Compiling Techniques
Lecture 6: Ambiguous Grammars and Bottom-Up Parsing

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29 September 2017
Ambiguity definition

- If a grammar has more than one leftmost (or rightmost) derivation for a single sentential form, the grammar is ambiguous.
- This is a problem when interpreting an input program or when building an internal representation.
Ambiguous Grammar: example 1

\[ Expr ::= Expr \text{ Op } Expr | \text{ num } | \text{id} \]
\[ Op ::= + | * \]

This grammar has multiple leftmost derivations for \( x + 2 \times y \)

One possible derivation

\[
\begin{align*}
Expr & \\
Expr \text{ Op } Expr & \\
\text{id}(x) \text{ Op } Expr & \\
\text{id}(x) + Expr & \\
\text{id}(x) + Expr \text{ Op } Expr & \\
\text{id}(x) + \text{num}(2) \text{ Op } Expr & \\
\text{id}(x) + \text{num}(2) \times Expr & \\
\text{id}(x) + \text{num}(2) \times \text{id}(y) & \\
\end{align*}
\]

\( x + (2 \times y) \)

Another possible derivation

\[
\begin{align*}
Expr & \\
Expr \text{ Op } Expr & \\
Expr \text{ Op } Expr \text{ Op } Expr & \\
\text{id}(x) \text{ Op } Expr \text{ Op } Expr & \\
\text{id}(x) + Expr \text{ Op } Expr & \\
\text{id}(x) + \text{num}(2) \text{ Op } Expr & \\
\text{id}(x) + \text{num}(2) \times Expr & \\
\text{id}(x) + \text{num}(2) \times \text{id}(y) & \\
\end{align*}
\]

\( (x + 2) \times y \)
Ambiguous grammar: example 2

\[
Stmt ::= \text{if Expr then Stmt} \\
| \text{if Expr then Stmt else Stmt} \\
| \text{OtherStmt}
\]

input

if E1 then if E2 then S1 else S2

One possible interpretation

if E1 then 
  if E2 then S1 
else 
  S2

Another possible interpretation

if E1 then 
  if E2 then S1 
else 
  S2
Removing Ambiguity

- Must rewrite the grammar to avoid generating the problem
- Match each `else` to innermost unmatched `if` (common sense)

### Unambiguous grammar

```
Stmt ::= if Expr then Stmt
       | if Expr then WithElse else Stmt
       | OtherStmt

WithElse ::= if Expr then WithElse else WithElse
           | OtherStmt
```

- Intuition: the `WithElse` restricts what can appear in the `then` part
- With this grammar, the example has only one derivation
**Ambiguous Grammars**

**Bottom-Up Parsing**

**Ambiguity Examples**

\[
\text{Stmt} ::= \text{if Expr then Stmt} \\
\quad | \text{if Expr then WithElse else Stmt} \\
\quad | \text{OtherStmt} \\
\]

\[
\text{WithElse} ::= \text{if Expr then WithElse else WithElse} \\
\quad | \text{OtherStmt} \\
\]

**Derivation for:** if E1 then if E2 then S1 else S2

\[
\text{Stmt} \\
\quad \text{if Expr then Stmt} \\
\quad \text{if E1 then Stmt} \\
\quad \text{if E1 then if Expr then WithElse else Stmt} \\
\quad \text{if E1 then if E2 then WithElse else Stmt} \\
\quad \text{if E1 then if E2 then S1 else Stmt} \\
\quad \text{if E1 then if E2 then S1 else S2} \\
\]

This binds the else controlling S2 to the inner if.
Exercise:

Remove the ambiguity for the following grammar:

\[\text{Expr} ::= \text{Expr} \hspace{2pt} \text{Op} \hspace{2pt} \text{Expr} \mid \text{num} \mid \text{id}\]

\[\text{Op} ::= '+' \mid '*'\]
Deeper ambiguity

- Ambiguity usually refers to confusion in the CFG (Context Free Grammar)
- Consider the following case: \( a = f(17) \)
  - In Algol-like languages, \( f \) could be either a function of an array
  - In such case, context is required
    - Need to track declarations
    - Really a type issue, not context-free syntax
    - Requires an extra-grammatical solution
    - Must handle these with a different mechanism

Step outside the grammar rather than making it more complex. This will be treated during semantic analysis.
Ambiguity arises from two distinct sources:

- Confusion in the context-free syntax (e.g. if then else)
- Confusion that requires context to be resolved (e.g. array vs function)

Resolving ambiguity:

- To remove context-free ambiguity, rewrite the grammar
- To handle context-sensitive ambiguity delay the detection of such problem (semantic analysis phase)
  - For instance, it is legal during syntactic analysis to have:
    ```
    void i; i=4;
    ```
Bottom-Up Parser

A bottom-up parser builds a derivation by working from the input sentence back to the start symbol.

- \( S \rightarrow \gamma_0 \rightarrow \gamma_1 \rightarrow \cdots \rightarrow \gamma_{n-1} \rightarrow \gamma_n \)
- To reduce \( \gamma_i \) to \( \gamma_{i-1} \), match some **rhs** \( \beta \) against \( \gamma_i \) then replace \( \beta \) with its corresponding **lhs**, \( A \), assuming \( A \rightarrow \beta \)
Example: CFG

Goal ::= a A B e
A ::= A b c
A ::= b
B ::= d

Input: abbcde

Bottom-Up Parsing

Note that the production follows a rightmost derivation.
Leftmost vs Rightmost derivation

Example: CFG

Goal ::= a A B e
A ::= A b c | b
B ::= d

Leftmost derivation
Goal
aABe
aAbcBe
abbcBe
abbcde

Rightmost derivation
Goal
aABe
aAde
aAbcde
abbcde

LL parsers

LR parsers
Shift-reduce parser

- It consists of a stack and the input
- It uses four actions:
  1. **shift**: next symbol is shifted onto the stack
  2. **reduce**: pop the symbols $Y_n, \ldots, Y_1$ from the stack that form the right member of a production $X ::= Y_n, \ldots, Y_1$
  3. **accept**: stop parsing and report success
  4. **error**: error reporting routine

How does the parser know when to shift or when to reduce?

Similarly to the top-down parser, can back-track if wrong decision made or try to look ahead.

Can build a DFA to decide when we should shift or reduce.
Shift-reduce parser

Example: CFG

Goal ::= a A B e  
A ::= A b c | b  
B ::= d

Operation: shift shift reduce shift shift reduce shift reduce shift reduce shift reduce

Input  
abbcde bbcde bcde bcde cde cde de de e e

Stack  
a ab aA aAb aAbc aA aAd aAB aABe Goal

Choice here: shift or reduce?  
Can lookahead one symbol to make decision.  
(Knowing what to do is not explain here, need to analyse the grammar, see EaC§3.5)
Top-Down vs Bottom-Up Parsing

**Top-Down**
- Easy to write by hand
- Easy to integrate with compiler
- Recursion might lead to performance problems (table encoding possible)

**Bottom-Up**
- Very efficient
- Handles left/right recursion
- Supports a larger classes of grammars
- Requires generation tools
- Rigid integration to compiler

Context-Free Grammars

- LR(1)
- LL(1)
- RG
Parse tree and abstract syntax tree