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# Data Intensive Linguistics — Lecture 3

## Language Modeling

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# Language models

- **Language models** answer the question: How likely is a string of English words good English?
  - *the house is big* → good
  - *the house is xxl* → worse
  - *house big is the* → bad
- Uses of language models
  - Speech recognition
  - Machine translation
  - Optical character recognition
  - Handwriting recognition
  - Language detection (English or Finnish?)

## Applying the chain rule

- Given: a string of English words  $W = w_1, w_2, w_3, \dots, w_n$
- Question: what is  $p(W)$ ?
- Sparse data: Many good English sentences will not have been seen before.

→ Decomposing  $p(W)$  using the chain rule:

$$p(w_1, w_2, w_3, \dots, w_n) = p(w_1) p(w_2|w_1) p(w_3|w_1, w_2) \dots p(w_n|w_1, w_2, \dots, w_{n-1})$$

# Markov chain

- **Markov assumption:**

- only previous history matters
- limited memory: only last  $k$  words are included in history (older words less relevant)
- **$k$ th order Markov model**

- For instance 2-gram language model:

$$p(w_1, w_2, w_3, \dots, w_n) = p(w_1) p(w_2|w_1) p(w_3|w_2) \dots p(w_n|w_{n-1})$$

- What is conditioned on, here  $w_{n-1}$  is called the **history**

## Estimating n-gram probabilities

- We are back in comfortable territory: maximum likelihood estimation

$$p(w_2|w_1) = \frac{\text{count}(w_1, w_2)}{\text{count}(w_1)}$$

- Collect counts over a large text corpus
- Millions to billions of words are easy to get

## Size of the model

- For each n-gram (e.g. *the big house*), we need to store a probability
- Assuming 20,000 distinct words

Model	Max. number of parameters
0th order (unigram)	20,000
1st order (bigram)	$20,000^2 = 400$ million
2nd order (trigram)	$20,000^3 = 8$ trillion
3rd order (4-gram)	$20,000^4 = 160$ quadrillion

- In practice, 3-gram LMs are typically used

## Size of model: practical example

- Trained on 10 million sentences from the Gigaword corpus (text collection from New York Times, Wall Street Journal, and news wire sources), about 275 million words.

1-gram	716,706
2-gram	12,537,755
3-gram	22,174,483

- Worst case for number of distinct n-grams is linear with the corpus size.

## How good is the LM?

- A good model assigns a text of real English a high probability
- This can be also measured with per word entropy

$$H(W_1^n) = \lim_{n \rightarrow \infty} \frac{1}{n} \log p(W_1^n)$$

- Or, **perplexity**

$$\text{perplexity}(W) = 2^{H(W)}$$



## Training set and test set

- We learn the language model from a **training set**, i.e. we collect statistics for n-grams over that sample and estimate the conditional n-gram probabilities.
- We evaluate the language model on a hold-out test set
  - much smaller than training set (thousands of words)
  - not part of the training set!
- We measure perplexity on the test set to gauge the quality of our language model.

## Example: unigram

- Training set

*there is a big house*  
*i buy a house*  
*they buy the new house*

- Model

$$\begin{array}{lll}
 p(\textit{there}) = 0.0714 & p(\textit{is}) = 0.0714 & p(\textit{a}) = 0.1429 \\
 p(\textit{big}) = 0.0714 & p(\textit{house}) = 0.2143 & p(\textit{i}) = 0.0714 \\
 p(\textit{buy}) = 0.1429 & p(\textit{they}) = 0.0714 & p(\textit{the}) = 0.0714 \\
 p(\textit{new}) = 0.0714 & & 
 \end{array}$$

- Test sentence  $S$ : *they buy a big house*

$$p(S) = \underbrace{0.0714}_{\textit{they}} \times \underbrace{0.1429}_{\textit{buy}} \times \underbrace{0.0714}_{\textit{a}} \times \underbrace{0.1429}_{\textit{big}} \times \underbrace{0.2143}_{\textit{house}} = 0.0000231$$

## Example: bigram

- Training set

*there is a big house*  
*i buy a house*  
*they buy the new house*

- Model

$p(\text{big} \text{a}) = 0.5$	$p(\text{is} \text{there}) = 1$	$p(\text{buy} \text{they}) = 1$
$p(\text{house} \text{a}) = 0.5$	$p(\text{buy} \text{i}) = 1$	$p(\text{a} \text{buy}) = 0.5$
$p(\text{new} \text{the}) = 1$	$p(\text{house} \text{big}) = 1$	$p(\text{the} \text{buy}) = 0.5$
$p(\text{a} \text{is}) = 1$	$p(\text{house} \text{new}) = 1$	$p(\text{they}   < s >) = .333$

- Test sentence  $S$ : *they buy a big house*

- $$p(S) = \underbrace{0.333}_{\text{they}} \times \underbrace{1}_{\text{buy}} \times \underbrace{0.5}_{\text{a}} \times \underbrace{0.5}_{\text{big}} \times \underbrace{1}_{\text{house}} = 0.0833$$

## Unseen events

- Another example sentence  $S_2$ : *they buy a new house.*
- Bigram *a new* has never been seen before
- $p(\text{new}|\text{a}) = 0 \rightarrow p(S_2) = 0$
- ... but it is a good sentence!

## Two types of zeros

- Unknown words
  - handled by an UNKNOWN word token
- Unknown n-grams
  - smoothing by giving them some low probability
  - back-off to lower order n-gram model
- Giving probability mass to unseen events reduces available probability mass for seen events  $\Rightarrow$  not maximum likelihood estimates anymore

## Add-one smoothing

For all possible n-grams, add the count of one.

Example:

bigram	count	$\rightarrow p(w_2 w_1)$	count+1	$\rightarrow p(w_2 w_1)$
<i>a big</i>	1	0.5	2	0.18
<i>a house</i>	1	0.5	2	0.18
<i>a new</i>	0	0	1	0.09
<i>a the</i>	0	0	1	0.09
<i>a is</i>	0	0	1	0.09
<i>a there</i>	0	0	1	0.09
<i>a buy</i>	0	0	1	0.09
<i>a a</i>	0	0	1	0.09
<i>a i</i>	0	0	1	0.09

## Add-one smoothing

- This is Bayesian estimation with a uniform prior.

Recall:  $\operatorname{argmax}_M P(M|D) = \operatorname{argmax}_M P(D|M) \times P(M)$

- Is too much probability mass wasted on unseen events?  
↔ Are impossible/unlikely events estimated too high?
- How can we measure this?

## Expected counts and test set counts

Church and Gale (1991a) experiment: 22 million words training, 22 million words testing, from same domain (AP news wire), counts of bigrams:

Frequency $r$ in training	Actual frequency in test	Expected frequency in test (add one)
0	0.000027	0.000132
1	0.448	0.000274
2	1.25	0.000411
3	2.24	0.000548
4	3.23	0.000685
5	4.21	0.000822

We overestimate 0-count bigrams ( $0.000132 > 0.000027$ ), but since there are so many, they use up so much probability mass that hardly any is left.



## Using held-out data

- We know from the test data, how much probability mass should be assigned to certain counts.
- We can not use the test data for estimation, because that would be cheating.
- Divide up the training data: one half for count collection, one have for collecting frequencies in unseen text.
- Both halves can be switched and results combined to not lose out on training data.

## Deleted estimation

- Counts in training  $C_t(w_1, \dots, w_n)$
- Counts how often an ngram seen in training is seen in held-out training  $C_h(w_1, \dots, w_n)$
- Number of ngrams with training count  $r$ :  $N_r$
- Total times ngrams of training count  $r$  seen in held-out data:  $T_r$
- Held-out estimator:

$$p_h(w_1, \dots, w_n) = \frac{T_r}{N_r N} \quad \text{where } \text{count}(w_1, \dots, w_n) = r$$

## Using both halves

- Both halves can be switched and results combined to not lose out on training data

$$p_h(w_1, \dots, w_n) = \frac{T_r^{01} + T_r^{10}}{N(N_r^{01} + N_r^{10})} \text{ where } \textit{count}(w_1, \dots, w_n) = r$$