

---

# Genetic Algorithms and Genetic Programming

## Lecture 2

Gillian Hayes

26th September 2006



## Admin Reminder

Lecturer: Gillian Hayes, IPAB, School of Informatics

Email: gmh@inf.ed.ac.uk

Office: JCMB room 2107C, ext. 513440

Course Activities:

- Lectures: Tuesday 12:10 (JCMB LTB), Friday 12:10 (Daniel Rutherford Building LT1),
- Tutorials: Mon 10:00 (JCMB 6324), 15:00 (DHT FRN), Wed 13:00 (JCMB 6324), Fri 15:00 (JCMB 4310 and AT M3). Weeks 3–10

## Admin Reminder

- Reading: From supplied course notes and set book (*An Introduction to Genetic Algorithms* by Melanie Mitchell, MIT Press 1998, £20.85 on Amazon, also available on MIT CogNet)  
See <http://www.lib.ed.ac.uk/resbysub/info/ebooks.shtml>
- Assignments: a single assignment worth 25% of the course mark, to be handed in at the start of Week 11.
- Exam: worth 75% of the course mark, taken at the end of Semester 2.

# Syllabus Reminder

## Part 1: Introduction

- Genetic Algorithms: biological inspiration

## Part 2: Genetic Algorithms (GAs)

- The canonical genetic algorithm
- The schema theorem and building block hypothesis
- Formal analysis of genetic algorithms
- Methodology for genetic algorithms
- Designing real genetic algorithms

continued....

# Syllabus Reminder

## Part 3: Optimisation Problems

- Solving optimisation problems
- Swarm intelligence: ant colony optimisation (ACO)
- Adding local search: hybrid GAs and hybrid ACO
- Other methods: simulated annealing, tabu search

## Part 4: Evolving Programs and Intelligent Agents

- Evolving programs: genetic programming
- Evolving controllers: neural networks and robots
- Evolving intelligence: agents that play games
- Evolving intelligence: programs that can plan

# Genetics and Evolution

Aim of this lecture: to understand the biological inspiration behind GAs and understand where the curious terminology comes from:

- gene, allele, chromosome, genotype, phenotype, selection pressure, crossover, mutation, individual, population, generation, fitness . . .

Sources:

- Chapter 1 of Peter Ross's GAGP notes
- Richard Dawkins: *The Selfish Gene*, Chapter 3, Oxford University Press 1976, 1989
- Bruce Alberts et al.: *Essential Cell Biology*, 2nd ed., Garland, New York 2004

# The Genetic Code

DNA = deoxyribonucleic acid

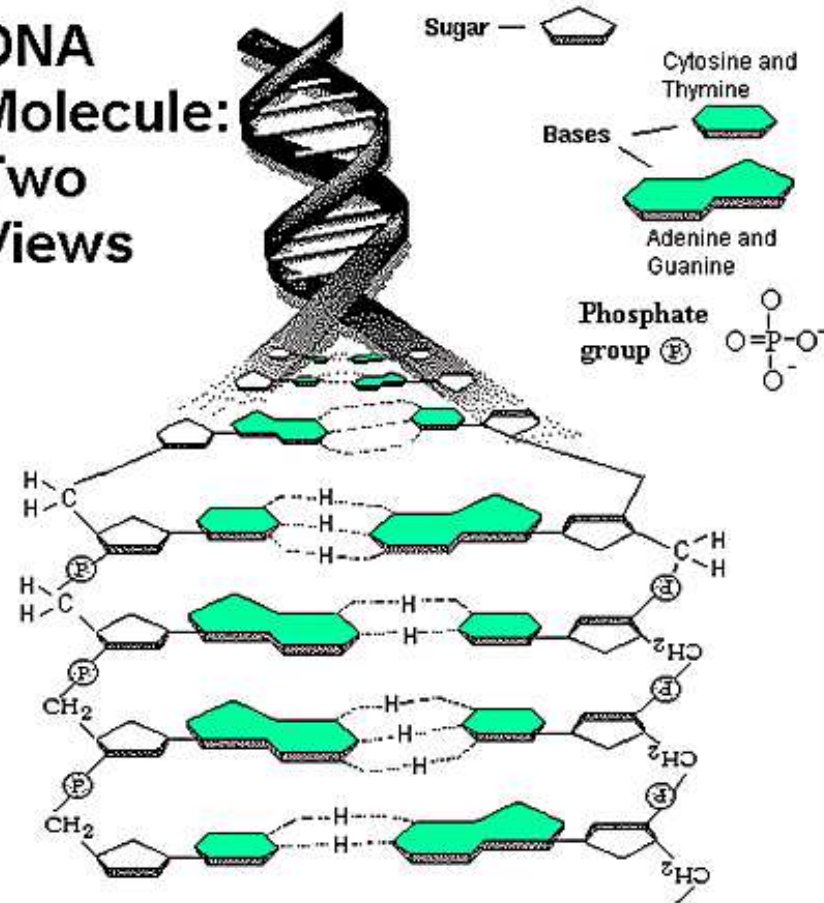
DNA is made up of a chain of simple molecular units. Each unit comprises a base, a sugar and a phosphate. The sugars and phosphates in many units link together in a chain with the bases sticking out. The bases in two chains attract one another resulting in a double helix structure.

There are just 4 kinds of **base** in DNA, labelled A, C, G and T (adenine, cytosine, guanine, thymine). C and G pair up, as do A and T.

... GATTACCA ...

... CTAATGGT ...

# DNA Molecule: Two Views





# Chromosomes

Inside every human cell are 46 strands of DNA called **chromosomes**. There are 23 pairs:

Mother: 1a 2a 3a 4a . . . 23a

Father: 1b 2b 3b 4b . . . 23b

The 46 chromosomes are the instructions for making an entire human being. (This depends on a lot of other things happening just at the right time.)

## Encoding Proteins

How does this work?

Sections of chromosome contain the instructions for building chains of amino acids – proteins. The proteins are the building blocks, regulation units and manufacturing units of the body:

e.g. lactase (enzyme), collagen (structure), haemoglobin (oxygen transport), actin (muscle contractions), CLOCK protein (circadian rhythm regulation).

Encoding: 3 DNA bases → 1 amino acid      AAA = lysine  
64 combinations → 20 amino acids      CCC = histidine  
– some redundancy

A protein is made up of many amino acids strung together and folded up.

## Genes

Sections of chromosome which encode a protein (the order in which to connect amino acids together) are often called a **gene**. (Plus sections to encode when the gene will be activated, i.e. when/where the protein is produced.)

Other meanings of gene:

- genetic material which encodes a trait
- a long-lived inheritable genetic unit

The 46 chromosomes in every cell build proteins which make a human body.

In fact, we could use only 23: the 1a and 1b chromosomes are alternative solutions to the same problems:

1a: . . . | Eyes are blue | . . .      1b: . . . | Eyes are brown | . . .

Sometimes one gene is dominant, the other recessive: in this case, eyes are brown. The “eyes are blue” gene is called an **allele** – a rival value.

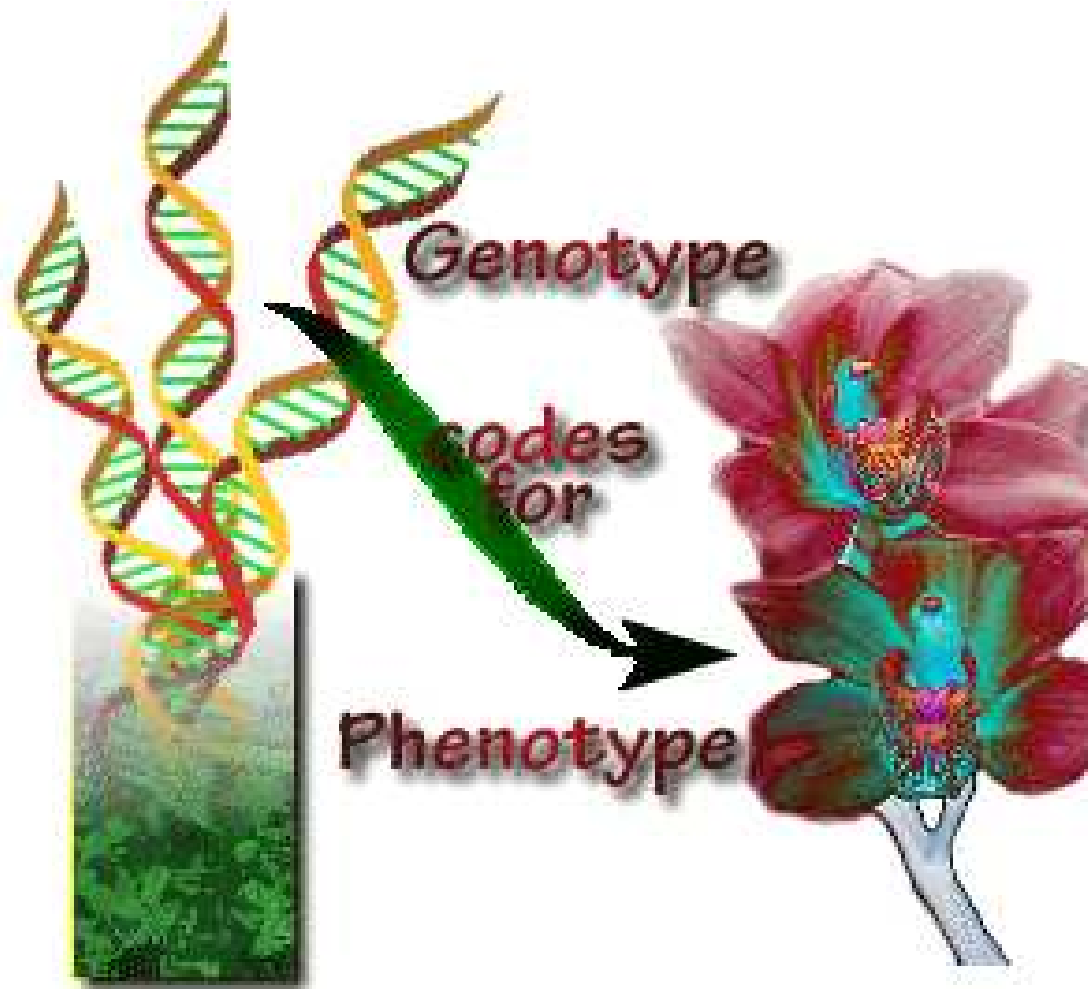
Sometimes the trait will be somewhere between the 2 values.

Sometimes it's more complicated!

Height vs. ADD (attention-deficit disorder)

# Genotype and Phenotype

DNA code: → human being  
genotype → phenotype



# Selection

When individuals exist in populations, they **compete** for resources.

The fitter ones live, the less fit die.

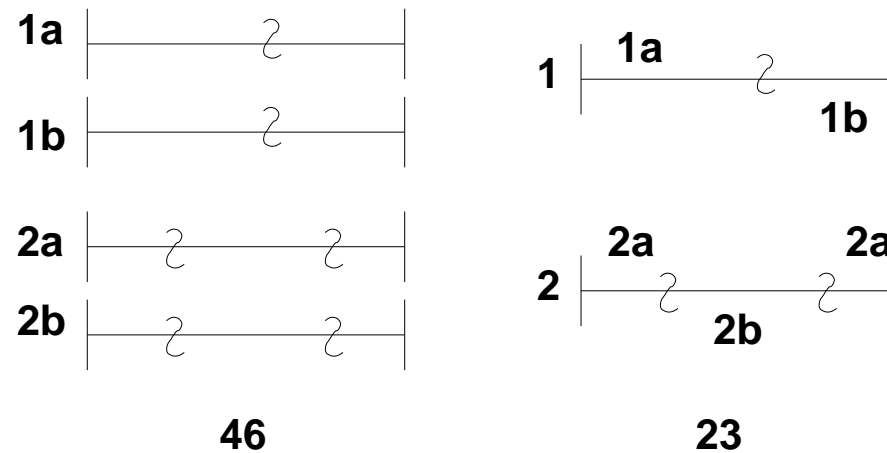
The survivors choose mates and produce offspring. The offspring are related to, but are not direct copies of, the parents:

- children inherit traits from parents
- offspring vary in their physical properties and behaviour

How can we explain this?

- sexual reproduction
- mutation
- crossover
- inversion

## Crossover



diploid cell  
(all except sperm  
and egg cells)

haploid cell  
(sperm and egg)

Every haploid cell is a result of **different** recombinations of the 46 chromosomes. When sperm and egg fuse, the 23 from the male and the 23 from the female are simply collected together to form a new collection of 46.



## Mutation

During crossover, sometimes copying errors are made, with low probability:

... CGTATTCATGG ...

... CGTACTCATGG ...

Also, sometimes strands of DNA become detached and flip end-over-end before reattaching – **inversion**:

... CGTATTCATGG ...

... CGTAACTTTGG ...

So different amino acids are coded for. Crossover can be disruptive – it favours smaller units of inheritance (Dawkins).

Some (very large) parts of DNA seem to be there to protect genes from crossover (junk DNA, introns, bloat).

# Selection

Selection governs which organisms live long enough to pass on their genes.

Selection pressure defines a fitness landscape which favours one type of creature over others. E.g. in landscapes that provide sparse food, creatures that store fat efficiently are more likely to survive.

→ optimisation

Populations can also adapt to changes in their environment. E.g. if populations start farming rather than hunting/gathering they develop enzymes that can digest grains.

→ adaptation

## Genetics and Evolution → AI?

Evolution shows how complexity and solutions to the problem of survival arise from populations, selection and recombination.

In our search for AI, such ideas are appealing:

- intelligence as an emergent property
- optimisation of behaviours
- adaptation to changes
- learning of new behaviours
- searching for optimal solutions (or better than the rest?)
- Artificial Life

## Using These Ideas

How does all this inspire us to build clever computer programs?

– See Lecture 1!

- find a hard problem (local maxima)
- encode solutions as a genotype
- map genotypes to phenotypes (optional)
- evaluate phenotype for fitness
- mate fit genotypes using crossover
- make a few mutations
- continue until you have your solution (or you are convinced that no improvement is possible)

## The Main Issues

- How do I represent a solution?
- How should I rate a solution for fitness?
- How large should the population of solutions be?
- How much selection pressure should I apply?
- What form of crossover should I use?
- what form of mutation should I use?

Next lecture: The Canonical GA

DNA figure: Access Excellence Graphic Library.

Genotype–phenotype figure: Blamire’s Science at a Distance.