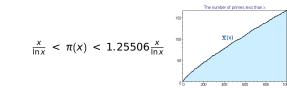


History of Public-Key Cryptography

- Idea attributed to Diffie and Hellman in 1975, but later revealed in British classified work of James Ellis in 1971 at GCHQ.
- Basic idea: alter traditional symmetry of cryptographic protocols to convey additional info in a *public key*. The sender uses the public key to convey a secret message to the recipient, without requiring a secure channel to share key information.
- Originally presented as a means of encrypting messages. In practice, public key algorithms are used to exchange symmetric keys
 - Public keys are key encrypting keys
 Symmetric keys are data encrypting keys
- Public keys are also used to provide integrity through *digital signatures* (later lecture)

Prime numbers

- A natural number $p \ge 2$ is prime if 1 and p are its only positive divisors.
- For $x \ge 17$, then $\pi(x)$, the number of primes less than or equal to x, is approximated by:



Fundamental theorem of arithmetic

Every natural number $n \ge 2$ has a unique factorization as a product of prime powers: $p_1^{e_1} \cdots p_n^{e_n}$ for distinct primes p_i and positive e_i .

Relative primes

- Two integers a and b are relatively prime if gcd(a, b) = 1, i.e., a and b have no common factors.
- ► The *Euler totient function* $\phi(n)$ is the number of elements of $\{1, ..., n-1\}$ relatively prime to *n*.
- Given the factorisation of n, it's easy to compute $\phi(n)$.
 - For prime n, $\phi(n) = n 1$
 - For distinct primes $p, q, \phi(pq) = (p-1)(q-1)$.
- ▶ An integer *n* is said to be *B*-smooth wrt a positive bound *B*, if all its prime factors are $\leq B$.
 - ▶ We say just "n is smooth" when $B \ll n$.
 - Smooth numbers are easy to factor, leading to efficient factoring algorithms for large composites containing primes *p* with for which *p* – 1 is smooth (**Pollard's** *P* – 1 method).

Integers modulo n: \mathbf{Z}_n and \mathbf{Z}_n^*

Let n be a positive integer. The set

 $Z_n = \{0, ..., n-1\}$

contains (equivalence classes of) integers mod *n*.

Let a ∈ Z_n. The multiplicative inverse of a modulo n is the unique x ∈ Z_n such that

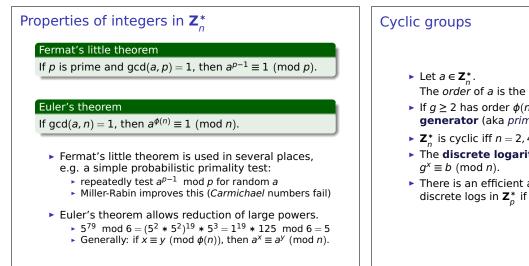
 $ax \equiv 1 \pmod{n}$.

Such an x exists iff gcd(a, n) = 1.

• We can define a *multiplicative group* \mathbf{Z}_n^* by

$$\mathbf{Z}_n^* = \{ a \in \mathbf{Z}_n \mid \gcd(a, n) = 1 \}.$$

- Facts:
 - Z^{*}_n is closed under multiplication
 - $|\mathbf{Z}_n''*| = \phi(n)$
 - For prime n, $\mathbf{Z}_n^* = \{1, ..., n-1\}.$



The order of a is the least t > 0 st $a^t \equiv 1 \pmod{n}$.

- If g ≥ 2 has order φ(n), then Z^{*}_n is cyclic and g is a generator (aka primitive root) of Z^{*}_n.
- **Z**_n^{*} is cyclic iff $n = 2, 4, p^k, 2p^k$ for odd primes p.
- The **discrete logarithm** of *b* wrt *g* is the *x* st $q^x \equiv b \pmod{n}$.
- ► There is an efficient algorithm for computing discrete logs in Z^{*}_n if p − 1 has smooth factors.

Example: \mathbf{Z}_{5}^{*}

• Here is the multiplication table for \mathbf{Z}_5^* , showing xy (mod 5).

- ► $|\mathbf{Z}_{5}^{*}| = \phi(5) = 4$
- Inverses: $2^{-1} = 3$, $3^{-1} = 2$, $4^{-1} = 4$.
- Notice 2⁴ = 2 * 2 * 2 * 2 = 1, also 3⁴ = 4⁴ = 1.
- Generators are: 2, 3, 4.
- In Z^{*}₅, the discrete log of 4 for base 3 is 2

Example: **Z**^{*}₁₅ • Here is the multiplication table for \mathbf{Z}_{15}^* , showing xy (mod 15). 1 2 4 7 8 11 13 14 1 1 2 4 7 8 11 13 14 2 2 4 8 14 1 7 11 13 4 8 1 13 2 14 7 11 4 7 7 14 13 4 11 2 1 8 8 8 1 2 11 4 13 14 7 11 11 7 14 2 13 1 8 4 13 13 11 7 1 14 8 4 2 14 14 13 11 8 7 4 2 1 ► $|\mathbf{Z}_{15}^*| = \phi(15) = (3-1) * (5-1) = 8.$ This group is not cyclic. Exercise: find orders of each element.

RSA

A key-pair is based on product of two large, distinct, random secret primes, n=pq with p and q roughly the same size, together with a random integer e with 1 < e < φ and gcd(e, φ) = 1, where</p>

 $\phi = \phi(n) = (p-1)(q-1).$

Public key is (n, e) and n is called the *modulus*.

- Private key is d, unique s.t. $ed \equiv 1 \pmod{\phi}$.
- Message and cipher space $M = C = \{0, ..., n-1\}$.
- Encryption is exponentiation with public key e.
 Decryption is exponentiation with private key d.

 $E_{(n,e)}(m) = m^e \mod n$ $D_d(c) = c^d \mod n$

• Decryption works, since for some k, $ed = 1 + k\phi$ and

 $(m^e)^d \equiv m^{ed} \equiv m^{1+k\phi} \equiv mm^{k\phi} \equiv m \pmod{n}$

using Fermat's theorem. (Exercise: fill details in).

RSA remarks

- Recall that RSA is an example of a **reversible** public-key encryption scheme. This is because *e* and *d* are symmetric in the definition. RSA digital signatures make use of this.
- RSA is often used with randomization (e.g., salting with random appendix) to prevent chosen-plaintext and other attacks.
- It's the most popular and cryptanalysed public-key algorithm. Largest modulus factored in the (now defunct) RSA challenge is 768 bits (232 digits), factored using the Number Field Sieve (NFS) on 12 December 2009.
 - It took the equivalent of 2000 years of computing on a single core 2.2GHz AMD Opteron. On the order of 2⁶⁷ instructions were carried out.
 - Factoring a 1024 bit modulus would take about 1000 times more work (soon achievable).

RSA usage and key size

- In practice, RSA is used to encrypt symmetric keys, not messages.
- Like most public key algorithms, the RSA key size is larger, and the computations are more expensive than symmetric schemes such as AES.
- This is believed to be a necessary result of the key being publicly available.
- Consider the complexity of brute force attacks for an *n*-bit key:
 - ➤ A worst-case attack algorithm on a symmetric cipher would take O(2ⁿ) effort (*exponential*).
 - A worst-case attack algorithm for RSA is dependent upon the complexity of factoring, and thus would take O(e^{o(n)}) (sub-exponential)
 - (unless you have a quantum computer)

Cryptographic Reference Problems I

- FACTORING Integer factorization. Given positive *n*, find its prime factorization, i.e., distinct p_i such that $n = p_1^{e_1} \cdots p_n^{e_n}$ for some $e_i \ge 1$.
- SQRROOT Given a such that $a \equiv x^2 \pmod{n}$, find x.
- **RSAP** RSA inversion. Given *n* such that n = pq for some odd primes $p \neq q$, and *e* such that gcd(e, (p-1), (q-1)) = 1, and *c*, find *m* such that $m^e \equiv c \pmod{n}$.

Note: SQRROOT $=_P$ FACTORING and RSAP \leq_P FACTORING

- ► $A \leq_P B$ means there is a polynomial time (efficient) reduction from problem A to problem B.
- $A =_P B$ means $A \leq_P B$ and $B \leq_P A$
- So: RSAP is no harder than FACTORING. Is it easier? An open question.

Cryptographic Reference Problems II

- DLP Discrete logarithm problem. Given prime p, a generator g of \mathbf{Z}_{p}^{*} , and an element $a \in \mathbf{Z}_{p}^{*}$, find the integer x, with $0 \le x \le p 2$ such that $g^{x} \equiv a$ (mod p).
- DHP Diffie-Hellman problem. Given prime p, a generator g of \mathbf{Z}_{p}^{*} , and elements $g^{a} \mod p$ and $g^{b} \mod p$, find $g^{ab} \mod p$.

Note: DHP \leq_P DLP. In some cases, DHP= $_P$ DLP.

Diffie-Hellman key agreement

Diffie-Hellman key agreement allows two principals to agree a shared key without authentication. Initial setup: choose and publish a large "secure" prime p and generator g of Z_p^{*}.

> Message 1. $A \rightarrow B$: $g^{X} \mod p$ Message 2. $B \rightarrow A$: $g^{Y} \mod p$

- A chooses random x, $1 \le x , sends msg 1.$
- ► *B* chooses random *y*, $1 \le y , sends msg 2.$
- B receives g^x , computes shared key $K = (g^x)^y \mod p$.
- A receives g^{y} , computes shared key $K = (g^{y})^{x} \mod p$.
- Security rests on intractability of DHP for p and g.
 Protocol is safe against passive adversaries, but not active ones.

Exercise: try some artificial examples with p = 11, g = 2. Show a MITM attack against the protocol.

ElGamal encryption

- A key-pair is based on a large random prime p and generator g of Z^{*}_p, and a random integer d. Public key: (p, g, g^d mod p), private key: d.
- The message space $\mathcal{M} = \{0, \dots, p-1\}$, and the encryption operation is given by selecting a random integer *r* and computing a pair:

 $E_{(p,g,g^d)}(m) = (e, c)$ where $e = g^r \mod p$ $c = m(g^d)^r \mod p.$

• Decryption takes an element of ciphertext $C = M \times M$, and computes:

 $D_d(e, c) = e^{-d} c \mod p$ where $e^{-d} = e^{p-1-d} \mod p$.

• Decryption works because $e^{-d} = g^{-dr}$, so

 $D_d(e,c) \equiv g^{-dr} m g^{dr} \equiv m \pmod{p}.$

 This is like using Diffie-Hellman to agree a key g^{dr} and encrypting m by multiplication.

ElGamal remarks

- ElGamal is an example of a randomized encryption scheme, so no need to add salt. Security relies in intractability of DHP. Choosing different r for different messages is critical. Exercise: why?
- Efficiency:
 - ciphertext twice as long as plaintext
 - encryption requires two modular exponentiations, which can be sped up by picking the random r with some additional structure (with care).
- The prime p and generator g can be fixed for the system, reducing the size of public keys. Then exponentiation can be speeded up by precomputation; however, so can the best-known algorithm for calculating discrete logarithms, so a larger modulus would be warranted.
- The security of ElGamal encryption and signing is based on the intractability of the DHP for p. Several other conditions are required.

Summary: Current Public Key algorithms

- **RSA**, **ElGamal** already described.
- Elliptic curve schemes. Use ElGamal techniques. Have shorter keys for same amount of security.
- **Rabin** encryption. Based on SQRROOT problem.
- Probabilistic schemes, which achieve provable security based on Random Oracle Method (ROM) arguments.
- Cramer-Shoup. Extends ElGamal with use of hash functions in critical places to provide provable security without ROM. Less efficient than ElGamal: slower and ciphertext twice as long.

References

- Alfred J. Menezes, Paul C. Van Oorschot, and Scott A. Vanstone, editors. *Handbook of Applied Cryptography*.
 CRC Press Series on Discrete Mathematics and Its Applications. CRC Press, 1997.
 Online version at http://www.cacr.math.uwaterloo.ca/hac.
- Nigel Smart. Cryptography: An Introduction. McGraw-Hill, 2003. Third edition online: http: //www.cs.bris.ac.uk/~nigel/Crypto_Book/

Recommended Reading

Chapter **11**, 12, 13 of Smart (3rd Ed).