1. Introducing coreference

Consider the following narrative:

Violent strikes rocked Happyland. A spokesman for the country's Department of Peace said they would meet with the strikers tomorrow. Another spokesman said that this was intended to demonstrate the country's commitment to resolving the dispute.

It contains something like 12 noun phrases

• But there are only 7 entities being referred to

So there must be some shared referents

2. Some terminology

**Referring expression**
A part of an utterance used to identify or introduce an entity

• in(to) a discourse (in real language use)
• in(to) a discourse **model** (in a theory or implementation)

**Referents**
are such entities

• in a **model**
• or (imagined to be) in the world

**Reference**
is the relation between a **referring expression** and a **referent**

**Coreference**
When more than one **referring expression** is used to refer to the same entity

**Anaphora**
Reference to, or depending on, a previously introduced entity
3. Definite reference

Violent strikes rocked Happyland. A spokesman for the country's Department of Peace said they would meet with the strikers tomorrow. Another spokesman said that this was intended to demonstrate the country's commitment to resolving the dispute.

NP's in red above are definite referring expressions

- as are the country and the dispute

Their use presupposes the existence of a unique (and uniquely identifiable) referent

- If a hearer does not already know of such a referent
  - Or, we will sometimes say, have such a referent in their discourse model
- They will usually accept the assumption that there is one
  - Or, we might say, add one to their model
- provided it's not inconsistent to do so
- This is known as accommodation

Referring expressions can be embedded in other referring expressions

- A spokesman for the country's Department of Peace
- the country's Department of Peace

4. Anaphora

Violent strikes rocked Happyland. A spokesman for the country's Department of Peace said they would meet with the strikers tomorrow. Another spokesman said that this was intended to demonstrate the country's commitment to resolving the dispute.

The country (twice), they, the strikers, another spokesman, this, the dispute are anaphoric expressions

- They rely on the previous discourse for their interpretation.
- So the country, the strikers and the dispute are both definite referring expressions and anaphoric expressions.

5. Indefinite Reference

Indefinite NPs usually introduce new referents to the discourse:

A spokesman for the Department of Peace said he would meet with the strikers.

Another spokesman said that this . . .

When an indefinite NP is in the scope of propositional attitude verbs

- e.g. want, need, worry about
There is an ambiguity about its referent

Willard wants a sloop

- This might be a specific sloop that the speaker and/or Willard has in mind
- or an arbitrary, as yet unidentified, sloop
  - As Quine puts it, he is seeking "mere relief from slooplessness"

6. Coreference

Referring expressions with the same referent are said to corefer.

Indefinite NPs can set up referents for subsequent coreferential anaphoric expressions:

There are fairies\(i\) in my garden. The fairies/They\(j\) are having a ball

Not all fairies - just the ones in my garden

Not all subsequent anaphoric expressions corefer with their antecedent:

There are fairies\(i\) in my garden\(m\). Other fairies\(j\) live elsewhere\(k\)

"other fairies" ≡ fairies other than fairies\(i\)
  - i.e., the ones in my garden\(m\)

"elsewhere" ≡ places other than my garden\(m\)

7. Coreference and Pronouns

Pronouns serve as anaphoric expressions when they rely on the previous discourse for their interpretation

Definite pronouns
  - He, she, it, they etc.

Indefinite pronouns
  - One, some, elsewhere, other etc.

Some pronouns have other roles as well:
  - periphrastic it: "It is raining", "It is surprising that you ate a banana"
  - generic they and one: "They'll get you for that", "One doesn't do that sort of thing in public"

And some determiners have an anaphoric role:
  - some, another, other etc.
  - as in other fairies

The expression from the previous discourse used in interpreting a pronoun used anaphorically is called its antecedent.
A definite pronoun corefers with its antecedent.
The antecedent of an indefinite pronoun contributes in a more oblique way.

8. Reference resolution

Reference resolution is the process of determining the referent of a referring expression

- Whether by humans or machines

Context obviously plays a crucial role in reference resolution

Situational
- The real-world surroundings (physical and temporal) for the discourse

Mental
- The knowledge/beliefs of the participants

Discourse
- What has been communicated so far

9. Discourse context—discourse model

For people we assume

- and in a computational system we construct

a discourse model

- That is, a set of "representations of the entities that have been referred to in the discourse and the relationships in which they participate" (J&M 21.3)

To produce and interpret referring expressions, a system must have methods for

- constructing a discourse model that evolves dynamically
- mapping between referring expressions and referents

In other words, for each referring expression, it must be able to determine when to

- Add a new entity the model to serve as the expression's referent
  - J&M call this evoking
- Find an existing entity ditto
  - J&M call this accessing

10. Implementing reference resolution

Most approaches to implementing reference resolution distinguish two stages:

1. Filter the set of possible referents by appeal to linguistic constraints
2. Rank the resulting candidates based on some set of heuristics

11. Constraints on pronouns: Feature agreement

English pronouns agree with the number and/or gender of the referent of their antecedent.
As well as the **person** (but **case** is determined locally):

- Robin has a new car. *It/She/They is red
- Robin has a sister. *It/She/They/We is well-read
- Robin has three cars. *It/She/They/We are all red

French pronouns agree with the number and gender of the **form** of their antecedent

- Voici une pomme. Je me demande si elle/Il/Elles est mûre [feminine form]
- Here's an apple. I wonder if *she/it/they is ripe [inanimate/neuter referent]

**12. Constraints on pronouns: Syntax**

All anaphors, including pronouns, rely on the previous text for all or part of their interpretation.

When the text is in the same sentence, pronominal coreference is subject to **binding conditions**

- John likes him vs. John likes himself
- John thinks Mary likes him/herself vs. *John thinks Mary likes himself
- Her brother admires Mary ⇒ Whose brother?
  - One one reading, an example of **cataphora**

And, sometimes, to **selectional restrictions** based on the verb that **governs** it

**John parked his car in the garage. He had driven it around for hours**

- it = the car, it ≠ garage

**I picked up the book and sat in a chair. It broke**

- it = chair, it ≠ book

We will see how automated approaches to anaphora resolution exploit such constraints

**13. Constraints aren't enough**

The kind of strong constraints we've just seen are not always enough to reduce the candidate set for resolution to a single entity

- John punched Bill. He broke his jaw/hand
- Barack hates her husband, but Hilary worked for/stays with him anyway

**14. Heuristics for pronoun interpretation**

Many different features influence how a listener will resolve a definite pronoun (i.e., what they will take to be its antecedent):
Recency
The most recently introduced entity is a better candidate
• First Robin bought a phone, and then a tablet. Kim is always borrowing it

Grammatical role
- some grammatical roles (e.g. **SUBJECT**) are felt to be more **salient** than others (e.g., **OBJECT**)
  • Bill went to the pub with John. He bought the first round
  • "John" is more recent, but "Bill" is more salient.

15. More heuristics

Repeated mention
A repeatedly-mentioned entity is likely to be mentioned again

```plaintext
John needed portable web access for his new job. He decided he wanted something classy. Bill went to the Apple store with him. He bought an iPad.

• "Bill" is the previous subject, but "John"'s repeated mentions tips the balance.
```

Parallelism
Parallel syntactic constructs can create an expectation of coreference in parallel positions
• Susan went with Alice to the cinema. Carol went with her to the pub

16. Heuristics, concluded

Verb semantics
A verb may serve to foreground one of its argument positions for subsequent reference because of its semantics

```plaintext
John criticised Bill after he broke his promise vs. John telephoned Bill after he broke his promise

• Louise apologised to/praised Sandra because she ...
```

World knowledge
At the end of the day, sometimes only one reading makes sense
• The city council denied the demonstrators a permit because they feared violence vs. The city council denied the demonstrators a permit because they advocated violence

17. Coreference: A more general case

Anaphoric pronoun resolution is a specific instance of the more general problem of coreference resolution
• Definite expressions other than pronouns are also candidates for reference resolution

Some of the heuristics enumerated above are relevant for the general case
• Particularly for more generic phrases such as "the guy" or "your man"

But other relations also come in to play
Some are semantic

**Hyponomy**
That is, subclassing
- *Megabuck PLC announces its 3rd quarter results today. The company is expected.*

**Metonomy**
That is, part-whole relations
- *I had to take my car into the shop today. The brakes were squeeling really badly*

And some more superficial
- As simple as textual similarity
  - *Victoria Chen opened the meeting. Dr. Chen is...*
- Or requiring some specialised processing
  - *2014 results are up 15%. Trading conditions this year...*

### 18. Automatic methods

There is a rich history of automatic definite reference and pronoun resolution systems
- Initially rule-based
- More recently using machine learning

One particular type of approach fits well with what we've already looked at in this course
- Feature-based systems using logistic regression

The problem is viewed as a simple binomial classification task
- For every pair of referring expressions
  - Are they coreferential, or not?

As indicated above, different features are appropriate, or at least will be differently weighted, for the general coreference case and the more specific pronominal case

### 19. Using a gold standard

Given an corpus annotated for coreference, to train a model we simply
- Given an NP\(_k\) that is known to co-refer with NP\(_j\) where NP\(_j\) is the closest such NP, create a positive training instance \((\text{NP}_k, \text{NP}_j)\).
- For all NPs between NP\(_k\) and NP\(_j\), create a negative training instance \((\text{NP}_k, \text{NP}_{j+1}), (\text{NP}_k, \text{NP}_{j+2}), \text{etc.}\) 

Tabulate the value of likely candidate features
- the nature of NP\(_k\) and NP\(_j\): pronouns, definite NPs, demonstrative NPs (*this/that/these/those* X), proper names;
- distance between NP\(_k\) and NP\(_j\): 0 if same sentence, 1 if adjacent sentence, etc.;
- whether NP\(_k\) and NP\(_j\) agree in number;
- whether NP\(_k\) and NP\(_j\) agree in gender;
- whether their semantic classes are in agreement;
- Edit distance between NP\(_k\) and NP\(_j\);
- Etc.

Use logistic regression (see Lecture 20) to train weights for the positive and negative cases.
20. Using the model

Compute feature vectors for all possible referring expressions

For pronominal anaphora, we can just choose the most-positively scored (or largest positive vs. negative difference) antecedant

- Allowing for the possibility of no in-the-discourse coreferent at all

For definite referring expressions, choosing among available candidates versus not-in-the-discourse is a bit trickier

- And may require a separate model in its own right

21. Conclusion

There are usable "Off the shelf" coreference resolvers for English

- emPronoun, from Brown University
- BART, from Johns Hopkins
- Deterministic Coreference Resolution System, from Stanford
  - Which we'll use in the next assignment

There is still room for improvement in both coreference and anaphor resolution methods.

Knowing what expressions corefer and how other expressions relate to their antecedents can improve performance of Language Technology systems.