Chapter III: Transport Layer

UG3 Computer Communications & Networks
(COMN)

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rdt2.0 has a fatal flaw!

what happens if ACK/NAK corrupted?

- sender doesn't know what happened at receiver!
- can't just retransmit: possible duplicate

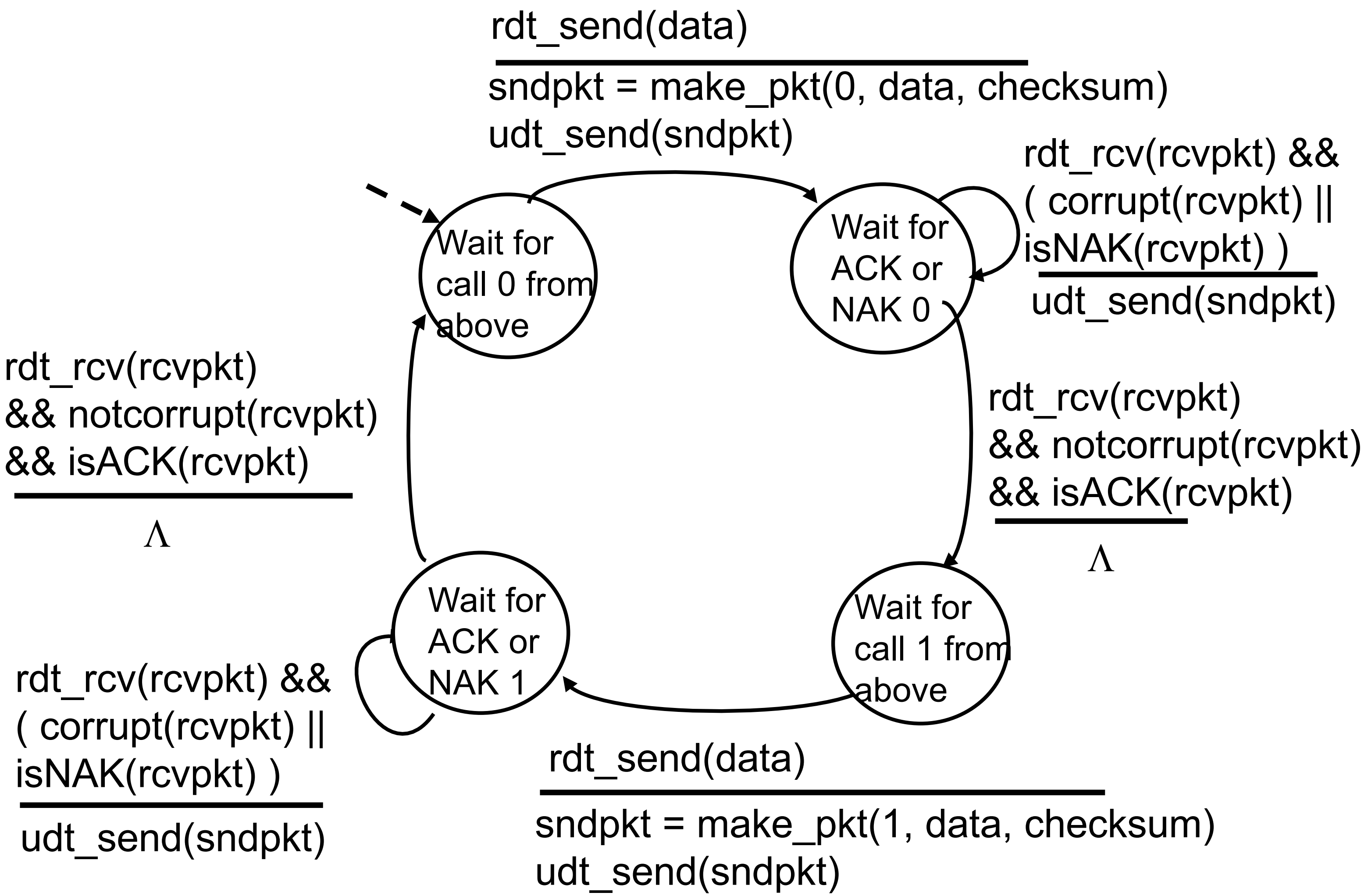
handling duplicates:

- sender retransmits current pkt if ACK/NAK corrupted
- sender adds *sequence number* to each pkt
- receiver discards (doesn't deliver up) duplicate pkt

stop and wait

sender sends one packet, then waits for receiver response

rdt2.1: sender, handles garbled ACK/NAKs



rdt_send(data)

sndpkt = make_pkt(0, data, checksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
isNAK(rcvpkt))

udt_send(sndpkt)

rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& isACK(rcvpkt)

Λ

rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& isACK(rcvpkt)

Λ

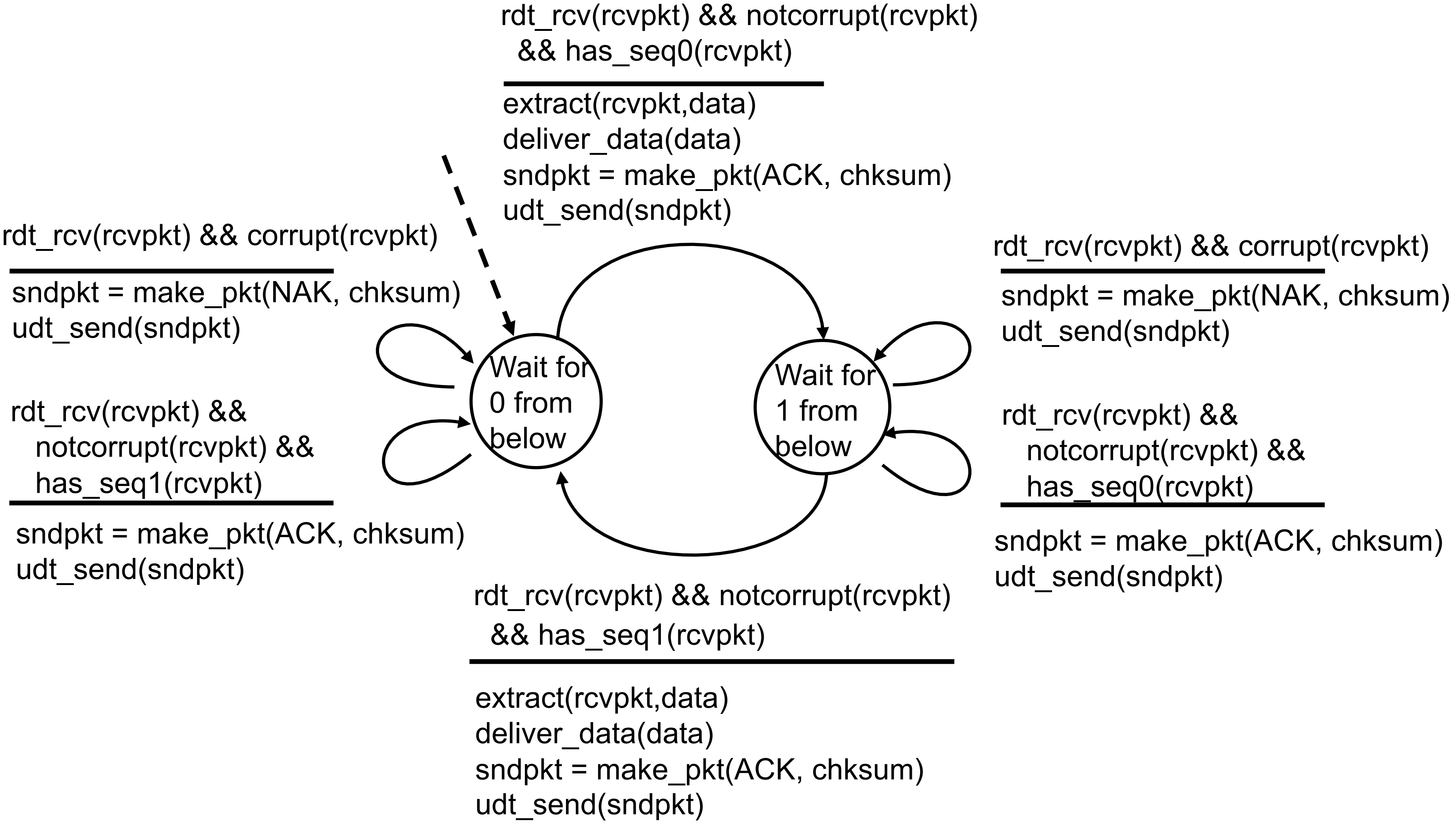
rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
isNAK(rcvpkt))

udt_send(sndpkt)

rdt_send(data)

sndpkt = make_pkt(1, data, checksum)
udt_send(sndpkt)

rdt2.1: receiver, handles garbled ACK/NAKs



rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && has_seq0(rcvpkt)

extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) && corrupt(rcvpkt)

sndpkt = make_pkt(NAK, chksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && has_seq1(rcvpkt)

sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

Wait for 0 from below

Wait for 1 from below

rdt_rcv(rcvpkt) && corrupt(rcvpkt)

sndpkt = make_pkt(NAK, chksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && has_seq0(rcvpkt)

sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

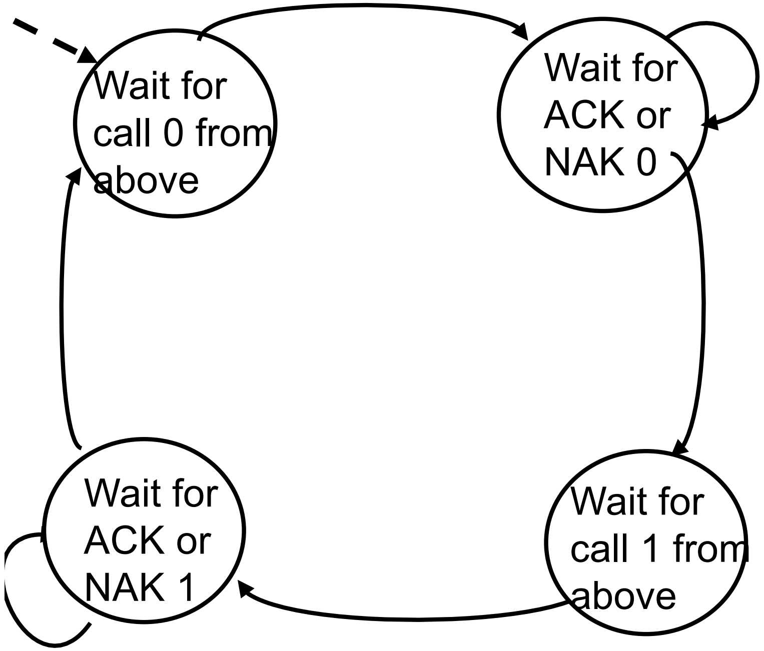
rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && has_seq1(rcvpkt)

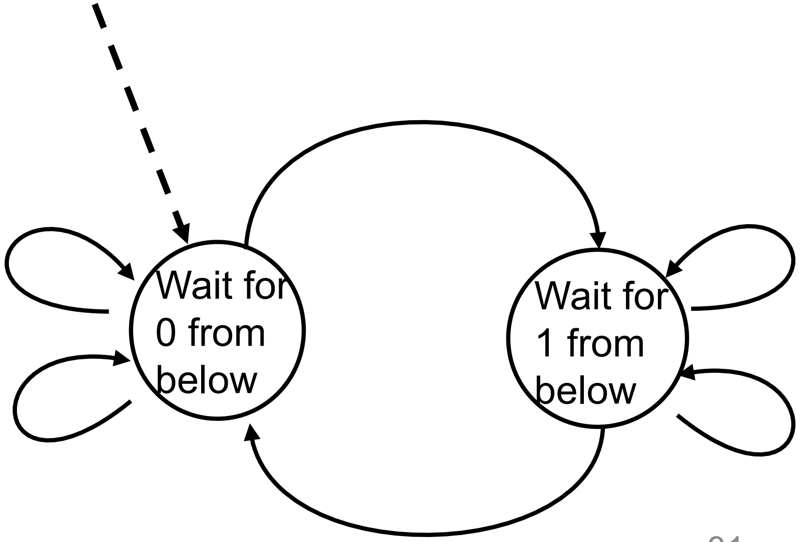
extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

rdt2.1 Example 1

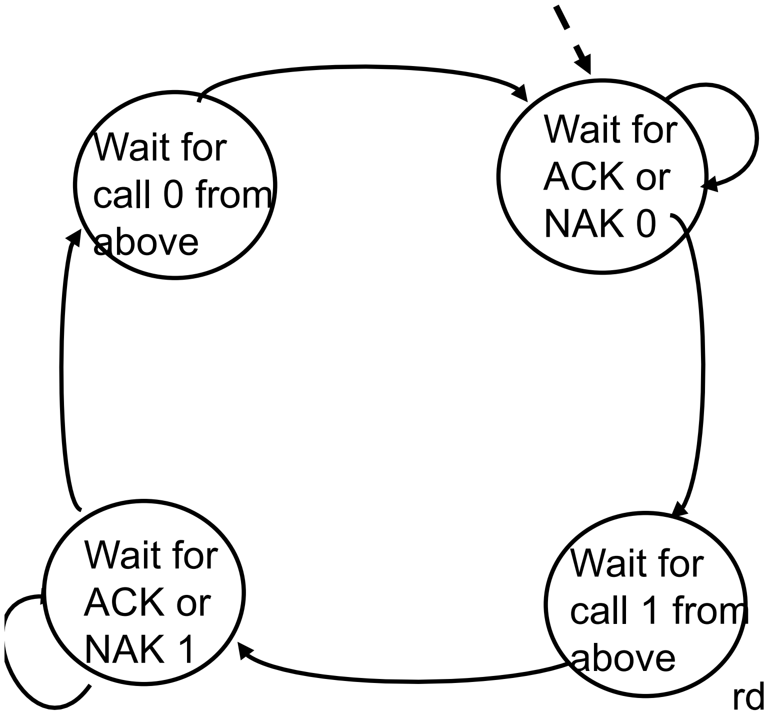
rdt_send(data)

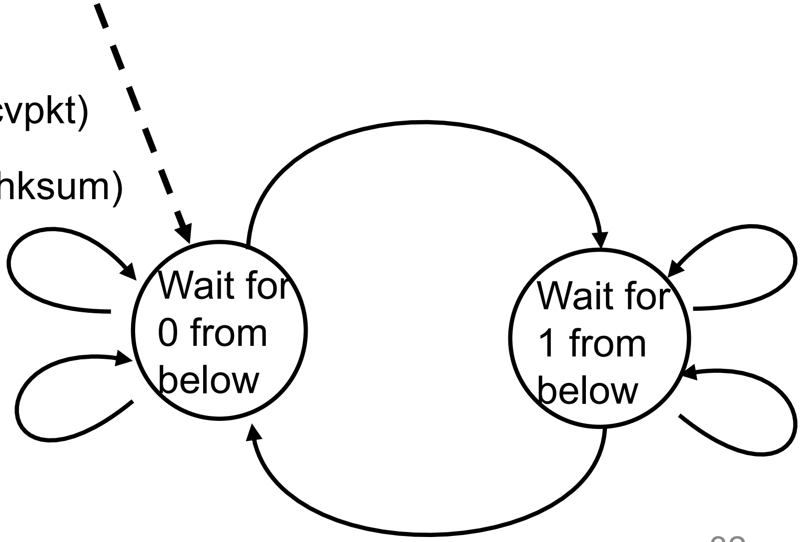
sndpkt = make_pkt(0, data, checksum)
udt_send(sndpkt)





rdt2.1 Example 1

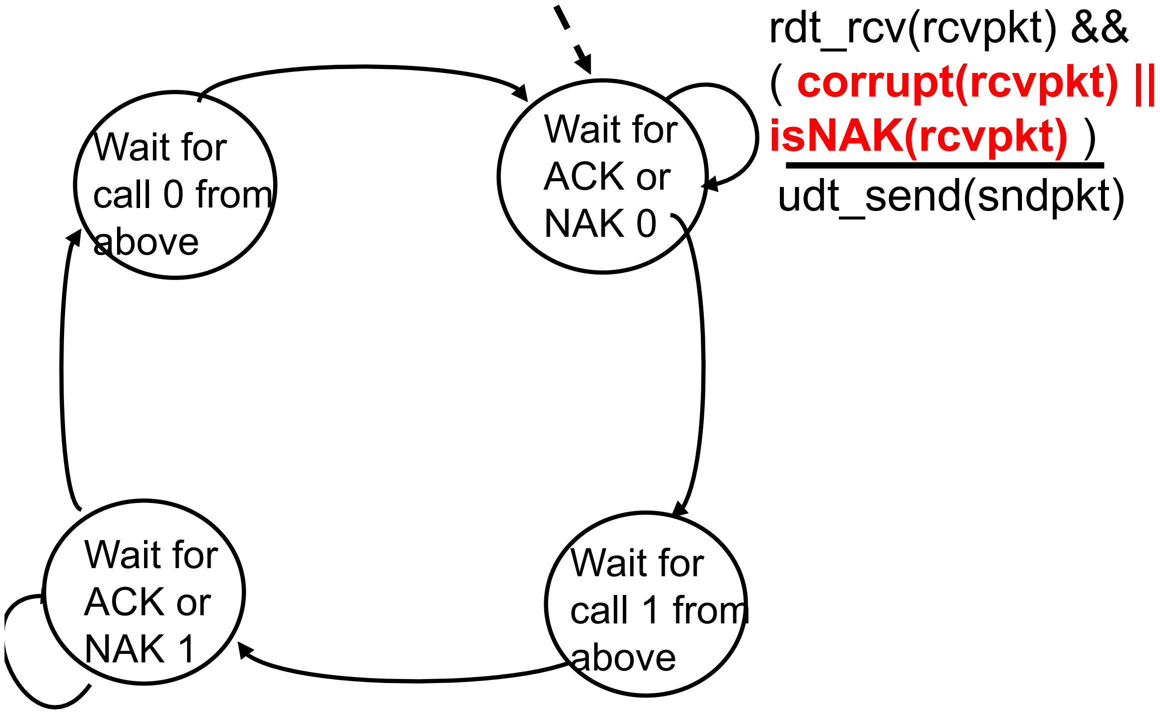




rdt_rcv(rcvpkt) && corrupt(rcvpkt)

sndpkt = make_pkt(**NAK**, chksum)
udt_send(sndpkt)

rdt2.1 Example 1



Wait for call 0 from above

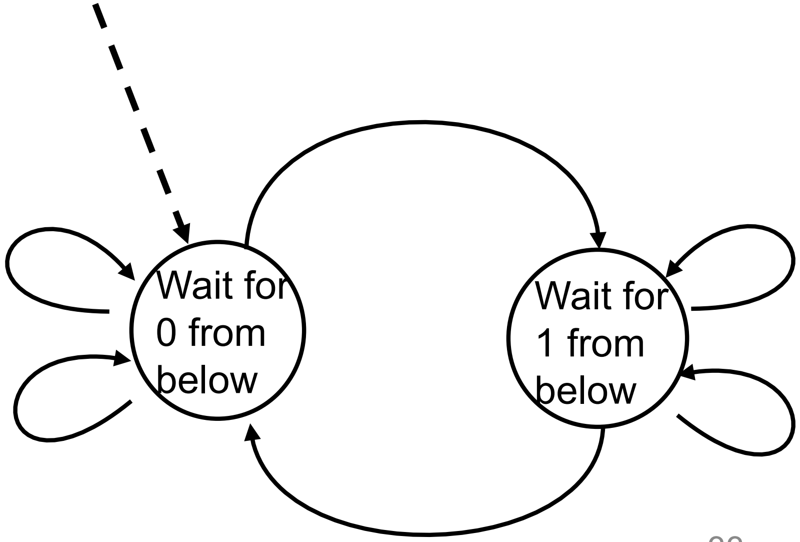
Wait for ACK or NAK 0

rdt_rcv(rcvpkt) &&
(**corrupt(rcvpkt) ||**
isNAK(rcvpkt))

udt_send(sndpkt)

Wait for call 1 from above

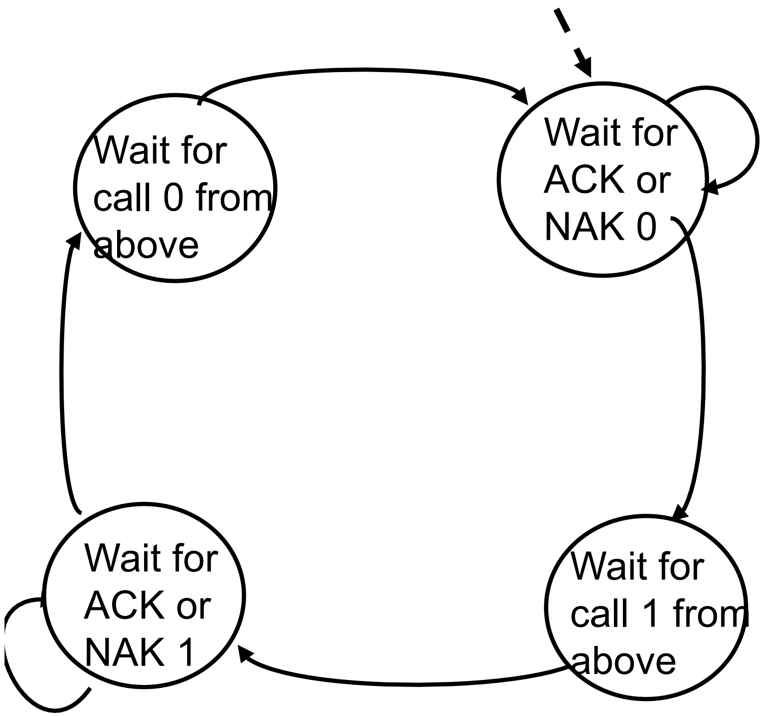
Wait for ACK or NAK 1



Wait for 0 from below

Wait for 1 from below

rdt2.1 Example 1

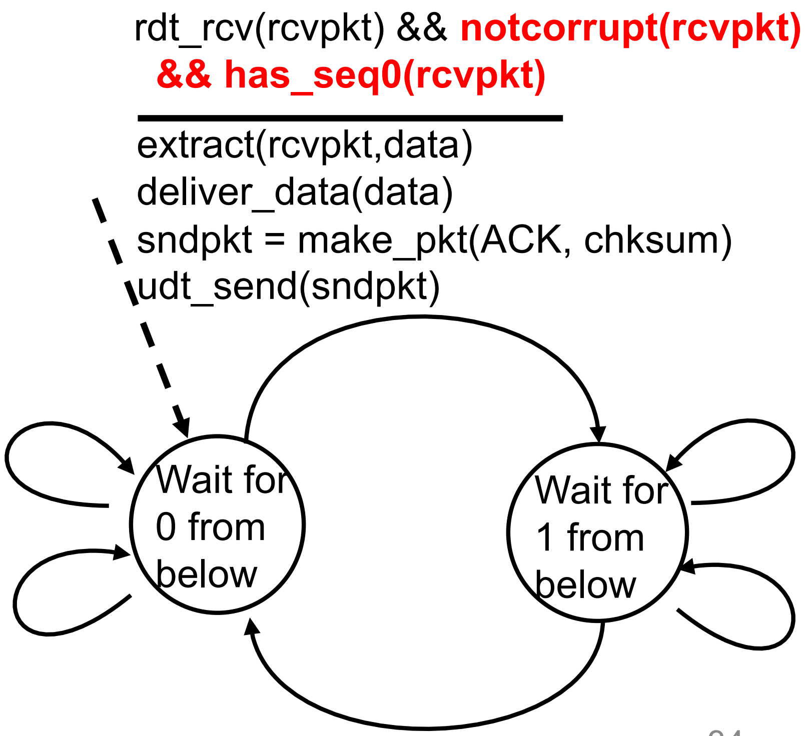


Wait for call 0 from above

Wait for ACK or NAK 0

Wait for ACK or NAK 1

Wait for call 1 from above



rdt_rcv(rcvpkt) && **notcorrupt(rcvpkt)**
&& has_seq0(rcvpkt)

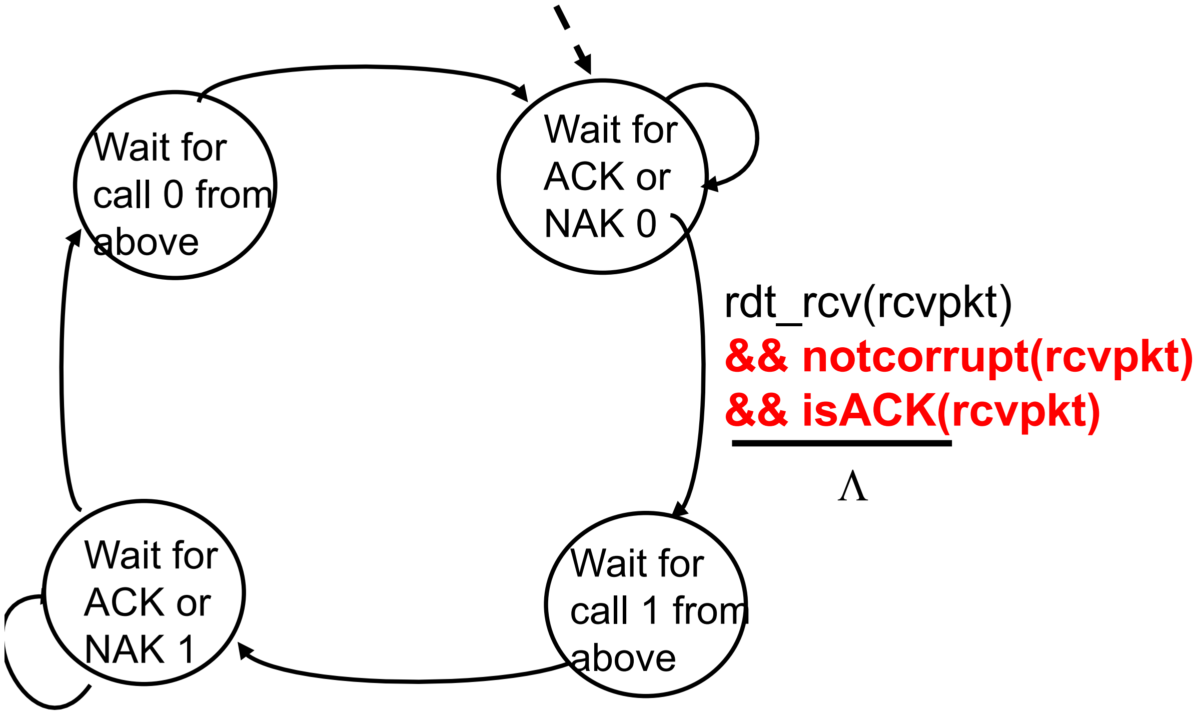
---

extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

Wait for 0 from below

Wait for 1 from below

rdt2.1 Example 1



Wait for call 0 from above

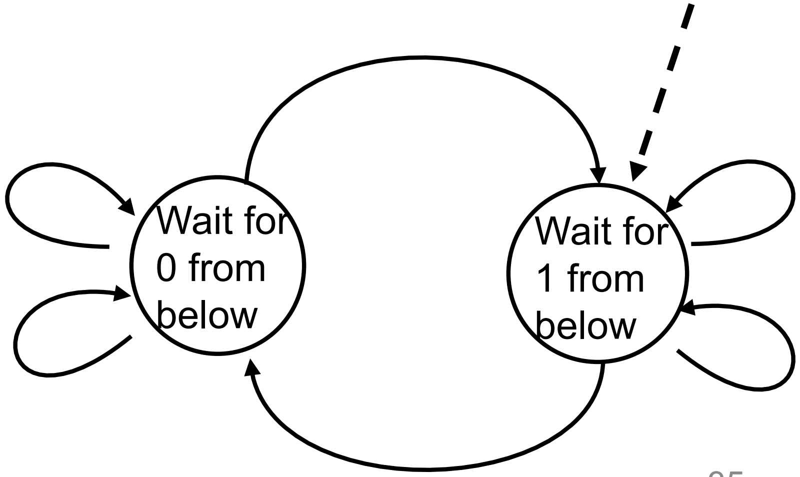
Wait for ACK or NAK 0

Wait for call 1 from above

Wait for ACK or NAK 1

rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& isACK(rcvpkt)

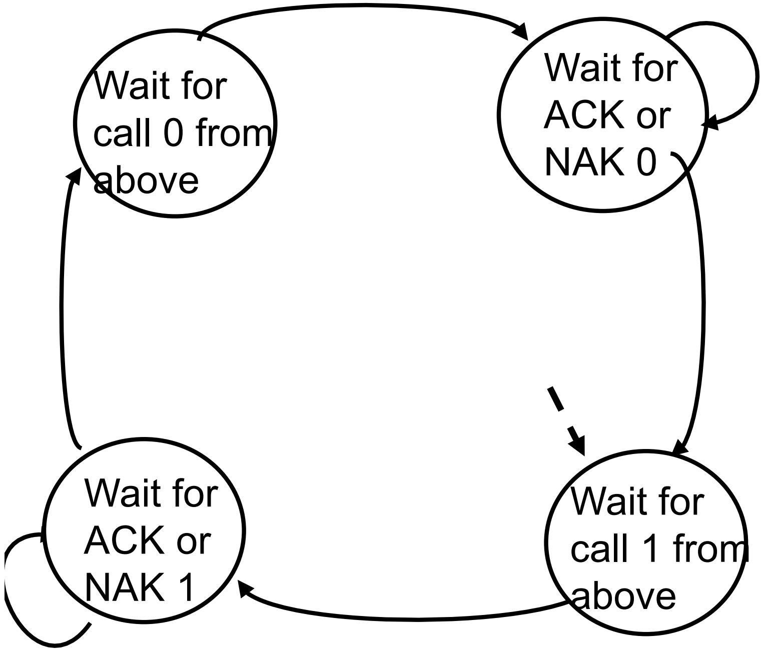
Λ

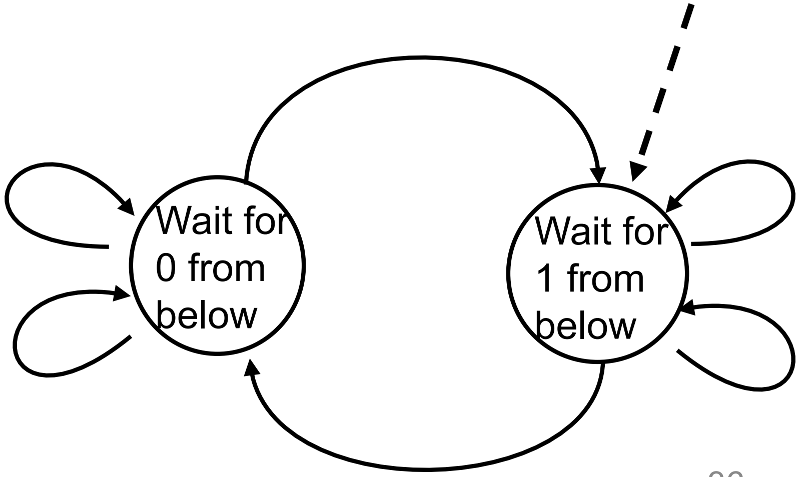


Wait for 0 from below

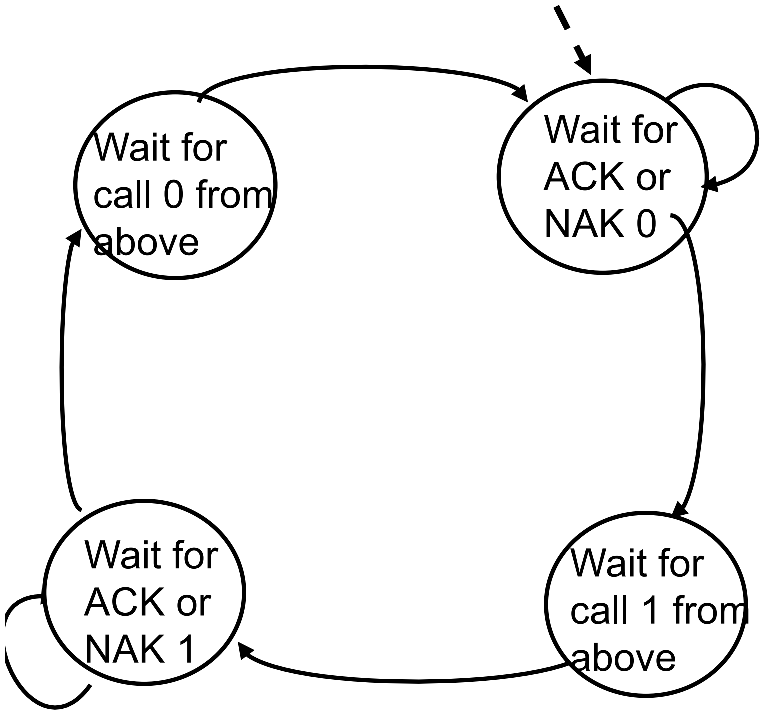
Wait for 1 from below

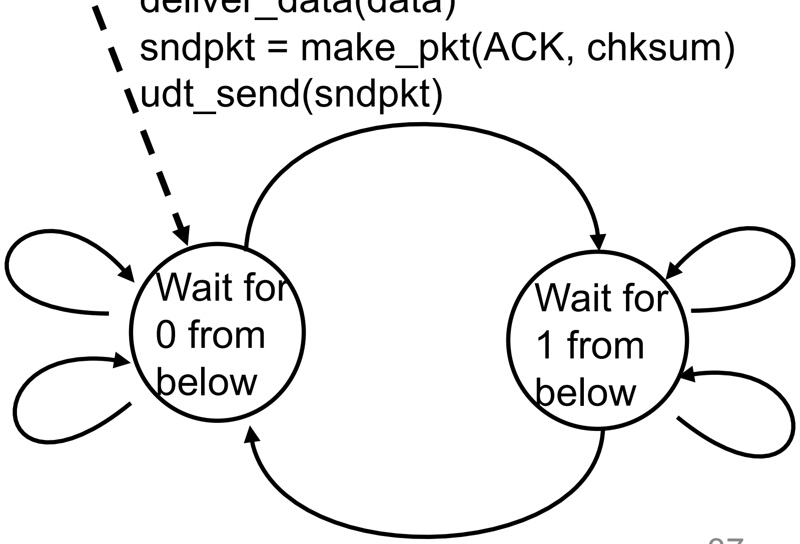
rdt2.1 Example 1





rdt2.1 Example 2

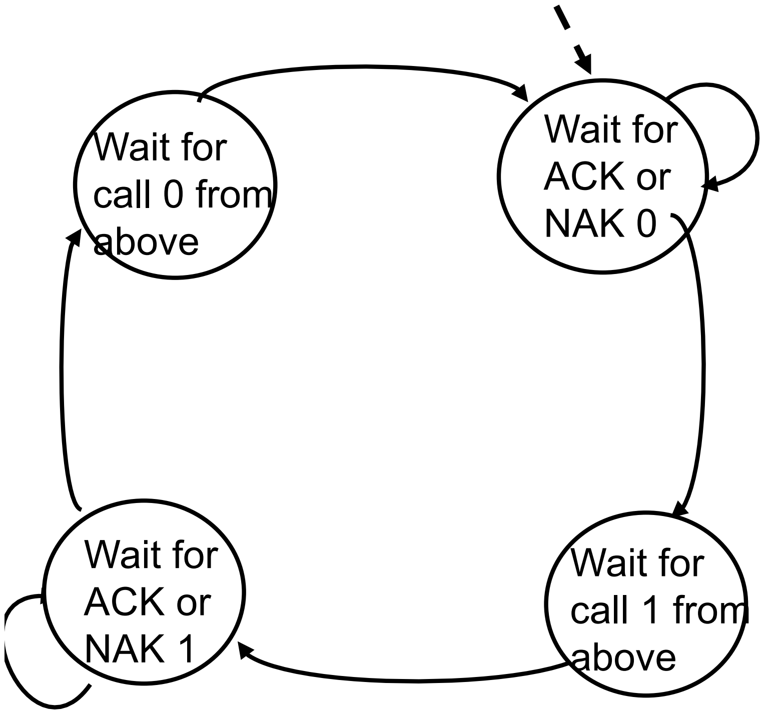




rdt_rcv(rcvpkt) && **notcorrupt(rcvpkt)**
&& has_seq0(rcvpkt)

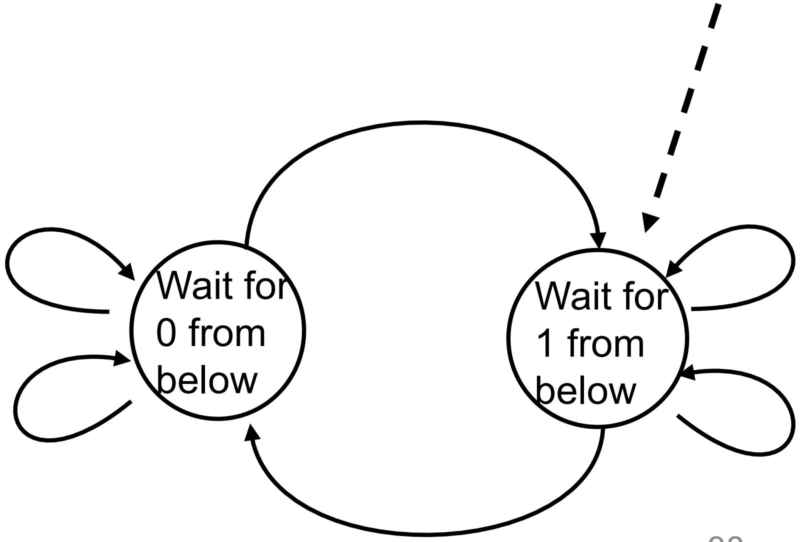
extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

rdt2.1 Example 2

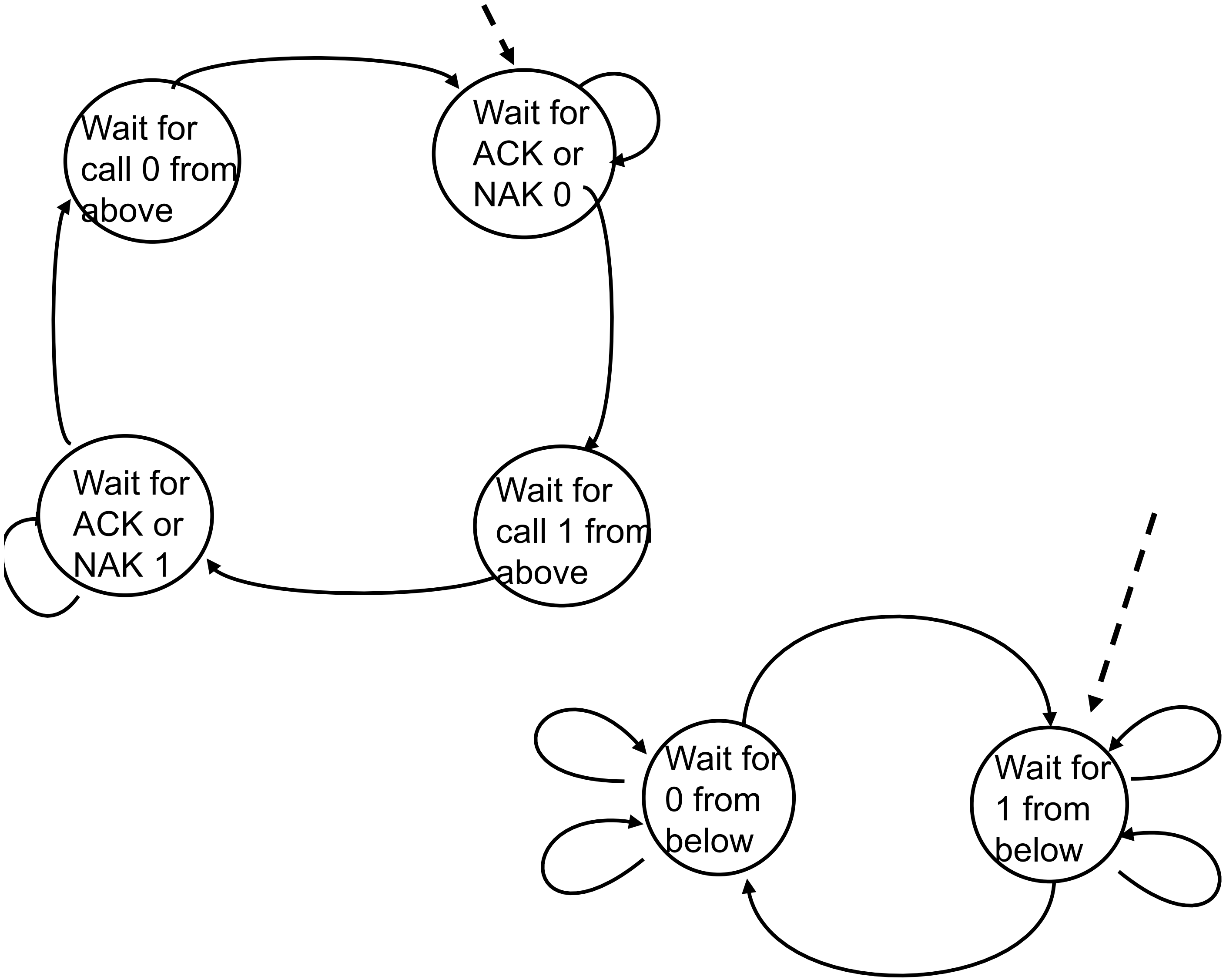


rdt_rcv(rcvpkt) &&
(**corrupt(rcvpkt) ||**
isNAK(rcvpkt))

udt_send(sndpkt)



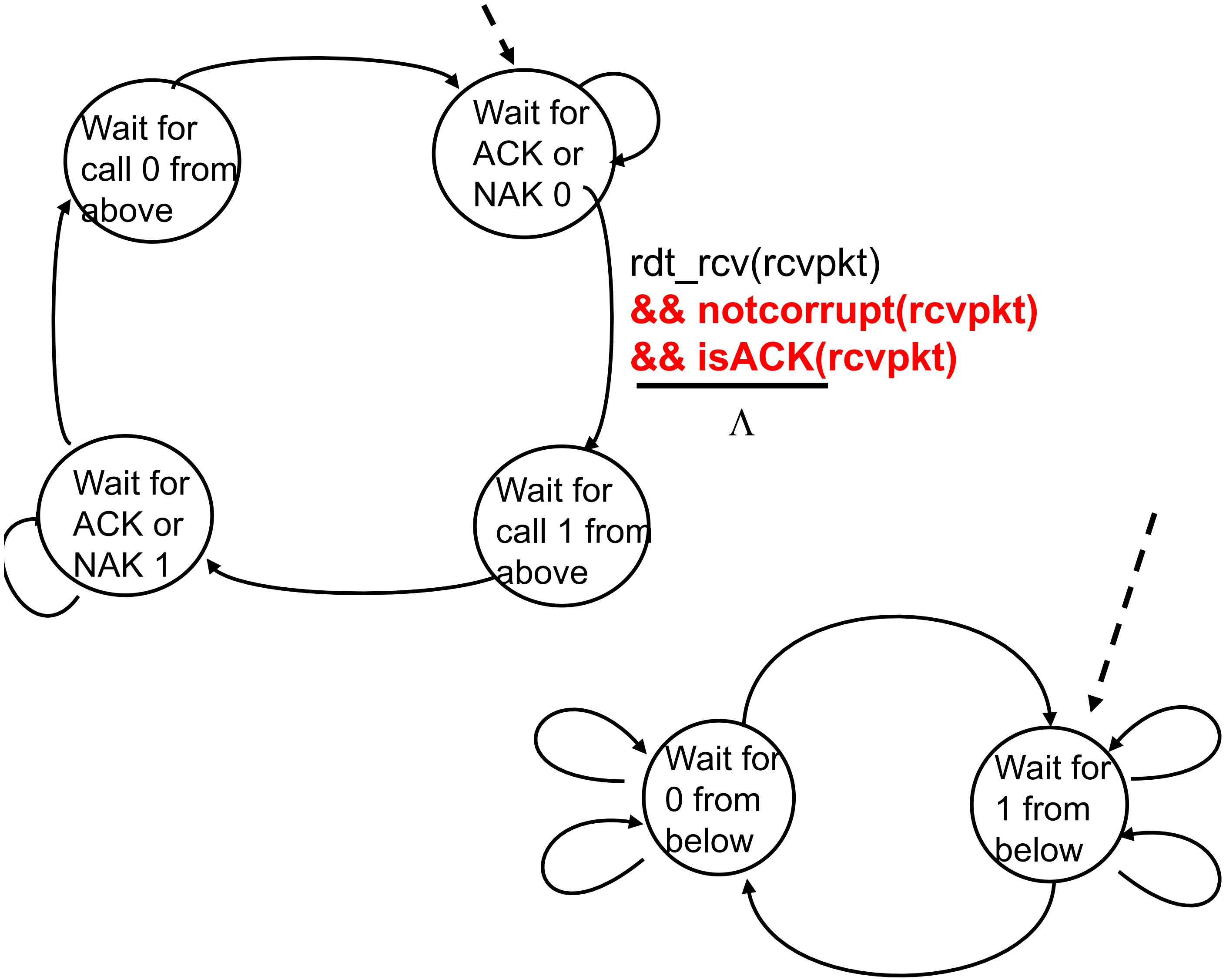
rdt2.1 Example 2



rdt_rcv(rcvpkt) &&
not corrupt(rcvpkt) &&
has_seq0(rcvpkt)

sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

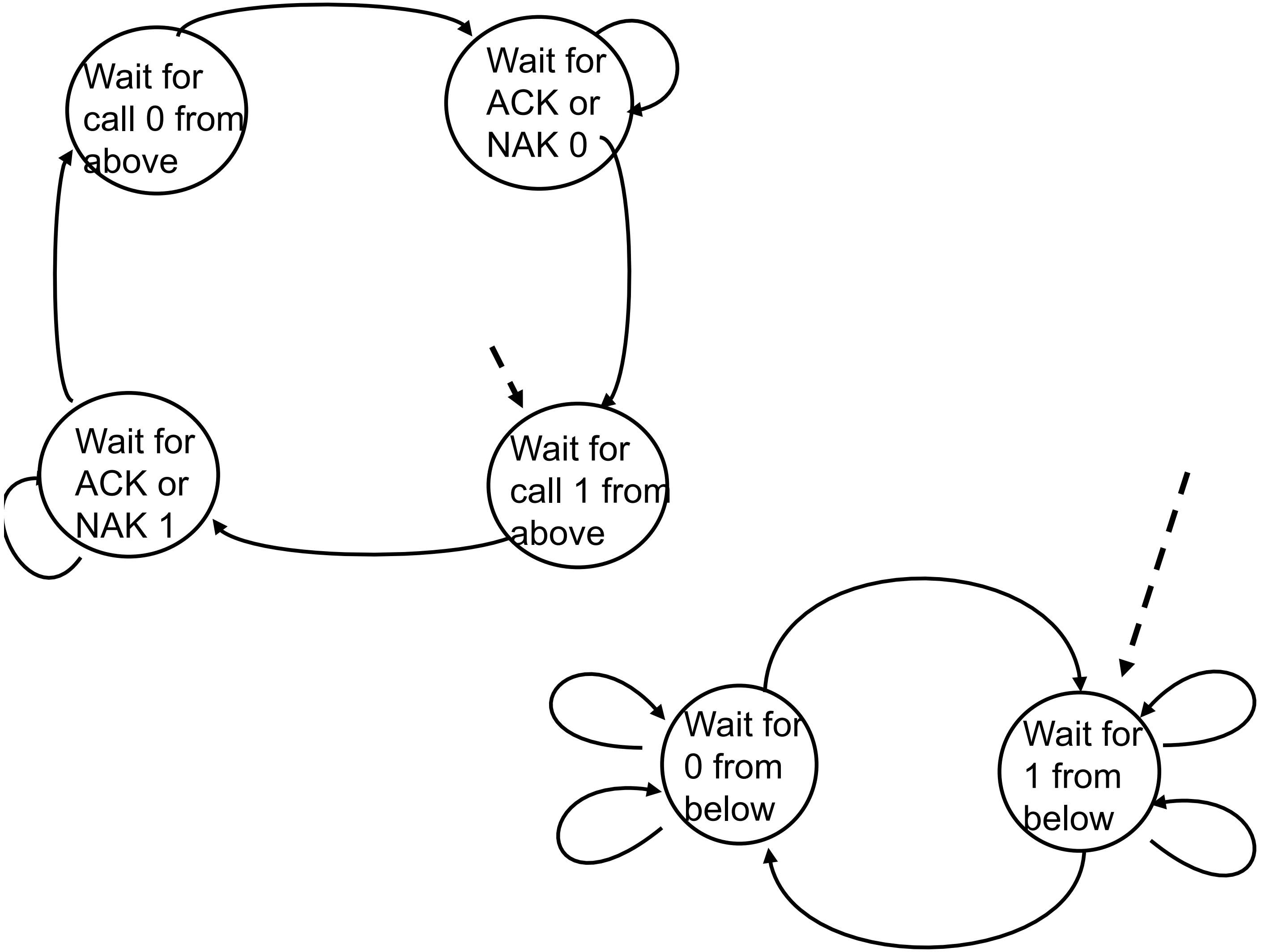
rdt2.1 Example 2



rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& isACK(rcvpkt)

Λ

rdt2.1 Example 2



rdt2.1: discussion

sender:

- seq # added to pkt
- two seq. #'s (0,1) will suffice. Why?
- must check if received ACK/NAK corrupted
- twice as many states
  - state must "remember" whether "expected" pkt should have seq # of 0 or 1

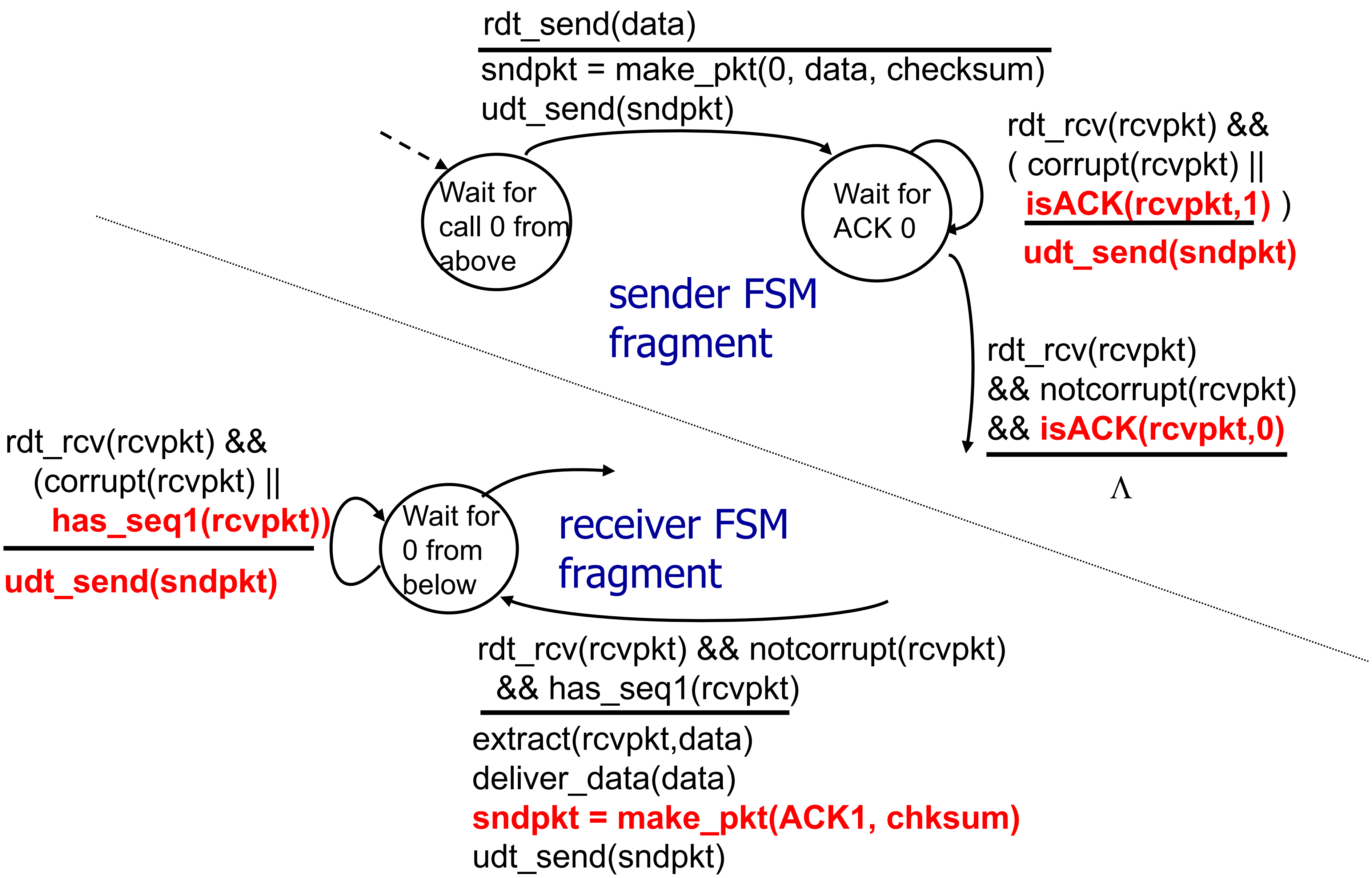
receiver:

- must check if received packet is duplicate
  - state indicates whether 0 or 1 is expected pkt seq #
- note: receiver can *not* know if its last ACK/NAK received OK at sender

rdt2.2: a NAK-free protocol

- same functionality as rdt2.1, using ACKs only
- instead of NAK, receiver sends ACK for last pkt received OK
  - receiver must *explicitly* include seq # of pkt being ACKed
- duplicate ACK at sender results in same action as NAK: *retransmit current pkt*

rdt2.2: sender, receiver fragments



rdt_send(data)

sndpkt = make_pkt(0, data, checksum)
udt_send(sndpkt)

Wait for call 0 from above

Wait for ACK 0

rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
isACK(rcvpkt,1))

udt_send(sndpkt)

sender FSM fragment

rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& **isACK(rcvpkt,0)**

Λ

rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
has_seq1(rcvpkt))

udt_send(sndpkt)

Wait for 0 from below

receiver FSM fragment

rdt_rcv(rcvpkt) && notcorrupt(rcvpkt)
&& has_seq1(rcvpkt)

extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK1, chksum)
udt_send(sndpkt)

rdt3.0: channels with errors *and* loss

new assumption:

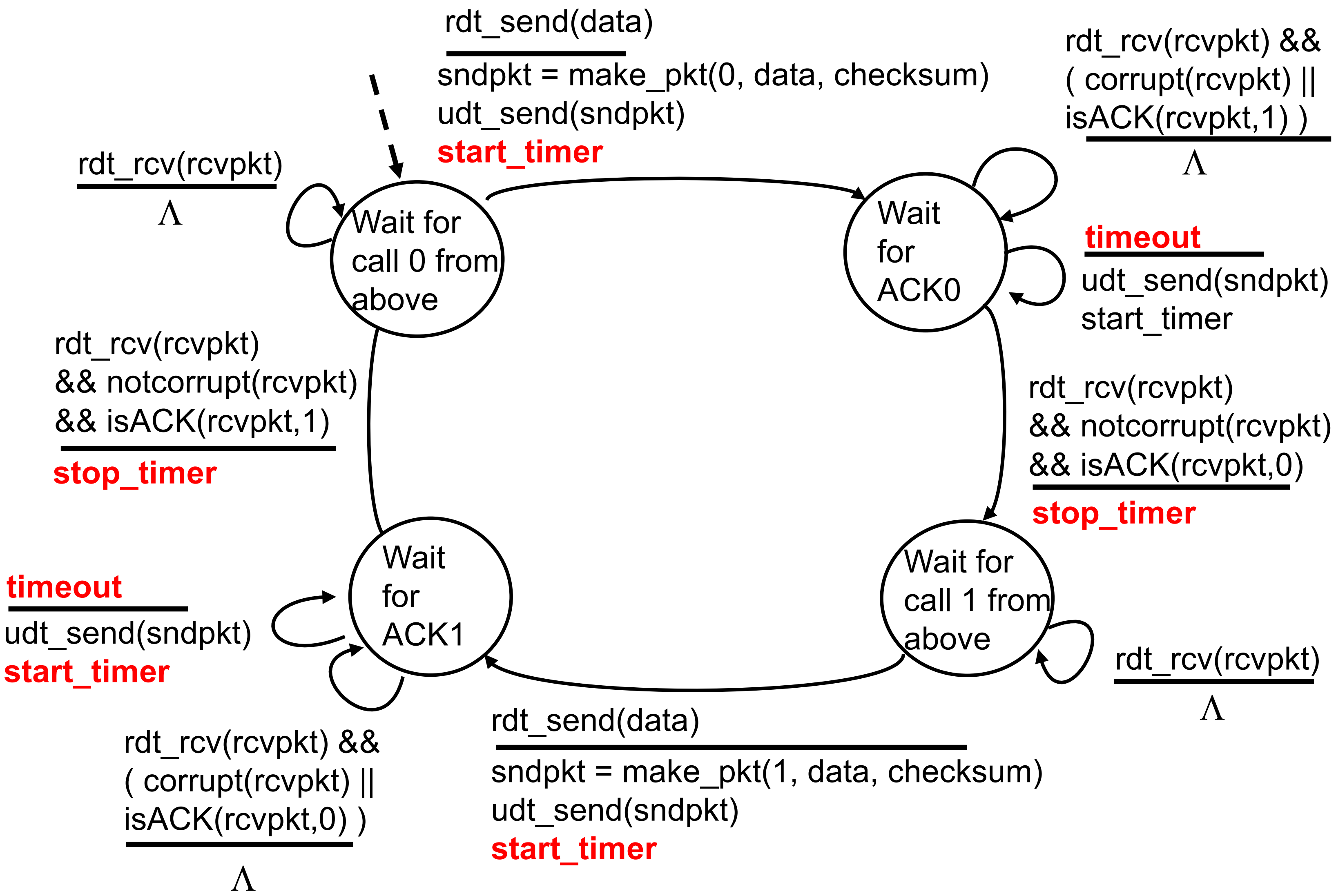
underlying channel can also lose packets (data, ACKs)

- checksum, seq. #, ACKs, retransmissions will be of help … but not enough

approach: sender waits "reasonable" amount of time for ACK

- retransmits if no ACK received in this time
- if pkt (or ACK) just delayed (not lost):
  - retransmission will be duplicate, but seq. #' s already handles this
  - receiver must specify seq # of pkt being ACKed
- requires countdown timer

rdt3.0 sender



rdt_send(data)

sndpkt = make_pkt(0, data, checksum)
udt_send(sndpkt)
start_timer

rdt_rcv(rcvpkt)

Λ

Wait for call 0 from above

rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
isACK(rcvpkt,1))

Λ

Wait for ACK0

timeout

udt_send(sndpkt)
start_timer

rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& isACK(rcvpkt,1)

stop_timer

rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& isACK(rcvpkt,0)

stop_timer

timeout

udt_send(sndpkt)
start_timer

Wait for ACK1

Wait for call 1 from above

rdt_rcv(rcvpkt)

Λ

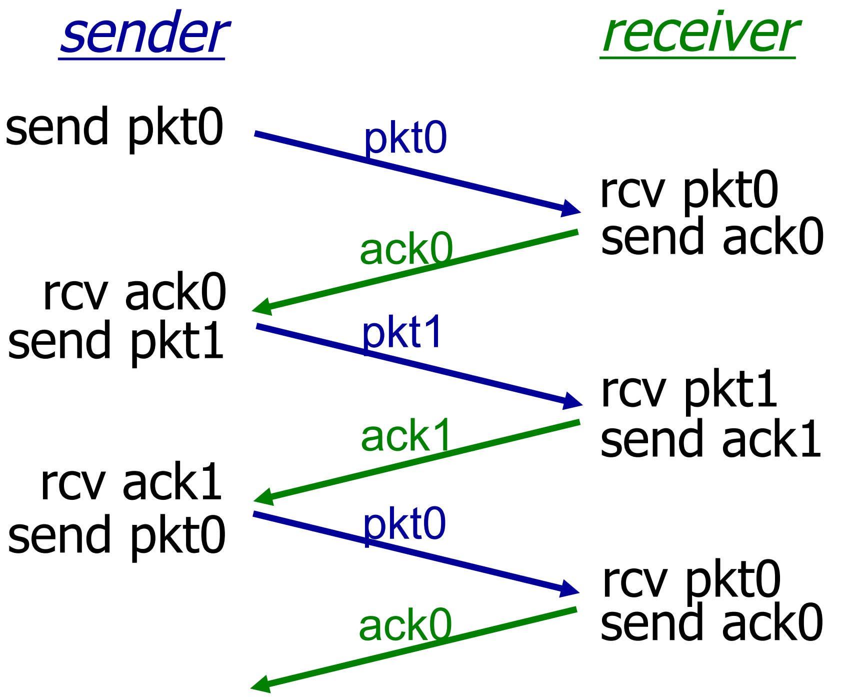
rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
isACK(rcvpkt,0))

Λ

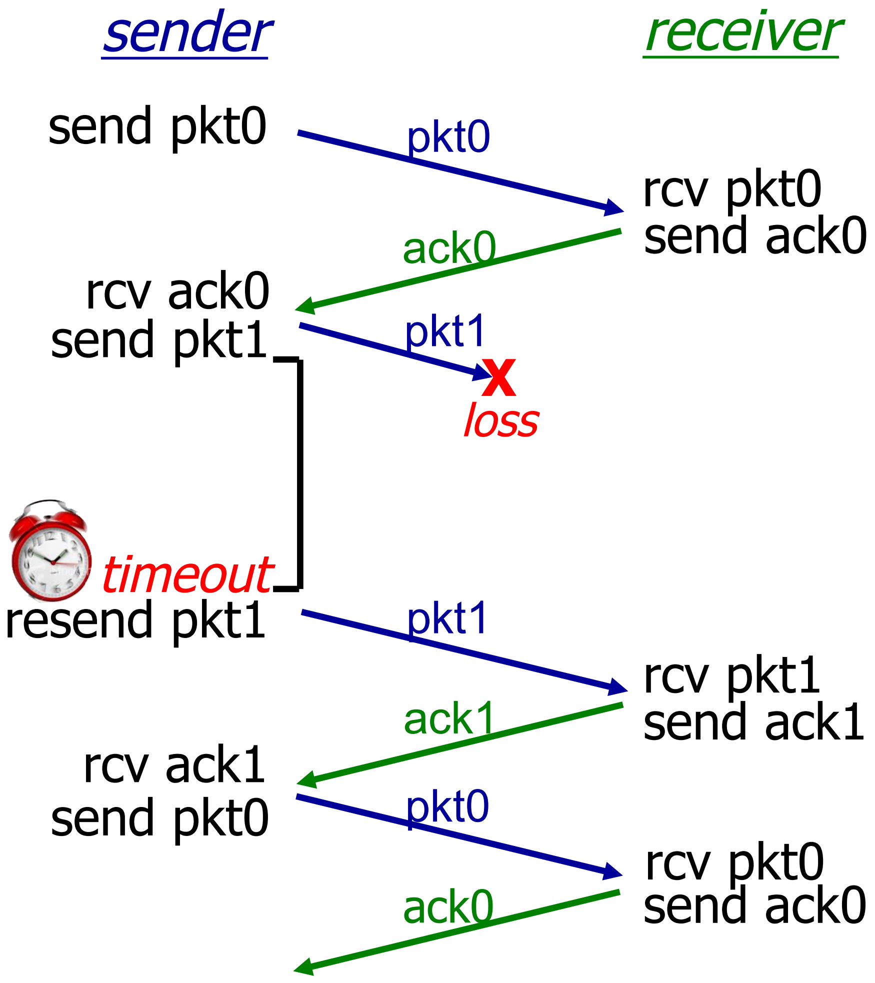
rdt_send(data)

sndpkt = make_pkt(1, data, checksum)
udt_send(sndpkt)
start_timer

rdt3.0 in action

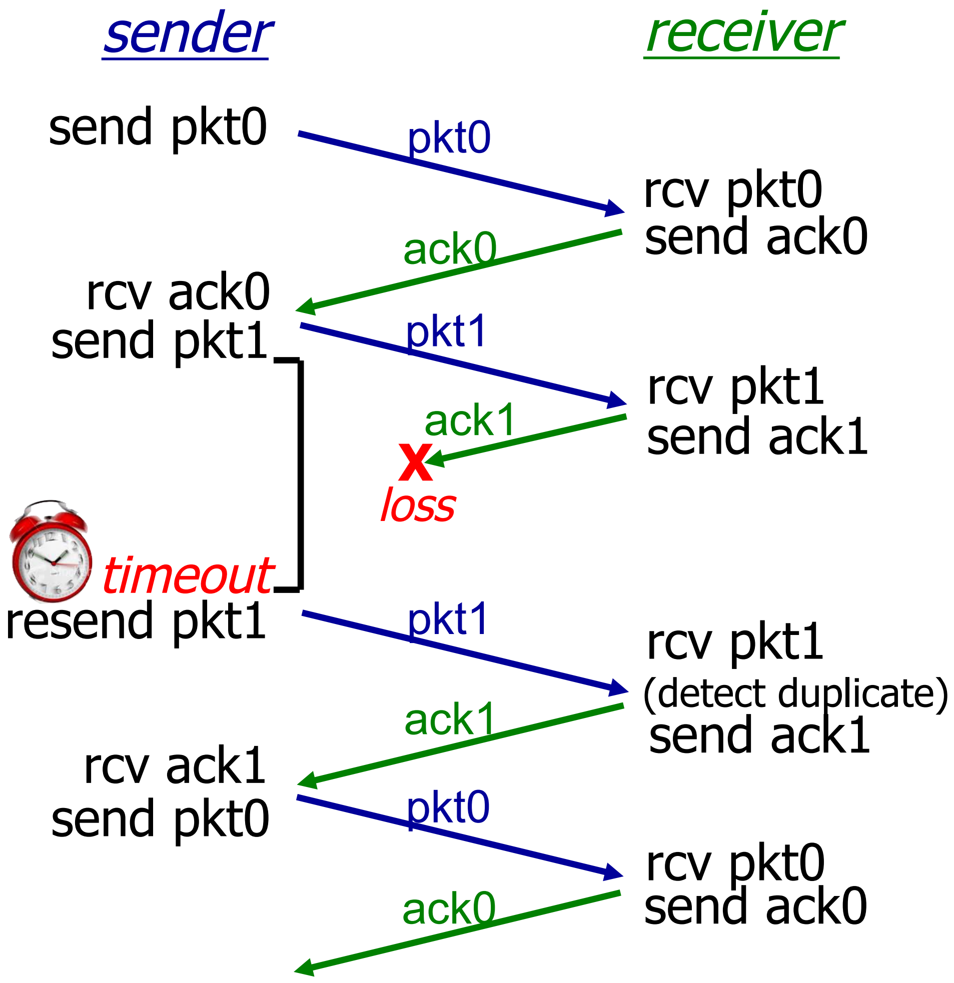


(a) no loss



(b) packet loss

rdt3.0 in action



sender

receiver

send pkt0

pkt0

rcv pkt0
send ack0

ack0

rcv ack0
send pkt1

pkt1

rcv pkt1
send ack1

ack1

X
loss

timeout
resend pkt1

pkt1

rcv pkt1
(detect duplicate)
send ack1

ack1

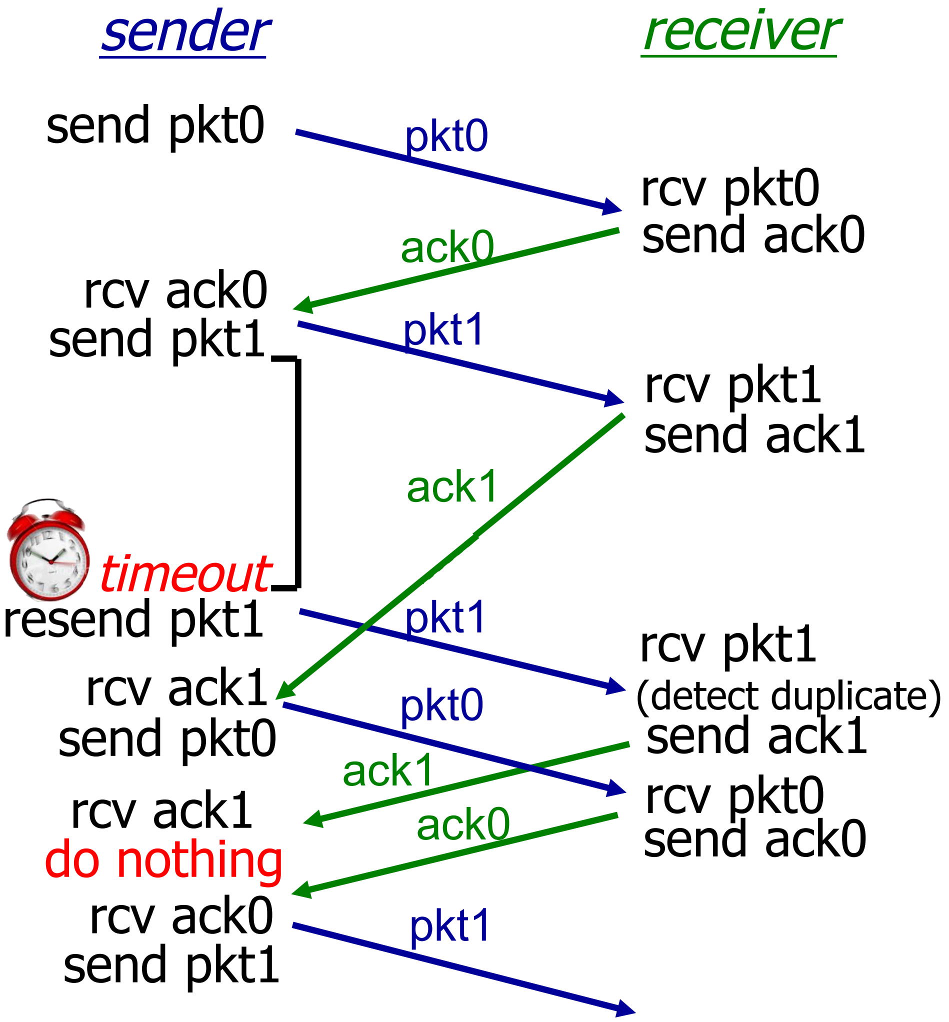
rcv ack1
send pkt0

pkt0

rcv pkt0
send ack0

ack0

(c) ACK loss



sender

receiver

send pkt0

pkt0

rcv pkt0
send ack0

ack0

rcv ack0
send pkt1

pkt1

rcv pkt1
send ack1

ack1

timeout
resend pkt1

pkt1

rcv pkt1
(detect duplicate)
send ack1

rcv ack1
send pkt0

pkt0

ack1

rcv pkt0
send ack0

rcv ack1
do nothing

ack0

rcv ack0
send pkt1

pkt1

(d) premature timeout/ delayed ACK

Performance of rdt3.0

- rdt3.0 is correct, but performance stinks
- e.g.: 1 Gbps link, 15 ms prop. delay, 8000 bit packet:

$$D_{trans} = \frac{L}{R} = \frac{8000 \text{ bits}}{10^9 \text{ bits/sec}} = 8 \text{ microsecs}$$

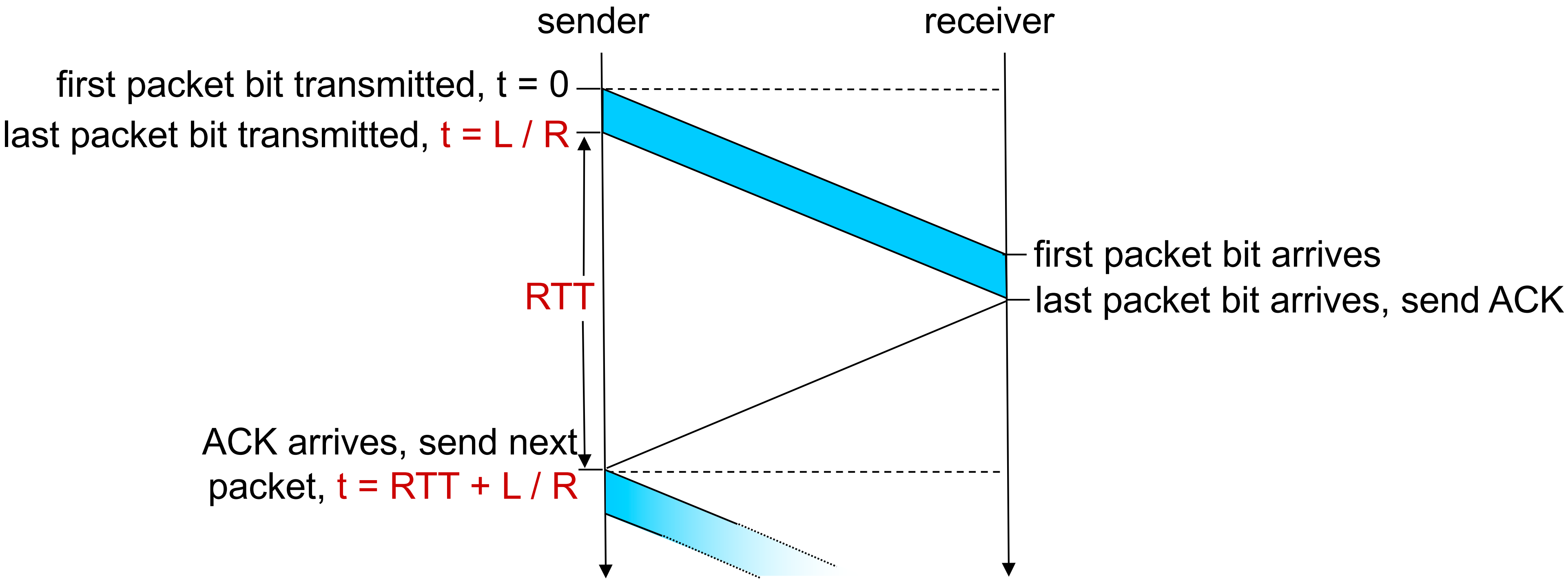
  - U_{sender}: *utilization* – fraction of time sender busy sending

$$U_{sender} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

  - if RTT=30 msec, 1KB pkt every 30 msec: 33kB/sec throughput over 1 Gbps link

- network protocol limits use of physical resources!

rdt3.0: stop-and-wait operation

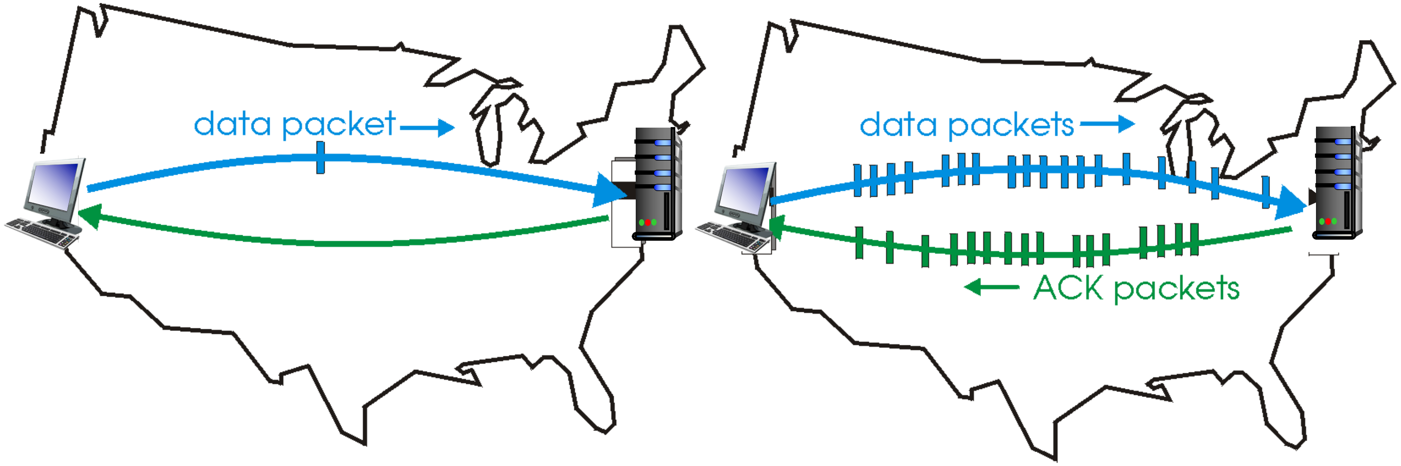

sender
receiver
first packet bit transmitted, t = 0
last packet bit transmitted, t = L / R
RTT
first packet bit arrives
last packet bit arrives, send ACK
ACK arrives, send next packet, t = RTT + L / R

$$U_{sender} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

Pipelined protocols

pipelining: sender allows multiple, "in-flight", yet-to-be-acknowledged pkts

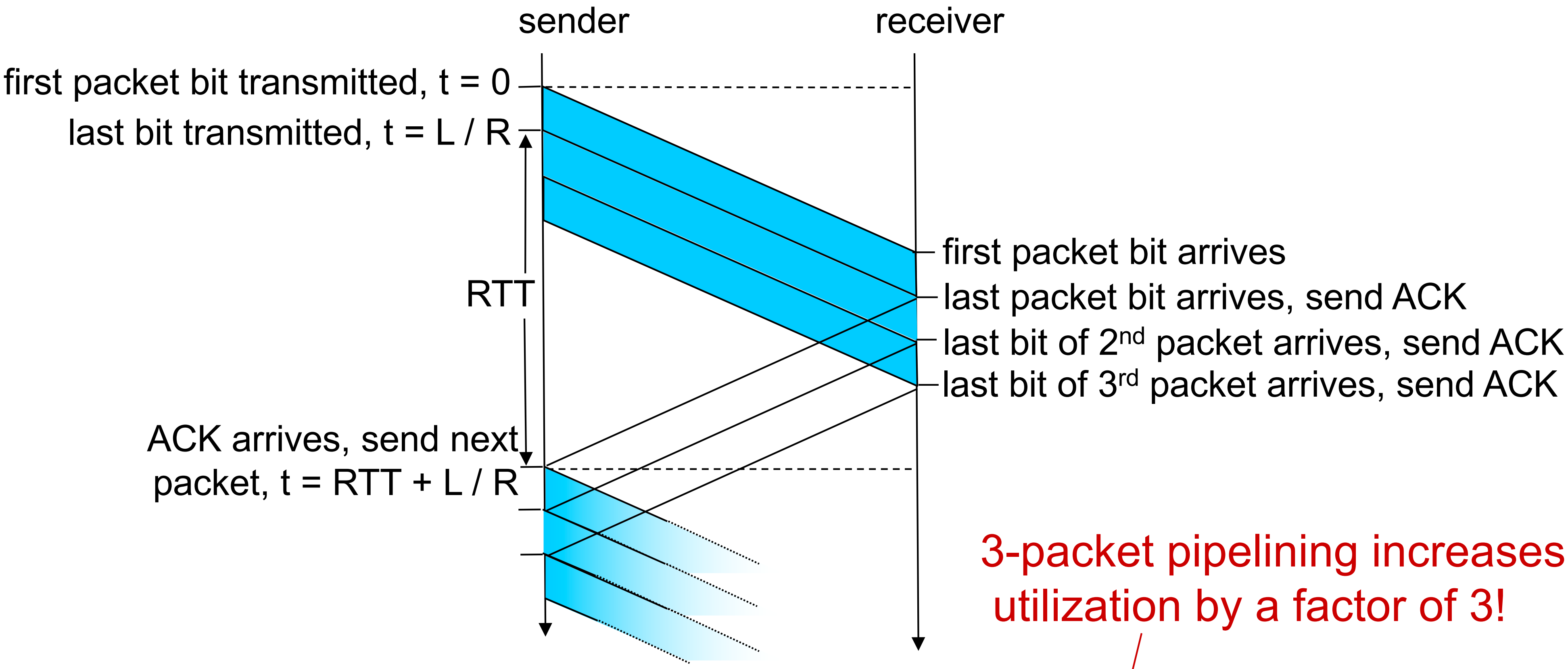
- range of sequence numbers must be increased
- buffering at sender and/or receiver



(a) a stop-and-wait protocol in operation (b) a pipelined protocol in operation

- two generic forms of pipelined protocols: *go-Back-N, selective repeat*

Pipelining: increased utilization


sender
receiver
first packet bit transmitted, t = 0
last bit transmitted, t = L / R
RTT
first packet bit arrives
last packet bit arrives, send ACK
last bit of 2nd packet arrives, send ACK
last bit of 3rd packet arrives, send ACK
ACK arrives, send next packet, t = RTT + L / R

3-packet pipelining increases utilization by a factor of 3!

$$U_{sender} = \frac{3L / R}{RTT + L / R} = \frac{.0024}{30.008} = 0.00081$$

Pipelined protocols: overview

Go-back-N:

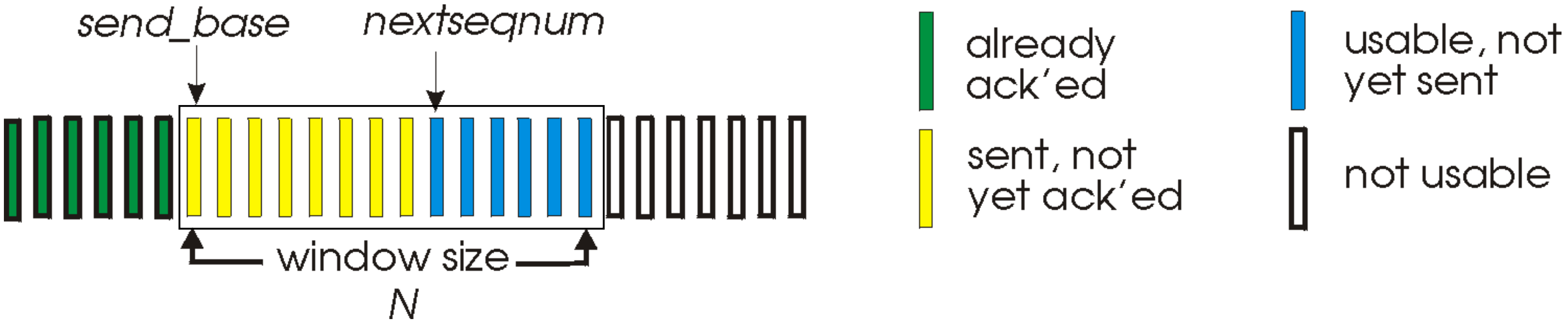
- sender can have up to N unacked packets in pipeline
- receiver only sends *cumulative ack*
  - doesn’t ack packet if there’s a gap
- sender has timer for oldest unacked packet
  - when timer expires, retransmit *all* unacked packets

Selective Repeat:

- sender can have up to N unack’ed packets in pipeline
- rcvr sends *individual ack* for each packet
- sender maintains timer for each unacked packet
  - when timer expires, retransmit only that unacked packet

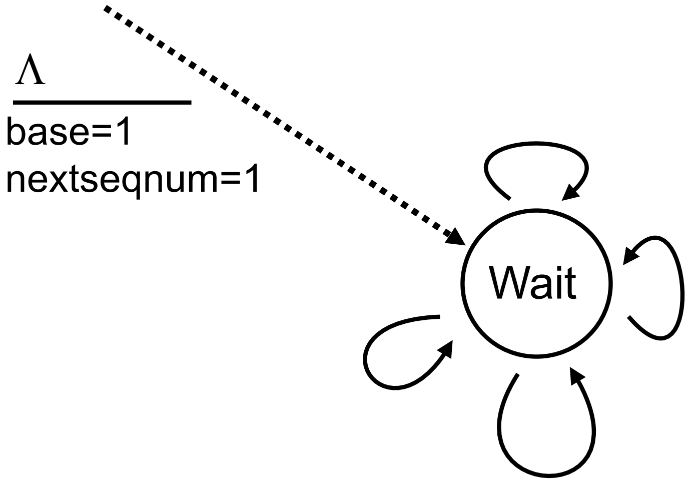
Go-Back-N: sender

- k-bit seq # in pkt header
- "window" of up to N, consecutive unack' ed pkts allowed



- ACK(n): ACKs all pkts up to, including seq # n - *"cumulative ACK"*
  - may receive duplicate ACKs (see receiver)
- timer for oldest in-flight pkt
- *timeout(n)*: retransmit packet n and all higher seq # pkts in window

GBN: sender extended FSM

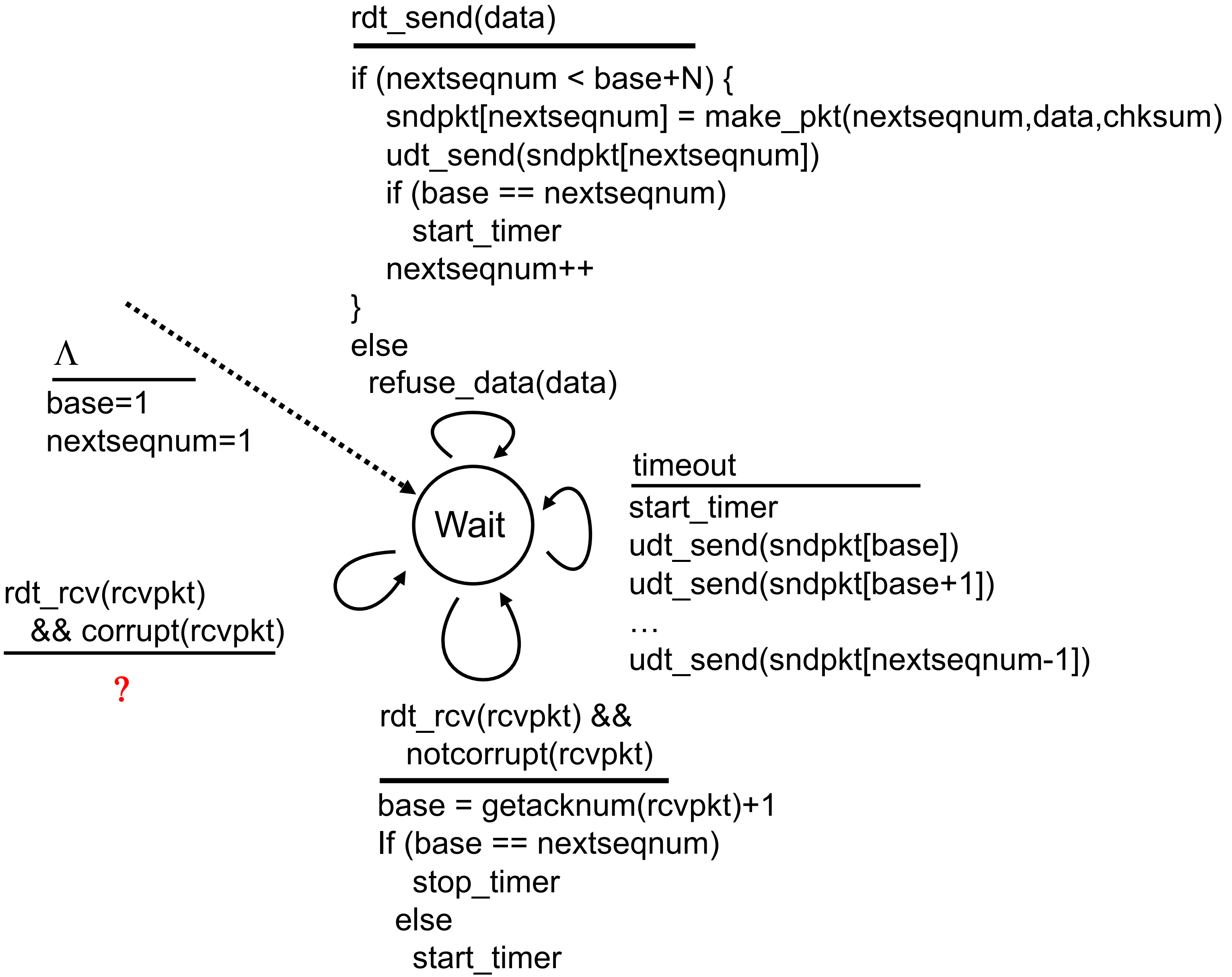


Λ

base=1
nextseqnum=1

Wait

GBN: sender extended FSM



```
rdt_send(data)
________________________________
if (nextseqnum < base+N) {
    sndpkt[nextseqnum] = make_pkt(nextseqnum,data,chksum)
    udt_send(sndpkt[nextseqnum])
    if (base == nextseqnum)
       start_timer
    nextseqnum++
}
else
  refuse_data(data)
```

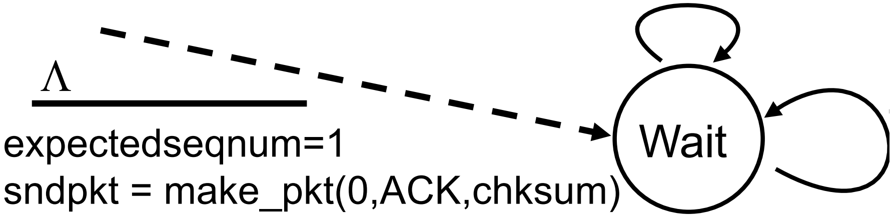
```
Λ
_____________
base=1
nextseqnum=1
```

```
timeout
___________________________
start_timer
udt_send(sndpkt[base])
udt_send(sndpkt[base+1])
…
udt_send(sndpkt[nextseqnum-1])
```

```
rdt_rcv(rcvpkt)
   && corrupt(rcvpkt)
__________________________
          ?
```

```
rdt_rcv(rcvpkt) &&
   notcorrupt(rcvpkt)
__________________________
base = getacknum(rcvpkt)+1
If (base == nextseqnum)
    stop_timer
  else
    start_timer
```

GBN: receiver extended FSM

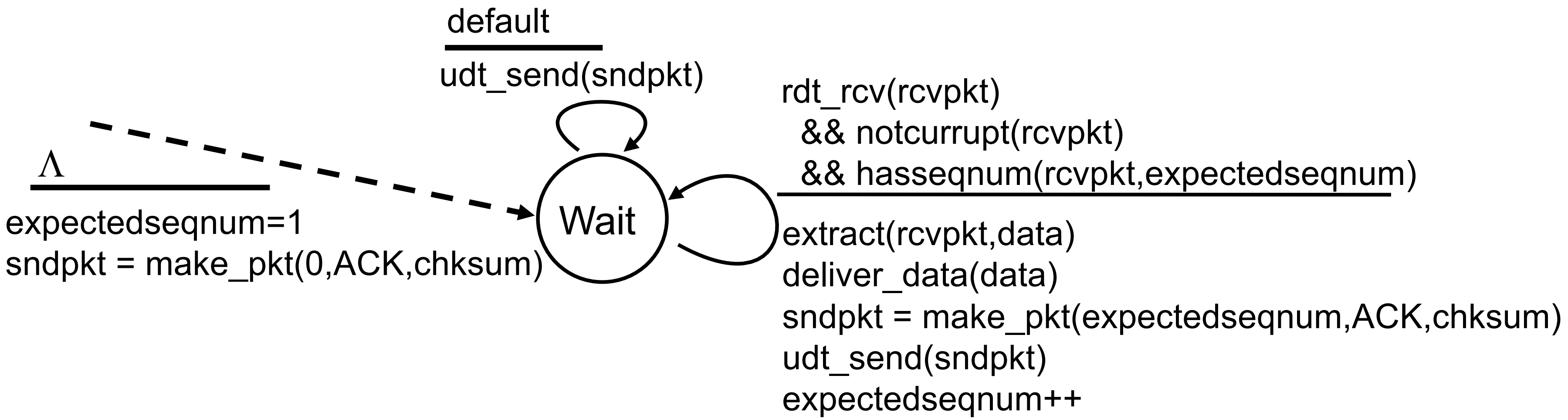


ACK-only: always send ACK for correctly-received pkt with highest *in-order* seq #

- may generate duplicate ACKs
- need only remember **`expectedseqnum`**

- out-of-order pkt:
  - discard (don't buffer): *no receiver buffering!*
  - re-ACK pkt with highest in-order seq #

GBN: receiver extended FSM



ACK-only: always send ACK for correctly-received pkt with highest *in-order* seq #

- may generate duplicate ACKs
- need only remember **`expectedseqnum`**

- out-of-order pkt:
  - discard (don't buffer): *no receiver buffering!*
  - re-ACK pkt with highest in-order seq #

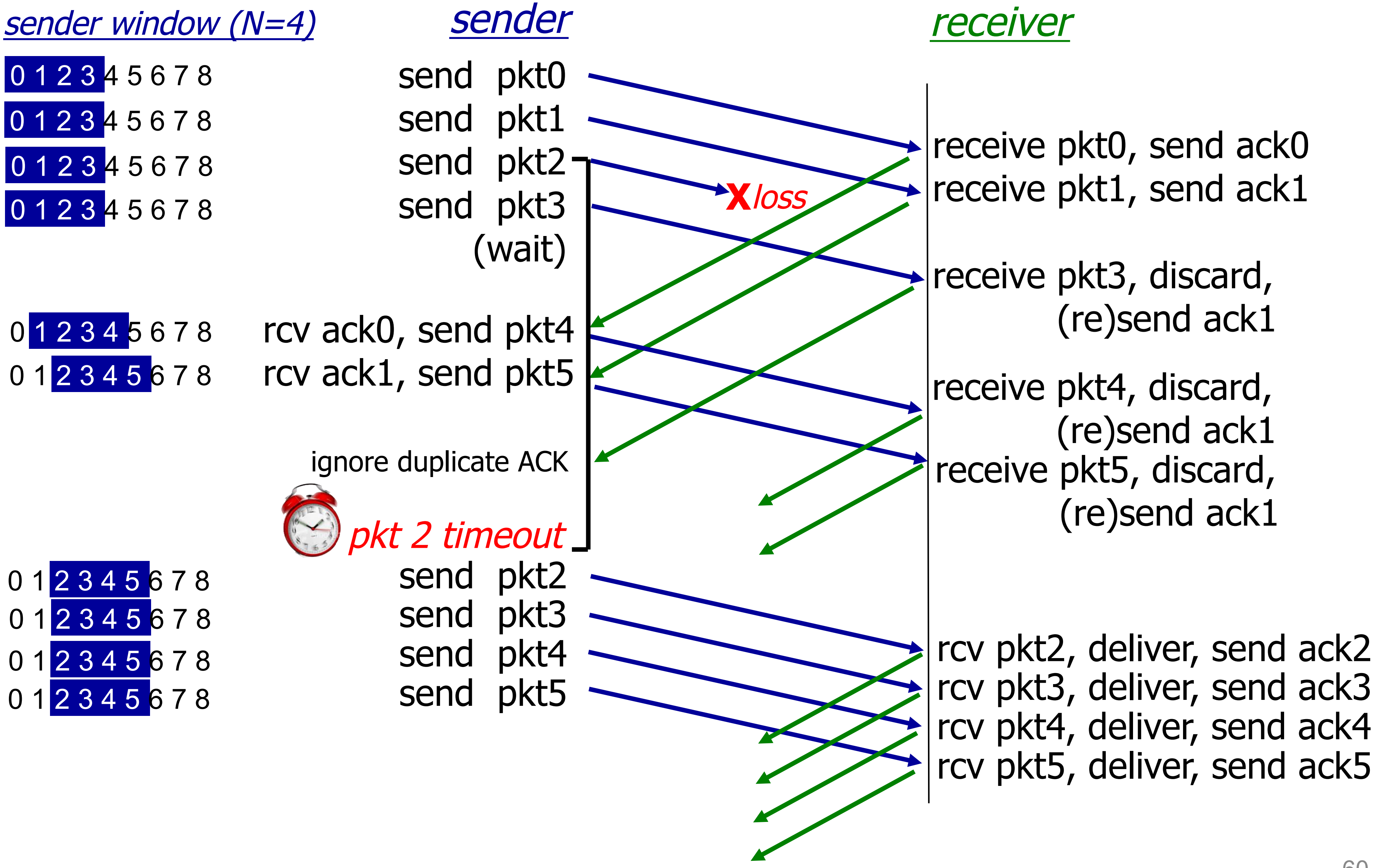
GBN in action

sender window (N=4)

sender

receiver

GBN in action



sender window (N=4)

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

sender

send pkt0

send pkt1

send pkt2

send pkt3

(wait)

rcv ack0, send pkt4

rcv ack1, send pkt5

ignore duplicate ACK

pkt 2 timeout

send pkt2

send pkt3

send pkt4

send pkt5

receiver

receive pkt0, send ack0

receive pkt1, send ack1

receive pkt3, discard, (re)send ack1

receive pkt4, discard, (re)send ack1

receive pkt5, discard, (re)send ack1

rcv pkt2, deliver, send ack2

rcv pkt3, deliver, send ack3

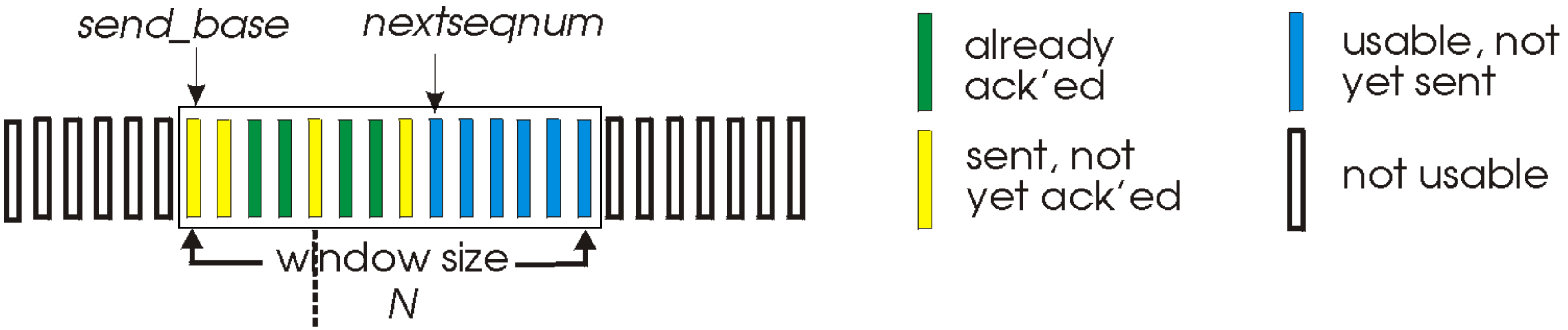
rcv pkt4, deliver, send ack4

rcv pkt5, deliver, send ack5

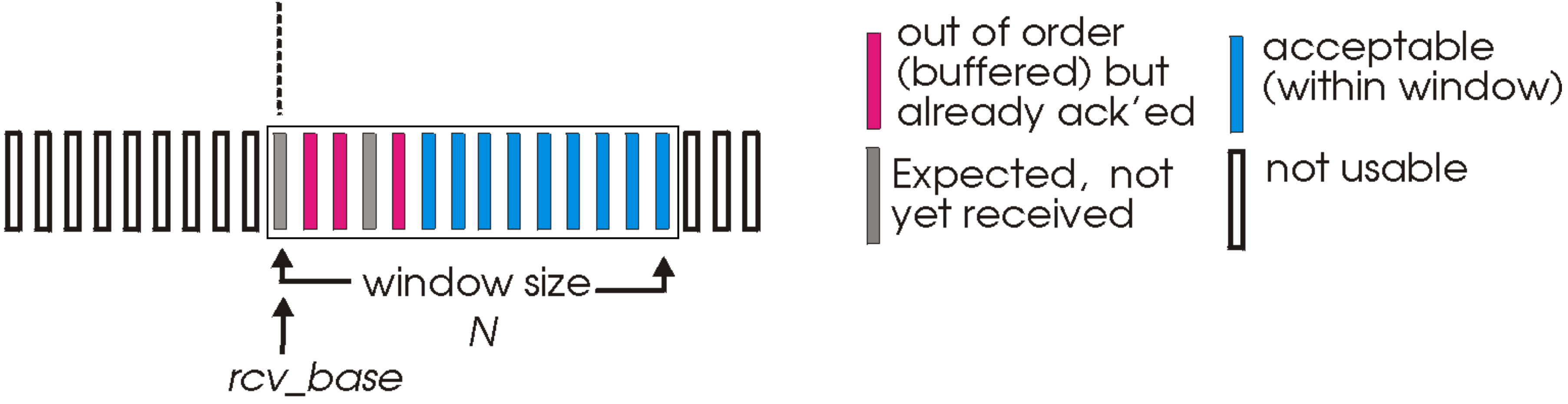
Selective repeat

- receiver *individually* acknowledges all correctly received packets
  - buffers packets, as needed, for eventual in-order delivery to upper layer
- sender only resends packets for which ACK not received
  - sender timer for each unACKed packet
- sender window
  - *N* consecutive seq #' s
  - limits seq #s of sent, unACKed packets

Selective repeat: sender, receiver windows



(a) sender view of sequence numbers



window size
N
rcv_base
out of order (buffered) but already ack'ed
Expected, not yet received
acceptable (within window)
not usable

(b) receiver view of sequence numbers

Selective repeat

sender

data from above:

- if next available seq # in window, send pkt

timeout(n):

- resend pkt n, restart timer

ACK(n) in [sendbase, sendbase+N-1]:

- mark pkt n as received
- if n smallest unACKed pkt, advance window base to next unACKed seq #

receiver

pkt n in [rcvbase, rcvbase+N-1]

- send ACK(n)
- out-of-order: buffer
- in-order: deliver (also deliver buffered, in-order pkts), advance window to next not-yet-received pkt

pkt n in [rcvbase-N, rcvbase-1]

- ACK(n)

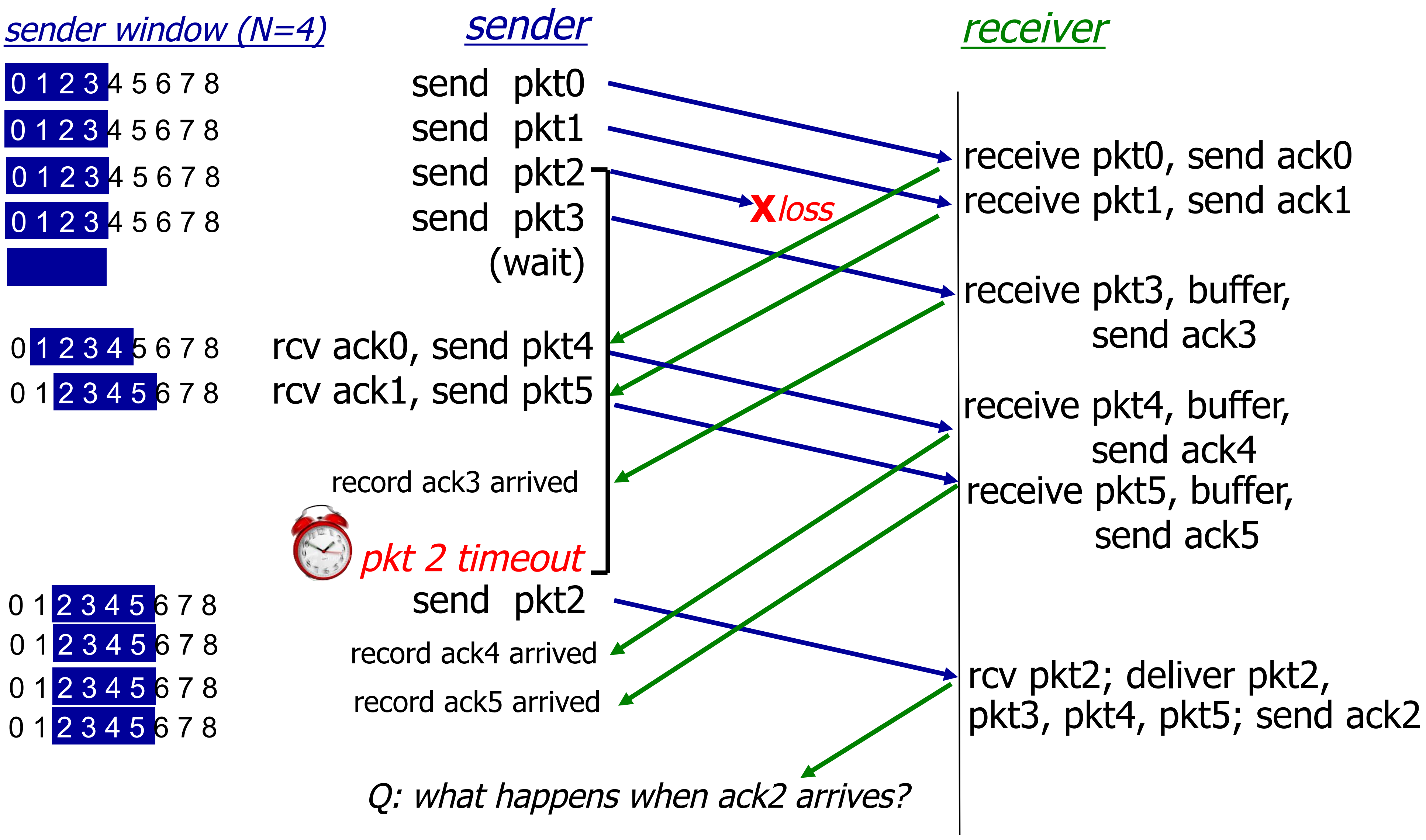
otherwise:

- ignore

Selective repeat in action

sender window (N=4) *sender* *receiver*

Selective repeat in action



sender window (N=4)

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

sender

send pkt0

send pkt1

send pkt2

send pkt3

(wait)

rcv ack0, send pkt4

rcv ack1, send pkt5

record ack3 arrived

pkt 2 timeout

send pkt2

record ack4 arrived

record ack5 arrived

receiver

receive pkt0, send ack0

receive pkt1, send ack1

receive pkt3, buffer, send ack3

receive pkt4, buffer, send ack4

receive pkt5, buffer, send ack5

rcv pkt2; deliver pkt2, pkt3, pkt4, pkt5; send ack2

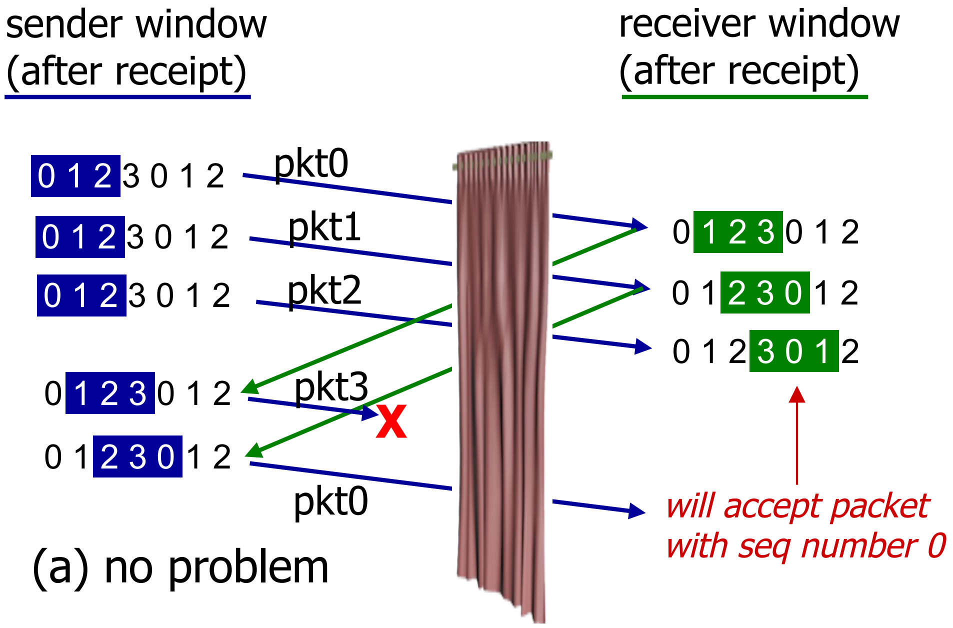
Q: what happens when ack2 arrives?

Selective repeat: dilemma

example:

- seq #' s: 0, 1, 2, 3
- window size=3
- ❖ receiver sees no difference in two scenarios!
- ❖ duplicate data accepted as new in (b)

Q: what relationship between seq # size and window size to avoid problem in (b)?



sender window (after receipt)

receiver window (after receipt)

0 1 2 3 0 1 2 pkt0

0 1 2 3 0 1 2 pkt1

0 1 2 3 0 1 2 pkt2

0 1 2 3 0 1 2 pkt3

0 1 2 3 0 1 2

pkt0

X

0 1 2 3 0 1 2

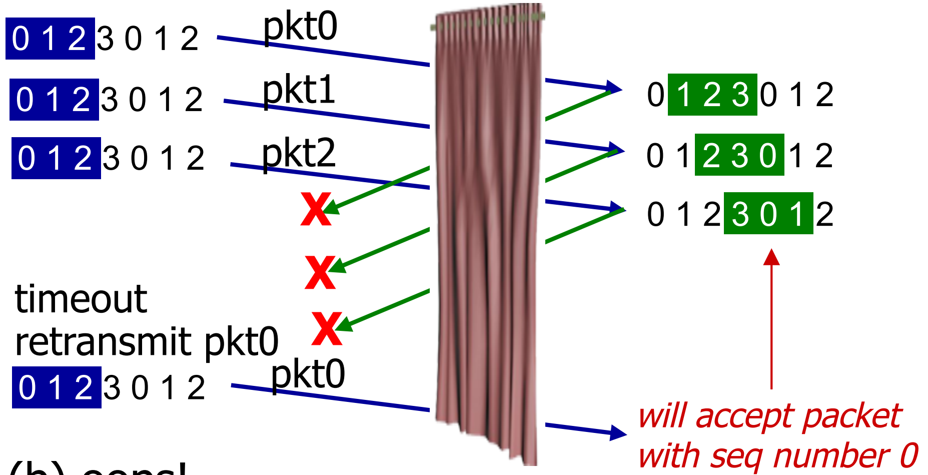
0 1 2 3 0 1 2

0 1 2 3 0 1 2

will accept packet with seq number 0

(a) no problem

receiver can' t see sender side.
receiver behavior identical in both cases!
something' s (very) wrong!



0 1 2 3 0 1 2 pkt0

0 1 2 3 0 1 2 pkt1

0 1 2 3 0 1 2 pkt2

X

X

X

timeout
retransmit pkt0

0 1 2 3 0 1 2 pkt0

0 1 2 3 0 1 2

0 1 2 3 0 1 2

0 1 2 3 0 1 2

will accept packet with seq number 0

(b) oops!