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**informatics**

# Distributed Systems

## Clocks, Ordering, and Global Snapshots

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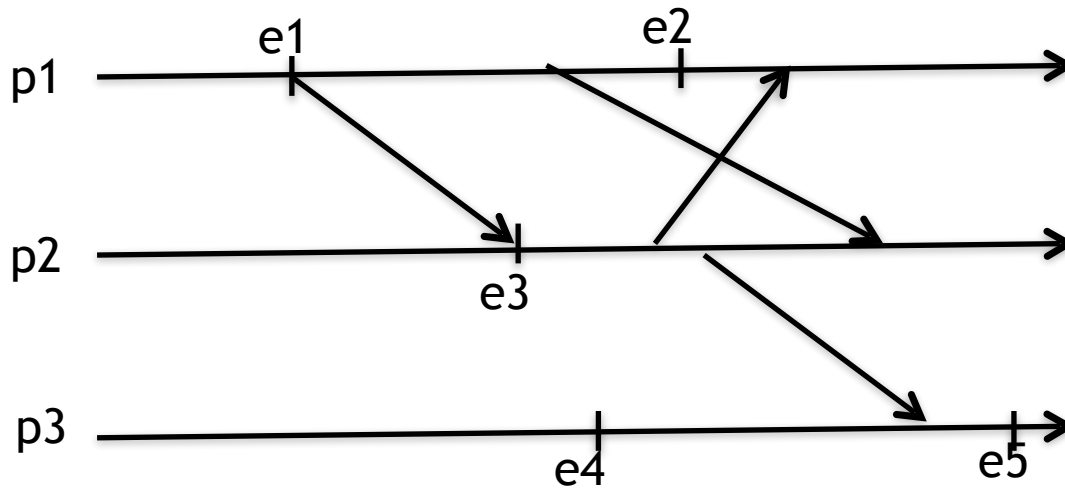
# Logical clocks

- Why do we need clocks?
  - To determine when one thing happened before another
- Can we determine that without using a “clock” at all?
  - Then we don’t need to worry about synchronisation, millisecond errors etc..

# Happened before

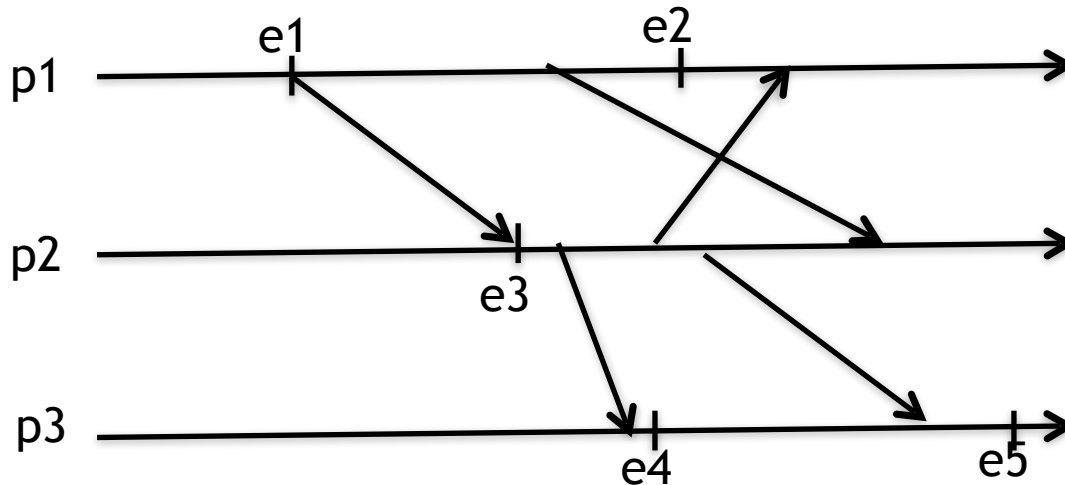
- $a \longrightarrow b$  : a happened before b
  - If a and b are successive events in same process then  $a \longrightarrow b$
  - Send before receive
    - If a : “send” event of message m
    - And b : “receive” event of message m
    - Then  $a \longrightarrow b$
  - Transitive:  $a \longrightarrow b$  and  $b \longrightarrow c \implies a \longrightarrow c$

# Example



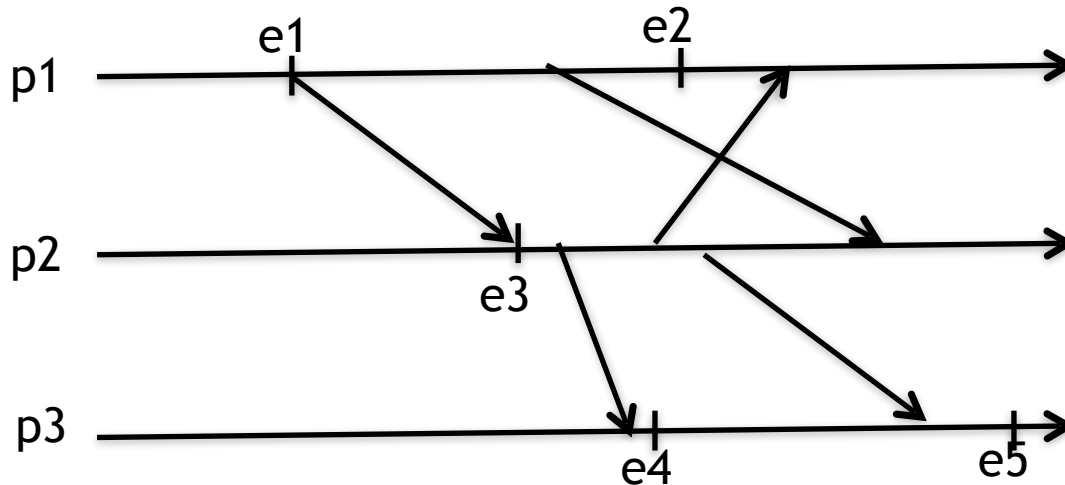
# Example

- Events without a happened before relation are “concurrent”
- $e1 \longrightarrow e2$ ,  $e3 \longrightarrow e4$ ,  $e1 \longrightarrow e5$ ,  $e5 \parallel e2$



# Example

- Events without a happened before relation are “concurrent”
- Happened before is a partial ordering



# Happened before & causal order

- Happened before == could have caused/influenced
- Preserves causal relations
- Implies a partial order
  - Implies time ordering between certain pairs of events
  - Does not imply anything about ordering between concurrent events

# Logical clocks

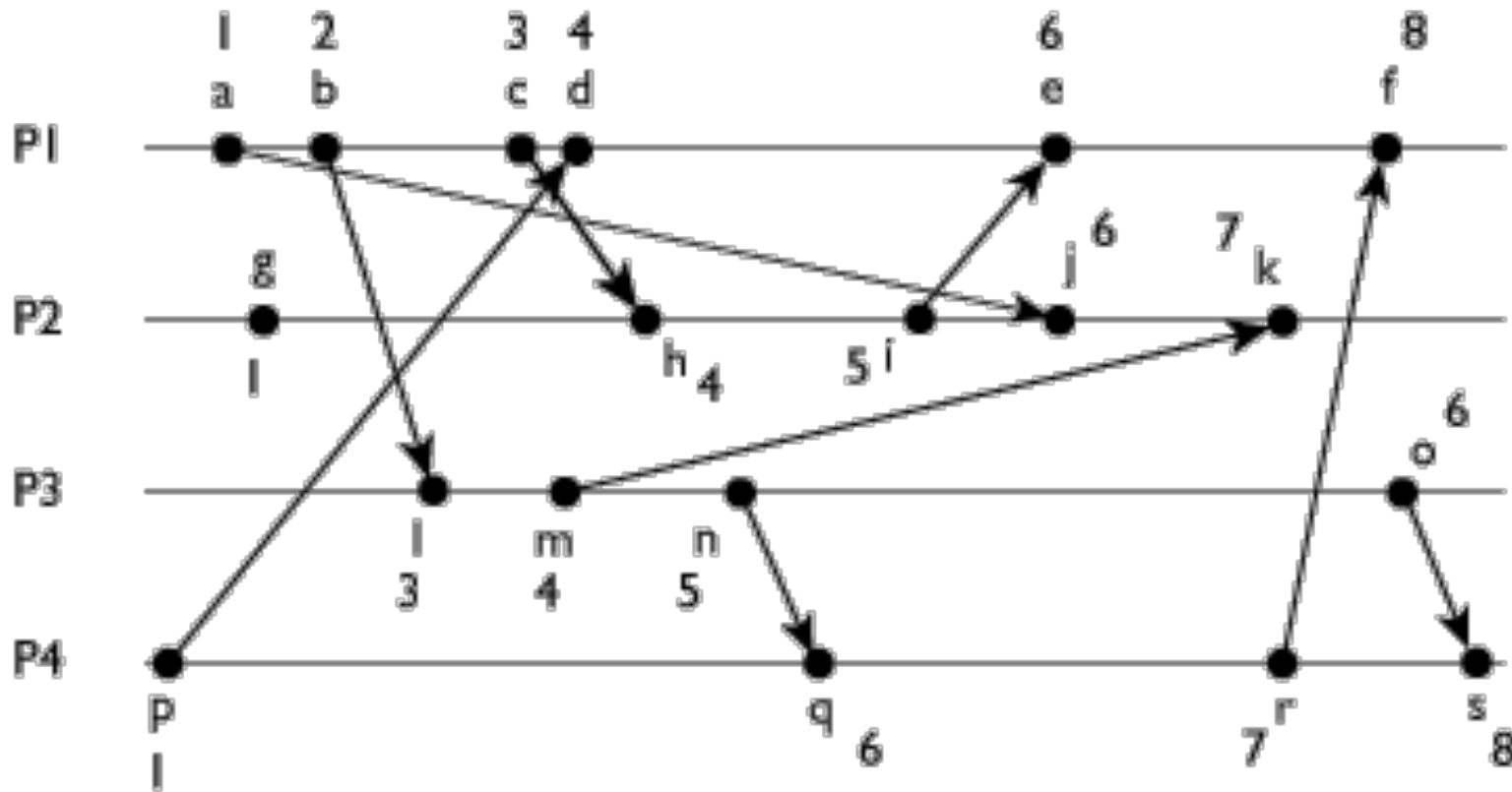
- Idea: Use a counter at each process
- Increment after each event
- Can also increment when there are no events
  - Eg. A clock
- An actual clock can be thought of as such an event counter
- It counts the states of the process
- Each event has an associated time: The count of the state when the event happened



# Lamport clocks

- Keep a logical clock (counter)
- Send it with every message
- On receiving a message, set own clock to  $\max(\{\text{own counter, message counter}\}) + 1$
- For any event  $e$ , write  $c(e)$  for the logical time
- Property:
  - If  $a \longrightarrow b$ , then  $c(a) < c(b)$
  - If  $a \parallel b$ , then no guarantees

# Lamport clocks: Example



# Concurrency and Lamport clocks

- If  $e1 \longrightarrow e2$ 
  - Then no Lamport clock  $C$  exists with  $C(e1) == C(e2)$

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- If  $e1 \longrightarrow e2$ 
  - Then no Lamport clock  $C$  exists with  $C(e1) == C(e2)$
- If  $e1 \parallel e2$ , then there exists a Lamport clock  $C$  such that  $C(e1) == C(e2)$



# The Purpose of Lamport Clocks

# The Purpose of Lamport Clocks

- If  $a \rightarrow b$ , then  $c(a) < c(b)$
- If we order all events by their Lamport clock times
  - We get a partial order, since some events have same time
  - The partial order satisfies “causal relations”

# The purpose of Lamport clocks

- Suppose there are events in different machines
  - Transactions, money in/out, file read, write, copy
- An ordering of events that guarantees preserving causality

# Total order from Lamport clocks

- If event  $e$  occurs in process  $j$  at time  $C(e)$ 
  - Give it a time  $(C(e), j)$
  - Order events by  $(C, \text{process id})$
  - For events  $e_1$  in process  $i$ ,  $e_2$  in process  $j$ :
    - If  $C(e_1) < C(e_2)$ , then  $e_1 < e_2$
    - Else if  $C(e_1) == C(e_2)$  and  $i < j$ , then  $e_1 < e_2$
- Leslie Lamport. Time, clocks and ordering of events in a distributed system.



# Vector Clocks

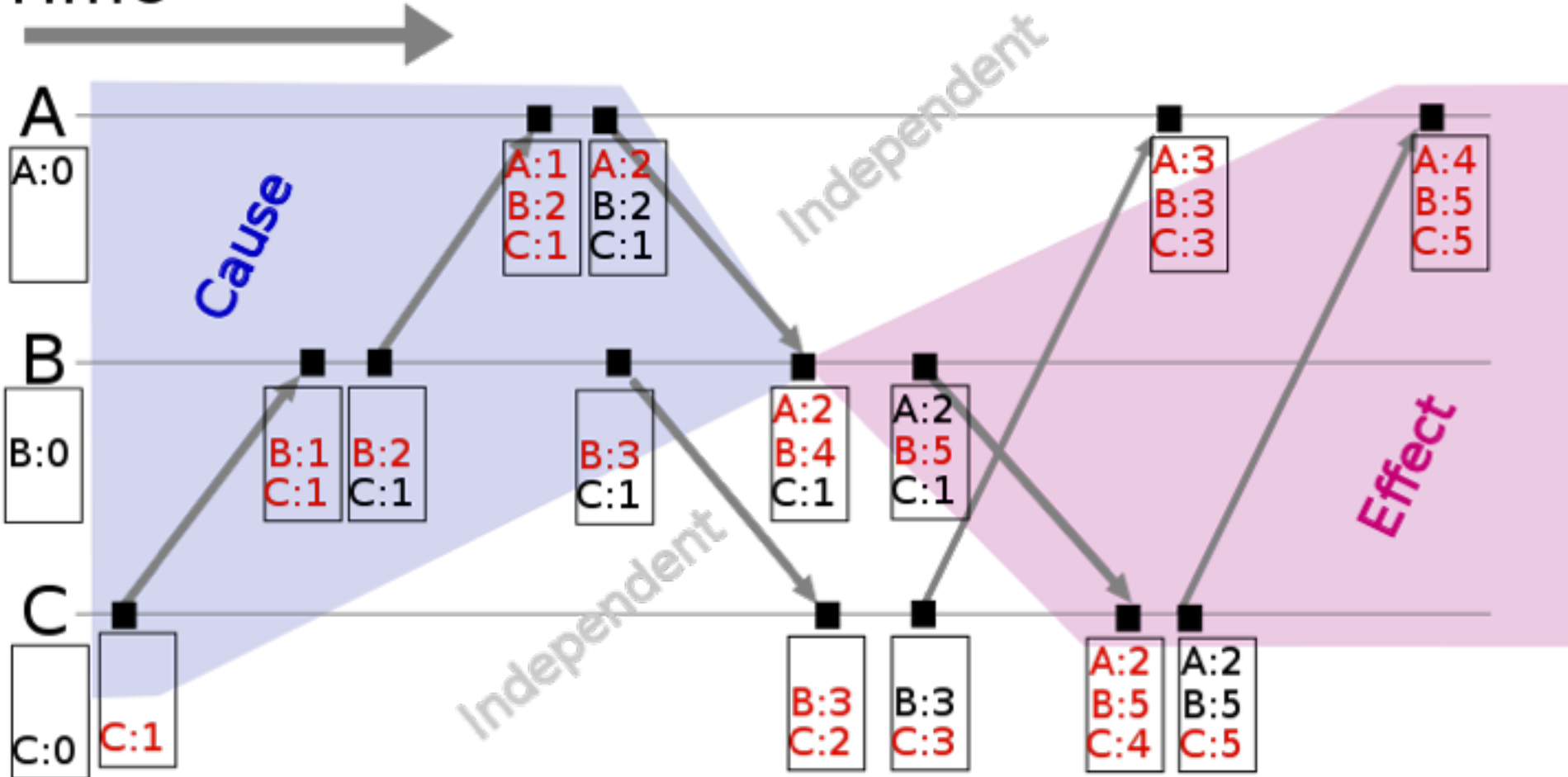
- We want a clock such that:
  - If  $a \longrightarrow b$ , then  $c(a) < c(b)$
  - AND
  - If  $c(a) < c(b)$ , then  $a \longrightarrow b$
  
- Ref: Coulouris et al., V. Garg

# Vector Clocks

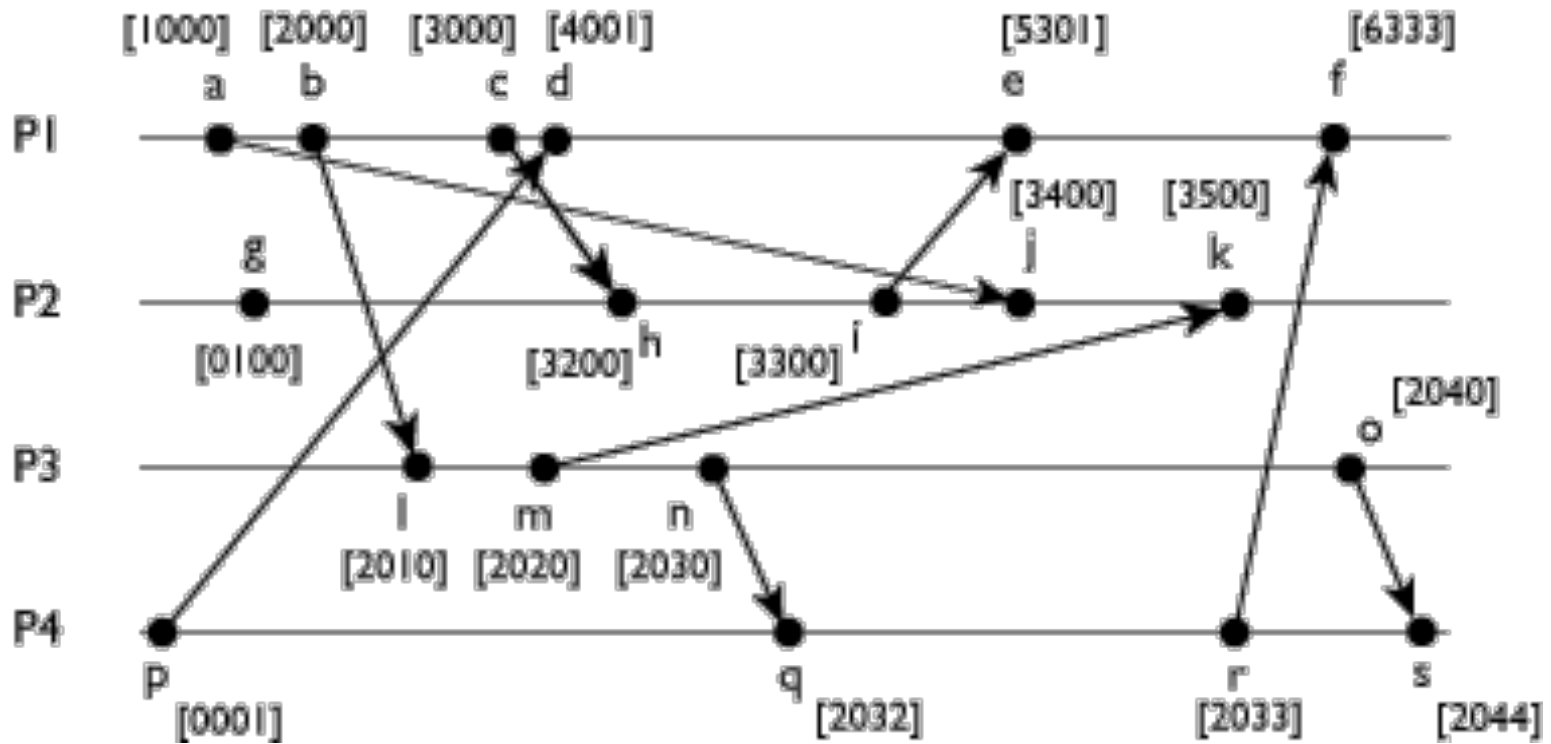
- Each process  $i$  maintains a vector  $V_i$
- $V_i$  has  $n$  elements
  - keeps clock  $V_i[j]$  for every other process  $j$
  - On every local event:  $V_i[i] = V_i[i] + 1$
  - On sending a message,  $i$  sends entire  $V_i$
  - On receiving a message at process  $j$ :
    - Takes max element by element
    - $V_j[k] = \max(V_j[k], V_i[k])$ , for  $k = 1, 2, \dots, n$
    - And adds 1 to  $V_j[j]$

# Example

Time



# Another Example



# Comparing Timestamps

- $V = V'$  iff  $V[i] == V'[i]$  for  $i=1,2,\dots,n$
- $V < V'$  iff  $V[i] < V'[i]$  for  $i=1,2,\dots,n$

# Comparing Timestamps

- $V = V'$  iff  $V[i] == V'[i]$  for  $i=1,2,\dots,n$
- $V < V'$  iff  $V[i] < V'[i]$  for  $i=1,2,\dots,n$
- For events  $a, b$  and vector clock  $V$ 
  - $a \longrightarrow b$  iff  $V(a) < V(b)$
- Is this a total order?

# Comparing Timestamps

- $V = V'$  iff  $V[i] == V'[i]$  for  $i=1,2,\dots,n$
- $V \leq V'$  iff  $V[i] \leq V'[i]$  for  $i=1,2,\dots,n$
- For events  $a, b$  and vector clock  $V$ 
  - $a \longrightarrow b$  iff  $V(a) \leq V(b)$
- Two events are concurrent if
  - Neither  $V(a) \leq V(b)$  nor  $V(b) \leq V(a)$

# Vector Clock Examples

- $(1,2,1) \leq (3,2,1)$  but  $(1,2,1) \not\leq (3,1,2)$
- Also  $(3,1,2) \not\leq (1,2,1)$
- No ordering exists



# Vector Clocks

- What are the drawbacks?
- What is the communication complexity?

# Vector Clocks

- What are the drawbacks?
  - Entire vector is sent with message
  - All vector elements ( $n$ ) have to be checked on every message
- What is the communication complexity?
  - $\Omega(n)$  *per message*
  - Increases with time

# Logical Clocks

- There is no way to have perfect knowledge on ordering of events
  - A “true” ordering may not exist..
  - Logical and vector clocks give us a way to have ordering consistent with causality

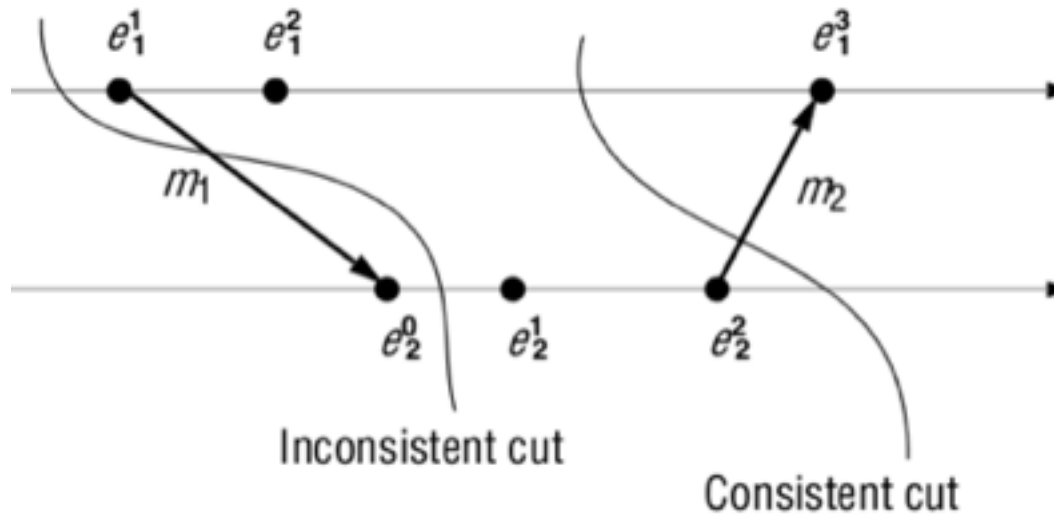
# Distributed Snapshots

- Take a “snapshot” of a system
- E.g. for backup: If system fails, it can start up from a meaningful state
- Problem:
  - Imagine a sky filled with birds. The sky is too large to cover in a single picture.
  - We want to take multiple pictures that are consistent in a suitable sense
    - Eg. We can correctly count the number of birds from the snapshot

# Distributed Snapshots

- Global state:
  - State of all processes and communication channels
- Consistent cuts:
  - A set of states of all processes is a consistent cut if:
    - For any states  $s, t$  in the cut,  $s \parallel t$
- If  $a \longrightarrow b$ , then the following is not allowed:
  - $b$  is before the cut,  $a$  is after the cut

# Consistent Cut



# Distributed Snapshot Algorithm

- Ask each process to record its state
- The set of states must be a consistent cut
  
- Assumptions:
  - Communication channels are FIFO
  - Processes communicate only with neighbours
    - We assume for now that everyone is neighbour of everyone
  - Processes do not fail

# Global Snapshot

## Chandy and Lamport Algorithm

- One process initiates snapshot and sends a **marker**
- Marker is the boundary between “before” and “after” snapshot



# Global snapshot

## Chandy and Lamport algorithm

- **Marker send rule (Process i)**
  1. Process i records its state
  2. On every outgoing channel where a marker has not been sent:
    - i sends a marker on the channel
    - before sending any other message
- **Marker receive rule (Process i receives marker on channel C)**
  - If i has not received the marker before
    - Record state of i
    - Record state of C as empty
    - Follow marker send rule
  - Else:
    - Record the state of C as the set of messages received on C since recording i's state and before receiving marker on C
- Algorithm stops when all processes have received marker on all incoming channels

# Complexity

- Message?
- Time?