Introduction to Theoretical Computer Science

Lecture 6: Universal RMs, Halting, and Turing

Dr. Liam O'Connor

University of Edinburgh Semester 1, 2023/2024

We've seen *register machines*, a computational model that I have claimed is universal.

We've seen *register machines*, a computational model that I have claimed is <u>universal</u>.

Key Question

Can an RM simulate an RM?

We've seen *register machines*, a computational model that I have claimed is <u>universal</u>.

Key Question

Can an RM simulate an RM?

That is, can we write an RM that, given some encoding of a machine M, written $\lceil M \rceil$, computes the result (if any) of the machine M?

We've seen *register machines*, a computational model that I have claimed is <u>universal</u>.

Key Question

Can an RM simulate an RM?

That is, can we write an RM that, given some encoding of a machine M, written $\lceil M \rceil$, computes the result (if any) of the machine M?

Firstly, we need an encoding.

Encoding an RM

We have registers $R_0 \dots R_{m-1}$ and the program $I_0 \dots I_{n-1}$.

Pairing

Recall that pairing functions allow us to pack multiple numbers $\langle a,b \rangle$ into one number.

Encoding an RM

We have registers $R_0 \dots R_{m-1}$ and the program $I_0 \dots I_{n-1}$.

Pairing

Recall that pairing functions allow us to pack multiple numbers $\langle a, b \rangle$ into one number.

$$\Gamma INC(i) \rceil = \langle 0, i \rangle
\Gamma DECJZ(i,j) \rceil = \langle 1, i, j \rangle
\Gamma P \rceil = \langle \Gamma I_0 \rceil, \dots, \Gamma I_{n-1} \rceil \rangle
\Gamma R \rceil = \langle R_0, \dots, R_{m-1} \rangle
\Gamma M \rceil = \langle \Gamma P \rceil, \Gamma R \rceil \rangle$$

Exercise: Write an RM program that, given such an encoding, computes its result, if any (very tedious but achievable).

The Halting Problem

Given an RM encoding $\lceil M \rceil$, can we write a program to determine if the simulated machine halts or not?

The Halting Problem

Given an RM encoding $\lceil M \rceil$, can we write a program to determine if the simulated machine halts or not?

Suppose H is such an RM, which takes a machine coding ¬M¬ in R₀ and halts with 1 if M halts, and halts with 0 if M doesn't halt.

The Halting Problem

Given an RM encoding $\lceil M \rceil$, can we write a program to determine if the simulated machine halts or not?

- Suppose H is such an RM, which takes a machine coding ¬M¬ in R₀ and halts with 1 if M halts, and halts with 0 if M doesn't halt.
- Construct a new machine $L = (P_L, R_0 ...)$ which, given a program $\lceil P \rceil$, runs H on [the program with itself as input], i.e. the machine $(P, \lceil P \rceil)$, and loops iff it halts.

The Halting Problem

Given an RM encoding $\lceil M \rceil$, can we write a program to determine if the simulated machine halts or not?

- Suppose H is such an RM, which takes a machine coding ¬M¬ in R₀ and halts with 1 if M halts, and halts with 0 if M doesn't halt.
- Construct a new machine $L = (P_L, R_0 ...)$ which, given a program $\lceil P \rceil$, runs H on [the program with itself as input], i.e. the machine $(P, \lceil P \rceil)$, and loops iff it halts.
- What happens if we run L with input P_L ?

The Halting Problem

Given an RM encoding $\lceil M \rceil$, can we write a program to determine if the simulated machine halts or not?

- Suppose H is such an RM, which takes a machine coding ¬M¬ in R₀ and halts with 1 if M halts, and halts with 0 if M doesn't halt.
- Construct a new machine $L = (P_L, R_0 ...)$ which, given a program $\lceil P \rceil$, runs H on [the program with itself as input], i.e. the machine $(P, \lceil P \rceil)$, and loops iff it halts.
- What happens if we run L with input P_I ?

Contradiction!

If L halts on $\lceil P_L \rceil$ that means that H says that $(P_L, \lceil P_L \rceil)$ loops. If L loops on $\lceil P_L \rceil$ that means that H says that $(P_L, \lceil P_L \rceil)$ halts.

Diagonalization

We saw Cantor's proof of the uncountability of infinite-length binary strings in the last lecture. This proof is another example of the same principle, which is called *diagonalization*.

Diagonalization

We saw Cantor's proof of the uncountability of infinite-length binary strings in the last lecture. This proof is another example of the same principle, which is called *diagonalization*.

Example (Gödel's first incompleteness theorem)

If a logic is capable of expressing basic (Peano) arithmetic, we can encode the provability of statements in the logic itself. Then, by the same diagonalisation trick, we can encode the statement "This statement is not provable" in the logic. If it is true, then it is not provable and thus the logic is incomplete. If it is false, then it is provable and thus the logic is inconsistent.

Consequences

We have sketched an argument that there are some programs that *cannot* be decided by register machines.

But what about other machines?

Turing Machines Reprisal

Recall a Turing Machine from prior courses. It is a machine with finite control, like an NFA or PDA, but with access to an unbounded $tape\ t_0t_1\ldots$ for storage. In each transition, we read and write to the tape, and move the tape head left or right.

Definition

A *Turing Machine* is a 7-tuple $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$:

- $\blacksquare Q$: states
- \blacksquare Σ : input symbols
- $\Gamma \supseteq \Sigma$: *tape* symbols, including a blank symbol \sqcup .
- $\blacksquare \ \delta: Q \times \Gamma \to Q \times \Gamma \times \{-1, +1\}$
- $q_0, q_{accept}, q_{reject} \in Q$: start, accept, reject states.

Exercise: Construct a TM to recognise $\{0^n1^n2^n \mid n \in \mathbb{N}\}$

More examples are given in Sipser, ch. 3.

More examples are given in Sipser, ch. 3.

Question

How do RMs compare to TMs?

More examples are given in Sipser, ch. 3.

Question

How do RMs compare to TMs?

I claim they are equivalent in power. How would we demonstrate this?

More examples are given in Sipser, ch. 3.

Question

How do RMs compare to TMs?

I claim they are equivalent in power. How would we demonstrate this?

Exercise: Design a TM to simulate an RM **Exercise**: Design a RM to simulate an TM

Upshot

The halting argument applies to TMs just as to RMs!

Do these modifications affect the expressivity of the machine?

$$\delta: Q \times \Gamma \to Q \times \Gamma \times \{-1, 0, +1\}$$

Do these modifications affect the expressivity of the machine?

Adding the ability to stay put, i.e.:

$$\delta: Q \times \Gamma \to Q \times \Gamma \times \{-1, 0, +1\}$$

Making the tape infinite in both directions?

Do these modifications affect the expressivity of the machine?

$$\delta: Q \times \Gamma \to Q \times \Gamma \times \{-1, 0, +1\}$$

- Making the tape infinite in both directions?
- Restricting to only two symbols?

Do these modifications affect the expressivity of the machine?

$$\delta: Q \times \Gamma \to Q \times \Gamma \times \{-1, 0, +1\}$$

- Making the tape infinite in both directions?
- Restricting to only two symbols?
- Allowing multiple tapes?

Do these modifications affect the expressivity of the machine?

$$\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{-1, 0, +1\}$$

- Making the tape infinite in both directions?
- Restricting to only two symbols?
- Allowing multiple tapes?
- Allowing non-deterministic TMs? i.e.:

$$\delta: Q \times \Gamma \to \mathcal{P}(Q \times \Gamma \times \{-1, +1\})$$

Do these modifications affect the expressivity of the machine?

$$\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{-1, 0, +1\}$$

- Making the tape infinite in both directions?
- Restricting to only two symbols?
- Allowing multiple tapes?
- Allowing non-deterministic TMs? i.e.:

$$\delta: Q \times \Gamma \to \mathcal{P}(Q \times \Gamma \times \{-1, +1\})$$

Summary

We have found one problem (halting) that we *cannot* compute in either RMs or TMs.

Summary

We have found one problem (halting) that we *cannot* compute in either RMs or TMs.

The Church-Turing Thesis

Any problem is computable by any model of computation iff it is computable by a Turing Machine.

Summary

We have found one problem (halting) that we *cannot* compute in either RMs or TMs.

The Church-Turing Thesis

Any problem is computable by any model of computation iff it is computable by a Turing Machine.

Confirmed for: RMs, TMs, λ -calculus, combinator calculus, general recursive functions, pointer machines, counter machines, cellular automata, queue automata, enzyme-based DNA computers etc. etc.

'Summary

We have found one problem (halting) that we *cannot* compute in either RMs or TMs.

The Church-Turing Thesis

Any problem is computable by any model of computation iff it is computable by a Turing Machine.

Confirmed for: RMs, TMs, λ -calculus, combinator calculus, general recursive functions, pointer machines, counter machines, cellular automata, queue automata, enzyme-based DNA computers etc. etc.

This means that for any model of computation we can think of, there are limits to what we can compute. Some problems are fundamentally *uncomputable* by any means. More on this next week.