

Informatics 2D · Agents and Reasoning · 2019/2020

## Lecture 13 · Resolution-Based Inference

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Based on slides by: Jacques Fleuriot, Michael Rovatsos, Michael Herrmann, Vaishak Belle

# Previously on INF2D

## Backward chaining

- if Goal is known (goal directed)
- can query for data

## Forward chaining

- if specific Goal is not known, but the system needs to react to new facts (data driven)
- can make suggestions

What do users expect from the system?

Which direction has the larger branching factor?

## Limitations

...due to restriction to definite clauses

In order to apply GMP

- premises of rules contain only non-negated symbols
- the conclusion of any rule is a non-negated symbol
- facts are non-negated atomic sentences

Possible solution: introduce more variables, e.g.  $Q := \neg P$

What about: “If we cannot prove  $A$ , then  $\neg A$  is true”?  
(works only if there is a rule for each variable)

## Resolution one more time

- Negate query  $\alpha$ .
- Convert everything to **CNF**.
- Repeat: Choose clauses and resolve (based on unification).
- If resolution results in empty clause,  $\alpha$  is proved.
- Return all substitutions (or Fail).

# Ground Binary Resolution & Modus Ponens

Ground binary resolution

$$\frac{C \vee P \quad D \vee \neg P}{C \vee D}$$

Suppose  $C = \text{False}$ .

$$\frac{P \quad \neg P \vee D}{D}$$

i.e.  $P$  and  $P \rightarrow D$  entails  $D$ .

Modus ponens is a special case of binary resolution.

# Full Resolution & Generalised Modus Ponens

GMP with  $p'_i\theta = p_i\theta$

$$\frac{p'_1, p'_2, \dots, p'_n \quad (p_1 \wedge p_2 \wedge \dots \wedge p_n \rightarrow q)}{q\theta}$$

$$\frac{p'_1, p'_2, \dots, p'_n \quad (q \vee \neg p_1 \vee \neg p_2 \vee \dots \vee \neg p_n)}{q\theta}$$

Full resolution with  $\theta$  mgu of all  $P_i$  and  $P'_j$

$$\frac{C \vee P_1 \vee \dots \vee P_m \quad D \vee \neg P'_1 \vee \dots \vee \neg P'_n}{(C \vee D) \theta}$$

# Resolution in Implication Form

Ground binary resolution

$$\frac{C \vee P \quad D \vee \neg P}{C \vee D}$$

Set  $C = \neg A$ .

$$\frac{A \rightarrow P \quad P \rightarrow D}{A \rightarrow D}$$

## Example · Memes and Theorems

- Some students like all memes.

$$F_1 : \quad \exists x.S(x) \wedge \forall y.M(y) \rightarrow \text{Likes}(x, y)$$

- No student likes any theorem.

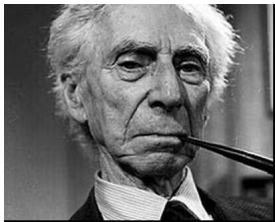
$$F_2 : \quad \forall x, y.S(x) \wedge T(y) \rightarrow \neg \text{Likes}(x, y)$$

- Show: No meme is a theorem.

$$F : \quad \forall x.M(x) \rightarrow \neg T(x)$$



## Example · Memes and Theorems



**Mememes that  
are not about  
themselves**



**A mememe about  
mememes that  
are not about  
themselves**

## Example · Memes and Theorems

CNF · Eliminating implications

$$F_1 : \quad \exists x.S(x) \wedge \forall y.M(y) \rightarrow \text{Likes}(x, y) \\ \exists x.S(x) \wedge \forall y.\neg M(y) \vee \text{Likes}(x, y)$$

$$F_2 : \quad \forall x, y.S(x) \wedge T(y) \rightarrow \neg \text{Likes}(x, y) \\ \forall x, y.\neg S(x) \vee \neg T(y) \vee \neg \text{Likes}(x, y)$$

$$F : \quad \forall x.M(x) \rightarrow \neg T(x) \\ \forall x.\neg M(x) \vee \neg T(x)$$

## Example · Memes and Theorems

CNF · Standardising variables apart, skolemising, dropping universal quantifiers

$$F_1 : \quad \exists x.S(x) \wedge \forall y.\neg M(y) \vee \text{Likes}(x, y) \\ S(G) \wedge (\neg M(y) \vee \text{Likes}(G, y))$$

$$F_2 : \quad \forall x, y.\neg S(x) \vee \neg T(y) \vee \neg \text{Likes}(x, y) \\ \neg S(w) \vee \neg T(z) \vee \neg \text{Likes}(w, z)$$

$$F : \quad \forall x.\neg M(x) \vee \neg T(x) \\ \neg M(x) \vee \neg T(x)$$

## Example · Memes and Theorems

### Unification

$$F_1 : S(G) \wedge (\neg M(y) \vee \text{Likes}(G, y))$$

$$F_2 : \neg S(w) \vee \neg T(z) \vee \neg \text{Likes}(w, z)$$

$$w/G : \neg S(G) \vee \neg T(z) \vee \neg \text{Likes}(G, z)$$

### Negation of proof goal

$$\neg(\neg M(x) \vee \neg T(x)) \equiv M(x) \wedge T(x)$$

## Example · Memes and Theorems

$$\begin{aligned} &S(G) \wedge (\neg M(y) \vee \text{Likes}(G, y)) \\ &\neg S(G) \vee \neg T(z) \vee \neg \text{Likes}(G, z) \\ &M(x) \wedge T(x) \end{aligned}$$

Clauses:  $S(G)$ ,  $M(x)$ ,  $T(x)$ ,  $\neg M(y) \vee \text{Likes}(G, y)$ ,  
 $\neg S(G) \vee \neg T(z) \vee \neg \text{Likes}(G, z)$

$$\frac{S(G) \quad \neg S(G) \vee \neg T(z) \vee \neg \text{Likes}(G, z)}{\neg T(z) \vee \neg \text{Likes}(G, z)}$$

$$\frac{\neg M(y) \vee \text{Likes}(G, y) \quad \neg T(z) \vee \neg \text{Likes}(G, z)}{\neg M(z) \vee \neg T(z)}$$

Substitute  $z/x$

$$\frac{\neg M(x) \vee \neg T(x) \quad M(x)}{\neg T(x)} \quad \text{and} \quad \frac{\neg T(x) \quad T(x)}{\square}$$

Therefore,  $\neg M(x) \vee \neg T(x)$ , i.e.  $M(x) \rightarrow \neg T(x)$ .

## Example · Memes and Theorems 2.0

- Some students like all memes.

$$F_1 : \quad \exists x.S(x) \wedge \forall y.M(y) \rightarrow \text{Likes}(x, y)$$

- No student likes any theorem.

$$F_2 : \quad \forall x.S(x) \rightarrow \forall y.T(y) \rightarrow \neg \text{Likes}(x, y)$$

- Show: No meme is a theorem.

$$F : \quad \forall x.M(x) \rightarrow \neg T(x)$$

## Example · Memes and Theorems 2.0

CNF · Eliminating implications

$$F_1 : \quad \exists x.S(x) \wedge \forall y.M(y) \rightarrow \text{Likes}(x, y) \\ \exists x.S(x) \wedge \forall y.\neg M(y) \vee \text{Likes}(x, y)$$

$$F_2 : \quad \forall x.S(x) \rightarrow \forall y.T(y) \rightarrow \neg \text{Likes}(x, y) \\ \forall x.\neg S(x) \vee \forall y.\neg T(y) \vee \neg \text{Likes}(x, y)$$

$$F : \quad \forall x.M(x) \rightarrow \neg T(x) \\ \forall x.\neg M(x) \vee \neg T(x)$$

## Example · Memes and Theorems 2.0

CNF · Standardising variables apart, skolemising, dropping universal quantifiers

$$F_1 : \quad \exists x.S(x) \wedge \forall y.\neg M(y) \vee \text{Likes}(x, y) \\ S(G) \wedge (\neg M(y) \vee \text{Likes}(G, y))$$

$$F_2 : \quad \forall x.\neg S(x) \vee \forall y.\neg T(y) \vee \neg \text{Likes}(x, y) \\ \neg S(w) \vee (\neg T(z) \vee \neg \text{Likes}(w, z))$$

$$F : \quad \forall x.\neg M(x) \vee \neg T(x) \\ \neg M(x) \vee \neg T(x)$$



## Resolution · Soundness and completeness

Resolution is **sound and complete**.

A set of clauses  $S$  is unsatisfiable if and only if one can derive the empty clause (false) from  $S$ .

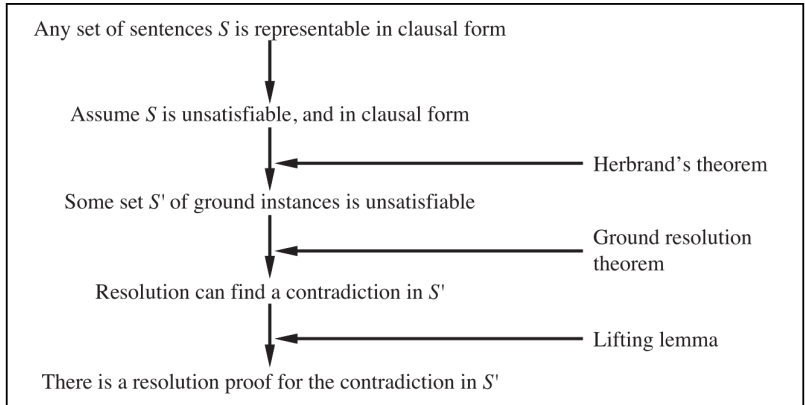
**Soundness:** derivability of empty clause implies unsatisfiability.

Can be proved by noticing that every model that satisfies the premises of resolution satisfies also satisfies its conclusion.

**Completeness:** every unsatisfiable clause can be refuted by resolution.

Can be proved using completeness of propositional resolution and **lifting** (as in the following slides; the full proof is beyond the scope of this course).

# Resolution · Completeness proof



# Completeness proof · Step 1

For a set of clauses  $S$ , we call the **Herbrand universe of  $S$**  the set  $H_S$  of all ground terms that can be constructed from the function symbols in  $S$ .

## Example

For  $S = \{\neg P(x, F(x, A)) \vee \neg Q(x, A) \vee R(x, B)\}$  we have

$H_S = \{A, B, F(A, A), F(A, B), F(B, A), F(B, B), F(A, F(A, A)), \dots\}$

# Completeness proof · Step 1

For a set of clauses  $S$  and  $P$  a set of ground terms,  $P(S)$ , the saturation of  $S$  with respect to  $P$ , is the set of all ground clauses obtained by applying all possible consistent substitutions of variables in  $S$  with ground terms from  $P$ .

The saturation of a set  $S$  with respect to its Herbrand universe is called the Herbrand base of  $S$  and denoted  $H_S(S)$ .

## Example

$$H_S(S) = \{ \neg P(A, F(A, A)) \vee \neg Q(A, A) \vee R(A, B), \\ \neg P(B, F(B, A)) \vee \neg Q(B, A) \vee R(B, B), \\ \neg P(F(A, A), F(F(A, A), A)) \vee \neg Q(F(A, A), A) \vee R(F(A, A), B), \\ \neg P(F(A, B), F(F(A, B), A)) \vee \neg Q(F(A, B), A) \vee R(F(A, B), B), \dots \}$$

# Completeness proof · Step 1

Herbrand's theorem (1930)

If a set  $S$  of clauses is unsatisfiable, then there exists a finite subset of  $H_S(S)$  that is also unsatisfiable.

## Completeness proof · Step 2

Let  $S'$  be that finite unsatisfiable subset of ground sentences.

Running propositional resolution to completion on  $S'$  will derive a contradiction.

## Completeness proof · Step 3

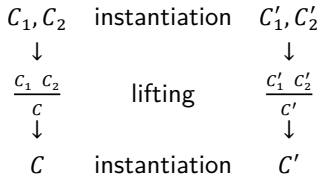
### Lifting lemma

Let  $C_1$  and  $C_2$  be two clauses with no shared variables, and let  $C'_1$  and  $C'_2$  ground instances of  $C_1$  and  $C_2$ .

If  $C'$  is a resolvent of  $C'_1$  and  $C'_2$ , then there exists a clause  $C$  such that:

$C$  is a resolvent of  $C_1$  and  $C_2$

$C'$  is a ground instance of  $C$ .



## Completeness proof · Step 3

### Example

$$C_1 = \neg P(x, F(x, A)) \vee \neg Q(x, A) \vee R(x, B)$$

$$C_2 = \neg N(G(y), z) \vee P(H(y), z)$$

$$C'_1 = \neg P(H(B), F(H(B), A)) \vee \neg Q(H(B), A) \vee R(H(B), B)$$

$$C'_2 = \neg N(G(B), F(H(B), A)) \vee P(H(B), F(H(B), A))$$

$$C' = \neg N(G(B), F(H(B), A)) \vee \neg Q(H(B), A) \vee R(H(B), B)$$

$$C = \neg N(G(y), F(H(y), A)) \vee \neg Q(H(y), A) \vee R(H(y), B)$$



## Efficient algorithms for resolution

Heuristics to make resolution more efficient:

**Unit preference:** prefer clauses with only one symbol.

**Pure clauses:** a pure clause contains symbol  $A$  which does not occur in any other clause. Cannot lead to contradiction.

**Tautology:** clauses containing  $A$  and  $\neg A$ .

**Set of support:** identify *useful* clauses and ignore the rest.

**Input resolution:** intermediately generated clauses can only be combined with original input clauses.

**Subsumption:** if a clause contains another one, use only the shorter clause. Prune unnecessary facts from the KB.

Including heuristics, resolution is more efficient than DPLL.

# Summary

- Limitations of GMP
- Relationship between inference rules
- Completeness of resolution – the lifting lemma
- Efficient algorithms for resolution