

# Undecidability

## Informatics 2A: Lecture 30

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- 1 Prelude: Russell's paradox
- 2 Universal Turing machines
- 3 The halting problem
- 4 Undecidable problems

## Prelude: Russell's paradox (1901)

Define  $R$  to be the set of all sets that don't contain themselves:

$$R = \{S \mid S \notin S\}$$

Does  $R$  contain itself, i.e. is  $R \in R$ ?

**Russell's analogy:** The village barber shaves exactly those men in the village who don't shave themselves. Does the barber shave himself, or not?

## Turing machines: summary

- Assuming  $|\Sigma| \geq 2$ , any kind of 'finite data' can (in principle) be coded up as a string in  $\Sigma^*$ , which can then be written onto a Turing machine tape. (E.g. natural numbers could be written in [binary](#), or in decimal if  $\Sigma$  contains the digits  $0, \dots, 9$ .)
- According to the [Church-Turing thesis](#), any 'mechanical computation' that can be performed on finite data can be performed in principle by a Turing machine.
- Any decent programming language has the same 'computational power in principle' as a Turing machine. (E.g. [Micro-Haskell](#) is Turing complete.)

## Universal Turing machines

Think about Turing machines with input alphabet  $\Sigma$ .

Such a machine  $T$  is itself specified by a **finite amount of information**, so can in principle be 'coded up' by a string  $\bar{T} \in \Sigma^*$ . (Details don't matter).

So one can imagine a **universal Turing machine**  $U$  which:

- Takes as its input a coded description  $\bar{T}$  of some TM  $T$ , along with an input string  $s$ , separated by a blank symbol.
- **Simulates** the behaviour of  $T$  on the input string  $s$ . (N.B. a single step of  $T$  may require many steps of  $U$ !)
  - If  $T$  ever halts (i.e. enters final state),  $U$  will halt.
  - If  $T$  runs forever,  $U$  will run forever.

If we believe CTT, such a  $U$  must exist — but in any case, it's possible to construct one explicitly.

## The concept of a general-purpose computer

Alan Turing's discovery of the existence of a **universal** Turing machine (1936) was in some sense the fundamental insight that gave us the general-purpose (programmable) computer!

In most areas of life, we have different machines for different jobs. So isn't it remarkable that a **single** physical machine can be persuaded to perform as many different tasks as a computer can ... just by feeding it with a cunning sequence of 0's and 1's!

## The halting problem

The universal machine  $U$  in effect serves as a recognizer for the set

$$\{\overline{T} \_ s \mid T \text{ halts on input } s\}$$

But is there also a machine  $V$  that recognizes the set

$$\{\overline{T} \_ s \mid T \text{ doesn't halt on input } s\} ?$$

If there were, then given any  $T$  and  $s$ , we could run  $U$  and  $V$  in parallel, and we'd eventually get an answer to the question "does  $T$  halt on input  $s$ ?"

Conversely, if there were a machine that answered this question, we could construct a machine  $V$  with the above property.

**Theorem:** There is no such Turing machine  $V$ !

So the halting problem is **undecidable**.

## Proof of undecidability

Why is the halting problem undecidable?

Suppose  $V$  existed. Then we could easily make a Turing machine  $W$  that recognized the set

$$\{s \in \Sigma^* \mid \text{the TM coded by } s \text{ runs forever on the input } s\}$$

( $W$  could just write two copies of its input string  $s$ , separated by a blank, and thereafter behave as  $V$ .)

Now encode  $W$  itself as a string  $w \in \Sigma^*$ . What does  $W$  do when given the input  $w$ ?

- If  $W$  accepts  $w$ , that means  $W$  runs forever on  $w$ !
- But if  $W$  runs forever on  $w$ , then  $W$  will accept  $w$ !

**Contradiction!!!** So  $V$  can't exist after all!



## Decidable vs. semidecidable sets

In general, a set  $S$  (e.g.  $\subseteq \Sigma^*$ ) is called **decidable** if there's a mechanical procedure which, given  $s \in \Sigma^*$ , will always return a yes/no answer to the question "Is  $s \in S$ ?".

E.g. the set  $\{s \mid s \text{ represents a prime number}\}$  is decidable.

We say  $S$  is **semidecidable** if there's a mechanical procedure which will return 'yes' precisely when  $s \in S$  (it isn't obliged to return anything if  $s \notin S$ ).

Semidecidable sets coincide with **recursively enumerable** sets, i.e. those that can be 'listed' by a mechanical procedure left to run forever. Also with **recursively enumerable** (i.e., Type 0) **languages** as defined in lectures 28–9

The **halting set**  $\{\bar{T} \_ s \mid T \text{ halts on input } s\}$  is an example a semidecidable set that isn't decidable. So there exist Type 0 languages for which membership is undecidable.

## Undecidable problems in mathematics

The existence of 'mechanically unsolvable' mathematical problems was in itself a major breakthrough in mathematical logic: until about 1930, some people (the influential mathematician David Hilbert, in particular) hoped there might be a single **killer algorithm** that could solve 'all' mathematical problems!

Once we have **one** example of an unsolvable problem (the halting problem), we can use it to obtain others — typically by showing “the halting problem can be **reduced** to problem X.”  
(If we had a mechanical procedure for solving X, we could use it to solve the halting problem.)

## Example: Provability of theorems

Let  $M$  be some reasonable (consistent) **formal logical system** for proving mathematical theorems (something like **Peano arithmetic** or **Zermelo-Fraenkel set theory**).

**Theorem:** The set of theorems provable in  $M$  is **semidecidable** (and hence is a Type 0 language), but not **decidable**.

**Proof:** Any reasonable system  $M$  will be able to prove all true statements of the form “ $T$  halts on input  $s$ ”. So if we could decide  $M$ -provability, we could solve the halting problem.

**Corollary (Gödel):** However strong  $M$  is, there are mathematical statements  $P$  such that neither  $P$  nor  $\neg P$  is provable in  $M$ .

**Proof:** Otherwise, given any  $P$  we could search through all possible  $M$ -proofs until either a proof of  $P$  or of  $\neg P$  showed up. This would give us an algorithm for deciding  $M$ -provability.

## Example: Diophantine equations

Suppose we're given a set of simultaneous equations involving polynomials in several variables with integer coefficients. E.g.

$$3xy + 4z + 5wx^2 = 27$$

$$x^2 + y^3 - 9z = 4$$

$$w^5 - z^4 = 31$$

$$x^2 + y^2 + z^2 + w^2 = 2536427$$

**Hilbert's 10th Problem (1900):** Is there a mechanical procedure for determining whether a set of polynomial equations has an integer solution?

**Matiyasevich' Theorem (1970):** it is **undecidable**, whether a set of polynomial equations has an integer solution.

(By contrast, it's **decidable** whether there's a solution in real numbers!)

## Examples from Language Processing itself

(The snake bites its own tail . . .)

- Pretty much all natural problems involving **regular** languages / DFAs / NFAs are decidable. E.g. “do two DFAs define the same language?”: apply the minimization algorithm and see if they're isomorphic.
- This isn't true for **context-free** languages. E.g. it's even undecidable, given a context-free grammar  $G$  with terminals  $\Sigma$ , whether or not  $\mathcal{L}(G)$  is the whole of  $\Sigma^*$ .
- It's also undecidable, given CFGs  $G_1$  and  $G_2$ , whether  $\mathcal{L}(G_1) \cap \mathcal{L}(G_2)$  is a context-free language.

So undecidability does crop up ‘naturally’ in many areas of mathematics.

## Clicker questions

What is the status of determining whether  $\mathcal{L}(G)$  is nonempty ...

Q1: ... when  $G$  is a **regular grammar**?

- ① Decidable
- ② Semidecidable
- ③ Not even semidecidable
- ④ Don't know

## Clicker questions

What is the status of determining whether  $\mathcal{L}(G)$  is nonempty ...

Q1: ... when  $G$  is a **regular grammar**?

Q2: ... when  $G$  is a **context-free grammar**?

- ① Decidable
- ② Semidecidable
- ③ Not even semidecidable
- ④ Don't know

## Clicker questions

What is the status of determining whether  $\mathcal{L}(G)$  is nonempty ...

Q1: ... when  $G$  is a **regular grammar**?

Q2: ... when  $G$  is a **context-free grammar**?

Q3: ... when  $G$  is a **context-sensitive grammar**?

- 1 Decidable
- 2 Semidecidable
- 3 Not even semidecidable
- 4 Don't know



## That's all folks!

That concludes the official course syllabus.

On Thursday, John and I will present a joint [revision lecture](#), in which we shall discuss:

- the exam structure
- examinable material
- pointers to UG3 (and upwards) Informatics courses that continue from this one