### Discriminative training and Feature combination

#### Steve Renals (Hiroshi Shimodaira)

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### Discriminative training

- Basic idea Estimate the parameters of a speech recognizer so as to make the fewest classification errors (optimize the word error rate)
- Generative model: estimate the parameters so that the model reproduces the training data with the greatest probability (maximum likelihood)
- Generative modelling only results in minimum classification error if certain conditions are met, including
  - the model is correct (i.e. the true data source is an HMM)
  - infinite training data
  - This never happens in practice
- Discriminative training:
  - Focus on learning *boundaries* between classes
  - Consider incorrect word sequences as well as correct word sequences
  - This is related to direct optimisation of the posterior probability of the words given the acoustics P(W | X)

### Overview

#### Hot topics in ASR

- Discriminative training
- Combining multiple streams of features

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## Discriminative training criteria

#### • Minimum classification error (MCE)

- Correct parameters if misrecognition occurs
- Discriminant function is the difference between the log likelihood of the correct sentence and the average likelihood of incorrect competitors
- Used mainly for small vocabularies
- Uses training data inefficiently (only considers misrecognised examples)
- Maximum mutual information estimation (MMIE)
  - Maximise the mutual information between the acoustics and word sequence
  - Variant of conditional maximum likelihood
- Minimum Bayes Risk (MBR)
  - Optimise the word error rate rather than a likelihood ratio
  - Use the string edit distance between competing and reference utterances
  - Minimum phone error (MPE) training

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## MLE and MMIE

• Maximum likelihood estimation (MLE) sets the parameters so as to maximize an objective function *F*<sub>MLE</sub>:

$$F_{\mathsf{MLE}} = \log P(\mathbf{X}|\lambda) = \sum_{u=1}^{U} \log P_{\lambda}(\mathbf{X}_u \mid W_u)$$

 Maximum mutual information estimation (MMIE) (also referred to as conditional maximum likelihood, CML) aims to directly maximise the posterior probability:

$$F_{\mathsf{MMIE}} = \sum_{u=1}^{U} \log P_{\lambda}(W_u \mid \mathbf{X}_u) = \sum_{u=1}^{U} \log \frac{P_{\lambda}(\mathbf{X}_u \mid W_u)P(W_u)}{P(\mathbf{X}_u)}$$
$$= \sum_{u=1}^{U} \log \frac{P_{\lambda}(\mathbf{X}_u \mid W_u)P(W_u)}{\sum_{W} P_{\lambda}(\mathbf{X}_u \mid W)P(W)}$$

 $\mathbf{X}_{u}$  is the acoustic observation sequence for the *u*th utterance

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# Optimizing the MMIE objective function

- No straightforward efficient optimization approach for  $F_{\text{MMIE}}$
- Gradient-based approaches are straightforward but slow
- Extended Baum-Welch (EBW) algorithm provides update formulae similar to forward-backward recursions used in MLE
- Extended by Povey (PhD thesis, 2003) using notions of strong-sense and weak-sense auxiliary functions
- For large vocabulary tasks, estimating the denominator is expensive (an unpruned decoding!)—in practice it is estimated using word lattices to restrict the set of words sequences that are summed over

# MMIE

$$F_{\mathsf{MMIE}} = \sum_{u=1}^{U} \log \frac{P_{\lambda}(\mathbf{X}_{u} \mid W_{u}) P(W_{u})}{\sum_{W} P_{\lambda}(\mathbf{X}_{u} \mid W) P(W)}$$

- The denominator sums over all possible word sequences estimated by the full acoustic and language models in recognition
- The first term of the numerator is identical to the MLE objective function
- MMIE training corresponds to maximizing the likelihood, while simultaneously minimizing the denominator term
- Discriminative criterion: maximize the probability of the correct sequence (as in MLE) while simultaneously minimizing the probability of all possible word sequences

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## MPE: Minimum phone error

- Basic idea adjust the optimization criterion so it is directly related to word error rate (cf. Minimum Bayes Risk (MBR))
- Minimum phone error (MPE) criterion

$$F_{\mathsf{MPE}} = \sum_{u=1}^{U} \sum_{W} P_{\lambda}(W \mid \mathbf{X}_{u}) A(W, W_{u})$$
$$= \sum_{u=1}^{U} \frac{\sum_{W} P_{\lambda}(\mathbf{X}_{u} \mid W) P(W) A(W, W_{u})}{\sum_{W'} P_{\lambda}(\mathbf{X}_{u} \mid W') P(W')}$$

- $A(W, W_u)$  is the phone transcription accuracy of the sentence W given the reference  $W_u$
- *F*<sub>MPE</sub> is a weighted average over all possible sentences *w* of the raw phone accuracy
- Although MPE optimizes a phone accuracy level, it does so in the context of a word-level system: it is optimized by finding probable sentences with low phone error rates

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8

# Example: meeting speech recognition

System	Training criterion	PLP
Baseline	ML	28.7
SAT	ML	27.6
SAT	MPE	24.5

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### Feature combination

- Basic idea Compute different feature vectors for each frame and train acoustic models on all of them
- Simplest approach: concatenate feature vectors at each frame
  - Increases the dimensionality
  - May be strong correlations between the feature streams (can cause problems for diagonal covariance Gaussians)
- Transform concatenated feature vectors (linear discriminant analysis (LDA), principal component analysis (PCA))
  - dimension reduction
  - decorrelation
- PCA estimates a global transform; LDA estimates a transform per-class / per-state / per-component

## Combining multiple feature streams

- Basic idea Different representations of the speech signal are possible: if they result in complementary errors than it may reduce error rates to combine them
- Combination at the feature level: linear discriminant analysis (and related methods) to combine feature streams
- Combination at the acoustic model level: combine frame-level probability estimates (multi-stream methods)
- Combination at the system level: combine the word sequence outputs of different recognizers (ROVER)

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## LDA: Linear discriminant analysis

 LDA aims to find a linear transformation (from d dimensions to p dimensions, p ≤ d) given by a matrix θ<sup>T</sup>:

### $\mathbf{z} = \boldsymbol{\theta}^T \mathbf{x}$

- $\boldsymbol{\theta}^{T}$  projects **x** to a vector **z** in a lower dimension space
- The LDA transform  $\theta^{T}$  is chosen to simultaneously
  - maximise the between class covariance  $\Sigma_b$
  - minimise the within class covariance  $\Sigma_w$

using the eigenvectors corresponding to the *p* largest eigenvalues of  $\sum_b \sum_w^{-1}$ 

- HLDA: Heteroscedastic Linear Discriminant Analysis
  - In LDA classes share the same within-class covariance matrix
  - In HLDA a different covariance matrix is estimated for each class
- Both HLDA and LDA assume a Gaussian distribution
- NB: "class" may be a phone, a state or a Gaussian component, depending on the amount of data

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# Example: STRAIGHT features

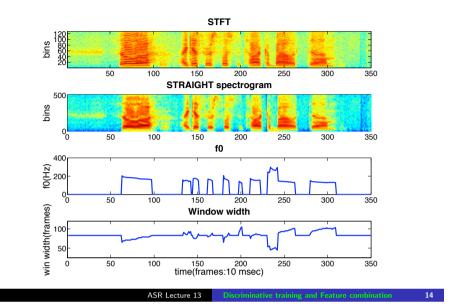
- Conventional PLP and MFCC computation use a fixed size analysis window
- STRAIGHT spectral representation (Kawahara et al, 1999): smoothed spectral representation computed using a pitch adaptive window
- Requires a use of a pitch tracker to obtain  $F_0$
- Resolution of STRAIGHT spectrogram follows the values of the fundamental frequency
- Can use STRAIGHT spectral analysis to obtain STRAIGHT MFCCs (and STRAIGHT PLPs)
- For recognition, combine STRAIGHT and conventional MFCCs using HLDA, reducing from 78 dimensions (39+39) to 39

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# Results on CTS

	TOTAL	Female	Male	SW1	S23	Cell
MFCC (no CMN/CVN)						
STRAIGHT (no CMN/CVN)	45.7	44.5	46.9	40.0	46.6	50.3
MFCC+CMN/CVN+VTLN	37.6	37.0	38.3	31.8	37.1	43.5
Straight	39.2	38.2	40.1	33.6	39.0	44.5
+CMN/CVN+VTLN						
MFCC + STRAIGHT	34.7	33.8	35.6	28.6	34.7	40.5
+CMN/CVN+VTLN+HLDA						

# STRAIGHT Spectral Analysis



## Results on Meetings

	TOTAL	Female	Male	CMU	ICSI	LDC	NIST
MFCC+VTLN	38.4	38.5	38.3	42.7	23.9	52.1	30.9
STRAIGHT+VTLN	39.3	38.3	39.7	44.7	24.8	53.1	31.2
MFCC+Straight +VTLN	42.1	44.4	41.0	45.6	28.5	55.4	37.0
MFCC+Straight VTLN+HLDA	36.6	36.3	36.7	41.0	22.5	51.2	28.5

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15

## Example: Discriminative features

- Can also use the outputs of other statistical models as a feature stream
- Neural networks (eg multi-layer perceptrons MLPs) when trained as a phone classifier output a posterior probability P(phone|data)
- This is a locally discriminative model
- MLP probability estimates can be used as an additional feature stream, modelled by the HMM/GMM system (*Tandem*)
- Advantages of discriminative features
  - can be estimated from a large amount of temporal context (eg  $\pm 25~{\rm frames})$
  - encode phone discrimination information
  - only weakly correlated with PLP or MFCC features

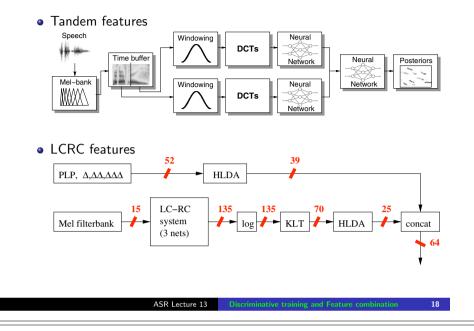
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## Example: meeting speech recognition

- Tandem (LCRC left context, right context) features (Karafiat, 2007)
- Derived from multiple stages of MLPs that try to estimate phoneme state posterior probabilities
- Wide context:input to these is not only the feature vector at the current time, but 25 surrounding frames as well
- Separate MLPs for left and right context

System	Training criterion	PLP	LCRC+PLP
Baseline	ML	28.7	25.2
SAT	ML	27.6	23.9
SAT	MPE	24.5	21.7

## Tandem features



# Summary

- Discriminative methods optimize a criterion other than maximum likelihood (eg more directly related to the error rate)
- But, we still want to optimize all parameters according to a consistent criterion
- Combining features can take advantage of approaches which are complementary, but still make different errors
- Increasing emphasis on approaches which view the features as another model to be optimized

19