# Genetic Algorithms and Genetic Programming Lecture 11

Gillian Hayes

3rd November 2006



Gillian Hayes GAGP Lecture 11 3rd November 2006



- Evaluating the system
  - Did it work? How do we know?
  - How many experiments should we do?
- Summing up



# Lectures 11 and 12: Designing a GA

- When should a GA be used?
- What to represent and how to represent it
  - Encoding the candidates
- The mechanics
  - Evaluating the candidates
  - Selection of the fittest
  - Crossover operators
  - Mutation operators
- Population models
- The parameters
- Setting the parameters

Gillian Hayes GAGP Lecture 11 3rd November 2006



#### When should a GA be used?

- Large or very large search space
   Noughts and crosses vs. protein folding
- A sufficiently good solution is good enough Exam timetabling
- Fitness landscape is not smooth and unimodal

  Optimal headphone loudness vs. setting value on a mixing desk
- Fitness landscape is poorly understood Find Flatiron building in Manhattan vs. Paris Left Bank bistro
- Fitness function is noisy and/or complex
   Sensory input or performance in noisy/unpredictable world



- No good algorithms exist to solve the problem Timetabling?
- Good local search operators exist Building a plan
- The problem is weakly compositional TSP vs. Lottery Extra
- ♠ Linux kernel tuning using a GA (Moilanen): chromosome is string of Linux kernel internal settings, fitness function is performance under some workload (benchmark workloads)
- ♠ TSP, knapsack, bin-packing, design of concert-hall acoustics, (simulated) F1 cars

Gillian Hayes GAGP Lecture 11 3rd November 2006



# Representation: Encoding the candidates 2

## How shall we represent it?

- Fixed-length linear binary encodings
   Unnatural. Unnatural orderings. Hamming cliff. Gray codes?
   Theory exists
- Fixed-length linear non-binary encodings
   Real values or characters. NN weights or grammars
- Variable length linear non-binary encodings Plans, Prisoner's Dilemma
- Tree-based chromosomes
  - GP. Open-ended search space. But unwieldy trees, much junk

Intuition: encode solution in the most natural way possible, then create genetic operators to make it work.



## Representation: Encoding the candidates 1

#### What shall we represent?

- The knapsack problem
- Exam timetabling
- Layout of plants and trees in a plot in JCMB

Gillian Hayes GAGP Lecture 11 3rd November 2006



# Mechanics: Evaluating the Candidates 1

- Need
  - A set of configurations  ${\cal C}$  the chromosomes
  - A fitness function  $f: C \to \Re$
  - An additional geometrical/topological/algebraic structure N on C that allows us to define which chromosomes are neighbours i.e. what says that chromosome A should be arrayed next to chromosome B on our picture of the fitness landscape? How similar are two chromosomes? (Stadler: landscape theory)
- Single candidate fitness function,  $f(c_i)$ 
  - The more fine-grained, the better
  - Should push towards better solutions
- Fitness function, neighbourhood structure, operators all interact



## Mechanics: Evaluating the Candidates 2

- We might use fitness sharing for multiple solutions prevent premature convergence
  - Fitness = Raw fitness/(Some measure of how many others are similar)
  - Reward difference. Speciation. Explore several local maxima
- Round Robin competitions for strategies
- Decode genotype into phenotype and evaluate that

Gillian Hayes GAGP Lecture 11



3rd November 2006

#### **Mechanics: Fitness Distance Correlation**

Assume you have a set of fitnesses  $F=f1,f2,\ldots$  and a set of known distances to the global optimum  $D=d1,d2,\ldots$ 

$$FDC = \frac{C}{SF \times SD}$$

SF and SD are the standard deviation of F and D respectively and  $% \left\{ 1\right\} =\left\{ 1\right\} =\left$ 

$$C = \frac{1}{n} \sum_{i=1}^{n} (f_i - \bar{f})(d_i - \bar{d})$$

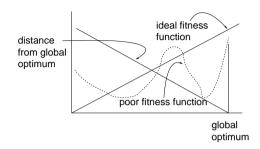
where  $\bar{f}$  and  $\bar{d}$  are the means of F and D. C is the covariance of F and D. Ideally, FDC = -1. (Why?)

Maximally **deceptive** fitness functions: FDC = 1.

informatics

## **Mechanics: Deceptive Fitness Functions**

Fitness function: estimate of how far it is to the global optimum. What if our estimate is not so good?



Gillian Hayes GAGP Lecture 11 3rd November 2006



## **Mechanics: Selection Method**

Aim: choose parents. Emphasise fitter ones. Balance exploitation and exploration.

- Fitness-proportionate selection
  Often premature convergence
- Rank-based selection

 $\begin{aligned} & \text{Fitness}(i) = \text{Min} + (\text{Max - Min})(\text{Rank}(i) - 1)/(\text{N-1}) \text{ then do FPS} \\ & \text{Max and Min are chosen by you.} \\ & \text{Can also do exponential scaling.} \end{aligned}$ 

Preserves diversity, slows selection pressure

ullet Tournament selection Select k individuals. Fittest m go into intermediate population (perhaps



with some probability)

Less computationally expensive (don't evaluate all chroms.)

Uniform selection

Lowest/highest fitness in current generation is Min, Max. Select a fitness f uniformly in [Min, Max]. Individual with closest fitness to f is chosen. Maintains genetic diversity – we only want **one** solution of maximal fitness

Elitism

Copy some number of fittest individuals into intermediate or next-generation population

Don't lose good solutions when we've found them until we find better solutions

• and others, e.g. combinations of the above

Gillian Hayes GAGP Lecture 11 3rd November 2006



#### **Mechanics: Crossover**

- Single-point, Two-point
- $\bullet$  Uniform: choose each child gene with probability p from parent 1
- Try to preserve building blocks (but avoid hitch-hiking)

Attempts to make crossover less disruptive:

- Brood crossover: 2 parents produce several offspring, fittest 2 chosen
- Elite crossover: put offspring into pool with parents, select fittest 2
- Intelligent crossover: crossover hotspots a template for crossover points that
  is also evolved



### **Mechanics: Selection Method Considerations**

• Selection pressure – avoid premature convergence, maintain diversity, exploration vs. exploitation

How would we detect premature convergence?

• Takeover time – till best individual replaces all others ls the best individual good enough?

Gillian Hayes GAGP Lecture 11 3rd November 2006



# **Mechanics: Mutation Operator**

- Original aim: to preserve diversity
- Can end up solving the problem
- Allele (point) mutation
- Reordering mutations inversion or cycling
- Swap mutations swap 2 random positions
- Intelligent mutations
  - encode  $p_m$  onto chromosome and allow GA to evolve it
  - use a schedule to change  $p_{m}$  as a function of time
- or as a function of fitness
- Choose to suit the problem [To be continued...]