### Security Models Computer Security Lecture 13

**David Aspinall** 

School of Informatics University of Edinburgh

28th February 2011

#### Outline

Access and information flow

Access control mechanisms

Multi-level security

The BLP security model

Summary

# Outline

#### Access and information flow

Access control mechanisms

Multi-level security

The BLP security model

Summary

# System security policies and models

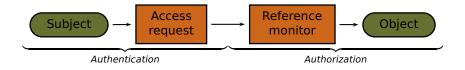
A **security policy** describes requirements for a system.

A **security model** is a framework in which a policy can be described.

There are two basic paradigms:

- access control
- information flow control

# A guard controls whether a principal (the **subject**) is allowed access to a resource (the **object**).



# Information flow control

A guard controls whether information may flow from a resource (the object) to a principal (the subject).



This is the dual notion, sometimes used when confidentiality is the primary concern.

 To define types of access, we define some fundamental access modes and access rights.

- To define types of access, we define some fundamental access modes and access rights.
- Modes are ways of accessing objects; rights are combinations of modes.

- To define types of access, we define some fundamental access modes and access rights.
- Modes are ways of accessing objects; rights are combinations of modes.
- Access rights are the model's level of granularity for defining security policy. Each real operation requires particular access rights.

- To define types of access, we define some fundamental access modes and access rights.
- Modes are ways of accessing objects; rights are combinations of modes.
- Access rights are the model's level of granularity for defining security policy. Each real operation requires particular access rights.
- We will consider the access modes and rights of the influential **Bell-LaPadula** (BLP) model.

- To define types of access, we define some fundamental access modes and access rights.
- Modes are ways of accessing objects; rights are combinations of modes.
- Access rights are the model's level of granularity for defining security policy. Each real operation requires particular access rights.
- We will consider the access modes and rights of the influential **Bell-LaPadula** (BLP) model.
  - BLP enforces confidentiality

- To define types of access, we define some fundamental access modes and access rights.
- Modes are ways of accessing objects; rights are combinations of modes.
- Access rights are the model's level of granularity for defining security policy. Each real operation requires particular access rights.
- We will consider the access modes and rights of the influential **Bell-LaPadula** (BLP) model.
  - BLP enforces confidentiality
  - Other models enforce integrity, or a combination

#### Access operations in BLP

#### The access modes of BLP are:

observeexamine contents of an objectalterchange contents of an object

The **access rights** and their profiles are:

	observe	alter
exec		
read	$\checkmark$	
append		$\checkmark$
write	$\checkmark$	$\checkmark$

Profiles and names of rights differ between systems, or even for different subject kinds. E.g., sometimes have a delete. In Unix, exec for directories indicates ability to read the directory. The profiles of rights are used to define security properties in the model.

Who may set the security policy? A resource may have a **owner** who controls access on a case-by-case basis, or the resource may be controlled by a uniform system-wide policy.

- Who may set the security policy? A resource may have a **owner** who controls access on a case-by-case basis, or the resource may be controlled by a uniform system-wide policy.
  - discretionary access control (DAC): owners decide who may access their objects
  - mandatory access control (MAC): policy set system-wide

A mixture of both may apply.

- Who may set the security policy? A resource may have a **owner** who controls access on a case-by-case basis, or the resource may be controlled by a uniform system-wide policy.
  - discretionary access control (DAC): owners decide who may access their objects
  - mandatory access control (MAC): policy set system-wide

A mixture of both may apply.

 Owners of resources may be principals in the system: *subjects* themselves under access control.
 BLP does not (directly) consider operations to modify access controls (e.g., chown in Windows), nor explain when such operations are safe.

- Who may set the security policy? A resource may have a **owner** who controls access on a case-by-case basis, or the resource may be controlled by a uniform system-wide policy.
  - discretionary access control (DAC): owners decide who may access their objects
  - mandatory access control (MAC): policy set system-wide

A mixture of both may apply.

- Owners of resources may be principals in the system: *subjects* themselves under access control.
   BLP does not (directly) consider operations to modify access controls (e.g., chown in Windows), nor explain when such operations are safe.
- The identity of subjects is also flexible: e.g., identity changes during operations (SUID programs in Unix). Again, this doesn't fit BLP.

## Outline

Access and information flow

#### Access control mechanisms

Multi-level security

The BLP security model

Summary

How are access control rights defined? Many schemes, but ultimately modelled by:

- How are access control rights defined? Many schemes, but ultimately modelled by:
  - A set S of subjects, a set O of objects

- How are access control rights defined? Many schemes, but ultimately modelled by:
  - A set S of subjects, a set O of objects
  - A set A of operations (modelled by access rights), we'll consider A = {exec, read, append, write}.

- How are access control rights defined? Many schemes, but ultimately modelled by:
  - A set S of subjects, a set O of objects
  - A set A of operations (modelled by access rights), we'll consider A = {exec, read, append, write}.
  - An access control matrix

$$M = (M_{so})_{s \in S, o \in O}$$

where each entry  $M_{so} \subseteq A$  defines rights for s to access o.

- How are access control rights defined? Many schemes, but ultimately modelled by:
  - A set S of subjects, a set O of objects
  - A set A of operations (modelled by access rights), we'll consider A = {exec, read, append, write}.
  - An access control matrix

$$M = (M_{so})_{s \in S, o \in O}$$

where each entry  $M_{so} \subseteq A$  defines rights for s to access o.

Example matrix for S = {Alice, Bob} and three objects:

	bob.doc	edit.exe	fun.com
	{}		{exec, read}
Bob	{read, write}	{exec}	{exec, read, write}

### Representing the access control matrix

Implementing M directly is impractical, so different schemes are used. Complementary possibilities: either use capabilities (store M by rows) or use access control lists (store M by columns)

## Representing the access control matrix

- Implementing M directly is impractical, so different schemes are used. Complementary possibilities: either use capabilities (store M by rows) or use access control lists (store M by columns)
- A capability is an unforgeable token that specifies a subject's access rights. Pros: can pass around capabilities; good fit with discr. AC. Cons: difficult to revoke, or find out who has, access to a particular resource (must examine all capabilities). Interest reinstated recently with distributed and mobile computation.

## Representing the access control matrix

- Implementing M directly is impractical, so different schemes are used. Complementary possibilities: either use capabilities (store M by rows) or use access control lists (store M by columns)
- A capability is an unforgeable token that specifies a subject's access rights. Pros: can pass around capabilities; good fit with discr. AC. Cons: difficult to revoke, or find out who has, access to a particular resource (must examine all capabilities). Interest reinstated recently with distributed and mobile computation.
- An access control list (ACL) stores the access rights to an object with the object itself. Pros: good fit with object-biased OSes. Cons: difficult to revoke, or find out, permissions of a particular subject (must search all ACLs).

## Outline

Access and information flow

Access control mechanisms

Multi-level security

The BLP security model

Summary

 Multi Level Security (MLS) systems originated in the military. A security level is a label for subjects and objects, to describe a policy.

- Multi Level Security (MLS) systems originated in the military. A security level is a label for subjects and objects, to describe a policy.
- Security levels are ordered:

unclassified  $\leq$  confidential  $\leq$  secret  $\leq$  topsecret.

- Multi Level Security (MLS) systems originated in the military. A security level is a label for subjects and objects, to describe a policy.
- Security levels are ordered:

unclassified  $\leq$  confidential  $\leq$  secret  $\leq$  topsecret.

Ordering can express policies like "no write-down" which means that a high-level subject cannot write down to a low-level object. (A user with confidential clearance cannot write an unclassified file: it might contain confidential information read earlier.)

- Multi Level Security (MLS) systems originated in the military. A security level is a label for subjects and objects, to describe a policy.
- Security levels are ordered:

unclassified  $\leq$  confidential  $\leq$  secret  $\leq$  topsecret.

- Ordering can express policies like "no write-down" which means that a high-level subject cannot write down to a low-level object. (A user with confidential clearance cannot write an unclassified file: it might contain confidential information read earlier.)
- In practice, we need more flexibility. We may want categorizations as well, for example, describing departments or divisions in an organization. Then individual levels may not be comparable...

A *lattice* is a set *L* equipped with a partial ordering
 ≤ such every two elements *a*, *b* ∈ *L* has a *least upper bound a* ∨ *b* and a *greatest lower bound a* ∧ *b*.
 A finite lattice must have top and bottom elements.

- A lattice is a set L equipped with a partial ordering ≤ such every two elements a, b ∈ L has a least upper bound a ∨ b and a greatest lower bound a ∧ b. A finite lattice must have top and bottom elements.
- ▶ In security, if  $a \le b$ , we say that b **dominates** a.
  - system low is the bottom, dominated by all others.
  - system high is the top, which dominates all others.

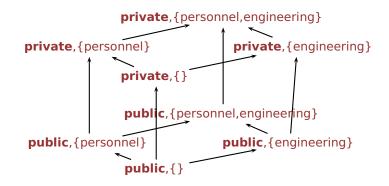
- A lattice is a set L equipped with a partial ordering ≤ such every two elements a, b ∈ L has a least upper bound a ∨ b and a greatest lower bound a ∧ b. A finite lattice must have top and bottom elements.
- ▶ In security, if  $a \le b$ , we say that b **dominates** a.
  - system low is the bottom, dominated by all others.
  - system high is the top, which dominates all others.
- Lattices are useful for MLS policies because:

- A lattice is a set L equipped with a partial ordering ≤ such every two elements a, b ∈ L has a least upper bound a ∨ b and a greatest lower bound a ∧ b. A finite lattice must have top and bottom elements.
- ▶ In security, if  $a \le b$ , we say that b **dominates** a.
  - system low is the bottom, dominated by all others.
  - system high is the top, which dominates all others.
- Lattices are useful for MLS policies because:
  - for two objects at levels a and b, there is a minimal security level a v b for a subject to access both;

- A lattice is a set L equipped with a partial ordering ≤ such every two elements a, b ∈ L has a least upper bound a ∨ b and a greatest lower bound a ∧ b. A finite lattice must have top and bottom elements.
- ▶ In security, if  $a \le b$ , we say that b **dominates** a.
  - system low is the bottom, dominated by all others.
  - system high is the top, which dominates all others.
- Lattices are useful for MLS policies because:
  - for two objects at levels a and b, there is a minimal security level a v b for a subject to access both;
  - For two subjects at levels a and b, there is a maximal security level a ∧ b for an object which must be readable by both.

# A Lattice Construction [Gollmann]

- ▶ take a set of *classifications* H and linear ordering  $\leq_H$
- take a set C of categories; compartments are subsets of C
- ▶ security levels are pairs (h, c) with  $h \in H$  and  $c \subseteq C$
- ordering  $(h_1, c_1) \le (h_2, c_2) \iff h_1 \le h_2, c_1 \subseteq c_2$  gives a lattice.



# Outline

Access and information flow

Access control mechanisms

Multi-level security

The BLP security model

Summary

 BLP (1973) is state machine model for confidentiality.

- BLP (1973) is state machine model for confidentiality.
- Permissions use an AC matrix and security levels. The security policy prevents information flowing from a high level to a lower level.

- BLP (1973) is state machine model for confidentiality.
- Permissions use an AC matrix and security levels. The security policy prevents information flowing from a high level to a lower level.
- Assume subjects *S*, objects *O*, accesses *A* as before.

- BLP (1973) is state machine model for confidentiality.
- Permissions use an AC matrix and security levels. The security policy prevents information flowing from a high level to a lower level.
- ► Assume subjects *S*, objects *O*, accesses *A* as before.
- A set *L* of security levels, with a partial ordering  $\leq$ .

- BLP (1973) is state machine model for confidentiality.
- Permissions use an AC matrix and security levels. The security policy prevents information flowing from a high level to a lower level.
- ► Assume subjects *S*, objects *O*, accesses *A* as before.
- A set *L* of security levels, with a partial ordering  $\leq$ .
- The state set B × M × F captures the current permissions and subjects accessing objects. It has three parts:

- BLP (1973) is state machine model for confidentiality.
- Permissions use an AC matrix and security levels. The security policy prevents information flowing from a high level to a lower level.
- ► Assume subjects *S*, objects *O*, accesses *A* as before.
- A set *L* of security levels, with a partial ordering  $\leq$ .
- The state set B × M × F captures the current permissions and subjects accessing objects. It has three parts:
  - B possible current accesses

- BLP (1973) is state machine model for confidentiality.
- Permissions use an AC matrix and security levels. The security policy prevents information flowing from a high level to a lower level.
- ► Assume subjects *S*, objects *O*, accesses *A* as before.
- A set *L* of security levels, with a partial ordering  $\leq$ .
- The state set B × M × F captures the current permissions and subjects accessing objects. It has three parts:
  - B possible current accesses
  - *M* permissions matrices

- BLP (1973) is state machine model for confidentiality.
- Permissions use an AC matrix and security levels. The security policy prevents information flowing from a high level to a lower level.
- ► Assume subjects *S*, objects *O*, accesses *A* as before.
- A set *L* of security levels, with a partial ordering  $\leq$ .
- ► The state set B × M × F captures the current permissions and subjects accessing objects. It has three parts:
  - B possible current accesses
  - *M* permissions matrices
  - *F* security level assignments

- BLP (1973) is state machine model for confidentiality.
- Permissions use an AC matrix and security levels. The security policy prevents information flowing from a high level to a lower level.
- ► Assume subjects *S*, objects *O*, accesses *A* as before.
- A set L of security levels, with a partial ordering  $\leq$ .
- The state set B × M × F captures the current permissions and subjects accessing objects. It has three parts:
  - B possible current accesses
  - *M* permissions matrices
  - *F* security level assignments
- A BLP state is a triple (b, M, f).

▶ B = P(S × O × A) is the set of all possible current accesses.

An element  $b \in B$  is a set of tuples (s, o, a) meaning s is performing operation a on an object o.

B = P(S × O × A) is the set of all possible current accesses.

An element  $b \in B$  is a set of tuples (s, o, a) meaning s is performing operation a on an object o.

M is the set of permission matrices
M = (M<sub>so</sub>)<sub>s∈S,o∈O</sub>.

▶ B = P(S × O × A) is the set of all possible current accesses.

An element  $b \in B$  is a set of tuples (s, o, a) meaning s is performing operation a on an object o.

- $\mathcal{M}$  is the set of permission matrices  $M = (M_{so})_{s \in S, o \in O}$ .
- ▶  $\mathcal{F} \subset L^S \times L^S \times L^O$  is the set of security level assignments. An element  $f \in \mathcal{F}$  is a triple  $(f_S, f_C, f_O)$  where

▶ B = P(S × O × A) is the set of all possible current accesses.

An element  $b \in B$  is a set of tuples (s, o, a) meaning s is performing operation a on an object o.

- $\mathcal{M}$  is the set of permission matrices  $M = (M_{so})_{s \in S, o \in O}$ .
- ►  $\mathcal{F} \subset L^S \times L^S \times L^O$  is the set of security level assignments.

An element  $f \in \mathcal{F}$  is a triple  $(f_S, f_C, f_O)$  where

*f<sub>S</sub>*: *S* → *L* gives the maximal security level each subject can have;

▶ B = P(S × O × A) is the set of all possible current accesses.

An element  $b \in B$  is a set of tuples (s, o, a) meaning s is performing operation a on an object o.

- $\mathcal{M}$  is the set of permission matrices  $M = (M_{so})_{s \in S, o \in O}$ .
- ►  $\mathcal{F} \subset L^S \times L^S \times L^O$  is the set of security level assignments.

An element  $f \in \mathcal{F}$  is a triple  $(f_S, f_C, f_O)$  where

- *f<sub>S</sub>*: *S* → *L* gives the maximal security level each subject can have;
- ►  $f_C: S \rightarrow L$  gives the **current security level** of each subject (st  $f_C \le f_S$ ), and

▶ B = P(S × O × A) is the set of all possible current accesses.

An element  $b \in B$  is a set of tuples (s, o, a) meaning s is performing operation a on an object o.

- $\mathcal{M}$  is the set of permission matrices  $M = (M_{so})_{s \in S, o \in O}$ .
- $\mathcal{F} \subset L^S \times L^S \times L^O$  is the set of security level assignments.

An element  $f \in \mathcal{F}$  is a triple  $(f_S, f_C, f_O)$  where

- *f<sub>S</sub>*: *S* → *L* gives the maximal security level each subject can have;
- ►  $f_C: S \rightarrow L$  gives the **current security level** of each subject (st  $f_C \le f_S$ ), and
- ►  $f_O: O \rightarrow L$  gives the **classification** of all objects.

## **BLP Mandatory Access Control Policy**

Consider a state (b, M, f), where b is the set of current accesses.

### **BLP Mandatory Access Control Policy**

Consider a state (b, M, f), where b is the set of current accesses.

### Simple security property

The **ss-property** states for each access  $(s, o, a) \in b$ where  $a \in \{\text{read}, \text{write}\}$ , then  $f_O(o) \leq f_S(s)$  (no read-up).

#### Star property

The **\*-property** states for each access  $(s, o, a) \in b$ where  $a \in \{append, write\}$ , then  $f_C(s) \leq f_O(o)$  (no write-down) and moreover, we must have  $f_O(o') \leq f_O(o)$ for all o' with  $(s, o', a') \in b$  and  $a' \in \{read, write\}$  (o must dominate any other object s can read).

### **BLP Mandatory Access Control Policy**

Consider a state (b, M, f), where b is the set of current accesses.

### Simple security property

The **ss-property** states for each access  $(s, o, a) \in b$ where  $a \in \{\text{read}, \text{write}\}$ , then  $f_O(o) \leq f_S(s)$  (no read-up).

#### Star property

The **\*-property** states for each access  $(s, o, a) \in b$ where  $a \in \{append, write\}$ , then  $f_C(s) \leq f_O(o)$  (no write-down) and moreover, we must have  $f_O(o') \leq f_O(o)$ for all o' with  $(s, o', a') \in b$  and  $a' \in \{read, write\}$  (o must dominate any other object s can read).

Together these form the *mandatory access control* policy for BLP.

# **BLP** Discretionary Control and Security

The access control matrix *M* allows DAC as well.

#### Discretionary security property

The **ds-property**: for each access  $(s, o, a) \in b$ , we have that  $a \in M_{so}$  (discretionary access controls are obeyed).

# **BLP** Discretionary Control and Security

The access control matrix *M* allows DAC as well.

#### Discretionary security property

The **ds-property**: for each access  $(s, o, a) \in b$ , we have that  $a \in M_{so}$  (discretionary access controls are obeyed).

Definition of Security: The state (b, M, f) is secure if the three properties above are satisfied.

Notice that BLP's notion of security is entirely captured in the current state.

Unfortunately, the \*-property means a high-level subject cannot send messages to a low-level subject. This is unrealistic!

- Unfortunately, the \*-property means a high-level subject cannot send messages to a low-level subject. This is unrealistic!
- There are two ways out:

- Unfortunately, the \*-property means a high-level subject cannot send messages to a low-level subject. This is unrealistic!
- There are two ways out:
  - 1. temporarily downgrade a high-level subject, which is why the model includes the current **clearance level** setting  $f_C$ , or

- Unfortunately, the \*-property means a high-level subject cannot send messages to a low-level subject. This is unrealistic!
- There are two ways out:
  - 1. temporarily downgrade a high-level subject, which is why the model includes the current **clearance level** setting  $f_C$ , or
  - 2. identify a set of **trusted subjects** allowed to violate the \*-property.

- Unfortunately, the \*-property means a high-level subject cannot send messages to a low-level subject. This is unrealistic!
- There are two ways out:
  - 1. temporarily downgrade a high-level subject, which is why the model includes the current **clearance level** setting  $f_C$ , or
  - 2. identify a set of **trusted subjects** allowed to violate the \*-property.
- Approach 1 works because the current state describes exactly what each subject knows. So if a subject (e.g. a process) is downgraded, it cannot access higher-level material, so may safely write at any lower level than its maximum.

- Unfortunately, the \*-property means a high-level subject cannot send messages to a low-level subject. This is unrealistic!
- There are two ways out:
  - 1. temporarily downgrade a high-level subject, which is why the model includes the current **clearance level** setting  $f_C$ , or
  - 2. identify a set of **trusted subjects** allowed to violate the \*-property.
- Approach 1 works because the current state describes exactly what each subject knows. So if a subject (e.g. a process) is downgraded, it cannot access higher-level material, so may safely write at any lower level than its maximum.
- When subjects are people with high-level clearances, approach 2 works: we trust someone to violate the property in the model, e.g., by publishing part of a secret document.

A transition from state v<sub>1</sub> to v<sub>2</sub> is secure simply if both states v<sub>1</sub> and v<sub>2</sub> are secure.

- A transition from state v<sub>1</sub> to v<sub>2</sub> is secure simply if both states v<sub>1</sub> and v<sub>2</sub> are secure.
- This leads to a rather simple and general theorem:

- A transition from state v<sub>1</sub> to v<sub>2</sub> is secure simply if both states v<sub>1</sub> and v<sub>2</sub> are secure.
- This leads to a rather simple and general theorem:

#### Basic security theorem

If all state transitions in a system are secure and the initial state of the system is secure, then every subsequent state is also secure.

- A transition from state v<sub>1</sub> to v<sub>2</sub> is secure simply if both states v<sub>1</sub> and v<sub>2</sub> are secure.
- This leads to a rather simple and general theorem:

#### Basic security theorem

If all state transitions in a system are secure and the initial state of the system is secure, then every subsequent state is also secure.

- A transition from state v<sub>1</sub> to v<sub>2</sub> is secure simply if both states v<sub>1</sub> and v<sub>2</sub> are secure.
- This leads to a rather simple and general theorem:

#### Basic security theorem

If all state transitions in a system are secure and the initial state of the system is secure, then every subsequent state is also secure.

(NB: this follows immediately by induction, it has nothing to do with the properties of BLP!)

The point: we can reduce checking the system for all possible inputs to checking that each kind of possible state transition preserves security. Of course, to do this we need a concrete instance of the model which describes possible transitions.

# Outline

Access and information flow

Access control mechanisms

Multi-level security

The BLP security model

Summary

# Summary

- A security model is a framework for formalising security policies
- Access control enforcement uses a reference monitor
- Operations have access modes used to define properties
- **Bell-LaPadula** (BLP) access control model:
  - For confidentiality
  - Discretionary (DAC) and mandatory (MAC) access
  - MAC via multi-level security lattice
  - ss-property: no read-up
  - \*-property: no write down, direct or indirect
  - DAC via access control matrix (ds-property)

### References

See Chapters 5, 11 (also 7 and 8) of Gollmann, and Parts 2–3 of Bishop.

beamericon Rooss Anderson. Security Engineering: A Guide to Building Dependable Distributed Systems.. Wiley & Sons, 2nd Edition, 2008.

heam ricon Matt Bishop. *Computer Security: Art and Science*. Addison-Wesley, 2003.

John Wiley & Sons, 3rd Edition, 2011.

#### **Recommended Reading**

Chapters 5 and 11 of Gollmann. Chapters 4 and 8 of Anderson.