# Empirical Methods in Natural Language Processing Lecture 6 Tagging (II): Transformation-Based Learning and Maximum Entropy Models

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## Tagging as supervised learning

- Tagging is a supervised learning problem
  - given: some annotated data (words annotated with POS tags)
  - build model (based on features, i.e. representation of example)
  - predict unseen data (POS tags for words)
- Issues in supervised learning
  - there is no data like more data
  - feature engineering: how best represent the data
  - overfitting to the training data?
- There are many algorithms for supervised learning (naive Bayes, decision trees, maximum entropy, neural networks, support vector machines, ...)

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### One tagging method: Hidden Markov Models

- HMMs make use of two conditional probability distributions
  - tag sequence model  $p(t_n|t_{n-2}, t_{n-1})$
  - tag-word predicition model  $p(w_n|t_n)$
- Given these models, we can find the best sequence of tags for a sentence using the Viterbi algorithm



## How good is HMM tagging?

- Labeling a sequence is very fast
- Viterbi algorithm outputs best label sequence (previous tags affect labeling of next tag), not just best tag for each word in isolation
- It is easy to get 2nd best sequence, 3rd best sequence, etc.
- But: uses only a *very small window* around word (*n* previous tags)

#### 4 informatics

#### More features

• Consider a *larger window* 

$w_{n-4}$	$w_{n-3}$	$w_{n-2}$	$w_{n-1}$	$w_n$	$w_{n+1}$	$w_{n+2}$	$w_{n+3}$	$w_{n+4}$
$t_{n-4}$	$t_{n-3}$	$t_{n-2}$	$t_{n-1}$	$t_n$	$t_{n+1}$	$t_{n+2}$	$t_{n+3}$	$t_{n+4}$

- Examples for useful features
  - if one of the previous tags is MD, then VB is likelier than VBP (basic verb form instead of verb in singular present)
  - if next tag is JJ, then RBR is likelier than JJR (adverb instead of adjective)



## More features (2)

- Lexical features
  - if one of the previous tags is *not*, then VB is likelier than VBP
- Morphological features
  - if word ends in -*tion* it is most likely an NN
  - if word ends in -ly it is most likely an adverb



## Using additional features

• Using more features in a conditional probability distribution?

 $p(t_i|w_i, f_0, \dots, f_n)$ 

- ⇒ sparse data problems (insufficient statistics for reliable estimation of the distribution)
  - Idea: First apply HMM, then fix errors with additional features



#### Applying the model to training data

- We can use the HMM tagger to tag the *training data*
- Then, we can compare *predicted tags* to *true tags*

words:	the	old	man	the	boat
predicted:	DET	JJ	NN	DET	NN
true tag:	DET	NN	VB	DET	NN

- How can we fix these errors? Possible transformation rules:
  - change NN to VB if no verb in sentence
    predicted: DET JJ VB DET NN
  - change JJ to NN if followed by VB
    predicted: DET NN VB DET NN



#### **Transformation based learning**

- First, baseline tagger
  - most frequent tag for word:  $\operatorname{argmax}_t p(t|w)$
  - Hidden Markov Model tagger
- Then apply transformations that fix the errors
  - go through the sequence word by word
  - if a feature is present in a current example,
  - $\rightarrow$  apply rule (change tag)



#### Learning transformations

- Given: words with their true tags
- Tag sentence with baseline tagger
- Repeat
  - find transformation that minimizes error
  - apply transformation to sentence
  - add transformation to list
- Output: ordered list of transformations



### Applying the learned transformations

- Given: a new sentence that we want to tag
- Tag words with baseline tagger
- For each transformation rule (in the sequence they were learned):
  - For each word (in sentence order):
    - $\cdot$  apply transformation, if it matches
- Output: tags

#### 11 informatics

## **Goal:** minimizing error

- We need some metric to measure the error
- Here: number of wrongly assigned tags

$$error(D, M) = 1 - \frac{\sum_{i=1}^{N} \delta(t_i^{predicted}, t_i)}{N}$$

- General considerations for **error functions**:
  - Some errors are more costly than others
  - Detecting *cancer*, if *healthy* vs. detecting *healthy* when *cancer*
  - Sometimes error is difficult to assess (machine translation output different from human translation may be still correct)



## Overfitting

- It may be possible to fix *all* errors in training
- The last transformations learned may fix only one error each
- Transformations that work in training may not work elsewhere, or may even be generally harmful
- To avoid **overfitting**: stop early



## Generative modeling vs. discriminative training

- HMMs are an example for **generative modeling** 
  - a model M is created that predicts the training data D
  - the model is broken up into smaller steps
  - for each step, a probability distribution is learned
  - model is optimized on p(D|M), how well it predicts the data
- Transformation-based learning is an example for **discriminative training** 
  - a method M is created to predict the training data D
  - it is improved by reducing prediction error
  - look for features that *discriminate* between faulty predictions and truth
  - model is optimized on error(M, D), also called the loss function



#### Probabilities vs. rules

- HMMs: probabilities allow for *graded decisions*, instead of just yes/no
- Transformation based learning: *more features* can be considered
- We would like to combine both
- ⇒ Maximum Entropy models



## Maximum Entropy

- Each example (here: word w) is represented by a set of features  $\{f_i\}$ , here:
  - the word itself
  - morphological properties of the word
  - other words and tags surrounding the word
- The task is the classify the word into a class  $c_j$  (here: the POS tag)
- How well a feature  $f_i$  predicts a class  $c_j$  is defined by a parameter  $\alpha(f_i, c_j)$
- Maximum entropy model:

$$p(c_j|w) = \prod_{f_i \in w} \alpha(f_i, c_j)$$



## Maximum Entropy training

- Feature selection
  - given the large number of possible features, which ones will be part of the model?
  - we do not want unreliable and rarely occurring features (avoid overfitting)
  - good features help us to reduce the number of classification errors
- Setting the parameter values  $\alpha(f_i, c_j)$ 
  - $\alpha(f_i, c_j)$  are real numbered values, similar to probabilities
  - we want to ensure that the expected co-occurrence of features and classes matches between the training data and the model
  - otherwise we want to have no bias in the model (maintain *maximum entropy*)
  - training algorithm: **generalized iterative scaling**



## **POS** tagging tools

- Three commonly used, freely available tools for tagging:
  - **TnT** by Thorsten Brants (2000): Hidden Markov Model http://www.coli.uni-saarland.de/ thorsten/tnt/
  - Brill tagger by Eric Brill (1995): transformation based learning http://www.cs.jhu.edu/~brill/
  - MXPOST by Adwait Ratnaparkhi (1996): maximum entropy model ftp://ftp.cis.upenn.edu/pub/adwait/jmx/jmx.tar.gz
- All have similar performance ( $\sim$ 96% on Penn Treebank English)