Introduction to Theoretical Computer Science

Lecture 7: Undecidability

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Computable Functions

Definitions

A (total) function $\mathbb{N} \to \mathbb{N}$ is *computable*[1] if there is an RM/TM which computes f, i.e., given an x in R_0, leaves $f(x)$ in R_0.

A *decision problem* is a set D and a query subset $Q \subseteq D$. A problem is *decidable* or *computable* if $d \in Q$ is characterised by a computable function $f : D \to \{0, 1\}$.

Note that our *language* problems, for DFAs and CFGs etc., are decision problems where $D = \Sigma^*$ and Q is the language in question.
Also, consider $D = \mathbb{N}$ and $Q = \mathit{Primes}$.
Or $D =$ RMs and $Q =$ the halting RMs.

[1] sometimes confusingly called *recursive*, but this is old terminology.

Closure Properties

Are the decidable languages closed under:

- Union?
- Intersection?
- Complement?

(yes)

Undecidability

We know that undecidable problems exist, like H.

Another Example

$A_{\text{RM}} = \{\langle \ulcorner M \urcorner, w\rangle \mid M \text{ accepts } w\}$
The proof, in Sipser for TMs, is analogous to our proof for H.

Aside

We can also use a counting argument. The set of RMs is enumerable, but the set of languages is uncountable. So there are languages that are not decided (or even recognised) by any RM.

How would we show that other problems are undecidable?

Reductions

A *reduction* is a transformation from one problem to another.

To prove that a problem P_2 is *hard*, show that there is an *easy* reduction from a known hard problem P_1 to P_2.

Therefore

To prove that a problem P_2 is undecidable, show that there is a computable reduction from a known undecidable P_1 to P_2.

Pay close attention to the direction of the proof!

A correct example

Suppose it is well known that Hyunwoo cannot lift a car.

Theorem

Hyunwoo cannot lift a loaded truck.

Proof

By reduction from the car-lifting problem (P_1). Suppose Hyunwoo could lift a loaded truck. Then, he could lift a car by putting the car in the truck and then lifting the truck.
But, it is known that Hyunwoo cannot lift a car.

Known Hard Problem $\longrightarrow$ **New Problem**

An **incorrect** example

Suppose it is well known that Hyunwoo cannot lift a car.

Theorem

Hyunwoo cannot lift a feather.

Proof

By reduction to the car-lifting problem. We can reduce the feather-lifting problem to the car-lifting problem by putting the feather in the car.
It is known that Hyunwoo cannot lift a car. Therefore, Hyunwoo cannot lift a feather (**???!**).

Reductions

A Turing Transducer is a RM (or TM) which takes an instance d of a problem $P_1 = (D_1, Q_1)$ in R_0 and halts with an instance $d' = f(d)$ of $P_2 = (D_2, Q_2)$ in R_0. Thus, f is a computable function $D_1 \to D_2$.

Definition

A *mapping reduction* (or *many-one* reduction) from P_1 to P_2 is a Turing transducer f as above such that $d \in Q_1$ iff $f(d) \in Q_2$

If A is mapping reducible to B, and A is undecidable, then B is undecidable.

Example

$$\text{NotEmpty}_{\text{TM}} = \{\ulcorner M \urcorner \mid \mathcal{L}(M) \neq \emptyset\}$$

Example (Proof)

We sketch a mapping reduction from A_{TM} to $\text{NotEmpty}_{\text{TM}}$. Given an instance $\langle M, w\rangle$ of A_{TM}, our reduction constructs a machine M' whose language is either $\{w\}$ or $\emptyset$. Given input x, it will reject if $x \neq w$, else run M on w.

Note that $\langle M, w\rangle \in A_{\text{TM}}$ iff $M' \in \text{NotEmpty}_{\text{TM}}$.

Thus, if we could solve $\text{NotEmpty}_{\text{TM}}$ we could solve A_{TM}, which we know is undecidable. Thus $\text{NotEmpty}_{\text{TM}}$ too is undecidable.

Uniform Halting

$$UH = \{\ulcorner M \urcorner \mid M \text{ halts on all inputs}\}$$

Example (Proof)

We reduce from H to UH. Given a machine M and input w, build a machine M' which ignores its input, writes w to the tape, and then behaves as M. Then M' halts on any input iff M halts on w.

The Looping Problem

Let L be the subset of RMs (or TMs) that go into an infinite loop. Show that L is undecidable.

Since L is the complement of H, this seems easy, but we can't fit it neatly into our definition of a mapping reduction.

Oracles

Definition

Given a decision problem (D, Q), an *oracle* for Q is a 'magic' RM instruction $\mathrm{ORACLE}_Q(i)$ which, given an encoding of $d \in D$ in R_i, sets R_i to contain 1 iff $d \in Q$.

Consider RMs augmented with an oracle for halting H, sometimes written RM^H. We'll return to this.

If a problem P is decidable, is a machine RM^P more powerful than a standard RM?
No. No point in having decidable oracles!

Turing Reductions

Definition

A *Turing reduction* from P_1 to P_2 is an RM (or TM) equipped with an Oracle for P_2 that solves P_1.

Decidability results carry across Turing reductions just as with mapping reductions. But mapping reductions make *finer* distinctions of computing power.

Observe that H is Turing-reducible to L, and thus L is also undecidable.

Rice's Theorem

- A *property* is a set of RM (or TM) descriptions.
- A property is *nontrivial* if it contains some but not all descriptions.
- A property P is *semantic* if

$$\mathcal{L}(M_1) = \mathcal{L}(M_2) \Rightarrow (\ulcorner M_1 \urcorner \in P \Leftrightarrow \ulcorner M_2 \urcorner \in P)$$

In other words, it concerns the language and not the particular implementation of the machine.

Rice's Theorem

All nontrivial semantic properties are undecidable.

Proof

Assume to the contrary that a nontrivial semantic property P is decidable, and it is decided by an RM M_P. W.l.o.g. a RM $T_\emptyset$ that always rejects is not in P — otherwise we shall proceed with the complement of P instead.
Let T be a RM with $\ulcorner T \urcorner \in P$. We build an M_P oracle-equipped RM S to decide A_{RM}.
On input $\langle M, w \rangle$:

1. Build a RM $N_{M,w}$ which on input x, simulates M on w. If M halts and rejects, it rejects. Otherwise, it simulates T on x, and accepts if T accepts.
2. Use M_P to answer if $\ulcorner N_{M,w} \urcorner \in P$.

Note the language of $N_{M,w}$ is $\mathcal{L}(T)$ if w is accepted by M and $\emptyset$ otherwise.
We know A_{TM} is undecidable, so P must also be undecidable.

Applications of Rice's Theorem

The following are all undecidable by Rice's theorem:

- Whether a language (of an RM/TM) is empty.
- Whether a language (of an RM/TM) is non-empty.
- Whether a language (of an RM/TM) is regular.
- Whether a language (of an RM/TM) is context-free.

Note

Sometimes we can prove these properties for particular machines, but it is not decidable in general.

Wrong applications of Rice's Theorem

Rice's theorem cannot be used for these:

- Whether a TM has less than 7 states.
- Whether a TM has a final state.
- Whether a TM has a start state.

(Note how these are properties of machines, not languages)

- Whether a language (of an RM/TM) is a subset of Σ^*.
- Whether a language of an RM is a language of a TM.

(These properties are trivial).

Far-reaching Consequence

We cannot write a program that answers a non-trivial question about the black-box behaviours of programs.

Next time..

We have developed a theory of undecidable problems, and shown how reductions can be used to show more problems are undecidable.
We also saw the daisy cutter of undecidability results, Rice’s theorem.

Next time

We will address semi-decidable problems. What about machines where we always halt if we accept, but if we do not accept, we may loop forever?