

# Discrete Mathematics & Mathematical Reasoning

## Chapter 6: Counting

Colin Stirling

Informatics

Slides originally by Kousha Etessami

# Chapter Summary

- The Basics of Counting
- The Pigeonhole Principle
- Permutations and Combinations
- Binomial Coefficients and Identities
- Generalized Permutations and Combinations

## Basic Counting: The Product Rule

**Recall:** For a set  $A$ ,  $|A|$  is the **cardinality** of  $A$  (# of elements of  $A$ ).

For a pair of sets  $A$  and  $B$ ,  $A \times B$  denotes their **cartesian product**:

$$A \times B = \{(a, b) \mid a \in A \wedge b \in B\}$$

### Product Rule

If  $A$  and  $B$  are finite sets, then:  $|A \times B| = |A| \cdot |B|$ .

**Proof:** Obvious, but prove it yourself by induction on  $|A|$ . □

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### general Product Rule

If  $A_1, A_2, \dots, A_m$  are finite sets, then

$$|A_1 \times A_2 \times \dots \times A_m| = |A_1| \cdot |A_2| \cdot \dots \cdot |A_m|$$

**Proof:** By induction on  $m$ , using the (basic) product rule. □

# Product Rule: examples

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**Example 2:** How many different car license plates can be made if each plate contains a sequence of three uppercase English letters followed by three digits?

**Solution:**  $26 \cdot 26 \cdot 26 \cdot 10 \cdot 10 \cdot 10 = 17,576,000$ . □



# Counting Subsets

## Number of Subsets of a Finite Set

A finite set,  $S$ , has  $2^{|S|}$  distinct subsets.

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A finite set,  $S$ , has  $2^{|S|}$  distinct subsets.

**Proof:** Suppose  $S = \{s_1, s_2, \dots, s_m\}$ .

There is a one-to-one correspondence (bijection), between subsets of  $S$  and bit strings of length  $m = |S|$ .

The bit string of length  $|S|$  we associate with a subset  $A \subseteq S$  has a 1 in position  $i$  if  $s_i \in A$ , and 0 in position  $i$  if  $s_i \notin A$ , for all  $i \in \{1, \dots, m\}$ .

$$\{s_2, s_4, s_5, \dots, s_m\} \cong \underbrace{\begin{array}{|c|c|c|c|c|c|c|} \hline 0 & 1 & 0 & 1 & 1 & \dots & 1 \\ \hline \end{array}}_m$$

By the product rule, there are  $2^{|S|}$  such bit strings. □

# Counting Functions

## Number of Functions

For all finite sets  $A$  and  $B$ , the number of distinct functions,  $f : A \rightarrow B$ , mapping  $A$  to  $B$  is:

$$|B|^{|A|}$$

**Proof:** Suppose  $A = \{a_1, \dots, a_m\}$ .

There is a one-to-one correspondence between functions  $f : A \rightarrow B$  and strings (sequences) of length  $m = |A|$  over an alphabet of size  $n = |B|$ :

$$(f : A \rightarrow B) \cong \boxed{f(a_1) \mid f(a_2) \mid f(a_3) \mid \dots \mid f(a_m)}$$

By the product rule, there are  $n^m$  such strings of length  $m$ .  $\square$

# Sum Rule

## Sum Rule

If  $A$  and  $B$  are finite sets that are **disjoint** (meaning  $A \cap B = \emptyset$ ), then

$$|A \cup B| = |A| + |B|$$

**Proof.** Obvious. (If you must, prove it yourself by induction on  $|A|$ .)  $\square$

## general Sum Rule

If  $A_1, \dots, A_m$  are finite sets that are **pairwise disjoint**, meaning  $A_i \cap A_j = \emptyset$ , for all  $i, j \in \{1, \dots, m\}$ , then

$$|A_1 \cup A_2 \cup \dots \cup A_m| = |A_1| + |A_2| + \dots + |A_m|$$

## Sum Rule: Examples

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**Example 2:** Each user on a computer system has a password which must be six to eight characters long.

Each character is an uppercase letter or digit.

Each password must contain at least one digit.

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**Example 1:** Suppose variable names in a programming language can be either a single uppercase letter or an uppercase letter followed by a digit. Find the number of possible variable names.

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**Example 2:** Each user on a computer system has a password which must be six to eight characters long.

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Each password must contain at least one digit.

How many possible passwords are there?

**Solution:** Let  $P$  be the total number of passwords, and let  $P_6, P_7, P_8$  be the number of passwords of lengths 6, 7, and 8, respectively.

- By the sum rule  $P = P_6 + P_7 + P_8$ .
- $P_6 = 36^6 - 26^6$ ;  $P_7 = 36^7 - 26^7$ ;  $P_8 = 36^8 - 26^8$ .
- So,  $P = P_6 + P_7 + P_8 = \sum_{i=6}^8 (36^i - 26^i)$ .





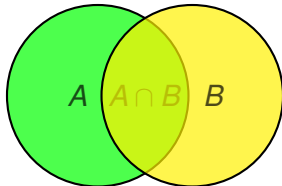
# Subtraction Rule (Inclusion-Exclusion for two sets)

## Subtraction Rule

For any finite sets  $A$  and  $B$  (not necessarily disjoint),

$$|A \cup B| = |A| + |B| - |A \cap B|$$

**Proof:** Venn Diagram:



$|A| + |B|$  overcounts (twice) exactly those elements in  $A \cap B$ . □

# Subtraction Rule: Example

**Example:** How many bit strings of length 8 either start with a 1 bit or end with the two bits 00?

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**Example:** How many bit strings of length 8 either start with a 1 bit or end with the two bits 00?

**Solution:**

- Number of bit strings of length 8 that start with 1:  $2^7 = 128$ .
- Number of bit strings of length 8 that end with 00:  $2^6 = 64$ .
- Number of bit strings of length 8 that start with 1 and end with 00:  $2^5 = 32$ .

Applying the subtraction rule, the number is  $128 + 64 - 32 = 160$ .  $\square$

# The Pigeonhole Principle

## Pigeonhole Principle

For any positive integer  $k$ , if  $k + 1$  objects (pigeons) are placed in  $k$  boxes (pigeonholes), then at least one box contains two or more objects.

**Proof:** Suppose no box has more than 1 object. Sum up the number of objects in the  $k$  boxes. There can't be more than  $k$ .  
Contradiction. □

## Pigeonhole Principle (rephrased more formally)

If a function  $f : A \rightarrow B$  maps a finite set  $A$  with  $|A| = k + 1$  to a finite set  $B$ , with  $|B| = k$ , then  $f$  is **not** one-to-one.

(**Recall:** a function  $f : A \rightarrow B$  is called **one-to-one** if  $\forall a_1, a_2 \in A$ , if  $a_1 \neq a_2$  then  $f(a_1) \neq f(a_2)$ .)

# Pigeonhole Principle: Examples

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**Example 1:** At least two students registered for this course will receive **exactly the same** final exam mark. Why?

**Reason:** There are at least 102 students registered for DMMR (suppose the actual number is 145), so, at least 102 objects. Final exam marks are integers in the range 0-100 (so, exactly 101 boxes). □

# Generalized Pigeonhole Principle

## Generalized Pigeonhole Principle (GPP)

If  $N \geq 0$  objects are placed in  $k \geq 1$  boxes, then at least one box contains at least  $\lceil \frac{N}{k} \rceil$  objects.

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**Proof:** Suppose no box has more than  $\lceil \frac{N}{k} \rceil - 1$  objects. Sum up the number of objects in the  $k$  boxes. It is at most

$$k \cdot \left( \left\lceil \frac{N}{k} \right\rceil - 1 \right) < k \cdot \left( \left( \frac{N}{k} + 1 \right) - 1 \right) = N$$

Thus, there must be fewer than  $N$ . Contradiction.

(We are using the fact that  $\lceil \frac{N}{k} \rceil < \frac{N}{k} + 1$ .) □

**Exercise:** Rephrase GPP as a statement about functions  $f : A \rightarrow B$  that map a finite set  $A$  with  $|A| = N$  to a finite set  $B$ , with  $|B| = k$ .



# Generalized Pigeonhole Principle: Examples

**Example 1:** Consider the following statement:

*“At least  $d$  students in this course were born in the same month.”* (1)

Suppose the actual number of students registered for DMMR is 145. What is the maximum number  $d$  for which **it is certain** that statement (1) is true?

# Generalized Pigeonhole Principle: Examples

**Example 1:** Consider the following statement:

*“At least  $d$  students in this course were born in the same month.”* (1)

Suppose the actual number of students registered for DMMR is 145. What is the maximum number  $d$  for which **it is certain** that statement (1) is true?

**Solution:** Since we are assuming there are 145 registered students in DMMR.

$\lceil \frac{145}{12} \rceil = 13$ , so by GPP we know statement (1) is true for  $d = 13$ .

Statement (1) need not be true for  $d = 14$ , because if 145 students are distributed *as evenly as possible* into 12 months, the maximum number of students in any month is 13, with other months having only 12.  $\square$

# Generalized Pigeonhole Principle: Examples

**Example 1:** Consider the following statement:

*“At least  $d$  students in this course were born in the same month.”* (1)

Suppose the actual number of students registered for DMMR is 145. What is the maximum number  $d$  for which **it is certain** that statement (1) is true?

**Solution:** Since we are assuming there are 145 registered students in DMMR.

$\lceil \frac{145}{12} \rceil = 13$ , so by GPP we know statement (1) is true for  $d = 13$ .

Statement (1) need not be true for  $d = 14$ , because if 145 students are distributed *as evenly as possible* into 12 months, the maximum number of students in any month is 13, with other months having only 12.  $\square$

(In **probability theory** you will learn that nevertheless **it is highly probable**, assuming birthdays are **randomly** distributed, that at least 14 of you (and more) were indeed born in the same month. )

# GPP: more Examples

**Example 2:** How many cards must be selected from a standard deck of 52 cards to guarantee that at least three cards of the same suit are chosen?

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**Example 2:** How many cards must be selected from a standard deck of 52 cards to guarantee that at least three cards of the same suit are chosen?

**Solution:** There are 4 suits. (In a standard deck of 52 cards, every card has exactly one suit. There are no jokers.) So, we need to choose  $N$  cards, such that  $\lceil \frac{N}{4} \rceil \geq 3$ . The smallest integer  $N$  such that  $\lceil \frac{N}{4} \rceil \geq 3$  is  $2 \cdot 4 + 1 = 9$ . □

# Permutations

## Permutation

A **permutation** of a set  $S$  is an ordered arrangement of the elements of  $S$ .

In other words, it is a sequence containing every element of  $S$  exactly once.

**Example:** Consider the set  $S = \{1, 2, 3\}$ .

The sequence  $(3, 1, 2)$  is one permutation of  $S$ .

There are 6 different permutations of  $S$ . They are:

$(1, 2, 3)$  ,  $(1, 3, 2)$  ,  $(2, 1, 3)$  ,  $(2, 3, 1)$  ,  $(3, 1, 2)$  ,  $(3, 2, 1)$

# Permutations (an alternative view)

A permutation of a set  $S$  can alternatively be viewed as a **bijection** (a **one-to-one and onto function**),  $\pi : S \rightarrow S$ , from  $S$  to itself.

Specifically, if the finite set is  $S = \{s_1, \dots, s_m\}$ , then by fixing the ordering  $s_1, \dots, s_m$ , we can **uniquely** associate to each bijection  $\pi : S \rightarrow S$  a sequence ordering  $\{s_1, \dots, s_m\}$  as follows:

$$(\pi : S \rightarrow S) \cong \boxed{\pi(s_1) \mid \pi(s_2) \mid \pi(s_3) \mid \dots \mid \pi(s_m)}$$

Note that  $\pi$  is a bijection **if and only if** the sequence on the right containing every element of  $S$  exactly once.

# r-Permutation

## r-Permutation

An  **$r$ -permutation** of a set  $S$ , is an ordered arrangement (sequence) of  $r$  distinct elements of  $S$ .

(For this to be well-defined,  $r$  needs to be an integer with  $0 \leq r \leq |S|$ .)

### Examples:

There is only one 0-permutation of any set: the empty sequence  $()$ .

For the set  $S = \{1, 2, 3\}$ , the sequence  $(3, 1)$  is a 2-permutation.

$(3, 2, 1)$  is both a permutation and 3-permutation of  $S$  (since  $|S| = 3$ ).

There are 6 different different 2-permutations of  $S$ . They are:

$$(1, 2), (1, 3), (2, 1), (2, 3), (3, 1), (3, 2)$$

**Question:** How many  $r$ -permutations of an  $n$ -element set are there?



## $r$ -Permutations (an alternative view)

An  $r$ -permutation of a set  $S$ , with  $1 \leq r \leq |S|$ , can alternatively be viewed as a **one-to-one function**,  $f : \{1, \dots, r\} \rightarrow S$ .

Specifically, we can uniquely associate to each one-to-one function  $f : \{1, \dots, r\} \rightarrow S$ , an  $r$ -permutation of  $S$  as follows:

$$(f : \{1, \dots, r\} \rightarrow S) \cong \boxed{f(1) \mid f(2) \mid f(3) \mid \dots \mid f(r)}$$

Note that  $f$  is one-to-one **if and only if** the sequence on the right is an  $r$ -permutation of  $S$ .

So, for a set  $S$  with  $|S| = n$ , the number of  $r$ -permutations of  $S$ ,  $1 \leq r \leq n$ , is equal to the number of **one-to-one functions**:

$$f : \{1, \dots, r\} \rightarrow \{1, \dots, n\}$$

# Formula for # of permutations, and # of $r$ -permutations

Let  $\mathbf{P}(n, r)$  denote the number of  $r$ -permutations of an  $n$ -element set.

$P(n, 0) = 1$ , because the only 0-permutation is the empty sequence.

## Theorem

For all integers  $n \geq 1$ , and all integers  $r$  such that  $1 \leq r \leq n$ :

$$P(n, r) = n \cdot (n - 1) \cdot (n - 2) \dots (n - r + 1) = \frac{n!}{(n - r)!}$$

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**Proof.** There are  $n$  different choices for the first element of the sequence. For each of those choices, there are  $n - 1$  remaining choices for the second element. For every combination of the first two choices, there are  $n - 2$  choices for the third element, and so forth.  $\square$

**Corollary:** the number of permutations of an  $n$  element set is:

$$n! = n \cdot (n - 1) \cdot (n - 2) \dots \cdot 2 \cdot 1 = P(n, n)$$

## Example: a simple counting problem

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**Example:** How many permutations of the letters ABCDEFGH contain the string ABC as a (consecutive) substring?

**Solution:** We solve this by noting that this number is the same as the number of permutations of the following **six** objects: ABC, D, E, F, G, and H. So the answer is:

$$6! = 720.$$



# How big is $n!$ ?

The **factorial function**,  $n!$ , is fundamental in combinatorics and discrete maths. So it is important to get a good handle on how fast  $n!$  grows.

## Questions:

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## Answers (easy)

- 1  $n! \leq n^n$ , for all  $n \geq 0$ . (Note  $0^0 = 1$  and  $0! = 1$ , by definition.)
- 2  $2^n < n!$ , for all  $n \geq 4$ .

So,  $2^n \leq n! \leq n^n$ , but that's a **big gap** between growth  $2^n$  and  $n^n$ .

**Question:** Is there a really good formula for approximating  $n!$  ?

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**Question:** Is there a really good formula for approximating  $n!$  ?

**Yes!** A brilliant Scottish mathematician discovered it in 1730!





Grave of **James Stirling** (1692-1770), in **Greyfriar's kirkyard**, Edinburgh.

# Stirling's Approximation Formula

## Stirling's approximation formula

$$n! \sim \sqrt{2\pi n} \cdot \left(\frac{n}{e}\right)^n$$

In other words:  $\lim_{n \rightarrow \infty} \frac{n!}{\sqrt{2\pi n} \cdot \left(\frac{n}{e}\right)^n} = 1$ .

( $e$  denotes the base of the natural logarithm.)

Unfortunately, we won't prove this. (The proof needs calculus.)

It is often more useful to have explicit lower and upper bounds on  $n!$ :

## Stirling's approximation (with lower and upper bounds)

For all  $n \geq 1$ ,

$$\sqrt{2\pi n} \cdot \left(\frac{n}{e}\right)^n \cdot e^{\frac{1}{12n+1}} \leq n! \leq \sqrt{2\pi n} \cdot \left(\frac{n}{e}\right)^n \cdot e^{\frac{1}{12n}}$$

For a proof of this see, e.g., [Feller, Vol.1, 1968].

# Combinations

## $r$ -Combinations

An  $r$ -**combination** of a set  $S$  is an **unordered** collection of  $r$  elements of  $S$ . In other words, it is simply a subset of  $S$  of size  $r$ .

**Example:** Consider the set  $S = \{1, 2, 3, 4, 5\}$ .

The set  $\{2, 5\}$  is a 2-combination of  $S$ .

There are 10 different 2-combinations of  $S$ . They are:

$\{1, 2\}$  ,  $\{1, 3\}$  ,  $\{1, 4\}$  ,  $\{1, 5\}$  ,  
 $\{2, 3\}$  ,  $\{2, 4\}$  ,  $\{2, 5\}$  ,  
 $\{3, 4\}$  ,  $\{3, 5\}$  ,  
 $\{4, 5\}$

**Question:** How many  $r$ -combinations of an  $n$ -element set are there?

## Formula for the number of $r$ -combinations

Let  $\mathbf{C}(n, r)$  denote the number of  $r$ -combinations of an  $n$ -element set.

Another notation for  $C(n, r)$  is:  $\binom{n}{r}$

These are called **binomial coefficients**, and are read as “ $n$  choose  $r$ ”.

### Theorem

For all integers  $n \geq 1$ , and all integers  $r$  such that  $0 \leq r \leq n$ :

$$C(n, r) \doteq \binom{n}{r} = \frac{n!}{r! \cdot (n-r)!} = \frac{n \cdot (n-1) \cdot \dots \cdot (n-r+1)}{r!}$$

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$$C(n, r) \doteq \binom{n}{r} = \frac{n!}{r! \cdot (n-r)!} = \frac{n \cdot (n-1) \cdot \dots \cdot (n-r+1)}{r!}$$

**Proof.** We can see that  $P(n, r) = C(n, r) \cdot P(r, r)$ . (To get an  $r$ -permutation: first choose  $r$  elements, then order them.) Thus

$$C(n, r) = \frac{P(n, r)}{P(r, r)} = \frac{n!/(n-r)!}{r!/(r-r)!} = \frac{n!}{r! \cdot (n-r)!}$$



## Some simple approximations and bounds for $\binom{n}{r}$

Using basic considerations and Stirling's approximation formula, one can easily establish the following bounds and approximations for  $\binom{n}{r}$ :

$$\left(\frac{n}{r}\right)^r \leq \binom{n}{r} \leq \left(\frac{n \cdot e}{r}\right)^r$$

$$\binom{2n}{n} \sim \frac{2^{2n}}{\sqrt{\pi n}}$$

$$\frac{2^{2n}}{2n+1} \leq \binom{2n}{n} \leq 2^{2n}$$

# Combinations: examples

## Example:

- 1 How many different 5-card poker hands can be dealt from a deck of 52 cards?
- 2 How many different 47-card poker hands can be dealt from a deck of 52 cards?

## Solutions:

1

$$\binom{52}{5} = \frac{52!}{5! \cdot 47!} = \frac{52 \cdot 51 \cdot 50 \cdot 49 \cdot 48}{5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = 2,598,960$$

2

$$\binom{52}{47} = \frac{52!}{47! \cdot 5!} = \frac{52 \cdot 51 \cdot 50 \cdot 49 \cdot 48}{5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = 2,598,960$$

**Question:** Why are these numbers the same?

# Combinations: an identity

## Theorem

*For all integers  $n \geq 1$ , and all integers  $r$ ,  $1 \leq r \leq n$ :*

$$\binom{n}{r} = \binom{n}{n-r}$$



# Combinations: an identity

## Theorem

For all integers  $n \geq 1$ , and all integers  $r$ ,  $1 \leq r \leq n$ :

$$\binom{n}{r} = \binom{n}{n-r}$$

## Proof:

$$\binom{n}{r} = \frac{n!}{r! \cdot (n-r)!} = \frac{n!}{(n-r)! \cdot (n-(n-r))!} = \binom{n}{n-r} \quad \square$$

We can also give a **combinatorial proof**: Suppose  $|S| = n$ . A function,  $f$ , that maps each  $r$ -element subset  $A$  of  $S$  to the  $(n-r)$ -element subset  $(S - A)$  is a **bijection**.

Any two finite sets having a bijection between them must have exactly the same number of elements. □

# Binomial Coefficients

Consider the polynomial in two variables,  $x$  and  $y$ , given by:

$$(x + y)^n = \underbrace{(x + y) \cdot (x + y) \dots (x + y)}_n$$

By multiplying out the  $n$  terms, we can expand this polynomial and write it in a standard sum-of-monomials form:

$$(x + y)^n = \sum_{j=0}^n c_j x^{n-j} y^j$$

**Question:** What are the coefficients  $c_j$ ? (These are called binomial coefficients.)

**Examples:**

$$(x + y)^2 = x^2 + 2xy + y^2$$

$$(x + y)^3 = x^3 + 3x^2y + 3xy^2 + y^3$$

# The Binomial Theorem

## Binomial Theorem

For all  $n \geq 0$ :

$$(x + y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j = \binom{n}{0} x^n + \binom{n}{1} x^{n-1} y + \dots + \binom{n}{n} y^n$$

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**Proof:** What is the coefficient of  $x^{n-j} y^j$ ?

To obtain a term  $x^{n-j} y^j$  in the expansion of the product

$$(x + y)^n = \underbrace{(x + y)(x + y) \dots (x + y)}_n$$

we have to choose exactly  $n - j$  copies of  $x$  and (thus)  $j$  copies of  $y$ .

How many ways are there to do this? Answer:  $\binom{n}{j} = \binom{n}{n-j}$ . □

**Corollary:**  $\sum_{j=0}^n \binom{n}{j} = 2^n$ .

**Proof:** By the binomial theorem,  $2^n = (1 + 1)^n = \sum_{j=0}^n \binom{n}{j}$ . □

# Pascal's Identity

## Theorem (Pascal's Identity)

*For all integers  $n \geq 0$ , and all integers  $r$ ,  $0 \leq r \leq n + 1$ :*

$$\binom{n+1}{r} = \binom{n}{r-1} + \binom{n}{r}$$

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**Proof:** Suppose  $S = \{s_0, s_1, \dots, s_n\}$ . We wish to choose a subset  $A \subseteq S$  such that  $|A| = r$ . We can do this in two ways. We can either:

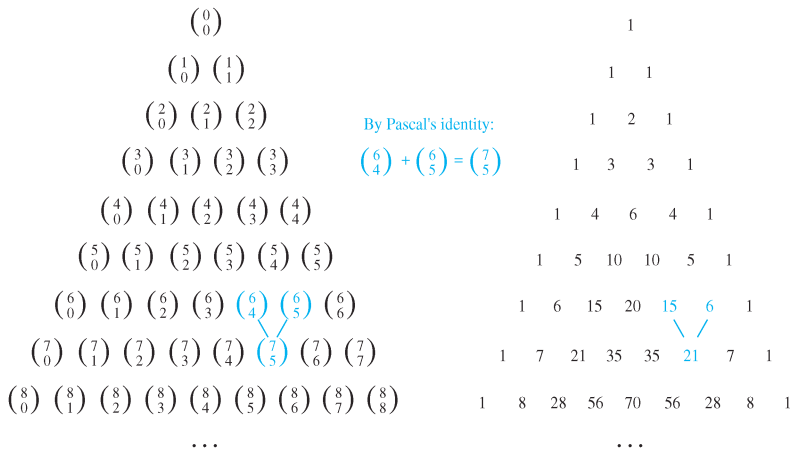
- (I) choose a subset  $A$  such that  $s_0 \in A$ , or
- (II) choose a subset  $A$  such that  $s_0 \notin A$ .

There are  $\binom{n}{r-1}$  sets of the first kind,  
and there are  $\binom{n}{r}$  sets of the second kind.

So,  $\binom{n+1}{r} = \binom{n}{r-1} + \binom{n}{r}$ . □

# Pascal's Triangle

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Kenneth H. Rosen, *Discrete Mathematics and its Applications*, 7e



# Many other useful identities...

## Vandermonde's Identity

For  $m, n, r \geq 0$ ,  $r \leq m$ , and  $r \leq n$ , we have

$$\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{r-k} \binom{n}{k}$$



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## Vandermonde's Identity

For  $m, n, r \geq 0$ ,  $r \leq m$ , and  $r \leq n$ , we have

$$\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{r-k} \binom{n}{k}$$

**Proof:** Suppose we have two disjoint sets  $A$  and  $B$ , with  $|A| = m$  and  $|B| = n$ , and thus  $|A \cup B| = m + n$ . We want to choose  $r$  elements out of  $A \cup B$ . We can do this by either:

(0) choosing  $r$  elements from  $A$  and 0 elements from  $B$ , or

(1) choosing  $r - 1$  elements from  $A$  and 1 element from  $B$ , or

...

( $r$ ) choosing 0 elements from  $A$  and  $r$  elements from  $B$ .

There are  $\binom{m}{r-k} \binom{n}{k}$  possible choices of kind ( $k$ ).

So, in total, there are  $\sum_{k=0}^r \binom{m}{r-k} \binom{n}{k}$   $r$ -element subsets of an

$(n + m)$ -element set. So  $\binom{n+m}{r} = \sum_{k=0}^r \binom{m}{r-k} \binom{n}{k}$ .

## $r$ -Combinations with repetition (with replaced)

Sometimes, we want to choose  $r$  elements **with repetition allowed** from a set of size  $n$ . In how many ways can we do this?

**Example:** How many different ways are there to place 12 colored balls in a bag, when each ball should be either **Red**, **Green**, or **Blue**?

Let us first formally phrase the general problem.

A **multi-set** over a set  $S$  is an **unordered** collection (bag) of copies of elements of  $S$  **with possible repetition**. The **size** of a multi-set is the number of copies of all elements in it (counting repetitions).

For example, if  $S = \{\mathbf{R}, \mathbf{G}, \mathbf{B}\}$ , then the following two multi-sets over  $S$  both have size 4:

$[\mathbf{G}, \mathbf{G}, \mathbf{B}, \mathbf{B}]$        $[\mathbf{R}, \mathbf{G}, \mathbf{G}, \mathbf{B}]$

Note that *ordering doesn't matter* in multi-sets, so  $[\mathbf{R}, \mathbf{R}, \mathbf{B}] = [\mathbf{R}, \mathbf{B}, \mathbf{R}]$ .

**Definition:** an  $r$ -Combination with repetition ( $r$ -comb-w.r.) from a set  $S$  is simply a multi-set of size  $r$  over  $S$ .

# Formula for # of $r$ -Combinations with repetition

## Theorem

*For all integers  $n, r \geq 1$ , the number of  $r$ -combs-w.r. from a set  $S$  of size  $n$  is:*

$$\binom{n+r-1}{r} = \binom{n+r-1}{n-1}$$

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**Proof:** Each  $r$ -combination with repetition can be associated **uniquely** with a string of length  $n+r-1$  consisting of  $n-1$  **bars** and  $r$  **stars**, and vice versa.

The bars partition the string into  $n$  different segments, and the number of stars in each segment denotes the number of copies of the corresponding element of  $S$  in the multi-set.

For example, for  $S = \{\mathbf{R}, \mathbf{G}, \mathbf{B}, \mathbf{Y}\}$ , then with the multiset

$[\mathbf{R}, \mathbf{R}, \mathbf{B}, \mathbf{B}]$  we associate the string  $\star \star || \star \star |$

How many strings of length  $n+r-1$  with  $n-1$  bars and  $r$  stars are there? Answer:  $\binom{n+r-1}{r} = \binom{n+r-1}{n-1}$ . □

# Example

## Example

How many different solutions in non-negative integers  $x_1$ ,  $x_2$ , and  $x_3$ , does the following equation have?

$$x_1 + x_2 + x_3 = 11$$

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$$x_1 + x_2 + x_3 = 11$$

**Solution:** We have to place 11 “pebbles” into three different “bins”,  $x_1$ ,  $x_2$ , and  $x_3$ .

This is equivalent to choosing an 11-comb-w.r. from a set of size 3, so the answer is

$$\binom{11 + 3 - 1}{11} = \binom{13}{2} = \frac{13 \cdot 12}{2 \cdot 1} = 78.$$



## Permutations with indistinguishable objects

**Question:** How many different strings can be made by reordering the letters of the word “SUCCESS”?

**Theorem:** The number of permutations of  $n$  objects, with  $n_1$  indistinguishable objects of Type 1,  $n_2$  indistinguishable objects of Type 2,  $\dots$ , and  $n_k$  indistinguishable objects of Type  $k$ , is:

$$\frac{n!}{n_1! \cdot n_2! \cdot \dots \cdot n_k!}$$

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**Proof:** First, the  $n_1$  objects of Type 1 can be placed among the  $n$  positions in  $\binom{n}{n_1}$  ways. Next, the  $n_2$  objects of Type 2 can be placed in the remaining  $n - n_1$  positions in  $\binom{n-n_1}{n_2}$  ways, and so on... We get:

$$\begin{aligned} & \binom{n}{n_1} \cdot \binom{n-n_1}{n_2} \cdot \binom{n-n_1-n_2}{n_3} \cdots \binom{n-n_1-n_2-\dots-n_{k-1}}{n_k} = \\ & \frac{n!}{n_1!(n-n_1)!} \frac{(n-n_1)!}{n_2!(n-n_1-n_2)!} \cdots \frac{(n-n_1-\dots-n_{k-1})!}{n_k!0!} = \frac{n!}{n_1!n_2!\dots n_k!}. \end{aligned}$$



# Multinomial Coefficients

## Multinomial coefficients

For integers  $n, n_1, n_2, \dots, n_k \geq 0$ , such that  $n = n_1 + n_2 + \dots + n_k$ , let:

$$\binom{n}{n_1, n_2, \dots, n_k} = \frac{n!}{n_1! n_2! \dots n_k!}$$

## Multinomial Theorem

For all  $n \geq 0$ , and all  $k \geq 1$ :

$$(x_1 + x_2 + \dots + x_k)^n = \sum_{\substack{0 \leq n_1, n_2, \dots, n_k \leq n \\ n_1 + n_2 + \dots + n_k = n}} \binom{n}{n_1, n_2, \dots, n_k} x_1^{n_1} x_2^{n_2} \dots x_k^{n_k}$$

Note: the Binomial Theorem is the special case of this where  $k = 2$ .

**Question:** In how many ways can the elements of a set  $S$ ,  $|S| = n$ , be partitioned into  $k$  distinguishable boxes, such that Box 1 gets  $n_1$  elements,  $\dots$ , Box  $k$  gets  $n_k$  elements? **Answer:**  $\binom{n}{n_1, n_2, \dots, n_k}$ . □