

The set Σ_1^0 describes all problems that can be phrased as $\{y \mid \exists x \in \mathbb{N}. R(x, y)\}$, where R is a **decidable** predicate. We can replace the \mathbb{N} with any c.e. set (i.e. **type 0**).

- If a problem $P \in \Sigma_1^0$ then P is semidecidable. **Why?**

Sigmas

We shall introduce notation to describe decision problems.

Sigma

The set Σ_1^0 describes all problems that can be phrased as $\{y \mid \exists x \in \mathbb{N}. R(x, y)\}$, where R is a **decidable** predicate. We can replace the \mathbb{N} with any c.e. set (i.e. **type 0**).

- If a problem $P \in \Sigma_1^0$ then P is semidecidable. **Why?**
(we can enumerate all x and test $R(x, y)$, halting if true)

Sigmas

We shall introduce notation to describe decision problems.

Sigma

The set Σ_1^0 describes all problems that can be phrased as $\{y \mid \exists x \in \mathbb{N}. R(x, y)\}$, where R is a **decidable** predicate. We can replace the \mathbb{N} with any c.e. set (i.e. **type 0**).

- If a problem $P \in \Sigma_1^0$ then P is semidecidable. **Why?**
 (we can enumerate all x and test $R(x, y)$, halting if true)
- If a problem P is semidecidable then $P \in \Sigma_1^0$. **Why?**

Sigmas

We shall introduce notation to describe decision problems.

Sigma

The set Σ_1^0 describes all problems that can be phrased as $\{y \mid \exists x \in \mathbb{N}. R(x, y)\}$, where R is a **decidable** predicate. We can replace the \mathbb{N} with any c.e. set (i.e. **type 0**).

- If a problem $P \in \Sigma_1^0$ then P is semidecidable. **Why?**
 (we can enumerate all x and test $R(x, y)$, halting if true)
- If a problem P is semidecidable then $P \in \Sigma_1^0$. **Why?**

Definition: Kleene's \mathcal{T} Predicate

$T(\ulcorner M \urcorner, x, y) = M$ accepts x in y steps.

If a machine M semi-decides P , then $P = \{x \mid \exists y. \mathcal{T}(\ulcorner M \urcorner, x, y)\}$

Pis

Pi

The set Π_1^0 describes all problems that can be phrased as $\{y \mid \forall x \in \mathbb{N}. R(x, y)\}$, where R is a **decidable** predicate. We can replace the \mathbb{N} with any c.e. set (i.e. **type 0**).

Pis

Pi

The set Π_1^0 describes all problems that can be phrased as $\{y \mid \forall x \in \mathbb{N}. R(x, y)\}$, where R is a **decidable** predicate. We can replace the \mathbb{N} with any c.e. set (i.e. **type 0**).

$$\begin{aligned} \overline{\Sigma_1^0} &= \overline{\{x \mid \exists y. R(x, y)\}} \\ &= \{x \mid \neg \exists y. R(x, y)\} \\ &= \{x \mid \forall y. \neg R(x, y)\} \\ &= \Pi_1^0 \end{aligned}$$

Pis

Pi

The set Π_1^0 describes all problems that can be phrased as $\{y \mid \forall x \in \mathbb{N}. R(x, y)\}$, where R is a **decidable** predicate. We can replace the \mathbb{N} with any c.e. set (i.e. **type 0**).

$$\begin{aligned}\overline{\Sigma_1^0} &= \overline{\{x \mid \exists y. R(x, y)\}} \\ &= \{x \mid \neg \exists y. R(x, y)\} \\ &= \{x \mid \forall y. \neg R(x, y)\} \\ &= \Pi_1^0\end{aligned}$$

As Σ_1^0 is the set of **semidecidable** problems, Π_1^0 is the set of **co-semidecidable** problems.

Pi

Pi

The set Π_1^0 describes all problems that can be phrased as $\{y \mid \forall x \in \mathbb{N}. R(x, y)\}$, where R is a **decidable** predicate. We can replace the \mathbb{N} with any c.e. set (i.e. **type 0**).

$$\begin{aligned}\overline{\Sigma_1^0} &= \overline{\{x \mid \exists y. R(x, y)\}} \\ &= \{x \mid \neg \exists y. R(x, y)\} \\ &= \{x \mid \forall y. \neg R(x, y)\} \\ &= \Pi_1^0\end{aligned}$$

As Σ_1^0 is the set of **semidecidable** problems, Π_1^0 is the set of **co-semidecidable** problems.

Example (Empty)

Empty = $\{\ulcorner M \urcorner \mid \forall x. \forall y. \neg \mathcal{T}(\ulcorner M \urcorner, x, y)\}$ has two quantifiers.
 \Rightarrow **Use pairing.**

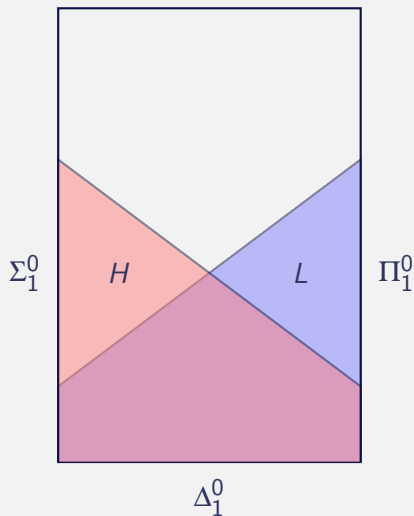
Deltas

Delta

The set Δ_1^0 describes the intersection of Σ_1^0 and Π_1^0 .

From our characterisations of Σ_1^0 and Π_1^0 , we know this describes the set of **decidable** problems.

Relabeling



Moving Higher

Definitions

- Σ_2^0 is the set of all problems of form $\{x \mid \exists y. \forall z. R(x, y, z)\}$.
- Π_2^0 is the set of all problems of form $\{x \mid \forall y. \exists z. R(x, y, z)\}$.
- $\Delta_2^0 = \Sigma_2^0 \cap \Pi_2^0$

Moving Higher

Definitions

- Σ_2^0 is the set of all problems of form $\{x \mid \exists y. \forall z. R(x, y, z)\}$.
- Π_2^0 is the set of all problems of form $\{x \mid \forall y. \exists z. R(x, y, z)\}$.
- $\Delta_2^0 = \Sigma_2^0 \cap \Pi_2^0$

Note that $\Sigma_1^0, \Pi_1^0, \Delta_1^0$ are all $\subseteq \Delta_2^0$ (and therefore $\subseteq \Sigma_2^0$ and $\subseteq \Pi_2^0$).

Why?

Moving Higher

Definitions

- Σ_2^0 is the set of all problems of form $\{x \mid \exists y. \forall z. R(x, y, z)\}$.
- Π_2^0 is the set of all problems of form $\{x \mid \forall y. \exists z. R(x, y, z)\}$.
- $\Delta_2^0 = \Sigma_2^0 \cap \Pi_2^0$

Note that $\Sigma_1^0, \Pi_1^0, \Delta_1^0$ are all $\subseteq \Delta_2^0$ (and therefore $\subseteq \Sigma_2^0$ and $\subseteq \Pi_2^0$).

Why?

(our R can simply “ignore” one of the parameters)

Moving Higher

Definitions

- Σ_2^0 is the set of all problems of form $\{x \mid \exists y. \forall z. R(x, y, z)\}$.
- Π_2^0 is the set of all problems of form $\{x \mid \forall y. \exists z. R(x, y, z)\}$.
- $\Delta_2^0 = \Sigma_2^0 \cap \Pi_2^0$

Note that $\Sigma_1^0, \Pi_1^0, \Delta_1^0$ are all $\subseteq \Delta_2^0$ (and therefore $\subseteq \Sigma_2^0$ and $\subseteq \Pi_2^0$).

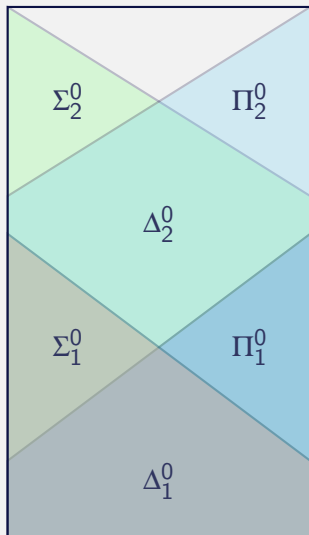
Why?

(our R can simply “ignore” one of the parameters)

Example (Uniform Halting)

UH can be expressed as $\{\ulcorner M \urcorner \mid \forall w. \exists t. T(M, w, t)\}$.
Therefore $UH \in \Pi_2^0$.

The Arithmetical Hierarchy



An equivalent characterisation

We can define in terms of **oracles**:

- Δ_2^0 is all problems that are decidable by some TM/RM with an oracle for some (co-)semi-decidable problem.
- Σ_2^0 are all semidecidable problems by such a TM/RM.
- Π_2^0 are all co-semidecidable problems by such a TM/RM.

Building up

In general, for any $n > 1$:

- Δ_n^0 is all problems that are decidable by some TM/RM with an oracle for some problem $\in \Sigma_{n-1}^0$.
- Σ_n^0 are all semidecidable problems by such a TM/RM.
- Π_n^0 are all co-semidecidable problems by such a TM/RM.

Building up

In general, for any $n > 1$:

- Δ_n^0 is all problems that are decidable by some TM/RM with an oracle for some problem $\in \Sigma_{n-1}^0$.
- Σ_n^0 are all semidecidable problems by such a TM/RM.
- Π_n^0 are all co-semidecidable problems by such a TM/RM.

Alternation

Equivalently Σ_n^0 are all problems that can be phrased as some **alternation** of quantifiers, starting with \exists :

$$\{w \mid \exists x_1. \forall x_2. \exists x_3. \forall x_4. \dots x_n. R(w, x_1, \dots, x_n)\}$$

Π_n^0 starts instead with \forall :

$$\{w \mid \forall x_1. \exists x_2. \forall x_3. \exists x_4. \dots x_n. R(w, x_1, \dots, x_n)\}$$

Games

Alternation of formulae are connected fundamentally with **games**. When proving an $\exists x. \dots$, **we** have a choice of what x is. When proving a $\forall x. \dots$, **our opponent** has a choice of what x is.

Games

Alternation of formulae are connected fundamentally with **games**. When proving an $\exists x. \dots$, **we** have a choice of what x is. When proving a $\forall x. \dots$, **our opponent** has a choice of what x is.

Example (Pumping for CFLs)

If L is a CFL then:

$\forall p. \exists w. \forall uvxyz. \forall i. |w| \geq p \wedge |vxy| < p \wedge vy \neq \varepsilon \wedge uv^i xy^i z \in L$ Thus finding a proof via pumping that L is **not** a CFL is $\in \Sigma_3^0$.

Limitations of Oracles

Theorem

The arithmetic hierarchy is **strict**. That is, the n th level contains a language not in any level below n .

Limitations of Oracles

Theorem

The arithmetic hierarchy is **strict**. That is, the n th level contains a language not in any level below n .

Note: H is in level 1 but not 0. Consider:

$$H_2 = \{ \langle \ulcorner M \urcorner, x \rangle \mid M, \text{ a machine with oracle for } H, \text{ halts on } x \}$$

$$H_3 = \{ \langle \ulcorner M \urcorner, x \rangle \mid M, \text{ a machine with oracle for } H_2, \text{ halts on } x \}$$

...

$$H_n = \{ \langle \ulcorner M \urcorner, x \rangle \mid M, \text{ a machine with oracle for } H_{n-1}, \text{ halts on } x \}$$

Each of these H_k -oracle machines cannot decide H_k or higher.
 And, $H_k \in \Sigma_k^0$.

Conclusions

This concludes our study of computability theory. Next week, we'll start on complexity theory.

If there's time, I'll talk about some other interesting topics.

Ask me things!