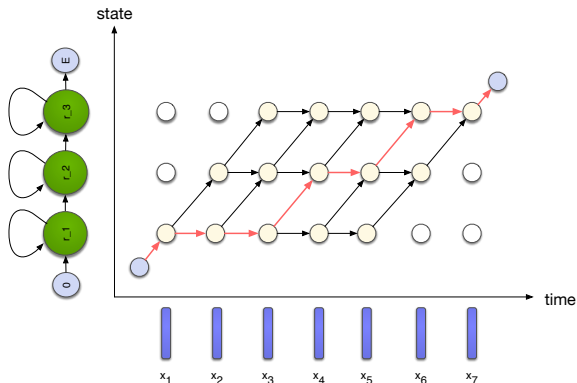


# Neural Network Acoustic Models 1: Introduction

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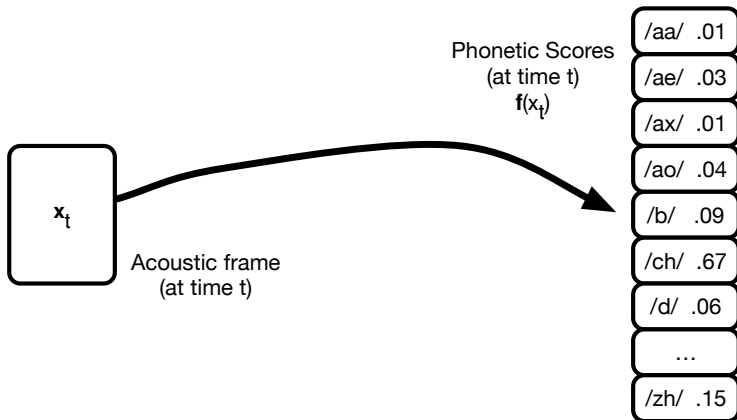
# Local phonetic scores and sequence modelling



- Compute state observation scores (acoustic-frame, phone-model) – this does the detailed matching at the frame-level
- Chain observation scores together in a sequence – HMM

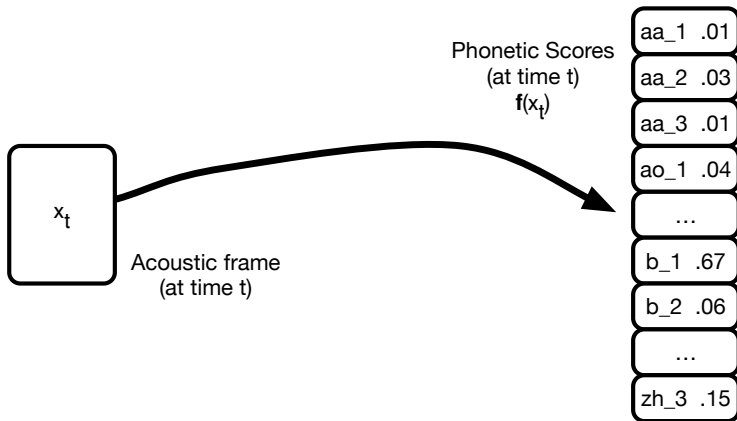
# Phonetic scores

Task: given an input acoustic frame, output a score for each phone



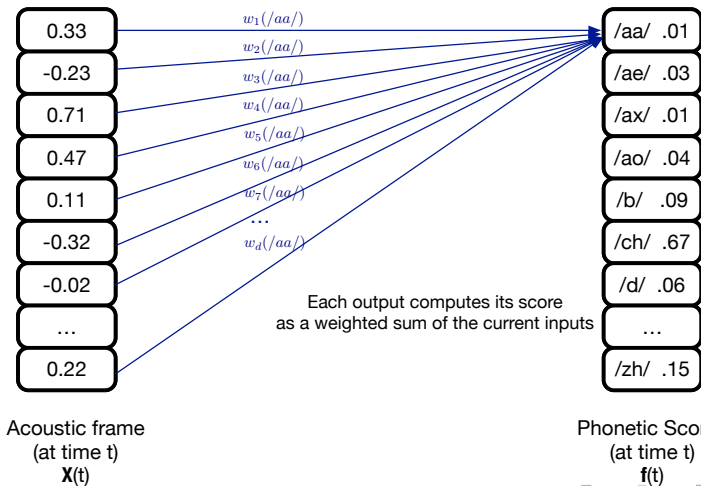
# Phone state scores

Output a score for each phone state



# Phonetic scores

Compute the phonetic scores using a single layer neural network (linear regression!)



Compute the phonetic scores using a single layer neural network

- Write the estimated phonetic scores as a vector

$$\mathbf{f} = (f_1, f_2, \dots, f_J)$$

- Then if the acoustic frame at time  $t$  is  $\mathbf{x}_t = (x_1, x_2, \dots, x_D)$ :

$$f_j = w_{j1}x_1 + w_{j2}x_2 + \dots + w_{jD}x_D + b_j = \sum_{d=1}^D w_{jd}x_d + b_j$$

$$\mathbf{f} = \mathbf{W}\mathbf{x} + \mathbf{b}$$

where we call  $\mathbf{W}$  the *weight matrix*, and  $\mathbf{b}$  the *bias vector*.

- *Check your understanding:*

What are the dimensions of  $\mathbf{W}$  and  $\mathbf{b}$ ?

How do we learn the *parameters*  $\mathbf{W}$  and  $\mathbf{b}$ ?

- **Minimise an Error Function:** Define a function which is 0 when the output  $\mathbf{f}(\mathbf{x}_t)$  equals the *target output*  $\mathbf{r}(t)$  for all  $t$
- **Target output:** for phone classification the target output corresponds to the phone label for each frame
- **Mean square error:** define the error function  $E$  as the mean square difference between output and the target:

$$E = \frac{1}{2} \cdot \frac{1}{T} \sum_{t=1}^T \|\mathbf{f}(\mathbf{x}_t) - \mathbf{r}(t)\|^2$$

where there are  $T$  frames of training data in total

# Notes on the error function

- $f$  is a function of the acoustic data  $\mathbf{x}$  and the weights and biases of the network ( $\mathbf{W}$  and  $\mathbf{b}$ )
- This means that as well as depending on the training data ( $\mathbf{x}$  and  $\mathbf{r}$ ),  $E$  is also a function of the weights and biases, since it is a function of  $f$
- We want to minimise the error function given a fixed training set: we must set  $\mathbf{W}$  and  $\mathbf{b}$  to minimise  $E$
- **Weight space**: given the training set we can imagine a space where every possible value of  $\mathbf{W}$  and  $\mathbf{b}$  results in a specific value of  $E$ . We want to find the minimum of  $E$  in this weight space.
- **Gradient descent**: find the minimum iteratively – given a current point in weight space find the direction of steepest descent, and change  $\mathbf{W}$  and  $\mathbf{b}$  to move in that direction



# Gradient Descent

- Iterative update – after seeing some training data, adjust the weights and biases to reduce the error. Repeat.
- To update a parameter so as to reduce the error, move downhill in the direction of steepest descent. Thus to train a network compute the gradient of the error with respect to the weights and biases:

$$\frac{\partial E}{\partial \mathbf{w}} = \begin{pmatrix} \frac{\partial E}{\partial w_{10}} & \cdot & \frac{\partial E}{\partial w_{1d}} & \cdot & \frac{\partial E}{\partial w_{1D}} \\ & & \dots & & \\ \frac{\partial E}{\partial w_{j0}} & \cdot & \frac{\partial E}{\partial w_{jd}} & \cdot & \frac{\partial E}{\partial w_{jD}} \\ & & \dots & & \\ \frac{\partial E}{\partial w_{J0}} & \cdot & \frac{\partial E}{\partial w_{Jd}} & \cdot & \frac{\partial E}{\partial w_{JD}} \end{pmatrix}$$

$$\frac{\partial E}{\partial \mathbf{b}} = \left( \frac{\partial E}{\partial b_1} \quad \cdot \quad \frac{\partial E}{\partial b_j} \quad \cdot \quad \frac{\partial E}{\partial b_J} \right)$$

# Stochastic Gradient Descent Procedure

- 1 Initialise weights and biases with small random numbers
- 2 Randomise the order of training data examples
- 3 For each *epoch* (complete batch of training data)
  - Take a *minibatch* of training examples (eg 128 examples), and for all examples
    - Forward: compute the network outputs  $f$
    - Backprop: compute the gradients and accumulate  $\partial E / \partial \mathbf{w}$  for the minibatch
    - Update the weights and biases using the accumulated gradients and the learning rate hyperparameter  $\eta$ :  
$$\mathbf{w} = \mathbf{w} - \eta \partial E / \partial \mathbf{w}$$

Terminate either after a fixed number of epochs, or when the error stops decreasing by more than a threshold.

# Gradient in SLN

How do we compute the gradients  $\frac{\partial E^t}{\partial w_{jd}}$  and  $\frac{\partial E^t}{\partial b_j}$ ?

$$E^t = \frac{1}{2} \sum_{j=1}^K (f_j^t - r_j^t)^2 = \frac{1}{2} \sum_{j=1}^J \left( \sum_{d=1}^D (w_{jd} x_d^t + b_j) - r_j^t \right)^2$$

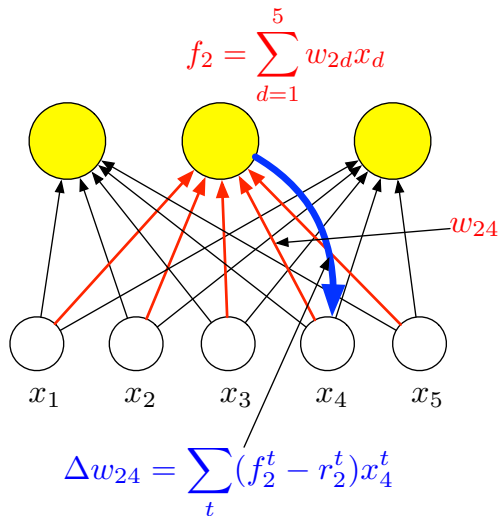
$$\frac{\partial E^t}{\partial w_{ji}} = (f_j^t - r_j^t) x_i^t = g_j^t x_i^t \quad g_j^t = f_j^t - r_j^t$$

**Update rule:** Update a weight  $w_{jd}$  using the gradient of the error with respect to that weight: the product of the difference between the actual and target outputs for an example ( $f_j^t - r_j^t$ ) and the value of the unit at the input to the weight ( $x_d$ ).

*Check your understanding:* Show that the gradient for the bias is

$$\frac{\partial E^t}{\partial b_j} = g_j^t$$

# Applying gradient descent to a single-layer network



- Our network that predicts phonetic scores is a *classifier* – at training time each frame of data has a correct label (target output of 1), other labels have a target output of 0
- At test time the the network produces real-valued outputs which we can interpret as the probability of the  $j$ th label given the input frame  $\mathbf{x}_t$ ,  $P(q_t = j|\mathbf{x}_t)$
- We can design an output layer which forces the output values to act like probabilities
  - Each output will be between 0 and 1
  - The  $K$  outputs will sum to 1
- A way to do this is using the *Softmax* activation function:

$$y_j = \frac{\exp(a_j)}{\sum_{k=1}^K \exp(a_k)}$$

$$a_j = \sum_{d=1}^D w_{jd}x_d + b_j$$

# Cross-entropy error function

- Since we are interpreting the network outputs as probabilities, we can write an error function for the network which aims to maximise the log probability of the correct label.
- If  $r_j^t$  is the 1/0 target of the the  $j$ th label for the  $t$ th frame, and  $f_j^t$  is the network output, then the cross-entropy (CE) error function is:

$$E^t = - \sum_{j=1}^J r_j^t \ln y_j^t$$

- Note that if the targets are 1/0 then the only the term corresponding to the correct label is non-zero in this summation.

# Cross entropy and softmax

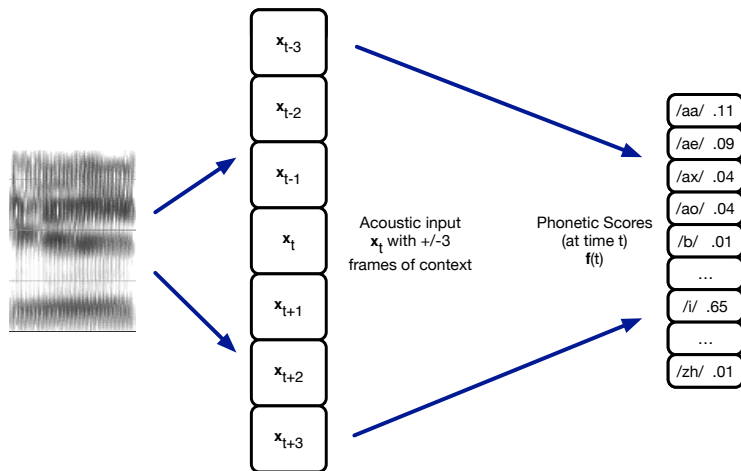
- A neat thing about softmax: if we train with cross-entropy error function, we get a simple form for the gradients of the output weights:

$$\boxed{\frac{\partial E^t}{\partial w_{jd}}} = \underbrace{(y_j^t - r_j^t)}_{\text{}} x_d$$

- In statistics this is called *logistic regression*
- *Check your understanding:*
  - Why does the cross-entropy error function correspond to maximising the log probability of the correct label?
  - Why does the softmax output function ensure the set of outputs for a frame sums to 1?
  - Why are the target labels either 1 or 0? Why does only one target label per frame take the value 1?
  - Why are the network outputs real numbers and not binary (1/0)?

# Extending the model: Acoustic context

Use multiple frames of acoustic context

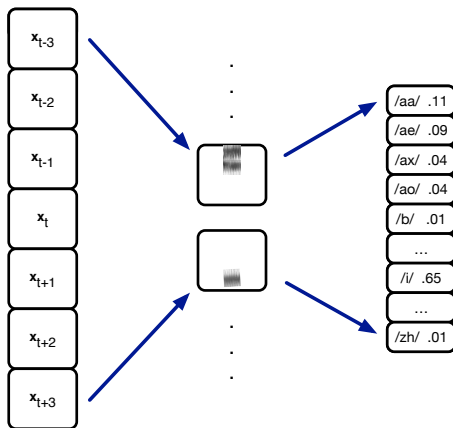




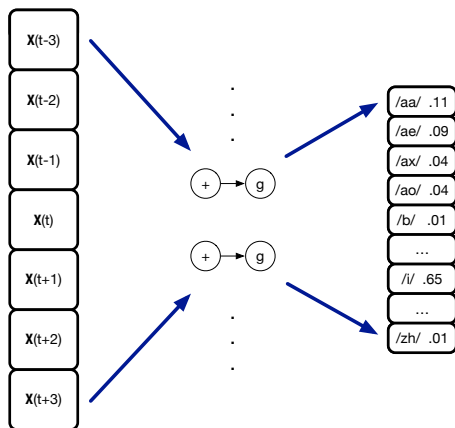
# Extending the model: Hidden layers

- Single layer networks have limited computational power – each output unit is trained to match a spectrogram directly (a kind of discriminative template matching)
- But there is a lot of variation in speech (as previously discussed) – rate, coarticulation, speaker characteristics, acoustic environment
- Introduce an intermediate feature representation – layers of “hidden units” – more robust than template matching
- Can have multiple hidden layers to learn successively more abstract representations – *deep neural networks* (DNNs)

# Hidden units extracting features



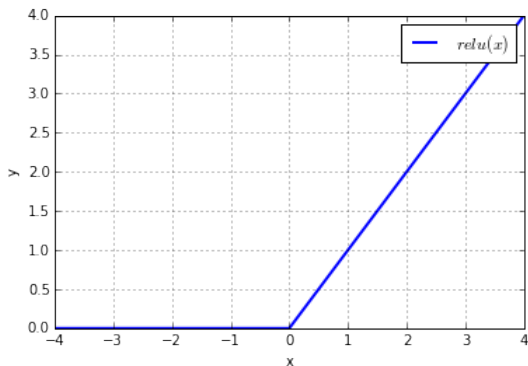
# Hidden Units



$$h_k = \text{relu} \left( \sum_{d=1}^D v_{kd} x_d + b_k \right)$$

$$f_j = \text{softmax} \left( \sum_{k=1}^K w_{jk} h_k + b_j \right)$$

# Rectified Linear Unit – ReLU



$$relu(x) = \max(0, x)$$

Derivative:

$$relu'(x) = \frac{d}{dx} relu(x) = \begin{cases} 0 & \text{if } x \leq 0 \\ 1 & \text{if } x > 0 \end{cases}$$

# Interim conclusions

- Neural networks using cross-entropy (CE) and softmax outputs give us a way of assigning the probability of each possible phonetic label for a given frame of data
- Hidden layers provide a way for the system to learn representations of the input data
- All the weights and biases of a network may be trained by gradient descent – back-propagation of error provides a way to compute the gradients in a deep network
- Acoustic context can be simply incorporated into such a network by providing multiples frame of acoustic input
- Introductory reading for neural networks:
  - Nielsen, *Neural Networks and Deep Learning*, (chapters 1, 2, 3)  
<http://neuralnetworksanddeeplearning.com>
  - Jurafsky and Martin (draft 3rd edition), chapter 7 (secs 7.1 – 7.4)  
<https://web.stanford.edu/~jurafsky/slp3/7.pdf>

- From frames to sequences to word level transcription – hybrid HMM/DNN
- Modelling context dependence with neural network acoustic models
- Hybrid HMM/DNN systems in practice