

# **Illumination and Shading**

Computer Graphics – Lecture 5

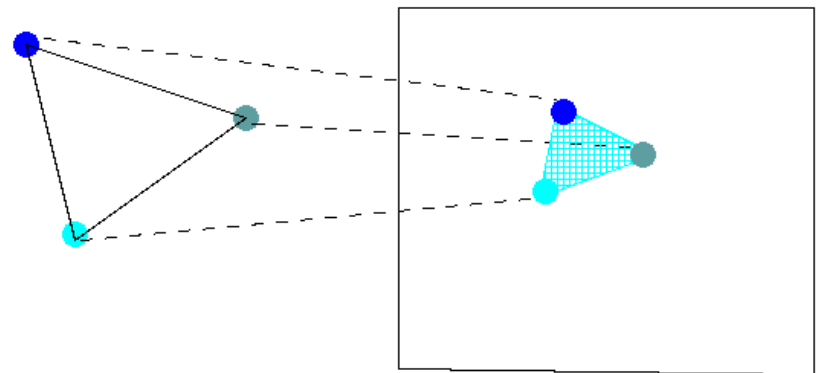
Taku Komura

# What are Lighting and Shading?

- Lighting
  - How to compute the color of objects according to the position of the light, normal vector and camera position
    - Phong illumination model
- Shading
  - Different methods to compute the color of the entire surface

# The procedure of producing images

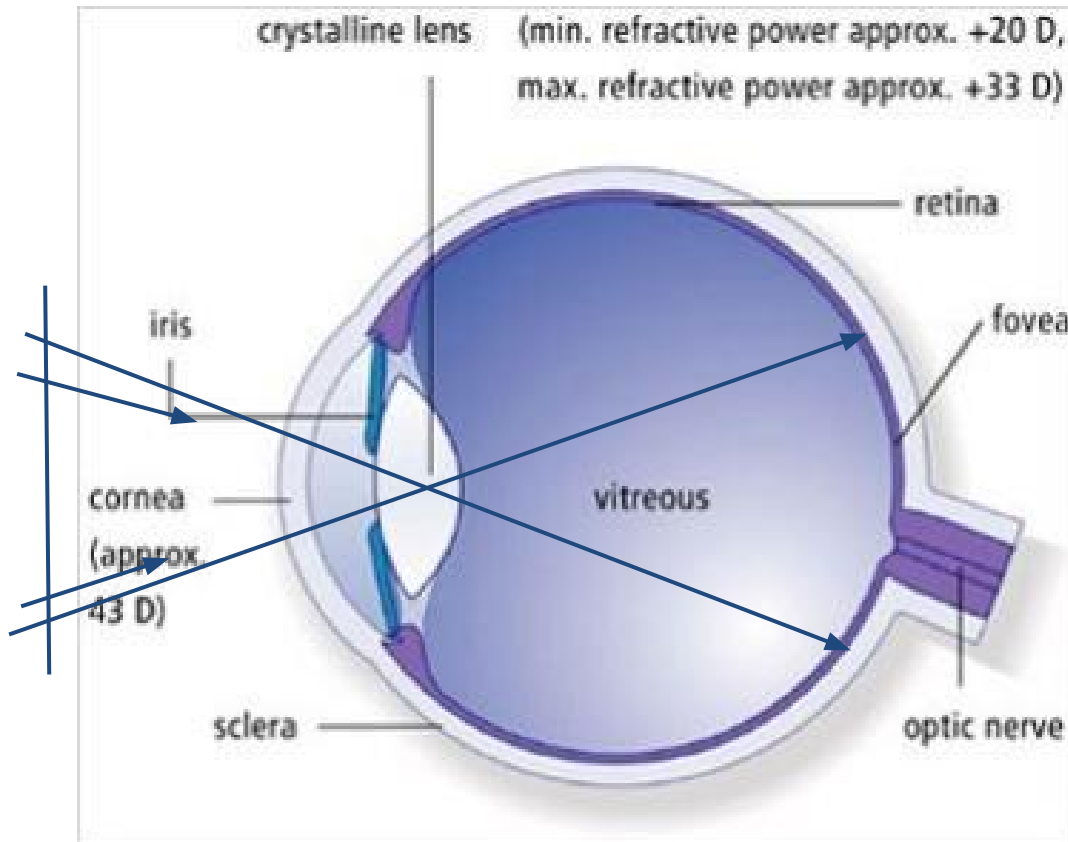
1. For every vertex of the object, prepare its attributes (normal vectors, colors, etc)  
-> vertex shader in GLSL
2. Project the vertices onto the screen
3. Interpolate the attributes to determine the color of the pixel (rasterization)  
-> fragment shader in GLSL



# Overview

- Lighting
  - Phong Illumination model
    - diffuse, specular and ambient lighting
- Shading
  - Flat shading
  - Gouraud shading
  - Phong shading

# Back ground of illumination



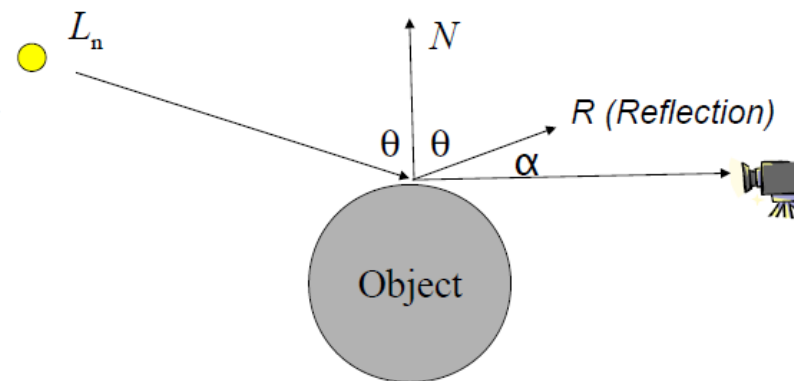
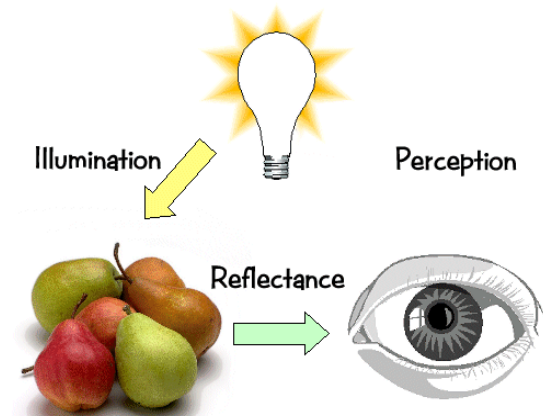
The eye works like a camera  
Lots of photo sensors at the  
back of the eye

Sensing the amount of light  
coming from different  
directions

Similar to CMOS and CCDs

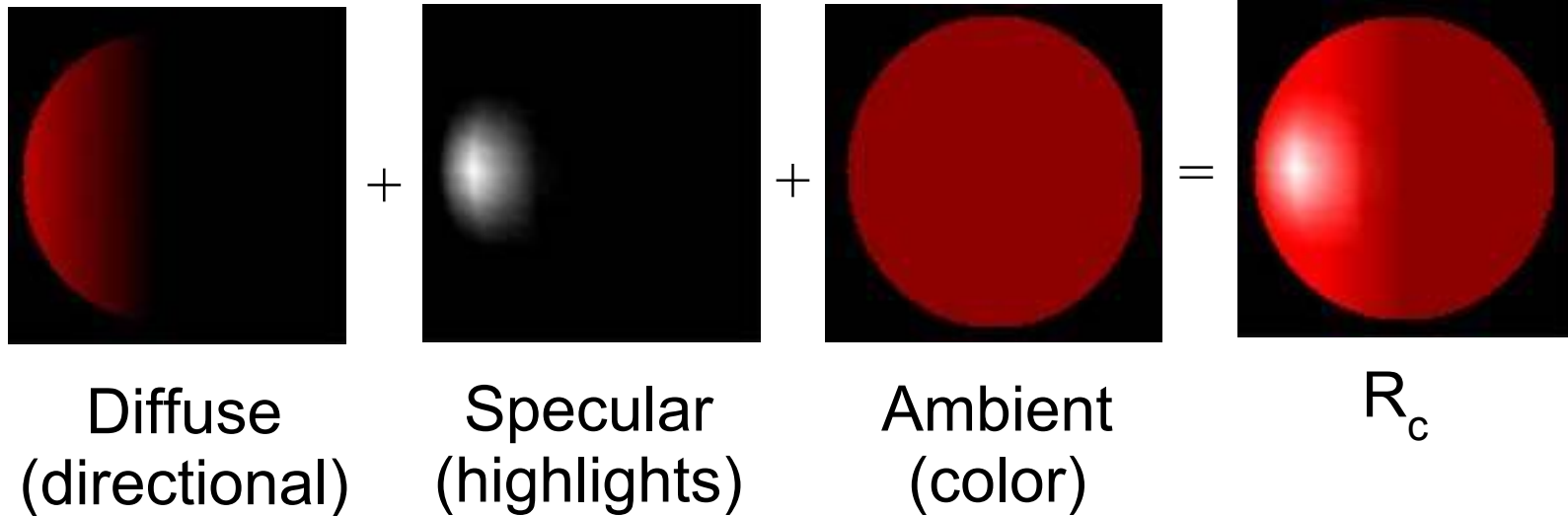
# What Affects the Light that Comes into the Eye

- position of the point
- position of the light
- color and intensity of the light
- camera vector
- normal vector of the surface at the vertex
- physical characteristics of the object (reflectance model, color)

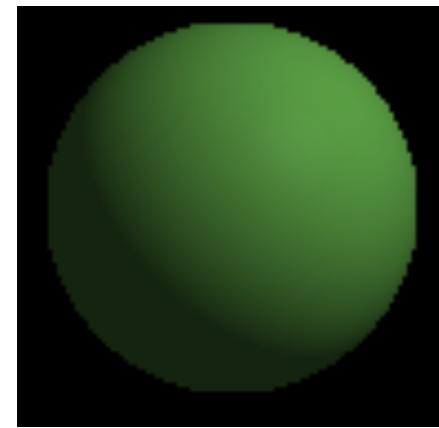


# Phong Illumination Model

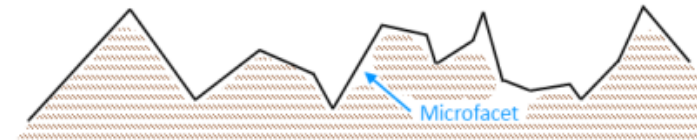
- Simple 3 parameter model
  - The sum of 3 illumination terms:
    - **Diffuse** : non-shiny illumination and shadows
    - **Specular** : bright, shiny reflections
    - **Ambient** : 'background' illumination



# Diffuse Reflection (Lambertian Reflection)

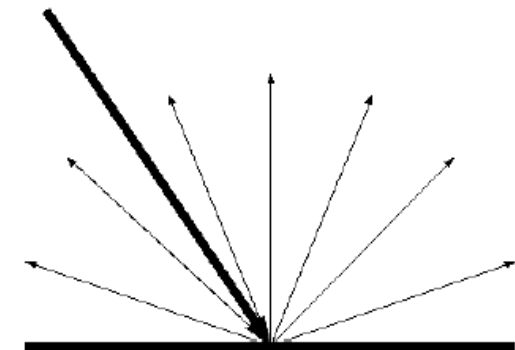


- When light hits an object
  - If the object has a rough surface, it is reflected to various directions



- Result: Light reflected to all directions

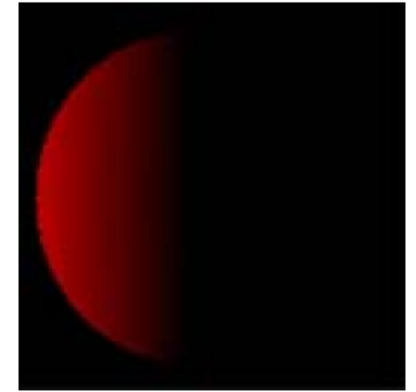
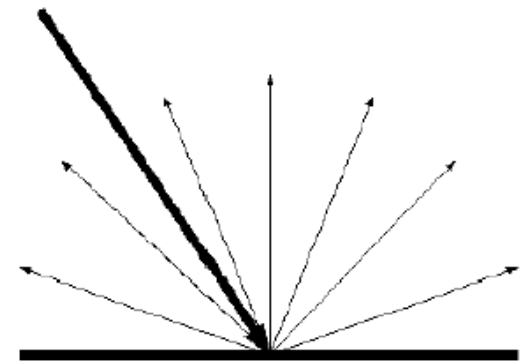
- The smaller the angle between the incident vector and the normal vector is, the higher the chance that the light is reflected back



- When the angle is larger, the reflection light gets weaker because the chance the light is shadowed / masked increases



# Diffuse Reflection



Example: sphere (lit from left)

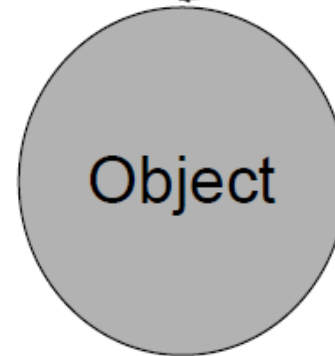
Infinite point light source

$L_n$  (light)

$\theta$

$N$  (normal)

$V$  (camera)



Object

**No dependence on camera angle!**

$$I = I_p k_d \cos \theta$$

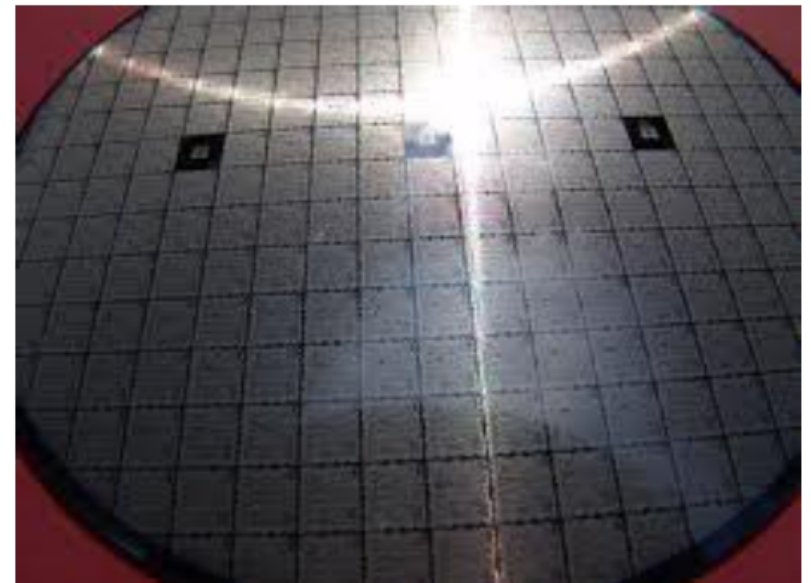
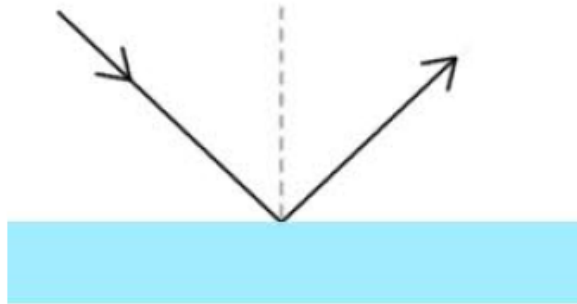
$I_p$  : Light Intensity

$\theta$  : the angle between the normal vector direction towards the light

$k_d$  : diffuse reflectivity

# Specular Reflection

- Direct reflections of light source off shiny object
- The object has a very smooth surface
- **specular highlight on object**

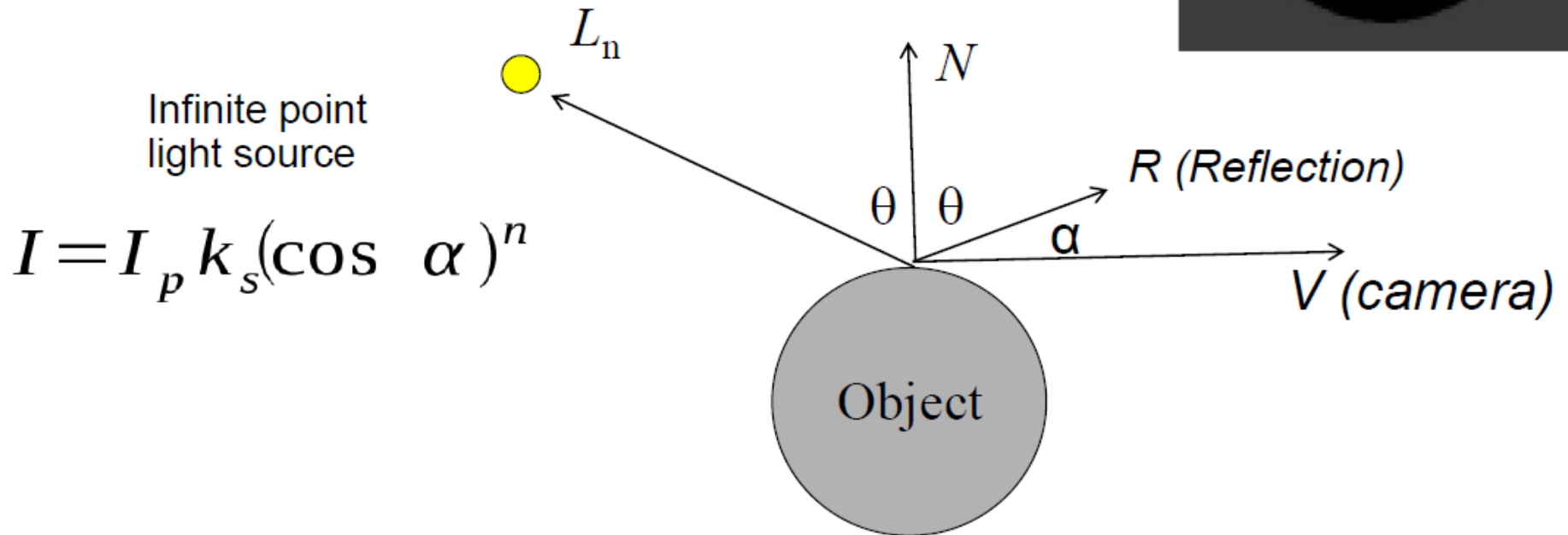
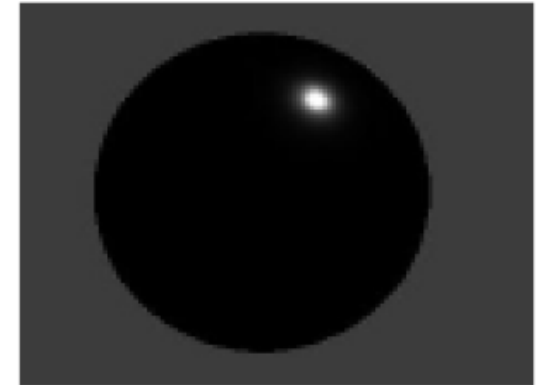


# Specular Reflection

- Direct reflections of light source off shiny object

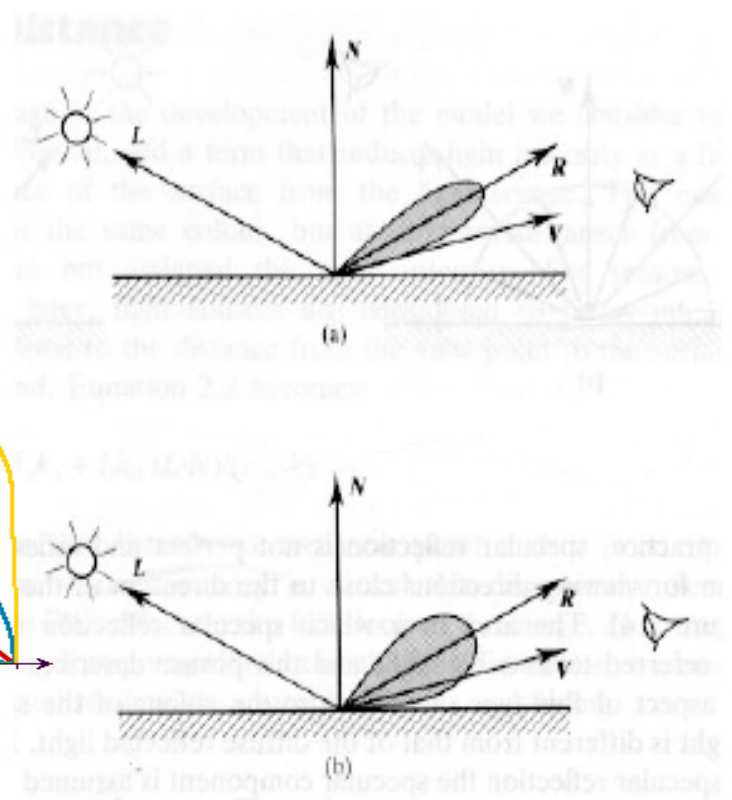
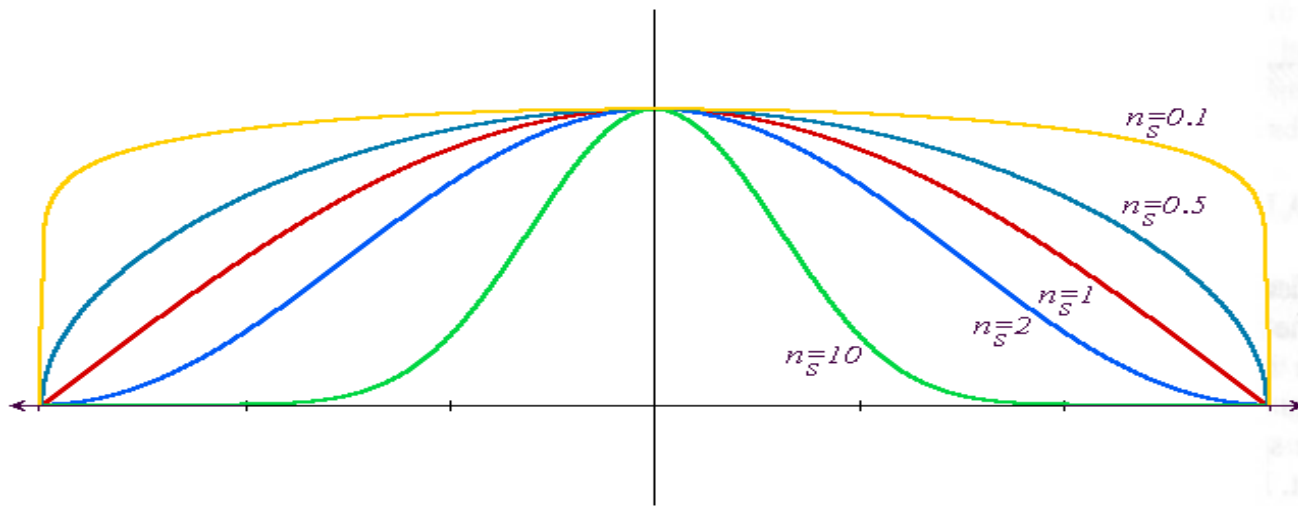
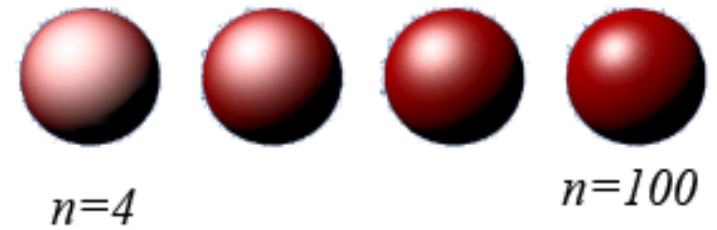
- specular intensity  $n$  = shiny reflectance of object

- Result: **specular highlight on object**

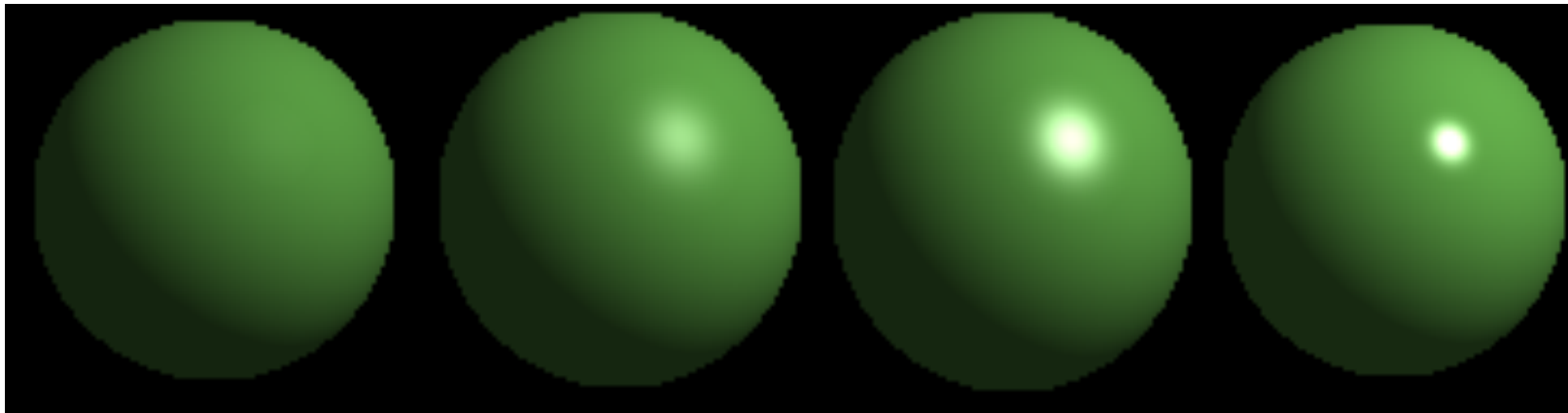


**No dependence on object color.**

- Specular light with different  $n$  values

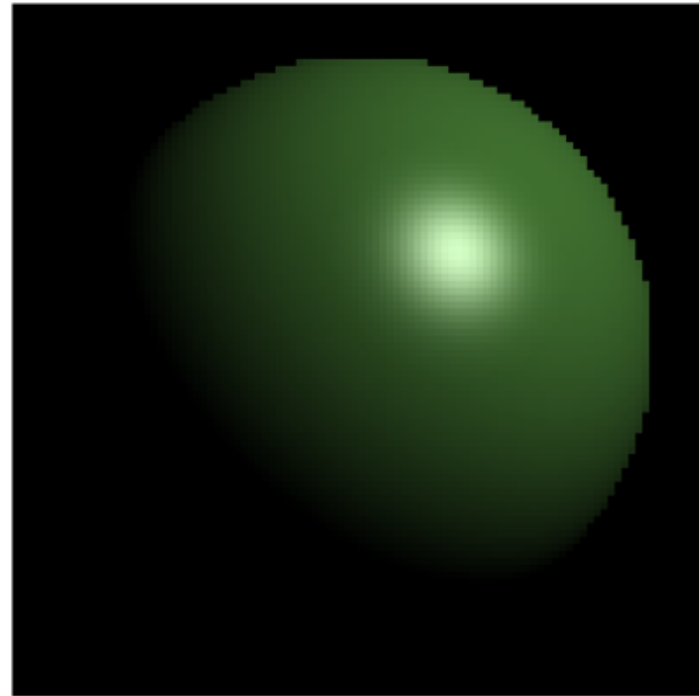


# Combining Diffuse and Specular Reflections



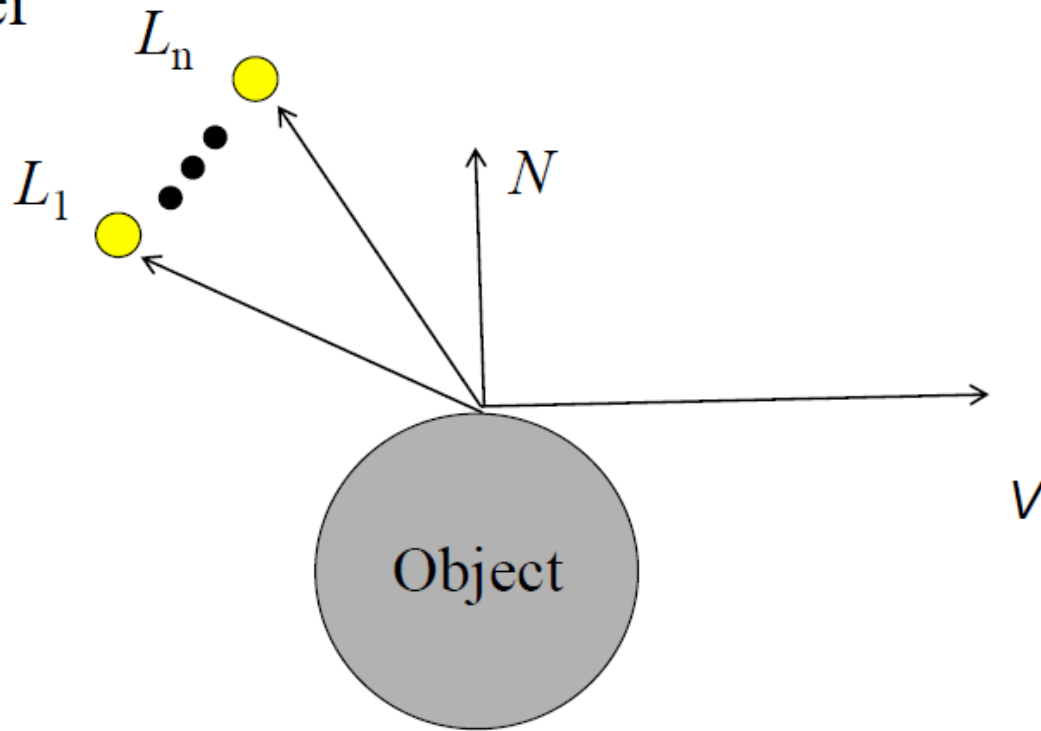
# What is Missing?

- Only the side that is lit by the light appears brighter
- The other side of the object appears very dark, as if it is in the space



# Multiple Light Sources

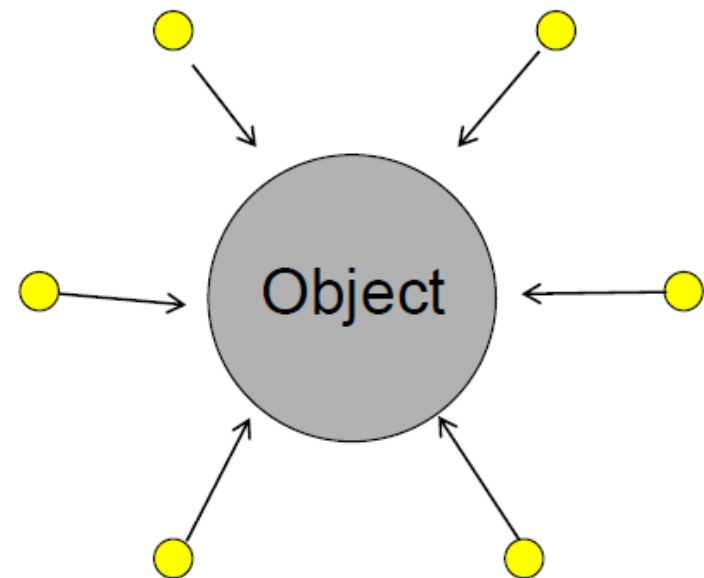
- If there are multiple light sources, we need to do the lighting computation for each light source and sum them altogether



$$I_{\lambda} = I_a k_a + \sum_{p=1}^{lights} I_p [k_d \cos \theta + k_s \cos^n \alpha]$$

# Ambient Lighting

- Light from the environment
- Light reflected or scattered from other objects
- Coming uniformly from all directions and then reflected equally to all directions
- A precise simulation of such effects requires a lot of computation





# Ambient Lighting

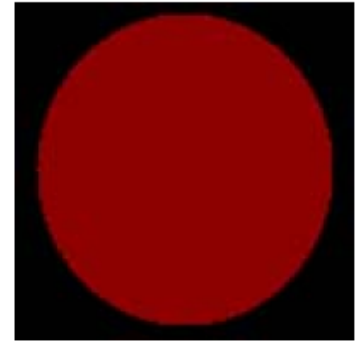
Simple approximation to complex 'real-world' process

Result: **globally uniform color for object**

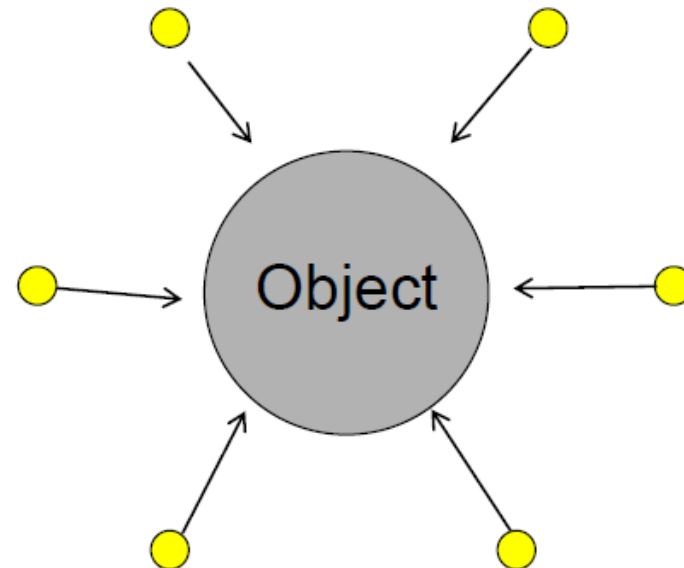
$I$  = resulting intensity

$I_a$  = light intensity

$k_a$  = reflectance



Example: sphere



$$I = k_a I_a$$

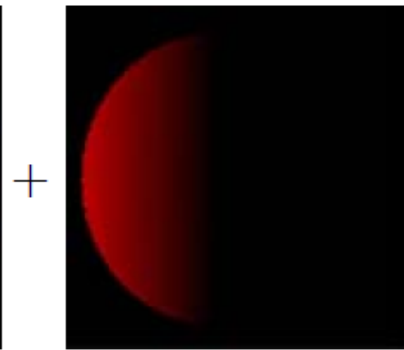
# Combined Lighting Models

- Summing it altogether : Phong Illumination Model

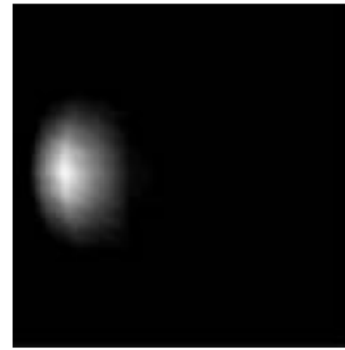
$$I_{\lambda} = I_a k_a + I_p [k_d \cos \theta + k_s \cos^n \alpha]$$



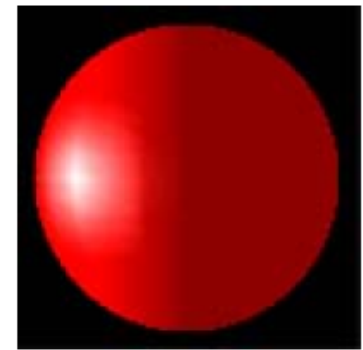
Ambient  
(color)



Diffuse  
(directional)



Specular  
(highlights)



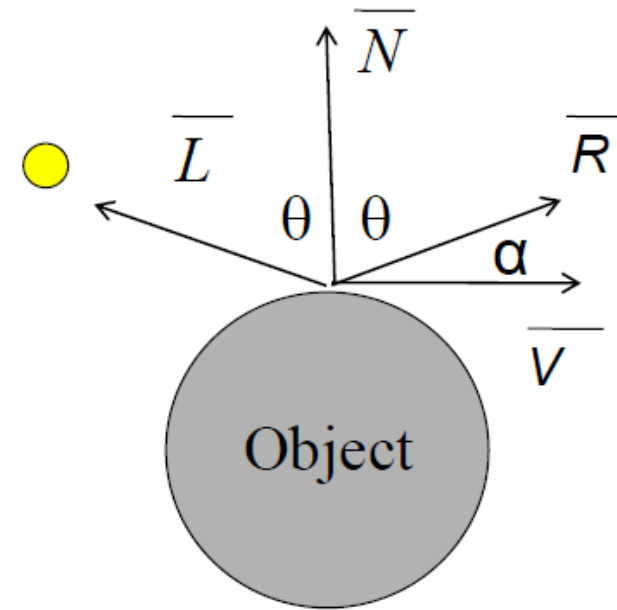
$R_c$

# Using the Dot Products

Use dot product of the vectors instead of calculating the angles  $\theta, \alpha$

$$I_\lambda = I_a k_a + \sum_{p=1}^{\text{lights}} I_p [k_d (\overline{N} \bullet \overline{L}) + k_s (\overline{V} \bullet \overline{R})^n]$$

$\cos \theta$                    $\cos \alpha$   
↓                                  ↓





- $\overline{V}$  : Vector from the surface to the viewer
- $\overline{N}$  : Normal vector at the colored point
- $\overline{R}$  : Normalized reflection vector
- $\overline{L}$  : Normalized vector from the colored point towards the light source


# Demo applets

- <http://www.cs.auckland.ac.nz/~richard/research-topics/PhongApplet/PhongDemoApplet.html>

# Color

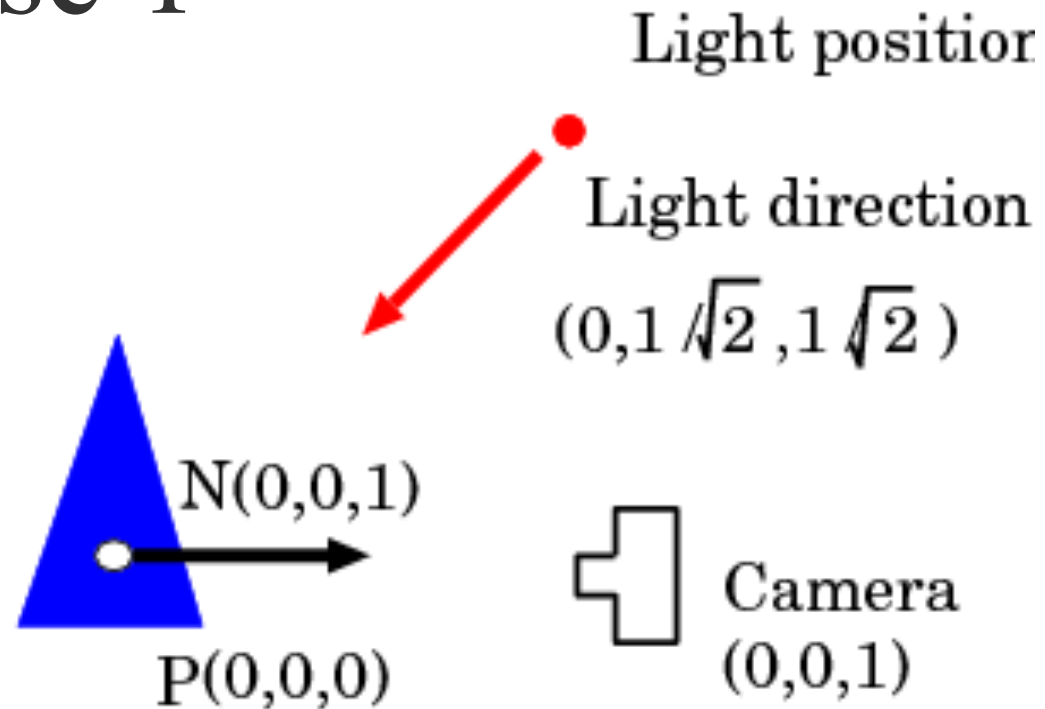

$$I_{\lambda}^R = I_a^R k_a^R + \sum_{p=1}^{\text{lights}} I_p^R (k_d^R (\bar{N} \cdot \bar{L}) + k_s^R (\bar{V} \cdot \bar{R})^n)$$


$$I_{\lambda}^G = I_a^G k_a^G + \sum_{p=1}^{\text{lights}} I_p^G (k_d^G (\bar{N} \cdot \bar{L}) + k_s^G (\bar{V} \cdot \bar{R})^n)$$


$$I_{\lambda}^B = I_a^B k_a^B + \sum_{p=1}^{\text{lights}} I_p^B (k_d^B (\bar{N} \cdot \bar{L}) + k_s^B (\bar{V} \cdot \bar{R})^n)$$

- Finally color the pixel by the RGB color

# Exercise 1



$$I_p = 1, k_d = 1, k_s = 1, n = 2$$

What is the diffuse colour?

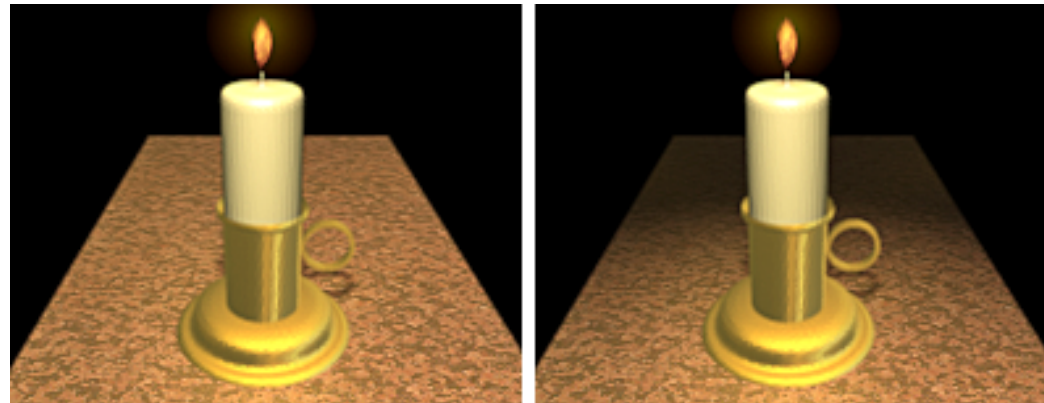
What is the specular colour?

What is the specular colour if the camera position  $(0, \sqrt{3}/2, 1/2)$  ?

# Attenuation

- Haven't considered light attenuation – the light gets weaker when the object is far away
- Use  $1/(s+k)$  where  $s$  relates to eye-object distance and  $k$  is some constant for scene.

$$I_{\lambda} = I_a k_a + \sum_{p=1}^{\text{lights}} \frac{I_p}{(s_o + k)} (k_d (\bar{N} \cdot \bar{L}) + k_s (\bar{V} \cdot \bar{R})^n) + I_t k_t$$



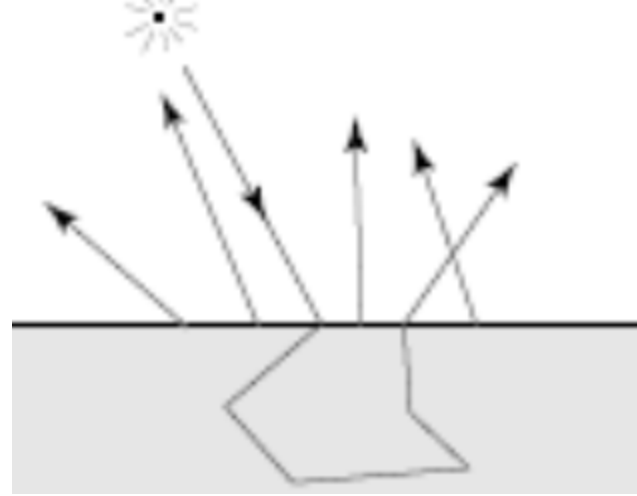
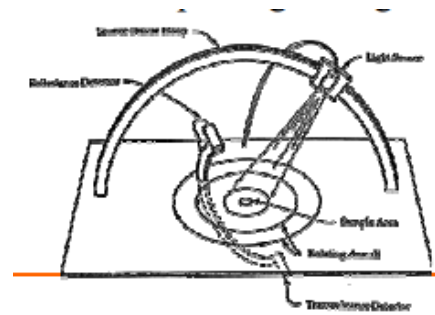
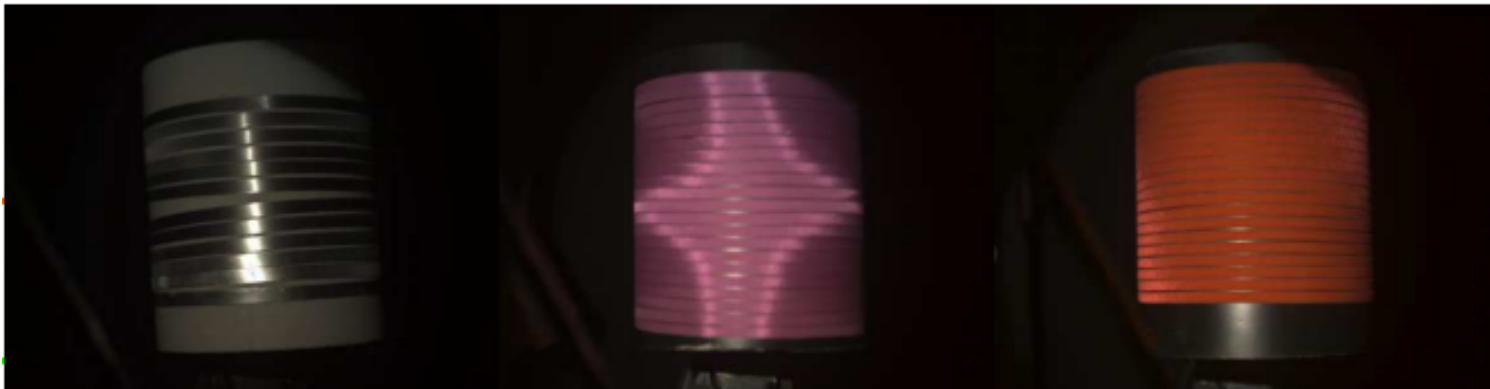
# Local Illumination Model

- . Considers light sources and surface properties only.
  - . Not considering the light reflected back onto other surfaces
- . Fast real-time interactive rendering.
- . Cost increases with respect to the number of light sources
- . Most real-time graphics (games, virtual environments) are based on local illumination models
- . Implementation - OpenGL, Direct3D



# What Cannot be Rendered by The Empirical Reflectance Model

- . Brushed Metal
- . Marble surface



# Overview

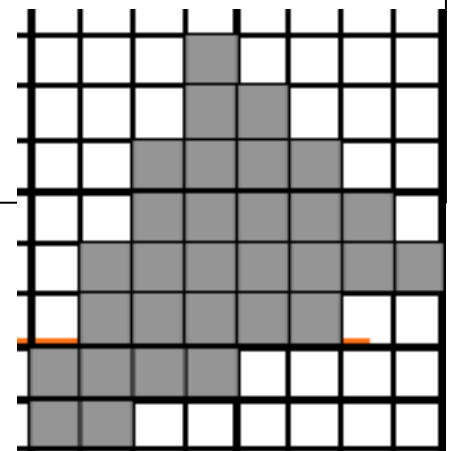
- Lighting
  - Phong Illumination model
    - diffuse, specular and ambient lighting
- **Shading**
  - **Flat shading**
  - **Gouraud shading**
  - **Phong shading**

# How do we color the whole surface?

- The illumination model computes the color of sample points
- How do we color the entire object?

→ This is done at the rasterization level

The procedure to color the entire surface is called **shading**

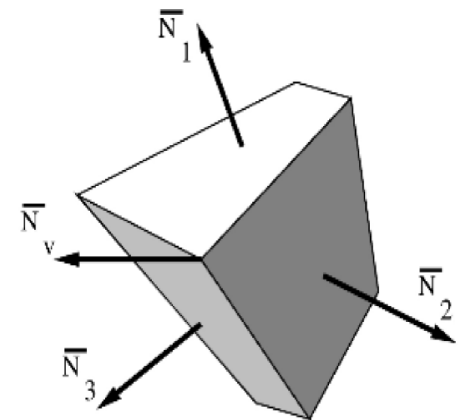
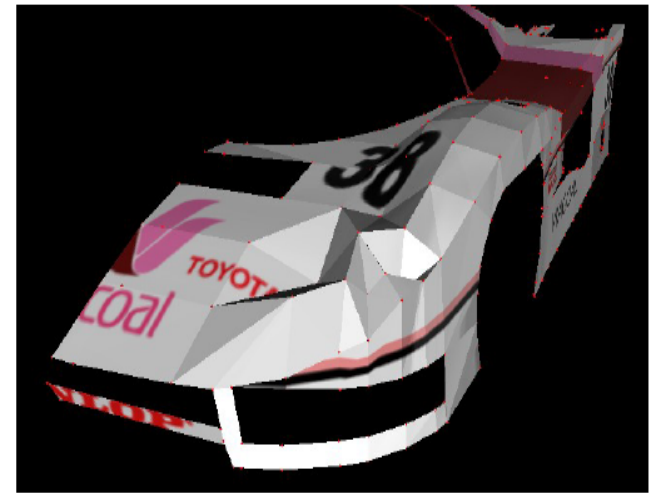
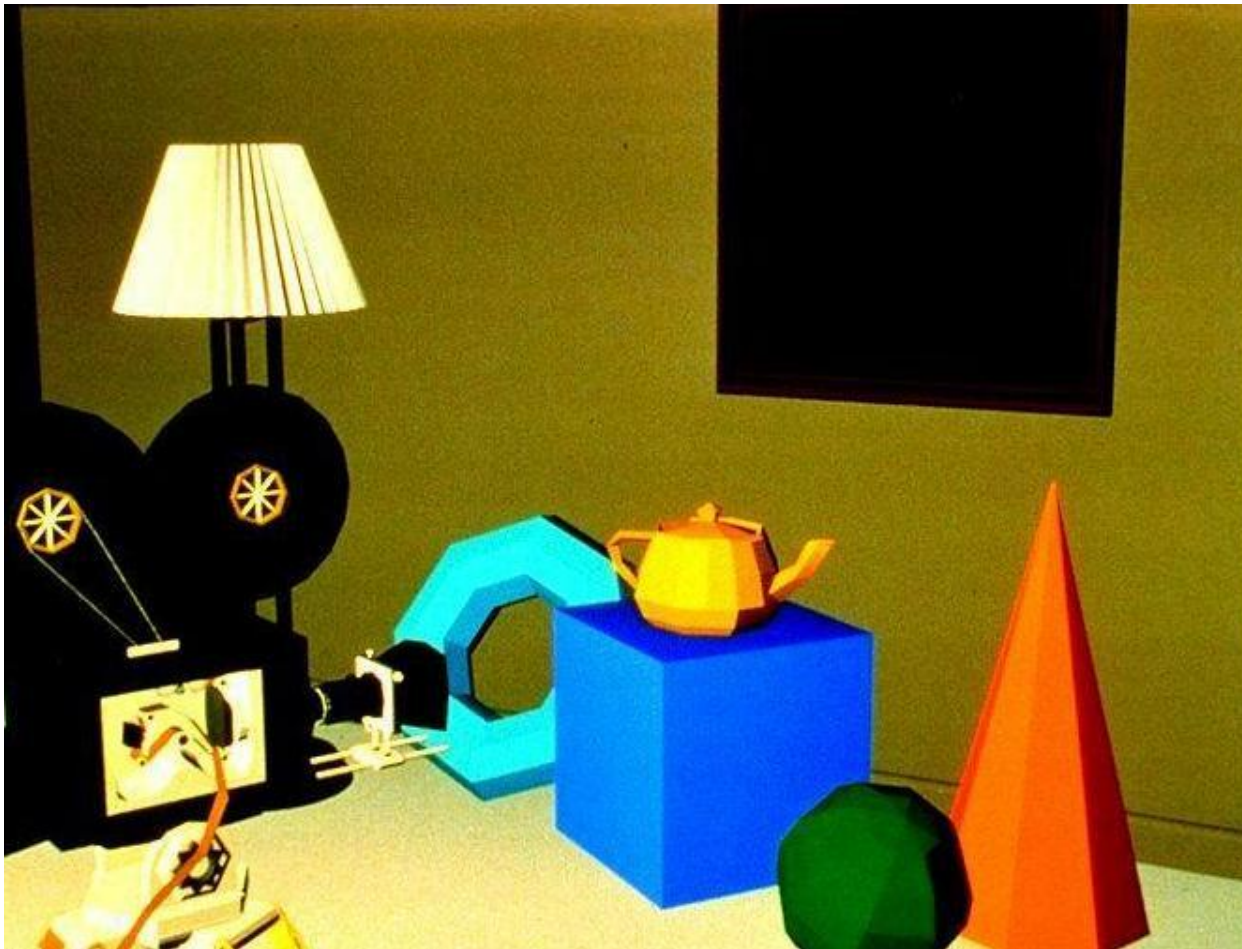


# Shading Models

- Flat Shading (lighting computation is done once per polygon)
  - less computation needed
- Gouraud shading (once per vertex)
- Phong Shading (once per pixel)
  - heavy computation needed

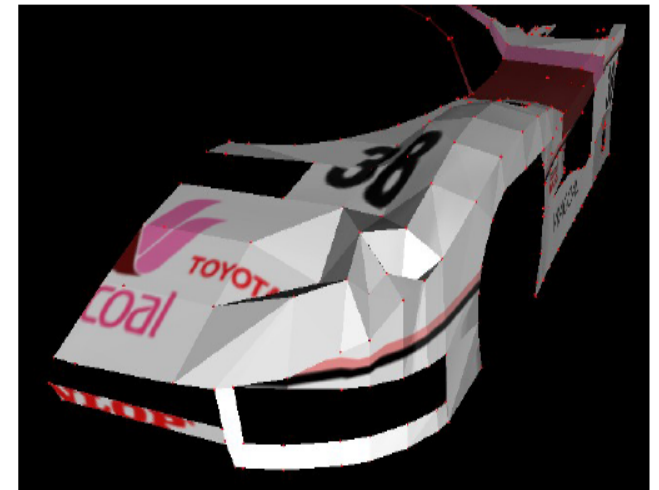
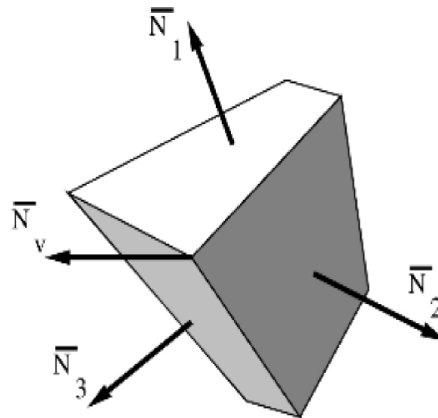
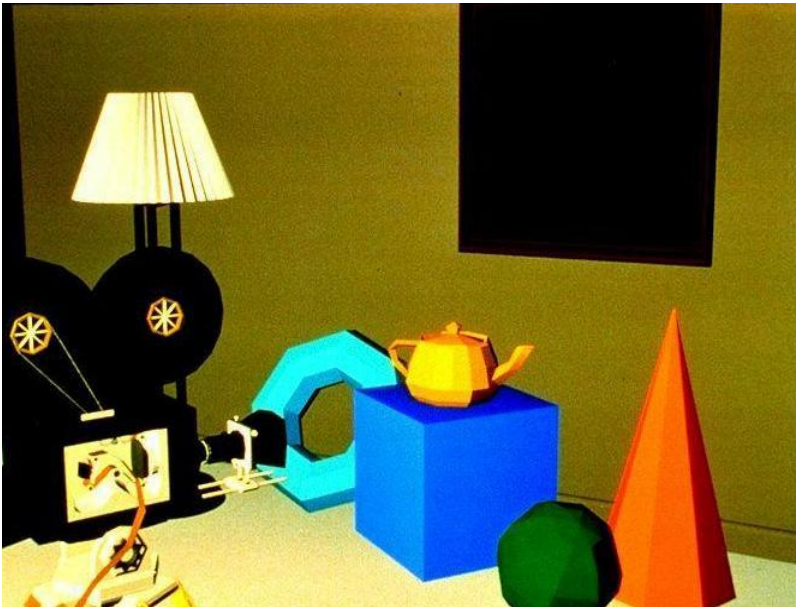
# Flat Shading

- Compute the color at the middle of the polygon
- All pixels in the same polygon are colored by the same color
- Works well for objects really made of flat faces.



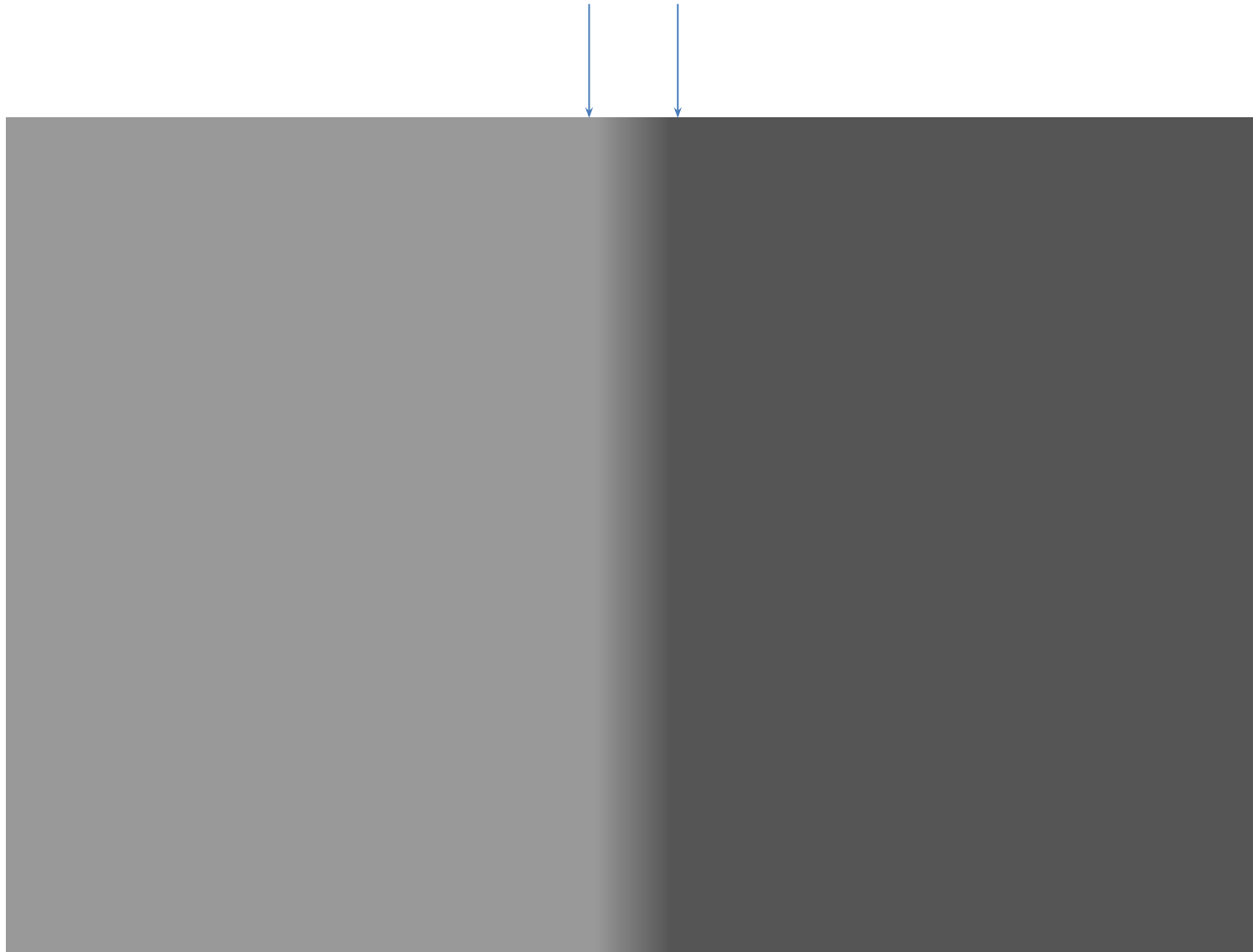
# Flat Shading

- Suffers from Mach band effect
- Humans are very sensitive to the sudden change of the brightness
- The artefact remains although the polygon number is increased

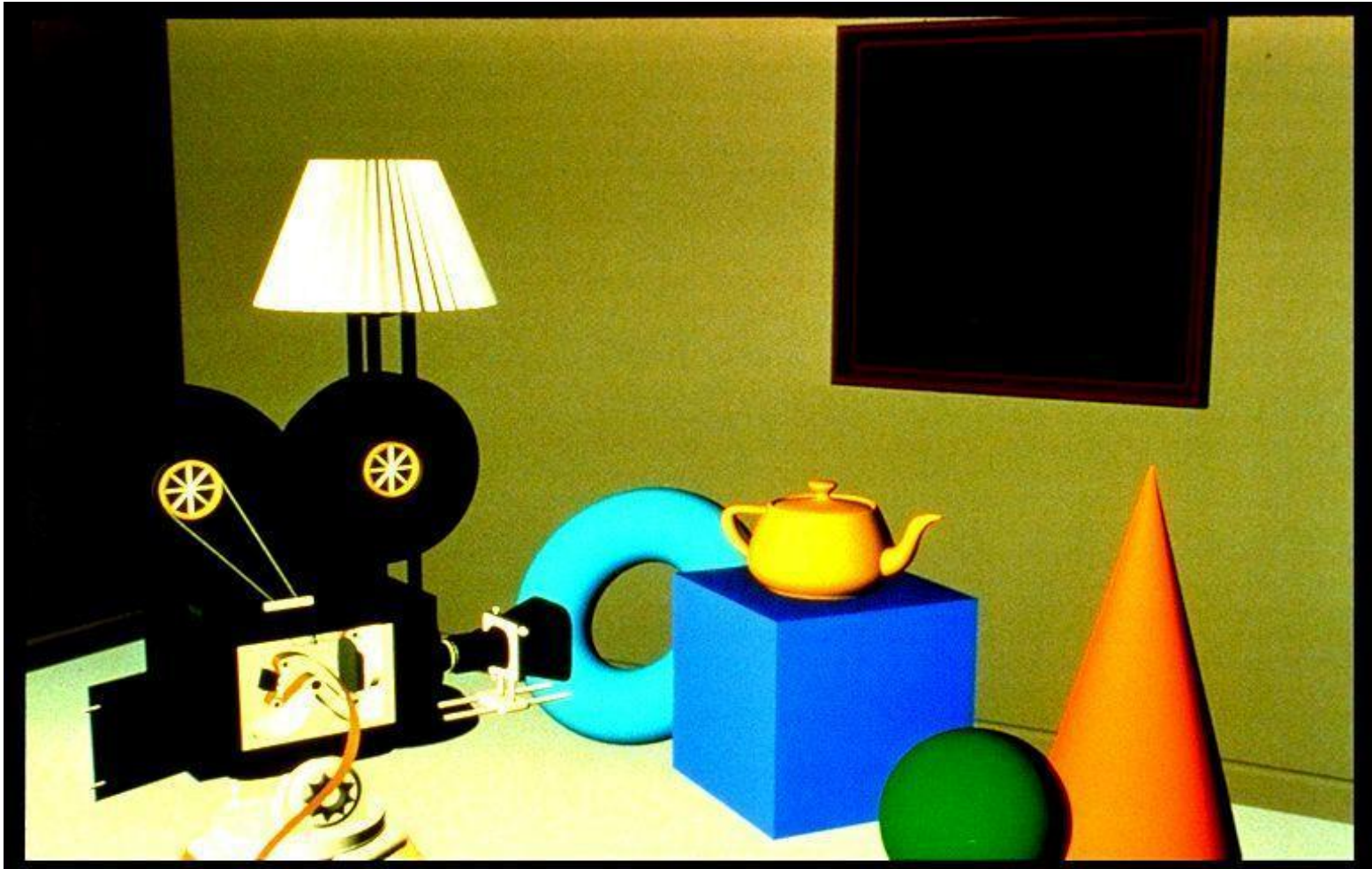


# Mach Band (by Ernst Mach)

- An optical illusion



# Gouraud Shading

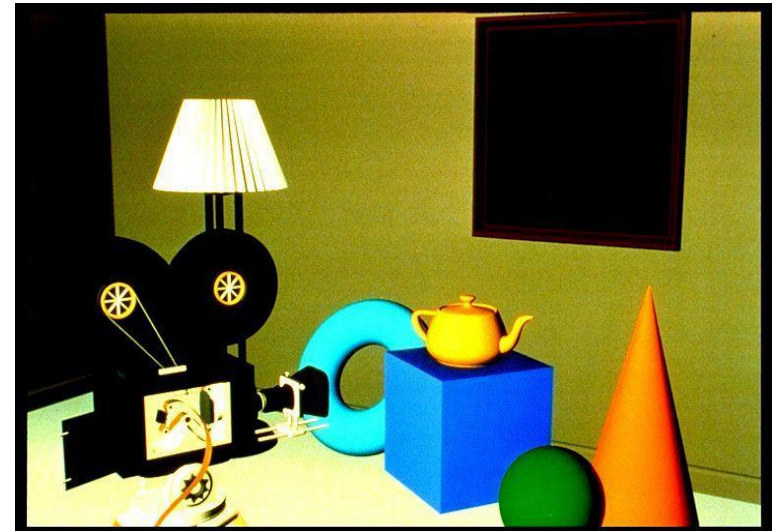
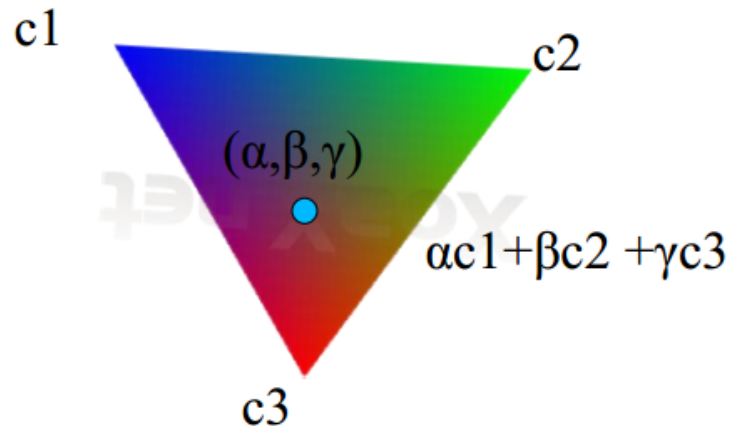






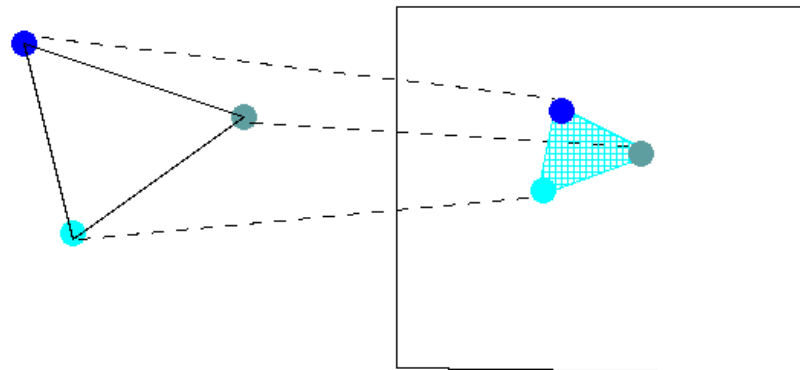
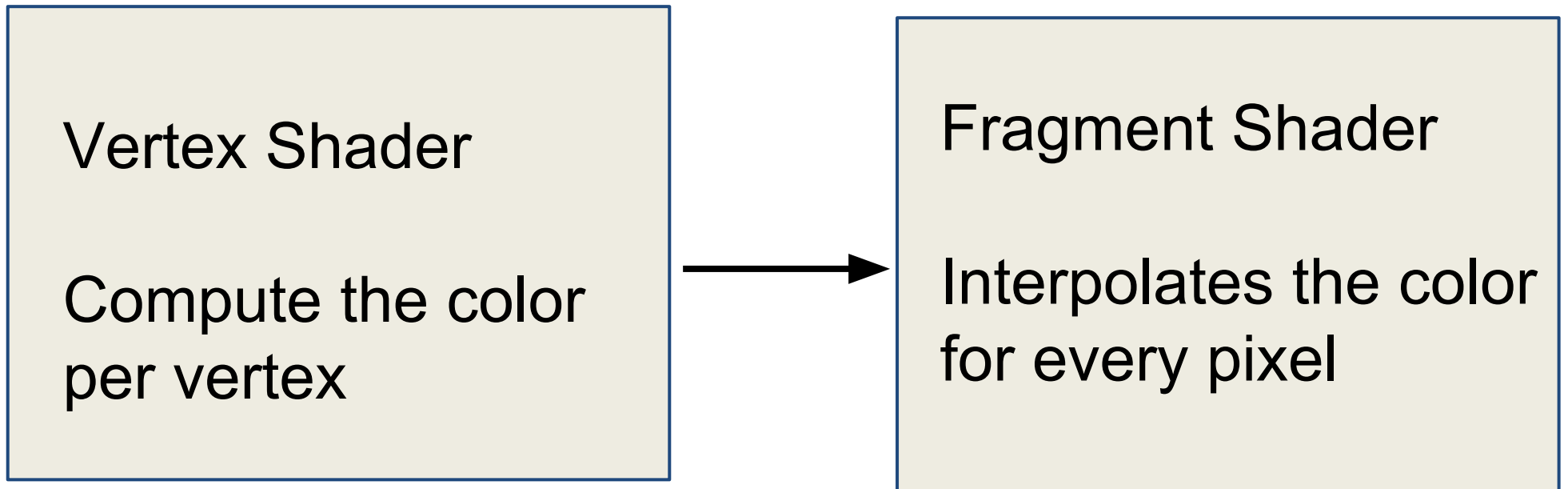
# Gouraud Shading (by Henri Gouraud)

- Computing the color per vertex by local illumination model
- Then, interpolating the colors within the polygons

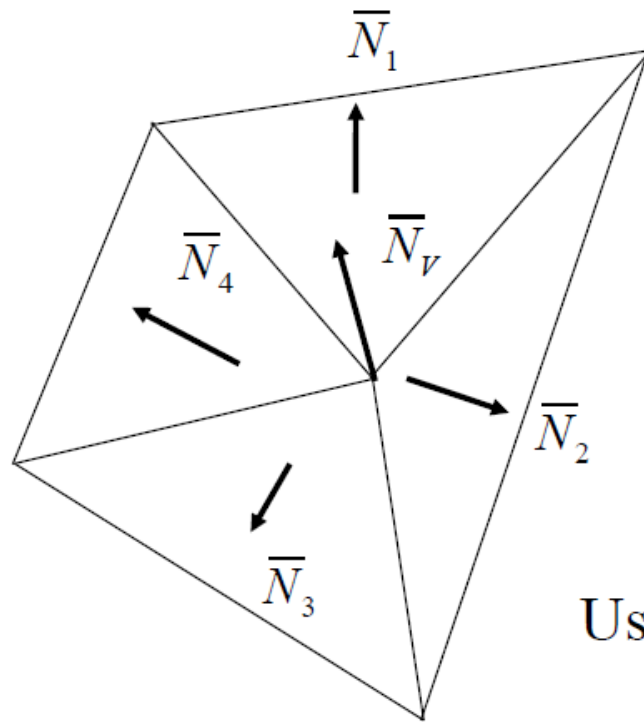


We can interpolate the color by barycentric coordinates

# Gouraud Shading with GLSL



# Computing the Vertex Normals



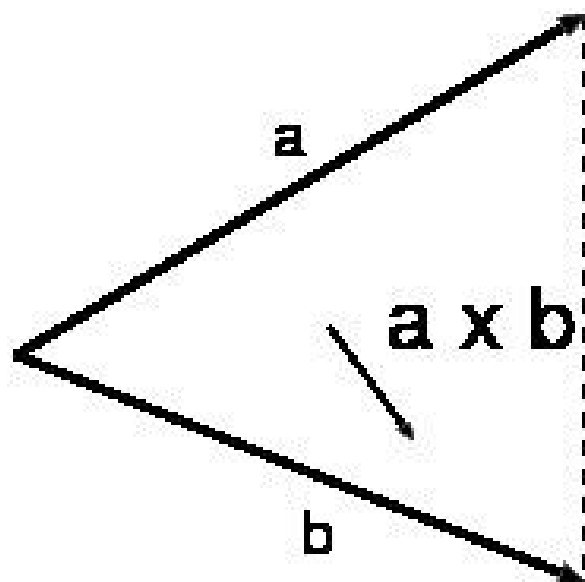
Find vertex normals by averaging face normals

$$\bar{N}_V = \frac{\sum_{1 \leq i \leq n} \bar{N}_i}{\left| \sum_{1 \leq i \leq n} \bar{N}_i \right|}$$

Use vertex normals with desired shading model,

Interpolate vertex intensities along edges.

Interpolate edge values across a scanline.



vertex 1	triangle 1, triangle 2
vertex 2	triangle 6, triangle 7
vertex 3	triangle 9, triangle 10
:	:

# Passing the normals to the vertex shader

```
//Find the location for our vertex position variable
const char * attribute_name = "in_position";
int positionLocation = glGetAttribLocation(shader.ID(), attribute_name);
if (positionLocation == -1) {
    std::cout << "Could not bind attribute " << attribute_name << std::endl;
    return;
}
//Tell OpenGL we will be using vertex position variable in the shader
glEnableVertexAttribArray(positionLocation);
//Bind our vertex position buffer
glBindBuffer(GL_ARRAY_BUFFER, vbo);
//Define how to use our vertex buffer object. This applies to whatever VBO is currently bound to GL_ARRAY_BUFFER
glVertexAttribPointer(
    positionLocation, // attribute (location of the in_position variable in our shader program)
    3,                // number of elements per vertex, here (x,y,z)
    GL_FLOAT,         // the type of each element
    GL_FALSE,         // take our values as-is
    0,                // no extra data between each position
    0                 // offset of first element
);
```

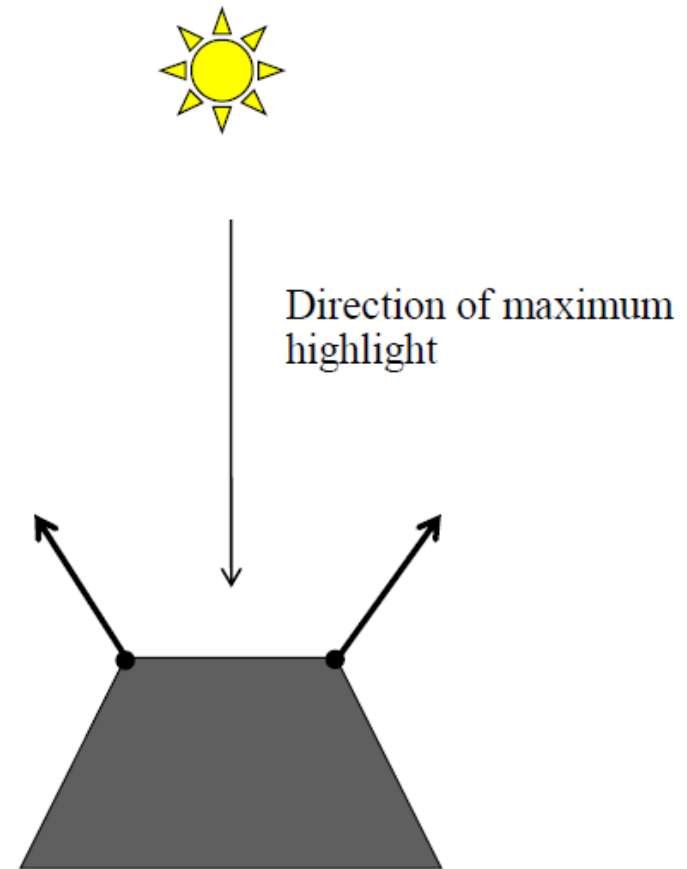
```
//Find the location for our vertex position variable
const char * attribute_name2 = "in_normal";
int normalVectors = glGetAttribLocation(shader.ID(), attribute_name2);
if (normalVectors == -1) {
    std::cout << "Could not bind attribute " << attribute_name2 << std::endl;
    return;
}
//Tell OpenGL we will be using normal vector variables in the shader
glEnableVertexAttribArray(normalVectors);
//Bind our normal vector buffer
glBindBuffer(GL_ARRAY_BUFFER, nbo);
//Define how to use our normal buffer object. This applies to whatever NBO is currently bound to GL_ARRAY_BUFFER
glVertexAttribPointer(
    normalVectors, // attribute (location of the in_normal vector variable in our shader program)
    3,             // number of elements per vertex, here (x,y,z)
    GL_FLOAT,      // the type of each element
    GL_FALSE,      // take our values as-is
    0,             // no extra data between each position
    0              // offset of first element
);
```

```
//Do the actual rendering of the primitives using all active attribute arrays
glDrawArrays(GL_TRIANGLES, 0, trig.VertexCount());
```

```
//Disable usage of the array
glDisableVertexAttribArray(positionLocation);
glDisableVertexAttribArray(normalVectors);
```

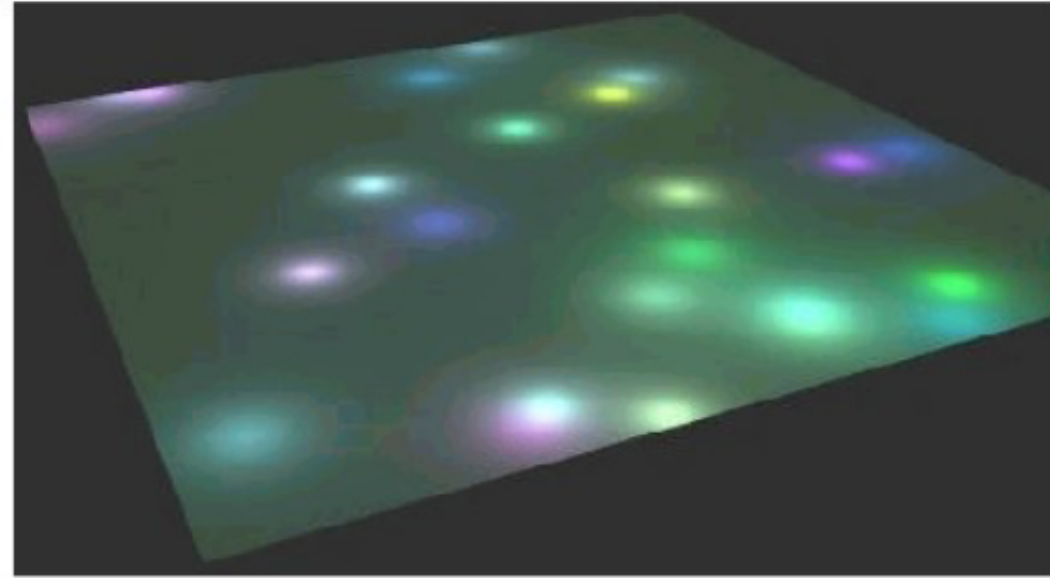
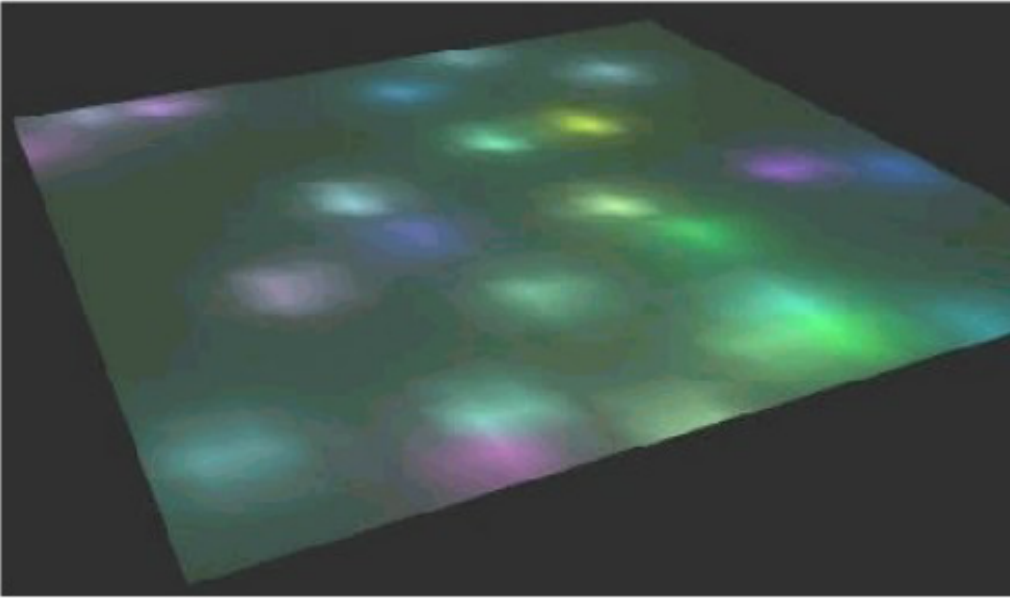
# Problems with Gouraud Shading.

- For specular reflection, highlight falls off with  $\cos^n \alpha$
- Gouraud shading linearly interpolates – makes highlight too big.
- Gouraud shading may well miss a highlight that occurs in the middle of the face.

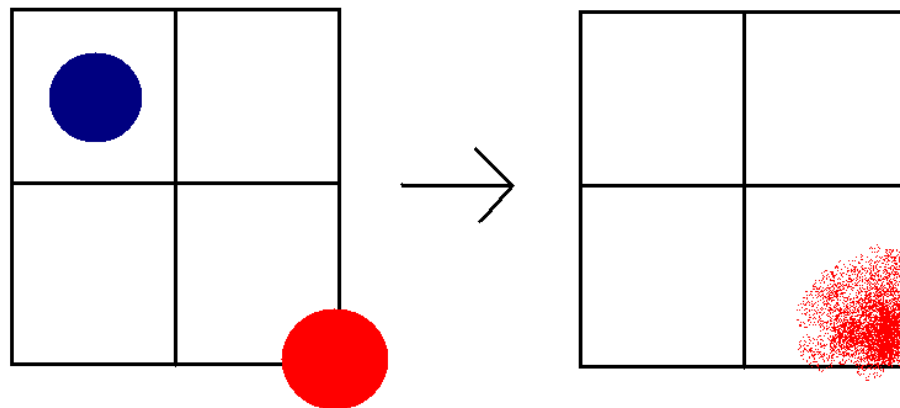


# Gouraud Shaded Floor

# Phong Shaded Floor



Gouraud shading is not good when the polygon count is low



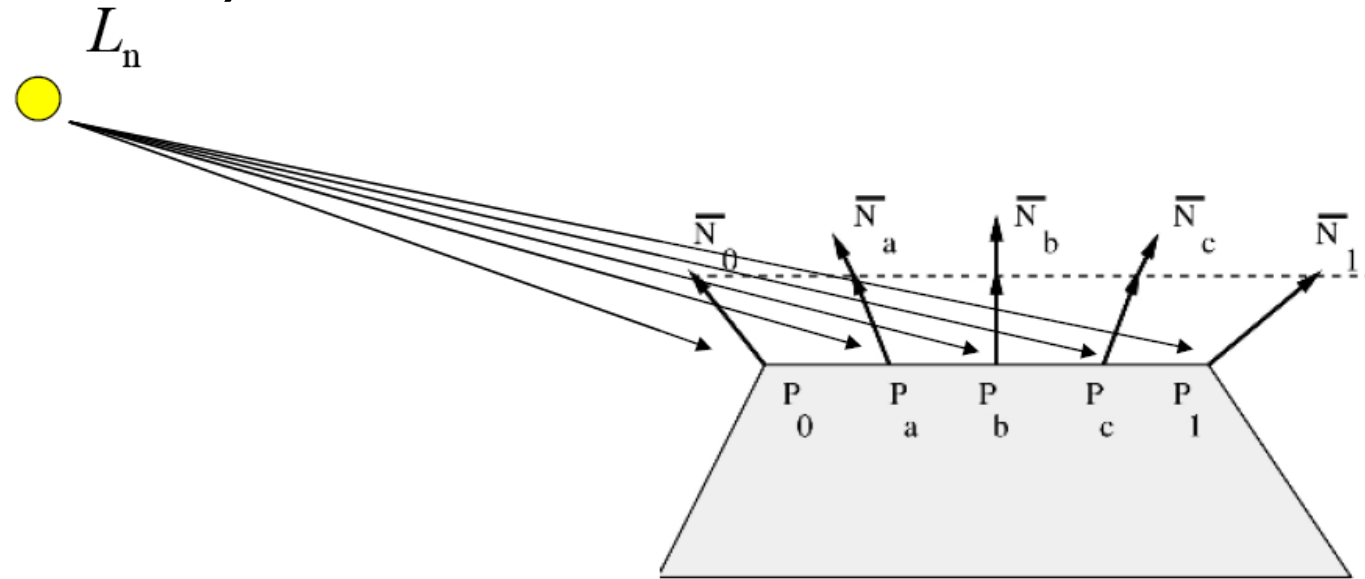
# Phong Shading (by Bui Tuong Phong)





# Phong Shading (by Bui Tuong Phong)

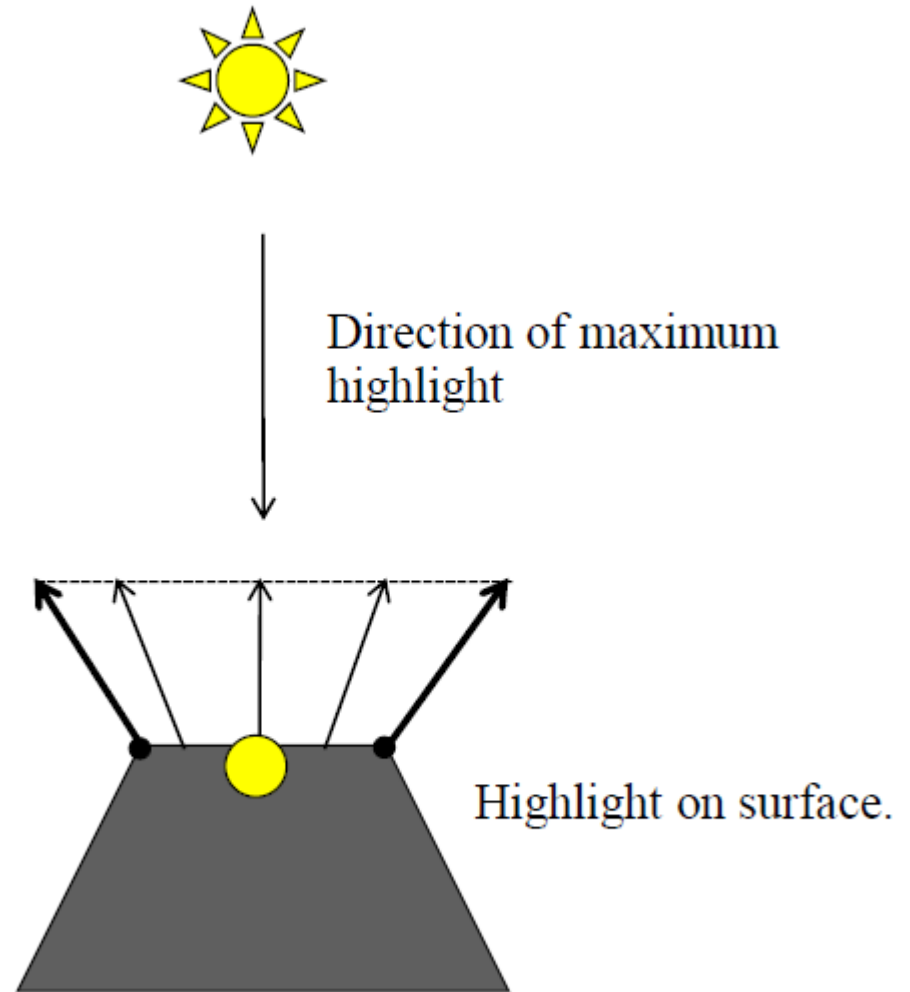
- Doing the lighting computation at every pixel during rasterization
- Interpolating the normal vectors at the vertices (again using barycentric coordinates)



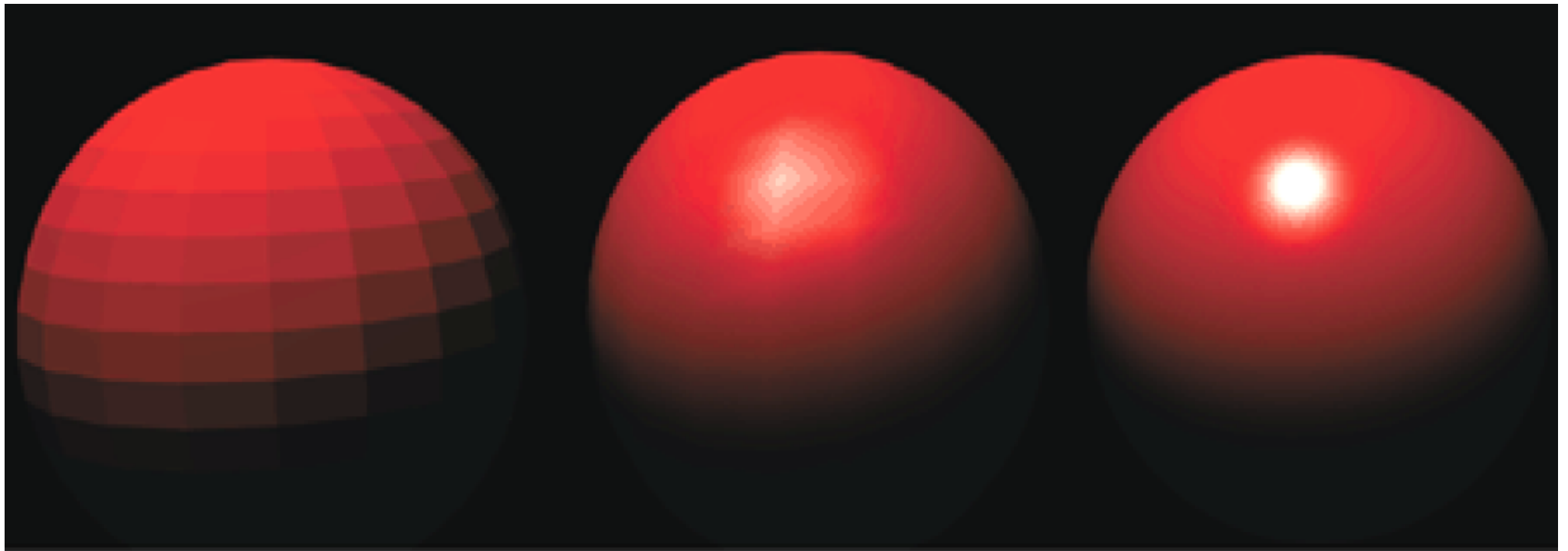
**Phong shading model**

# Phong Shading

- For specular reflection, highlight falls off with  $\cos^n \alpha$
- Can well produce a highlight that occurs in the middle of the face.



# Phong example



Flat

Gouraud

Phong

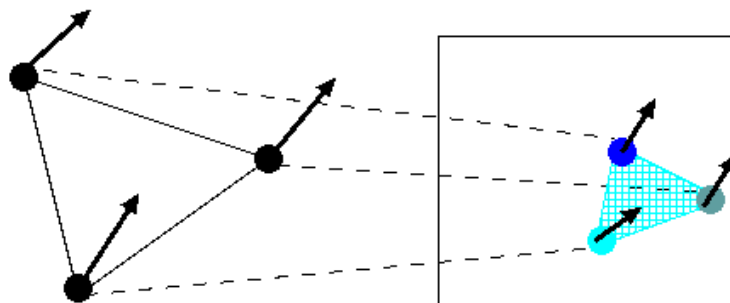
# Phong Shading with GLSL

Vertex Shader (\*.vert)

Prepare the normal vector per vertex

Fragment Shader (\*.frag)

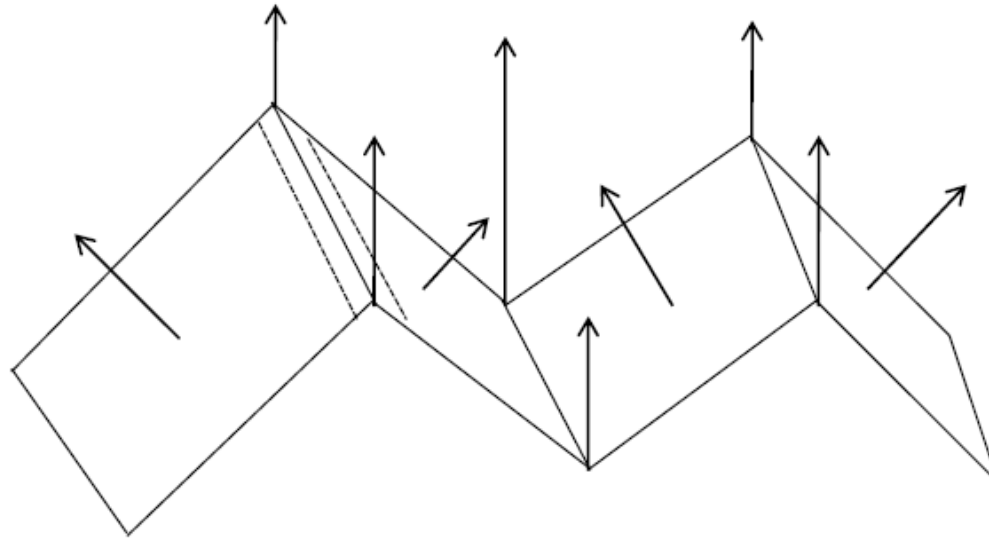
Interpolates the normal vector and do the lighting computation for every pixel



# Problems with interpolation shading.

- Problems with computing vertex normals.

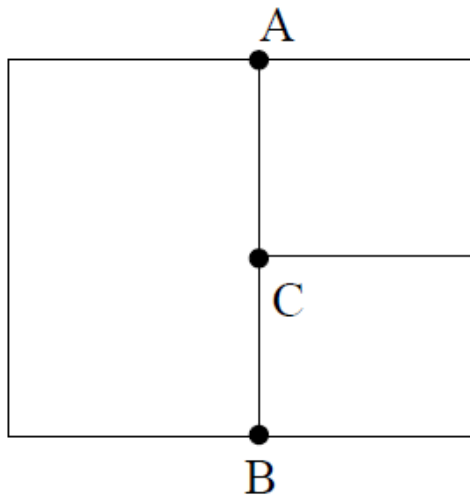
Face surface normals and averaged vertex normals shown.



Unrepresentative so add small polygon strips along edges or test angle and threshold to defeat averaging.

# Problems with interpolation shading.

- Problems with computing vertex normals.



A,B are shared by all polygons, but C is not shared by the polygon on the left.

Shading information calculated to the right of C will be different from that to the left.

**Shading discontinuity.**

Solution 1: subdivide into triangles that share all the vertices

Solution 2 : introduce a 'ghost' vertex that shares C's values

# Recommended Reading

- Foley et al. Chapter 16, sections 16.1.6 up to and including section 16.3.4.
- Introductory text Chapter 14, sections 14.1.6 up to and including section 14.2.6.
- Fundamentals of Computer Graphics, Shiley et al. Chapter 9